# SPECIES DIVERSIFICATION IN AQUACULTURE A GLOBAL ASSESSMENT

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International Institute of Fisheries Economics and Trade (IIFET) Conference 2016 Monday 11 - Friday 15 July 2016 Aberdeen, Scotland



#### Co-authors

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# Aquaculture species diversification: a popular notion attractive to policymakers and other experts

#### This paper would:

- Examine/clarify the concept and measurement of species diversification in aquaculture
  - For systematic assessment and monitoring species diversification in aquaculture
- Examine the status and trend of species diversity in aquaculture at the global, regional and national levels and highlight some salient patterns or stylized facts of species diversification in aquaculture
  - Providing knowledge and information for evidence-based policymaking and business planning
- Explore how to use species diversity measures to facilitate evidencebased decision makings
- Serve as a background paper for the Aquaculture Production Module under the World Aquaculture Performance Indicators (WAPI).

Part I: Data and method

### Data source: FAO data on aquaculture production (FishSTAT)

### The most comprehensive dataset on global aquaculture production

- Covering 206 countries or territories
- 580 species items
- Quantity data from 1950 2014; value data from 1984 2014.
- Different farming environments: freshwater, brackishwater, marine

#### Imperfect data

- Data submitted by countries may not be accurate.
- Some FishSTAT species items are actually species groups.
- Some species-level data are estimated from more aggregated level.
- Value data are less reliable than quantity data.

### Multiple dimensions of species diversification measurement

3 diversity indices: 328 species scopes: effective number of 2 production aquatic products, seafood, species (q = 0, 1 or 2); measures: quantity fish, finfish, crustacean, also some and value molluscs, tilapias, etc. supplement indices Types: Timeframe: Focusing on FishSTAT **Measuring** 1950 - 2014; species items (580 in aquaculture species focusing on 1990total); also considering diversification 2014 higher groups 243 countries or 5 environments: freshwater, 23 areas (e.g. marine, brackish, country groups Northeast Pacific) marine+brackish, all) (e.g. regions, etc.)

### Diversity indices

#### Effective number of species (true diversity)

#### **Basic indices**

$$D_q = \left(\sum_{i=1}^n s_i^q\right)^{1/(1-q)}$$
 n is the number of species

q = 0:  $D_0 = n$  measuring the richness.

q = 1:  $D_1 = \exp(-\sum_{i=1}^n s_i \ln(s_i))$  equivalent to Shannon-Wiener-Weaver (entropy) index.

q=2:  $D_2=\left(\sum_{i=1}^n s_i^2\right)^{-1}$  equivalent to the inverse Simpson-Hirschman-Herfindahl index.

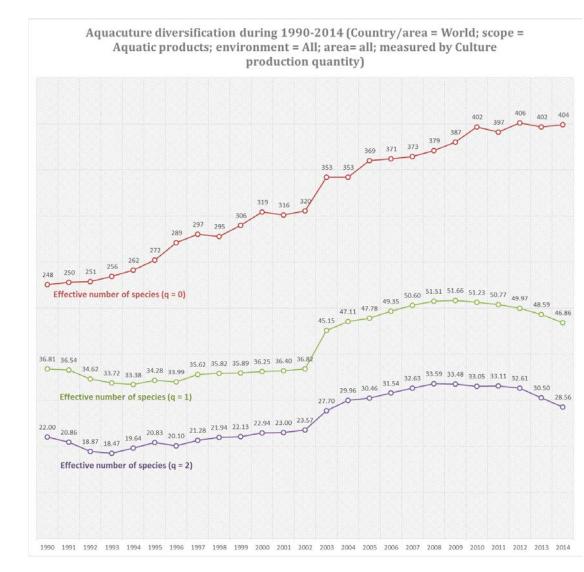
#### Supplement/derivative indices

Gamma diversity ( $\gamma$ )= alpha diversity ( $\alpha$ ) × beta diversity ( $\alpha$ )

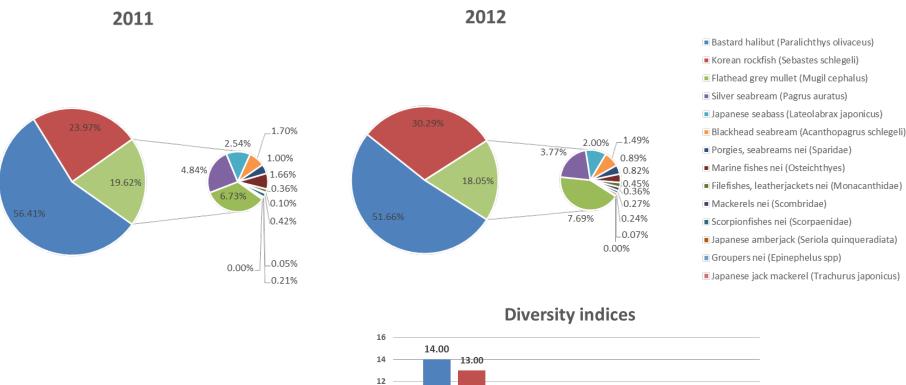
 $D_1/D_0$  or  $D_2/D_0$  as a measure of evenness?

#### Some notes on diversity indices

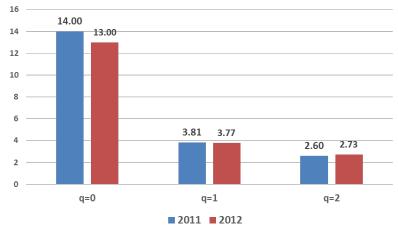
- $\bullet \quad D_{q=0} \ge D_{q=1} \ge D_{q=2}$
- It is not unusual that  $D_{q=0}$  and  $D_{q=1}$  (or  $D_{q=2}$ ) have opposite indication of species diversification.
- $D_{q=1}$  and  $D_{q=2}$  usually have consistent indication of species diversification.
- $D_{q=1}$  and  $D_{q=2}$  may have opposite indication of species diversification.



### A case of $D_{q=1}$ and $D_{q=2} \, \mbox{giving opposite indication of species diversification}$



Farmed marine fish species in Korea: Which year is more diversified, 2011 or 2012?



Quantity vs. value measures

# Generally speaking, species diversity measured in terms of value greater than that measured in terms of quantity

q=1; Scope =Aquactic products; Environment=All; Area=All; year=1990-2014		umber of ca D_value / D	ases where O_quantity		D_value/D_quantity				
region	All cases	>1	1	<1	Mean	95% con inte		Min	Max
Africa	1,079	428	306	345	0.99	0.98	1.01	0.31	2.61
Asia	1,104	591	145	368	1.11	1.09	1.13	0.28	4.66
Europe	963	506	22	435	1.09	1.07	1.12	0.64	4.46
LAC	849	232	132	485	0.95	0.94	0.96	0.37	2.06
Northern America	54	25	3	26	1.05	0.96	1.13	0.63	1.62
Oceania	340	174	93	73	1.12	1.09	1.16	0.63	3.84
World	4,389	1,956	701	1,732	1.05	1.04	1.06	0.28	4.66

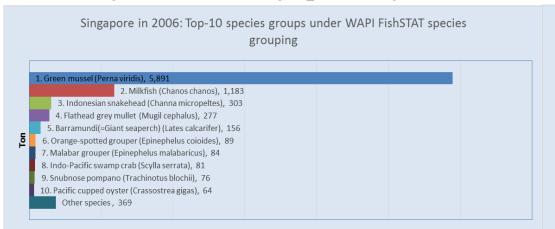
### Cases of large deviation between D\_quantity and D\_value

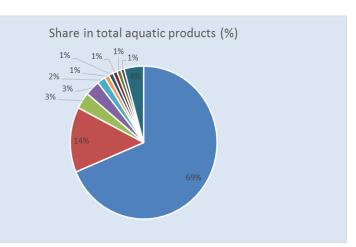
Top-10 countries with the greatest D_value/D_quan				Top-10 countries with the smallest D_value/D_quan						
region	year countries	D_value	D_quan tity	D_value/D_ quan	region	year	countries	D_value	D_quantity	D_value/D_ quan
Asia	2006 Singapore	17.01	3.65	4.66	Asia	2000	Thailand	2.08	7.48	0.28
Europe	1998 Spain	9.45	2.12	4.46	Africa	2010	South Africa	1.84	5.86	0.31
Oceania	1992 Fiji, Republic of	4.70	1.22	3.84	LAC	1997	Mexico	1.66	4.53	0.37
Asia	1993 Malaysia	11.57	3.52	3.29	LAC	2004	Uruguay	1.24	3.31	0.37
Asia	2008 Timor-Leste	3.77	1.28	2.94	Asia	2009	Sri Lanka	2.08	4.66	0.45
Africa	2005 Tanzania, United Rep. of	2.69	1.03	2.61	Africa	1990	Mauritius	1.74	3.64	0.48
Asia	2013 Indonesia	12.35	4.76	2.59	Africa	2005	Mozambique	2.21	4.36	0.51
Asia	2013 Korea, Republic of	14.59	6.72	2.17	Africa	1994	Tunisia	2.49	4.82	0.52
LAC	2009 Jamaica	2.37	1.15	2.06	Asia	2007	China, Hong Kong SAR	4.31	7.93	0.54
Europe	1998 Netherlands	2.71	1.34	2.03	Africa	2006	Madagascar	1.51	2.76	0.55

Year: 1990-2014; Area = All; Environment = All; Scope = Aquatic products; Diversity measure (q =1)

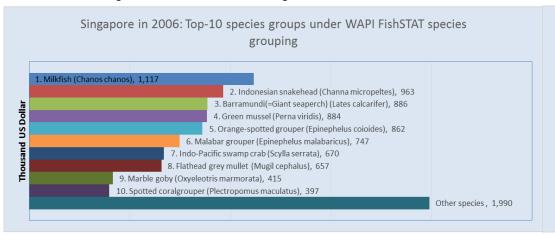
#### Singapore in 2006: a case of D-value >> D\_quantity

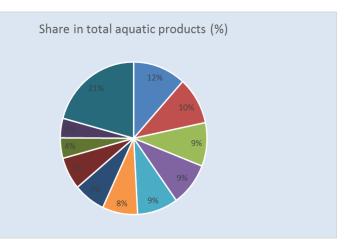
#### **Diversity measured by quantity = 3.65**





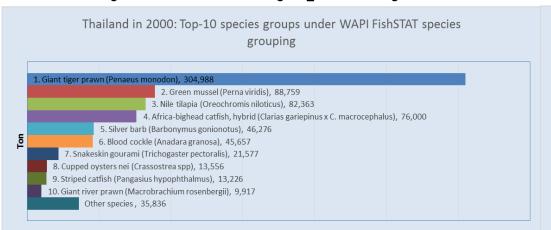
#### Diversity measured by value = 17.01

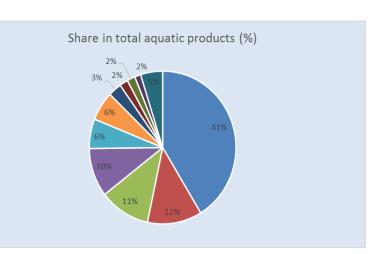




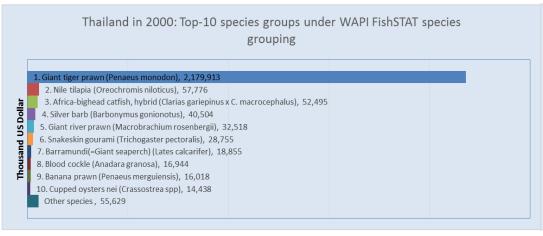
#### Thailand in 2000: a case of D\_value << D\_quantity

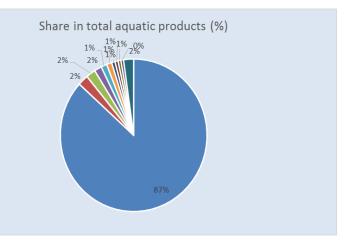
#### Diversity measured by quantity = 7.48





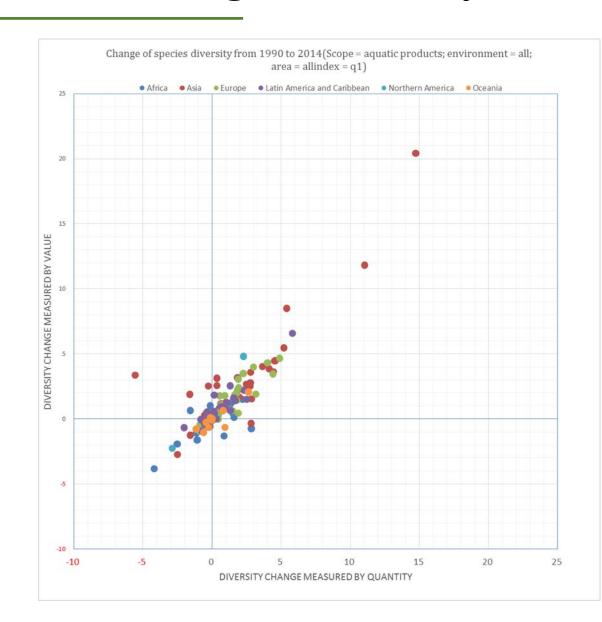
#### Diversity measured by value = 2.08



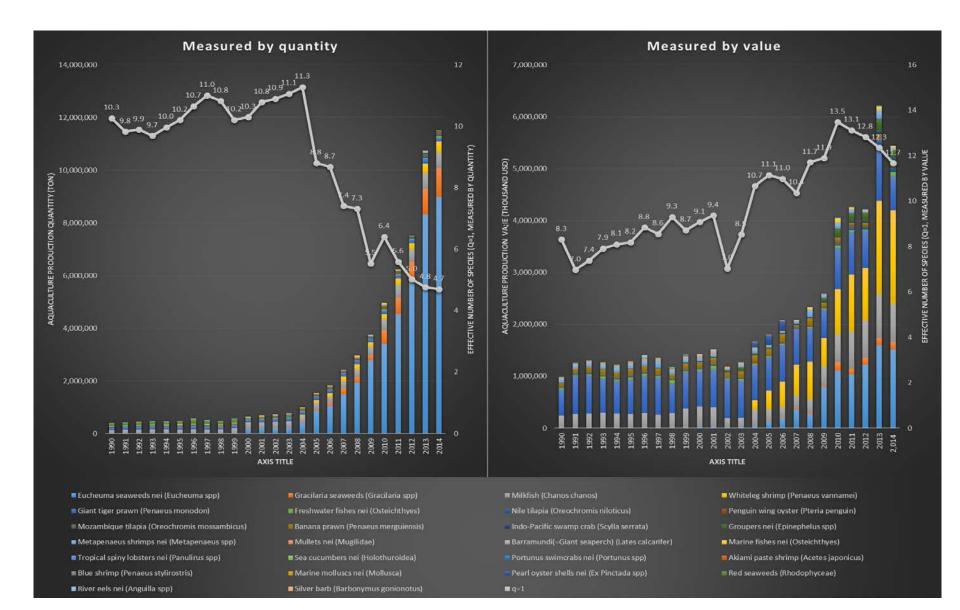


#### Quantity and value-based diversity measures tend to give similar indication of changes in diversity

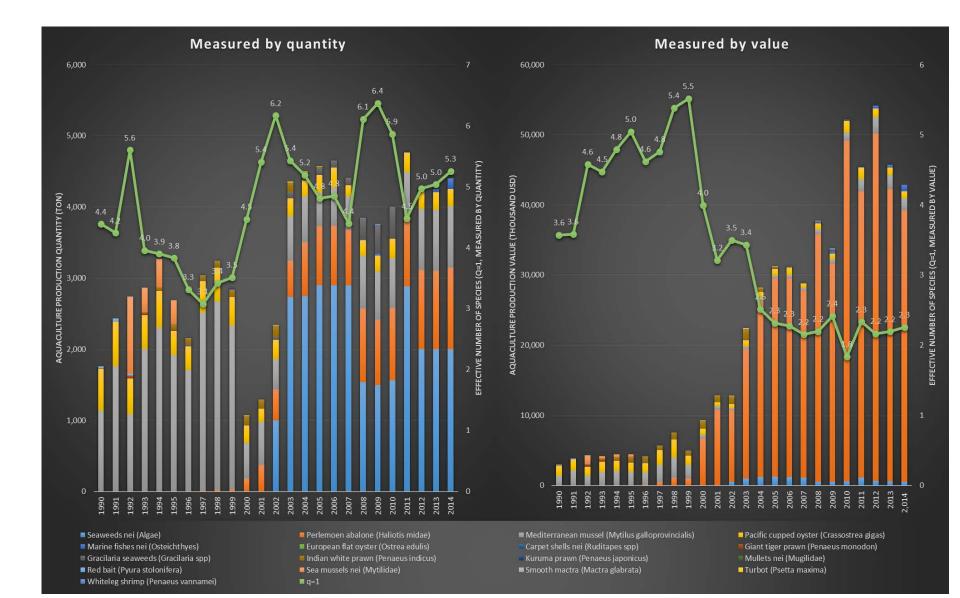
- Among 7131 cases of changes in species diversity from 1990 to 2014 for various countries, scopes, and environments, the two measures indicate opposite changes in diversity in 348 cases.
- For Scope = Aquatic products and Environment = All, the two measures indicate opposite changes in 19 out of totally 152 cases (see chart to the right).



# Indonesia: a case of quantity and value-based diversity indices give opposite indication of species diversification



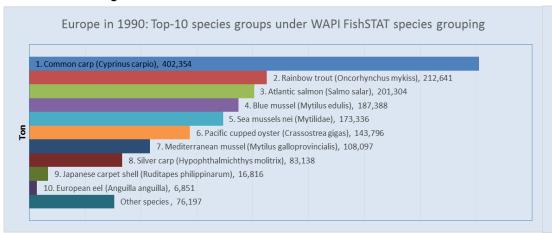
# South Africa: a case of quantity and value-based diversity indices give opposite indication of species diversification

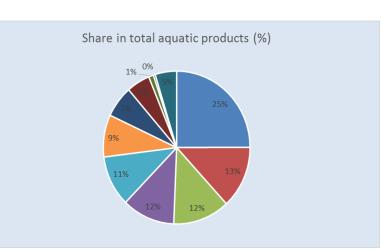


Geo-scale: country and region

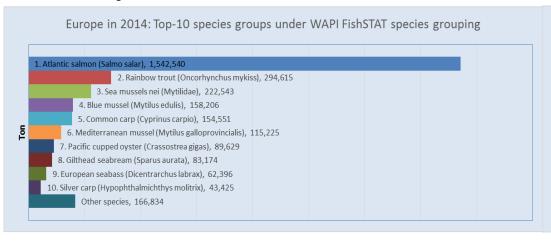
#### Top-10 species in Europe: 1990 vs. 2014

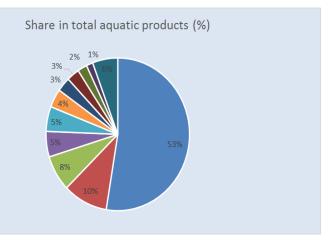
#### **Diversity in 1990 = 9.8**



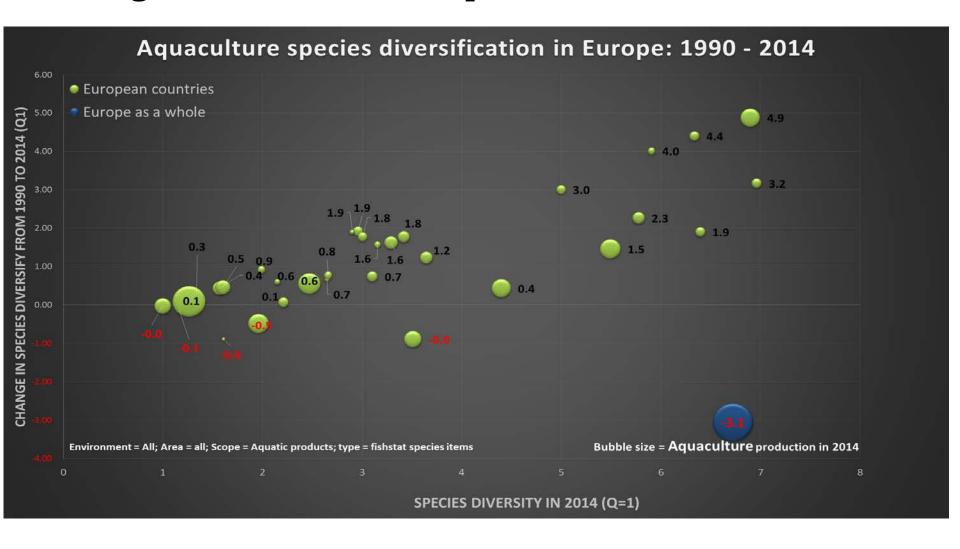


#### **Diversity in 2014 = 6.7**

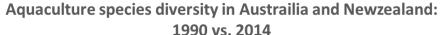


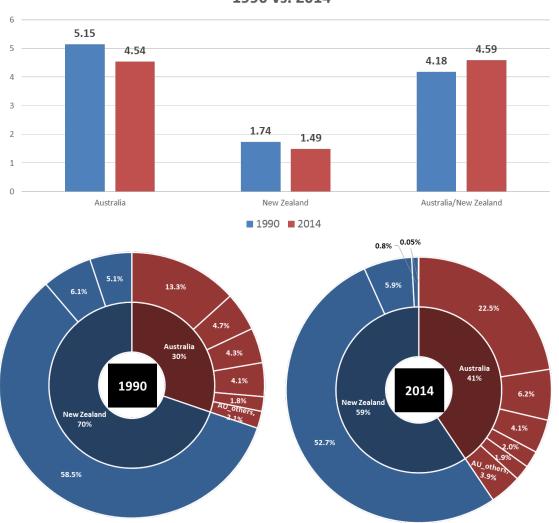


Regional species diversification may not reflect the average or sum of species diversification of countries in the region: the case of Europe



# Regional species diversification may not reflect average species diversification of countries in the region: the case of Australia/New Zealand

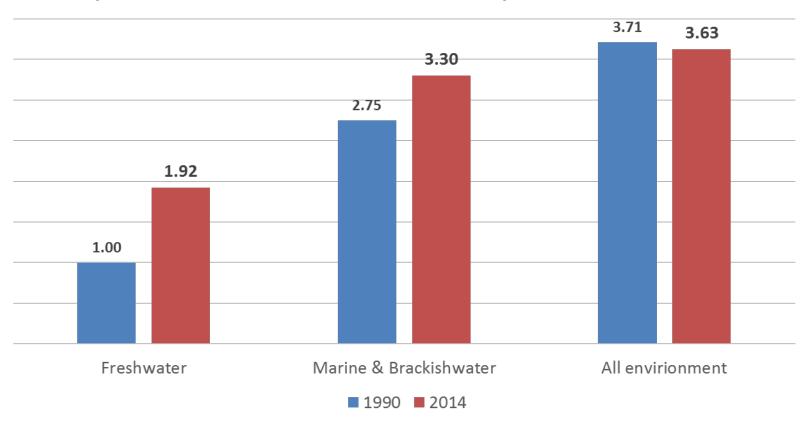




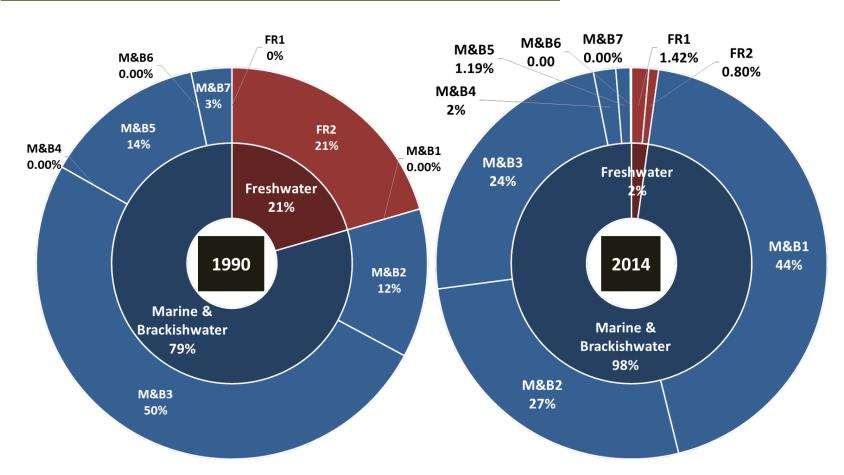
### Farming environments

# Species diversification in all environments may not reflect the average or sum of species diversification in different environments

Species diversification in Viet Nam's shellfish aquaculture: 1990 vs. 2014



### Species diversification in Viet Nam's shellfish aquaculture



FR = Freshwater; M&B = Marine & Brackishwater

FR1= Freshwater molluscs nei (Mollusca); FR2= Giant river prawn (Macrobrachium rosenbergii);

M&B1= Whiteleg shrimp (Penaeus vannamei); M&B2= Marine molluscs nei; M&B3= Giant tiger prawn;

M&B4= Indo-Pacific swamp crab; M&B5= Banana prawn; M&B6= Tropical spiny lobsters nei

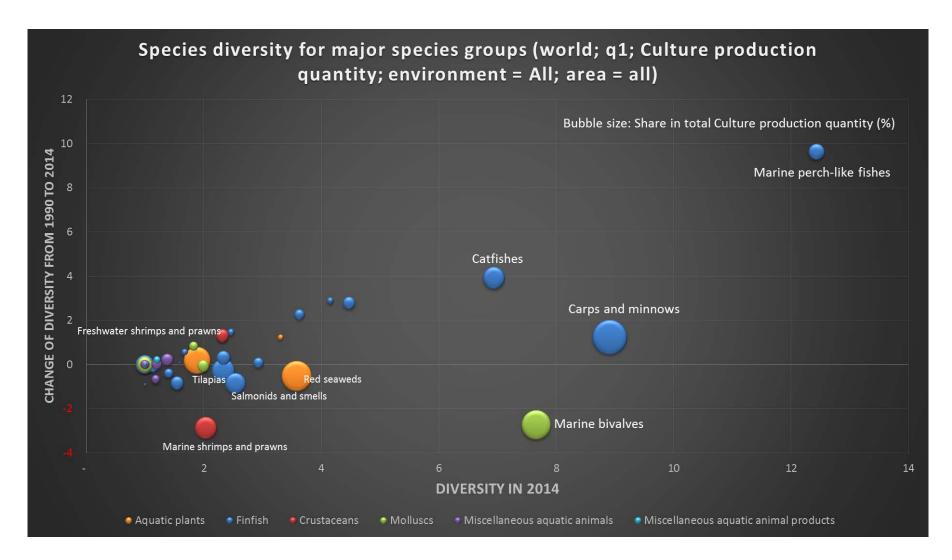
### Species scope

#### Examples of 328 species groups

Microalgae Aquatic plants nei

·					
WAPI s pecies or species group	Fre shwa te r fi she s				
Aquatic product = Seafood + Miscellaneous aquatic animal products + Aquatic plants	Carps and minnows (Cyprinidae)				
Seafood = Fish + Miscellaneous aquatic animals	Tilenian and other sightide (Ciablides)				
Fish = Finfish + Shellfish	Tilapias and other cichlids (Cichlidae)				
Shellfish = Crustaceans + Molluscs	<u>Catfishes (Siluriformes)</u>				
Finfish	Frankryster perch like figher (Derseiden frankryster)				
Freshwater fishes	Freshwater perch-like fishes (Percoidea , freshwater)				
Diadromousfishes	Snakeheads (Channidae)				
Marine fishes	Chanadia (Chanadifanna)				
Crustaceans	Characins (Characiformes)				
Marine crustaceans	Swamp-eels (Synbranchidae)				
Freshwater crustaceans	1 1 /6 1:::1				
Molluscs	Loaches (Cobitidae)				
Marine molluscs	<u>Labyrinth fish (Anabantoi dei)</u>				
Freshwater molluscs	2				
Miscellaneous aquatic animals	Bony tongues (Osteoglossiformes)				
Miscellaneous a quatic invertebrates	Freshwater gobies and sleepers (Gobioidei, freshwater)				
Aquatic tetrapoda vertebrates					
Miscellaneous aquatic animal products	Miscellaneous freshwater fishes				
Marine aquatic animal products	Freshwater fishes nei				
Freshwater aquatic animal products					
Aquatic plants					
Macroalgae					

### Species diversity for major aquaculture species groups

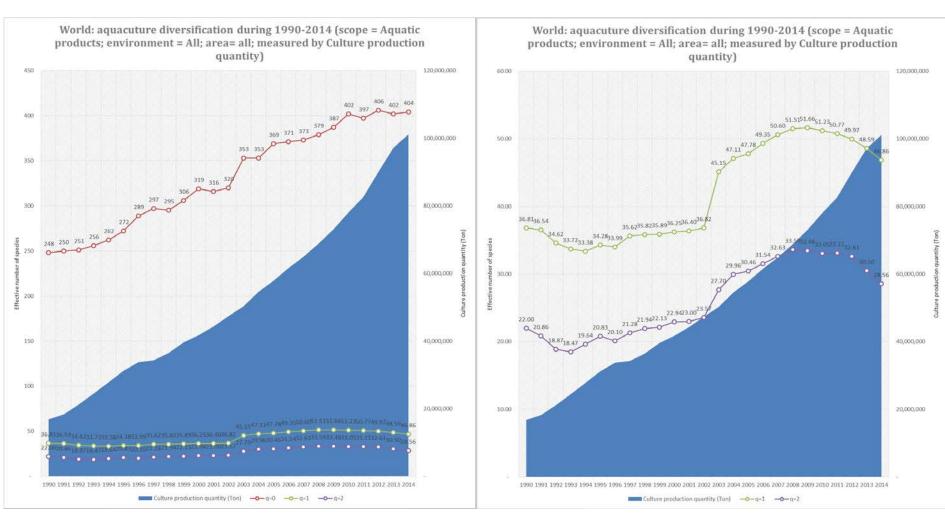


Year: 1990-2014; Area = All; Environment = All; Scope = Aquatic products; Diversity measure (q =1)

Part II: Salient patterns or stylized facts of species diversification in aquaculture

# 1990 to 2014: More species diversified global aquaculture

### More species diversified aquaculture for the world as a whole



### More species diversified aquaculture for most of species scopes

Changes in species diversity in global aquaculture from 1990 to 2014

	World including China				World without China			
Scope	Number of countries		Share of world production (%)		Number of countries		Share of world production (%)	
	Diversity	Diversity	Diversity	Diversity	Diversity	Diversity	Diversity	Diversity
	increase	decrease	increase	decrease	increase	decrease	increase	decrease
Aquatic products	104	48	73	27	103	48	34	65
Seafood	102	48	87	13	101	48	65	35
Fish	101	49	86	14	100	49	64	36
Finfish	93	46	83	17	92	46	62	38
Freshwater fishes	79	40	85	15	78	40	62	37
Diadromous fishes	30	41	43	57	29	41	38	61
Marine fishes	24	6	85	6	23	6	71	13
Shellfish	28	41	84	15	27	41	36	63
Crustaceans	11	35	67	33	10	35	21	78
Molluscs	20	23	90	10	19	<b>2</b> 3	41	58
Miscellaneous aquatic animals	4	3	94	3	3	3	9	47
Miscellaneous aquatic animal products	0	1		0	0	1		0
Aquatic plants	7	9	90	9	6	9	81	17

Area = All; Environment = All; Production measure = Quantity; Diversity measure (q =1)

### More species diversified aquaculture in most farming environments

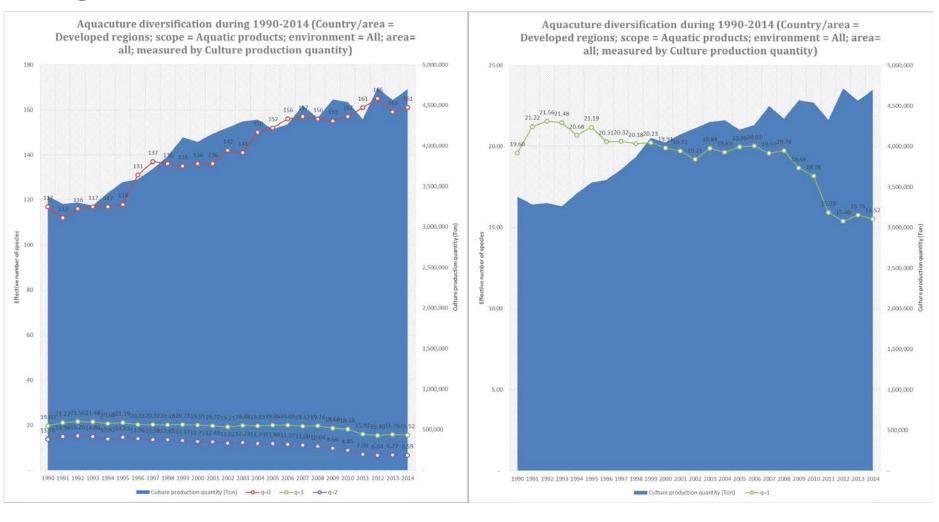
Changes in species diversity in global aquaculture from 1990 to 2014: perspective from different scopes

Environment	Measure	Scope = Aqu	atic products	Scope = Fish		
Environment	ivieasure	Increased diversity	Decreased diversity	Increased diversity	Decreased diversity	
All environment	Number of countries	104	48	101	49	
	Share of world production (%)	73	27	86	14	
Freshwater	Number of countries	88	12	87	13	
	Share of world production (%)	91	43	88	46	
Marine & brackishwater	Number of countries	51	35	48	33	
	Share of world production (%)	69	31	81	18	
Marine	Number of countries	34	27	33	21	
	Share of world production (%)	91	9	91	9	
Brackishwater	Number of countries	18	25	17	26	
	Share of world production (%)	47	53	55	44	

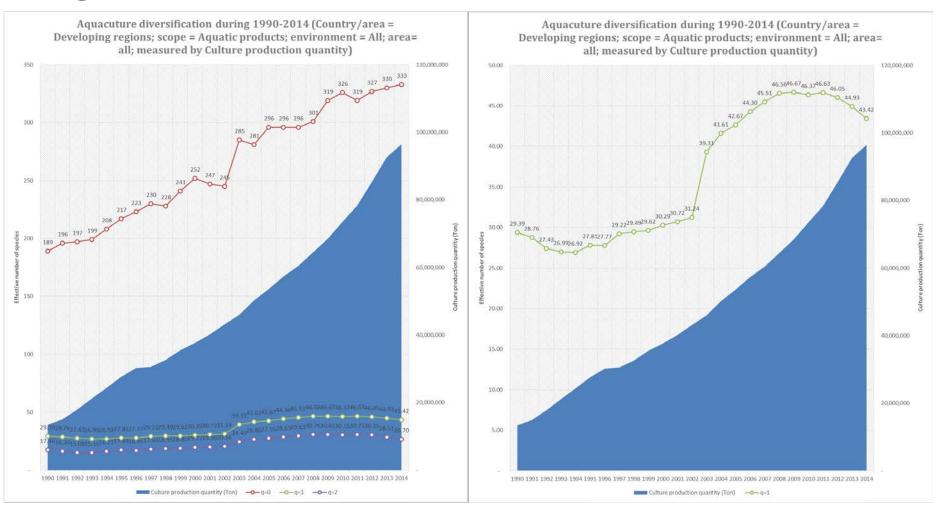
Country/region = World; Area = All; Environment = All; Production measure = Quantity; Diversity measure (q =1)

# Aquaculture species diversification: regional perspective

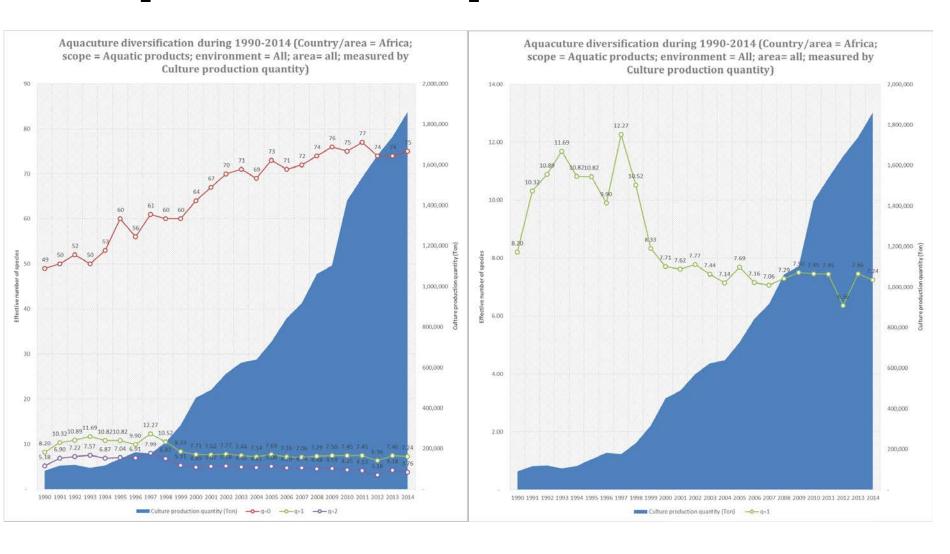
### Less species diversified aquaculture in developed regions



### More species diversified aquaculture in developing regions

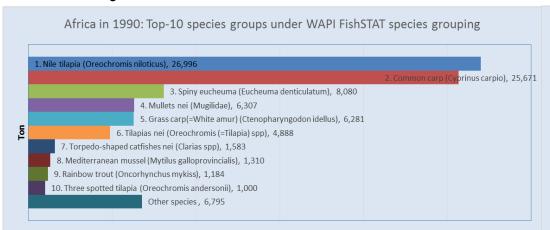


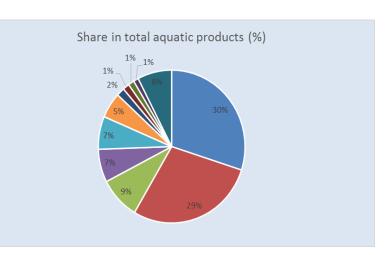
### Less species diversified aquaculture in Africa



### Top-10 species in Africa: 1990 vs. 2014

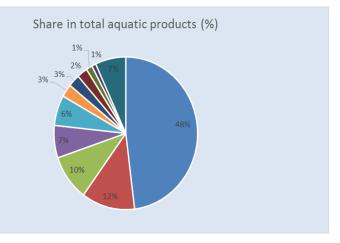
#### **Diversity in 1990 = 8.2**



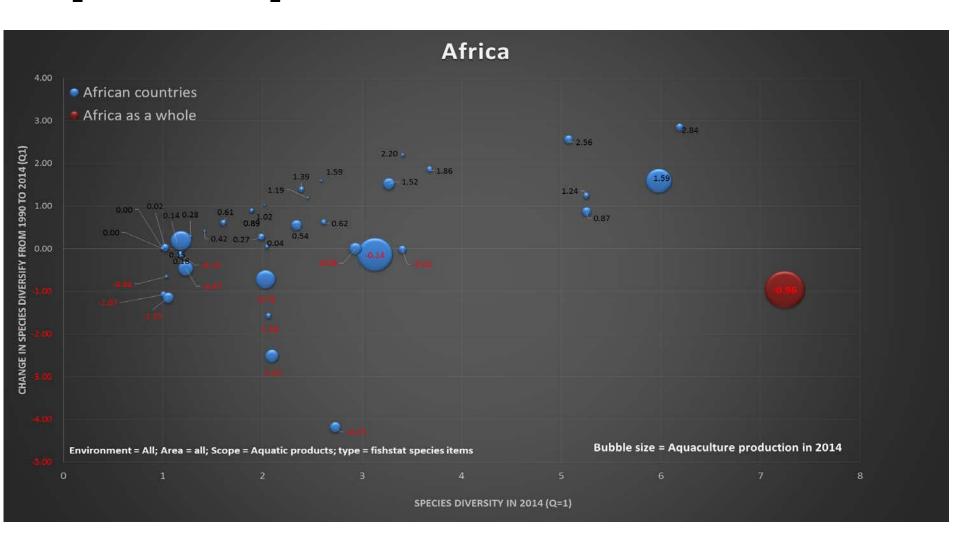


#### **Diversity in 2014 = 7.2**

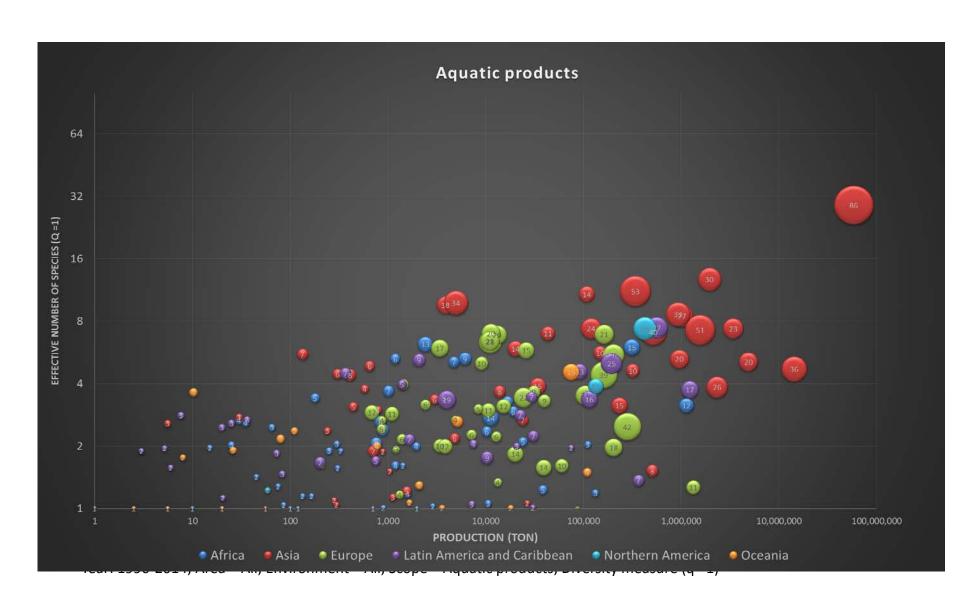




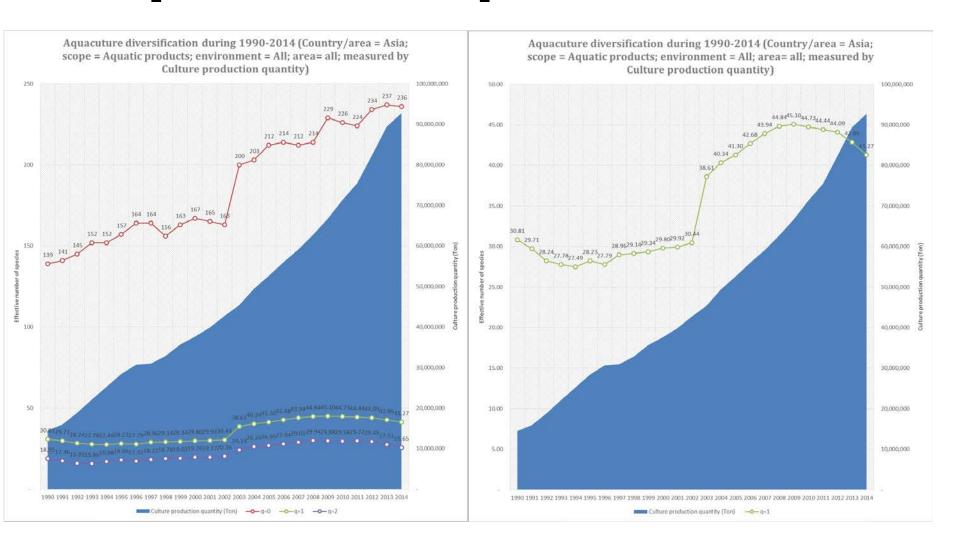
### Aquaculture species diversification in Africa



# Asia: the most diversified region

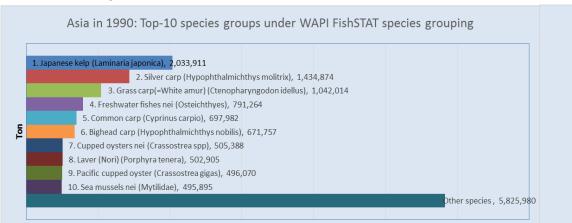


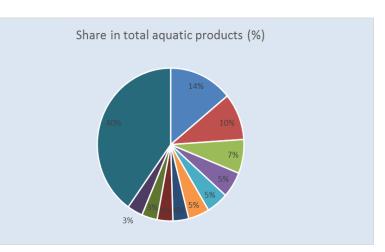
### More species diversified aquaculture in Asia



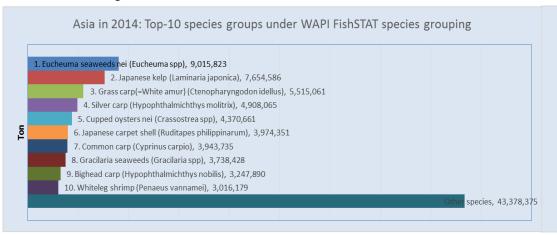
### Top-10 species in Asia: 1990 vs. 2014

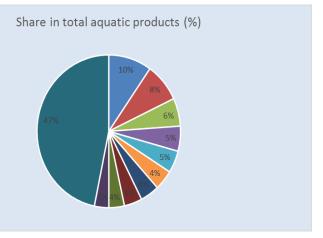
#### **Diversity in 1990 = 30.8**



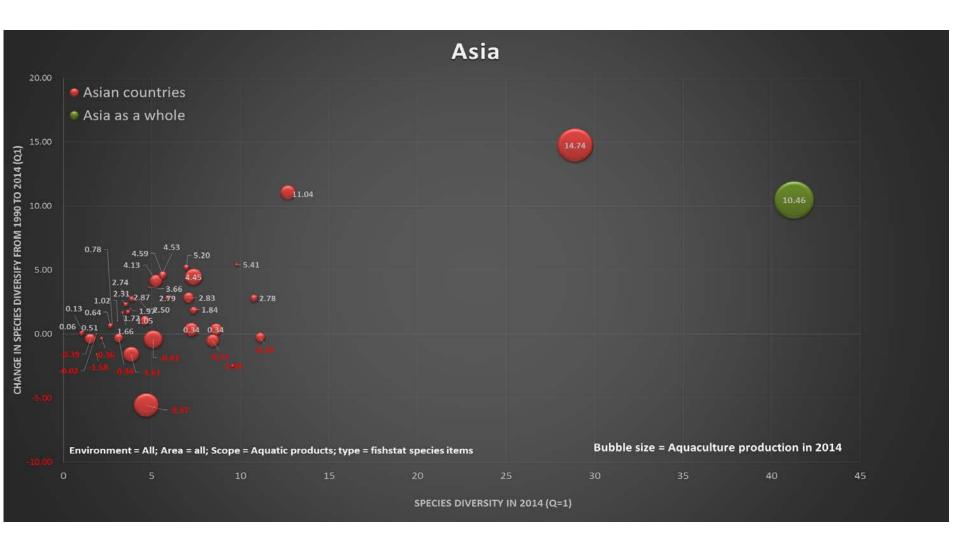


#### **Diversity in 2014 = 41.3**

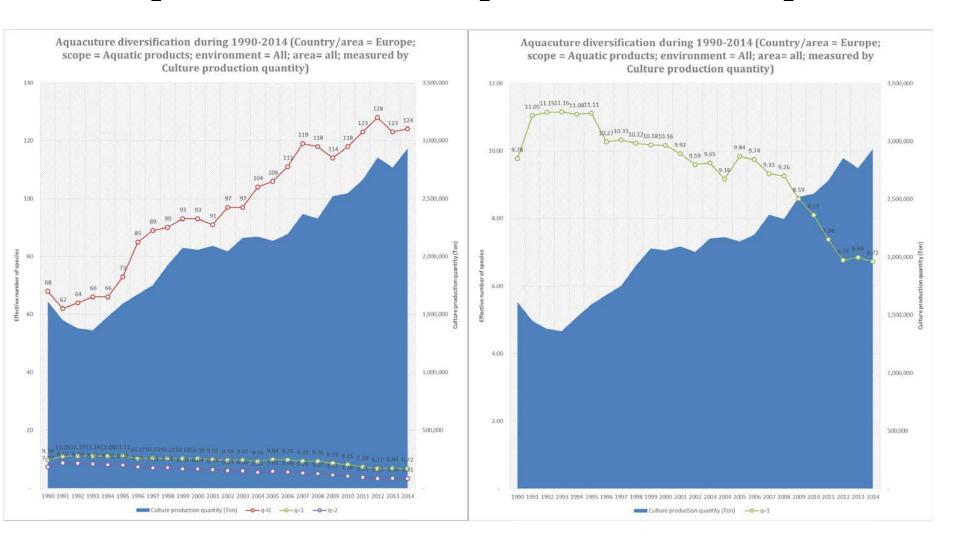




### **Asian countries**

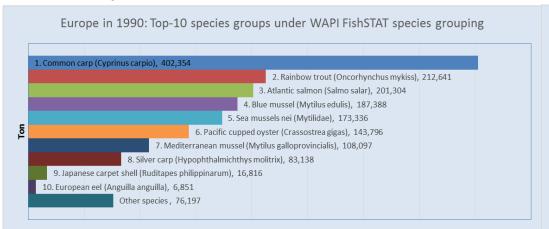


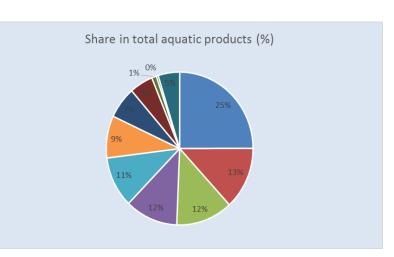
## More species diversified aquaculture in Europe



### Top-10 species in Europe: 1990 vs. 2014

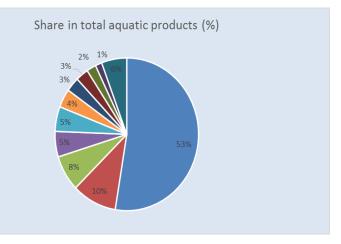
#### **Diversity in 1990 = 9.8**



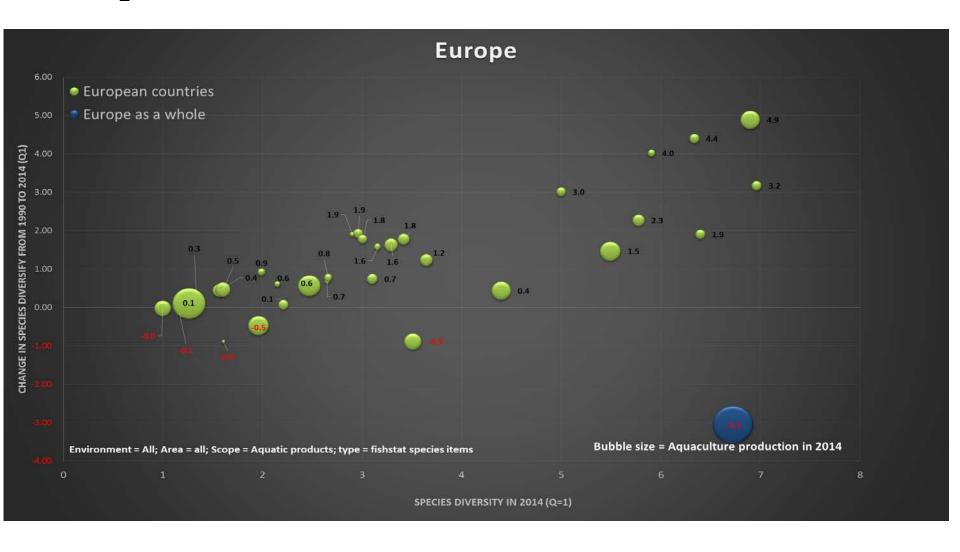


#### **Diversity in 2014 = 6.7**

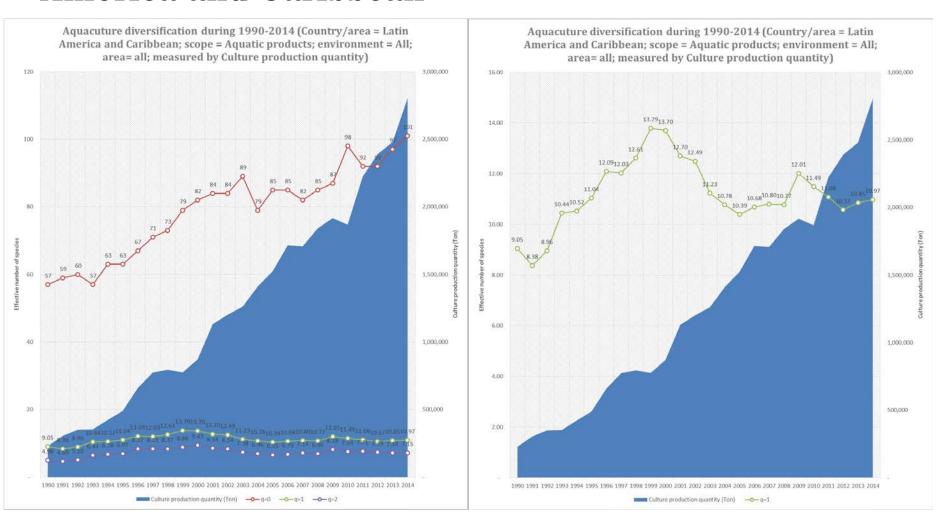




### **European countries**

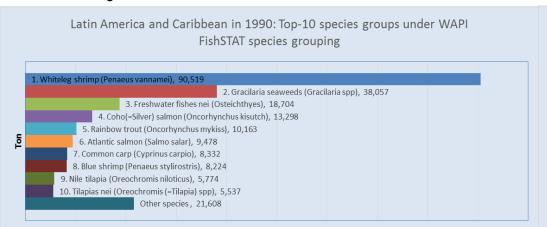


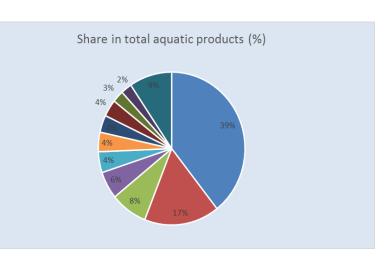
# More species diversified aquaculture in Latin America and Caribbean



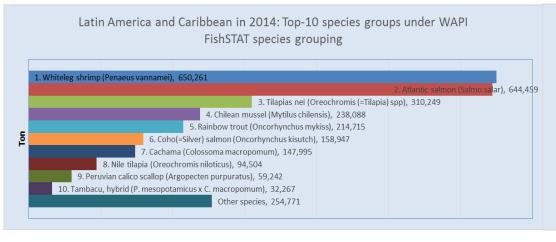
### Top-10 species in LAC: 1990 vs. 2014

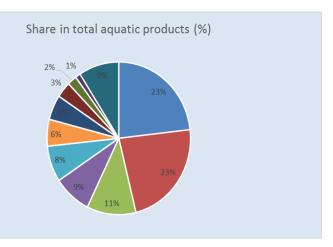
#### **Diversity in 1990 = 9.1**



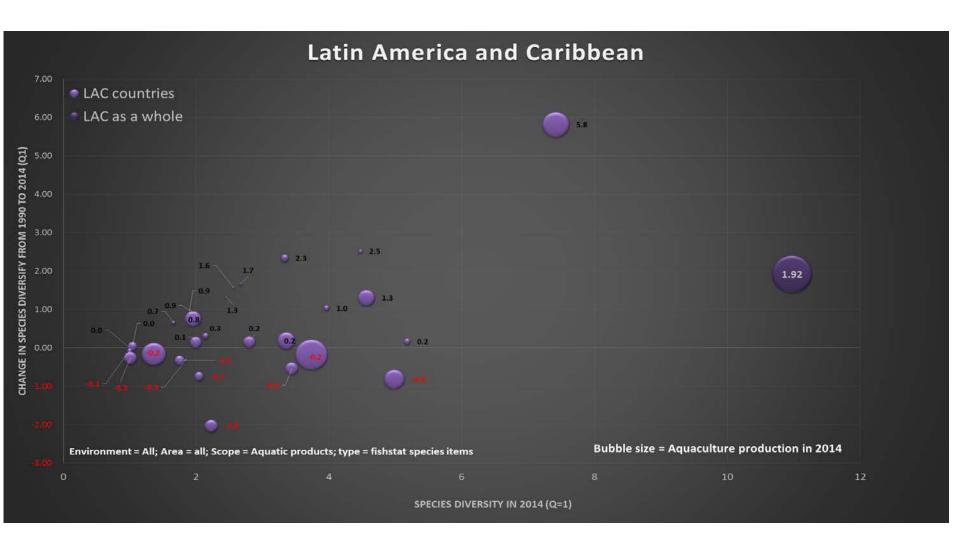


#### **Diversity in 2014 = 11.0**

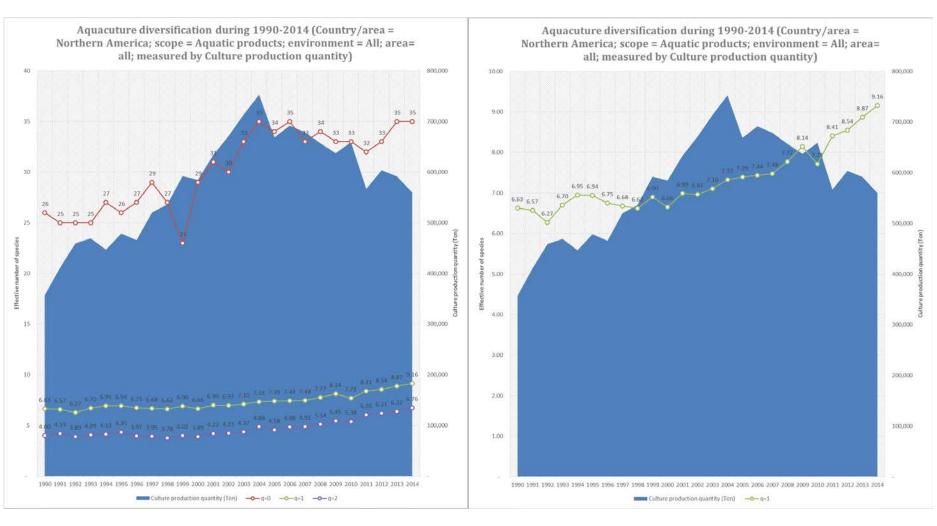




### LAC countries

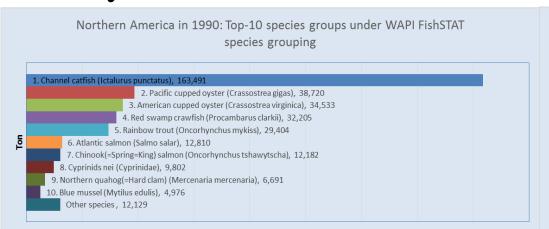


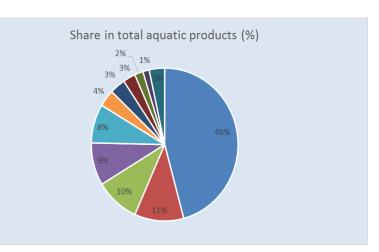
# More species diversified aquaculture in Northern America



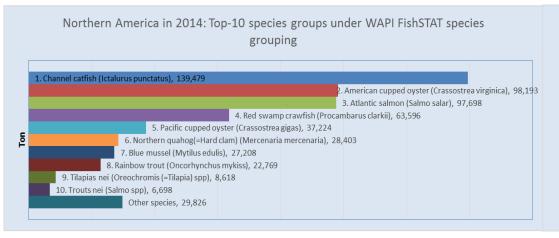
### Top-10 species in Northern America: 1990 vs. 2014

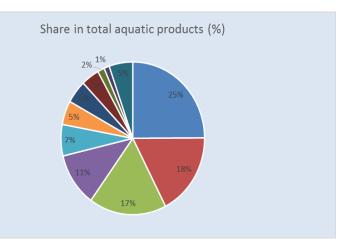
#### Diversity in 1990 = 6.6



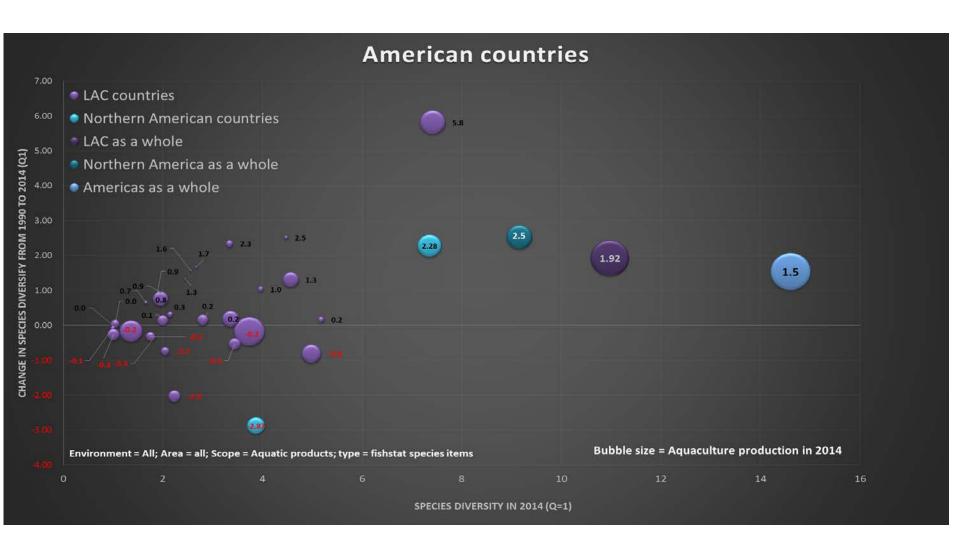


#### **Diversity in 2014 = 9.2**

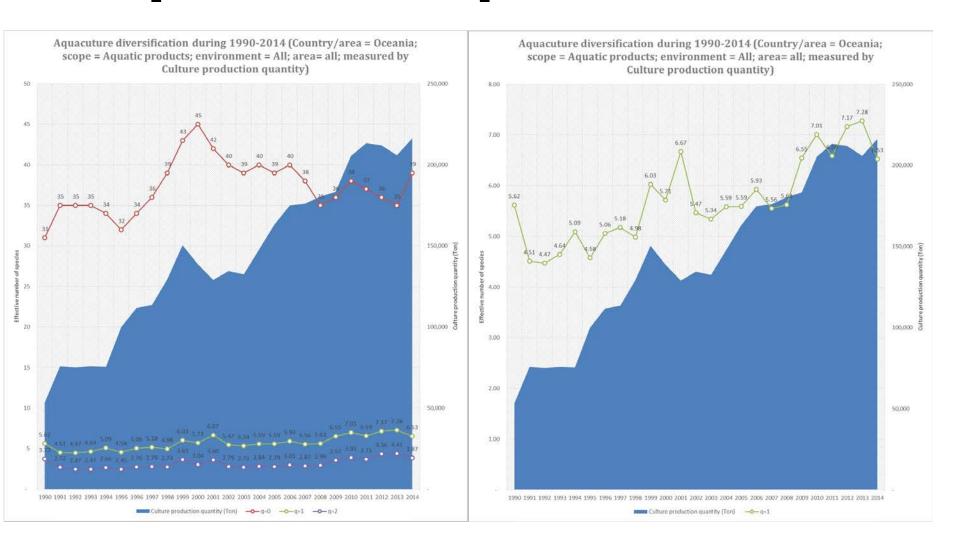




#### American countries

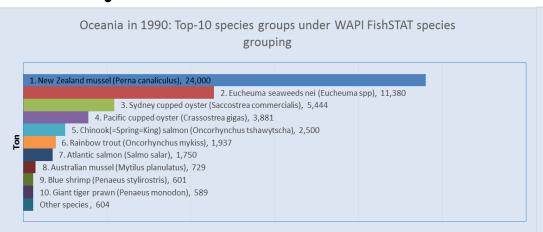


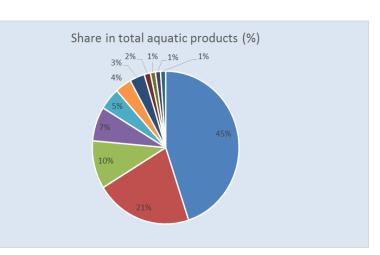
### More species diversified aquaculture in Oceania



### Top-10 species in Oceania: 1990 vs. 2014

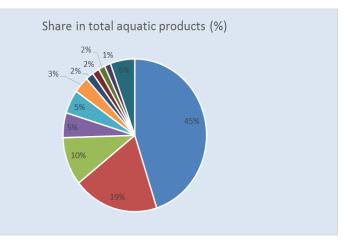
#### Diversity in 1990 = 5.6



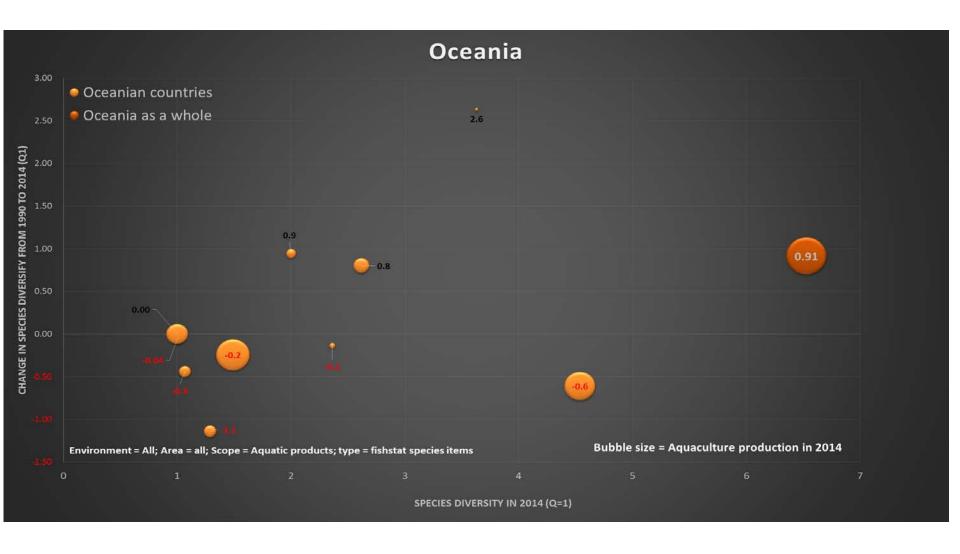


#### **Diversity in 2014 = 6.5**



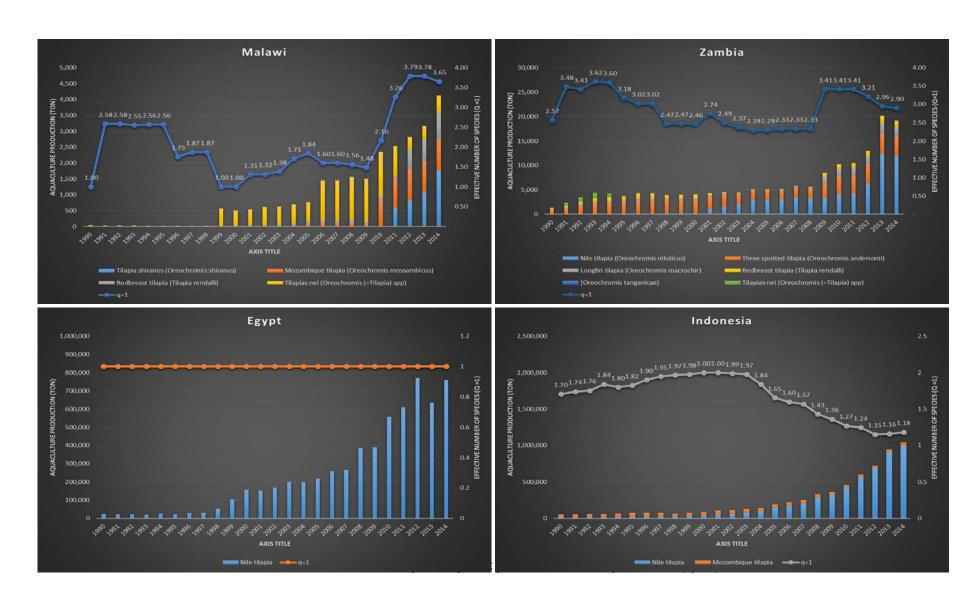


### Oceanian countries

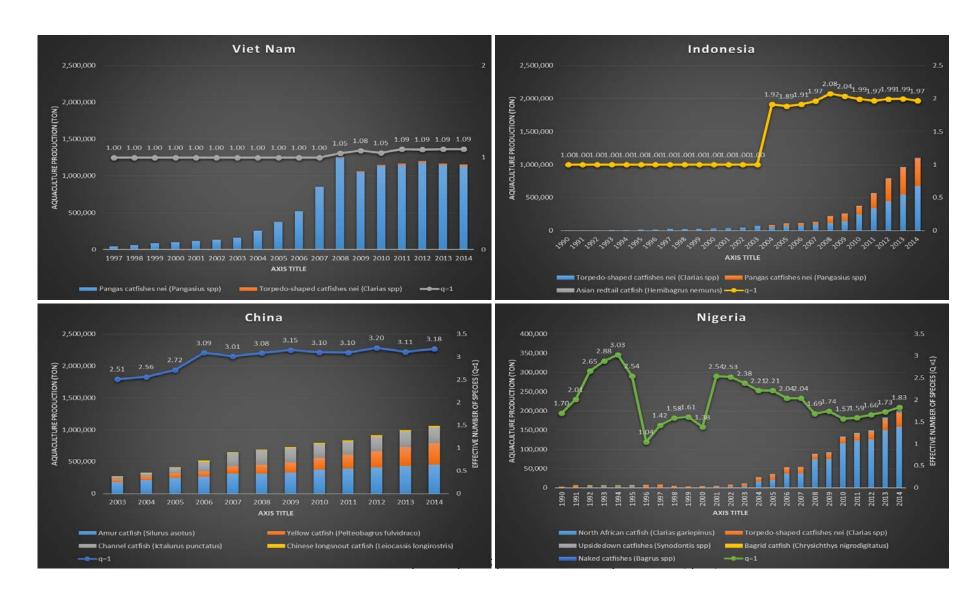


# Species diversification for different species scopes

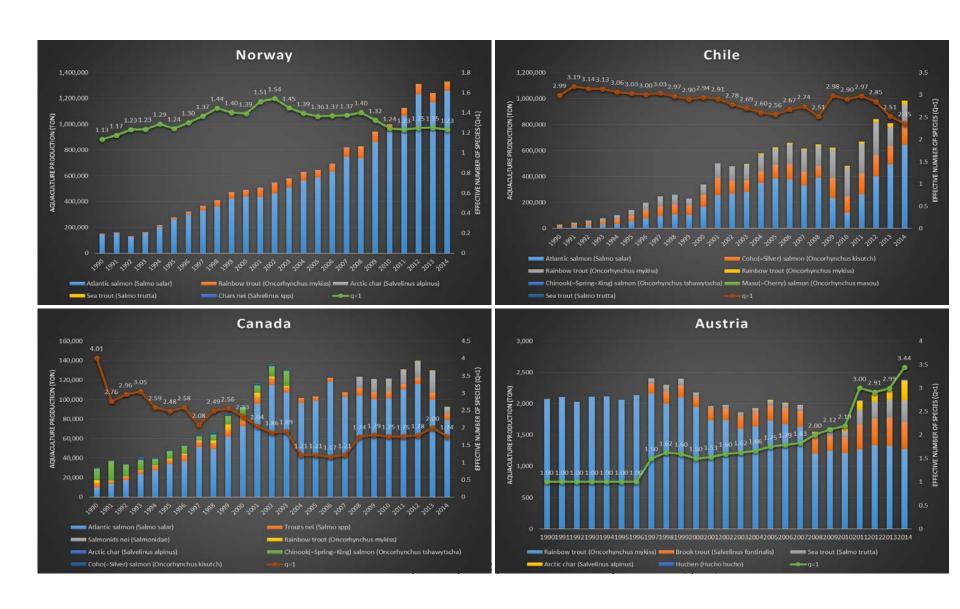
# Species diversification in tilapia farming



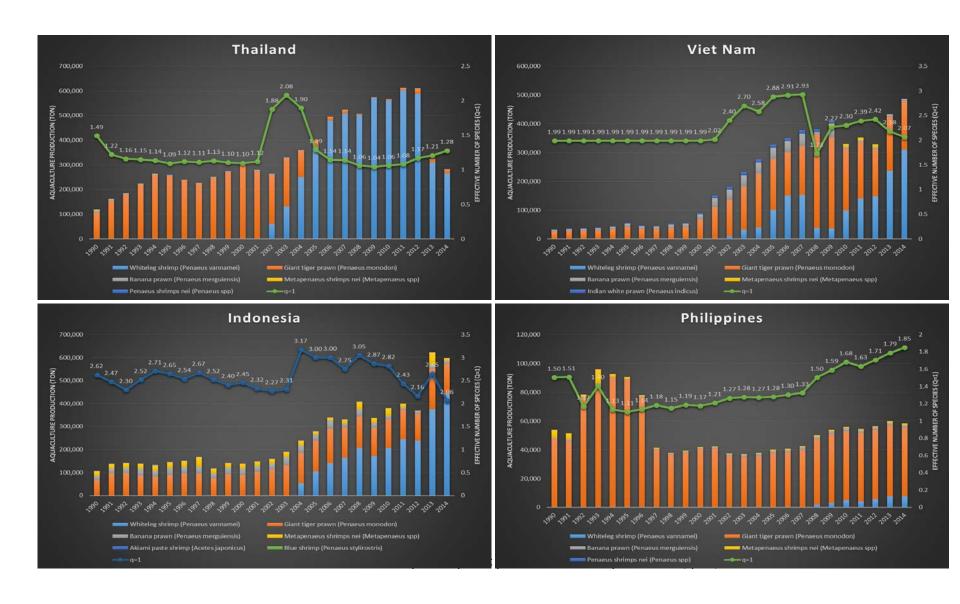
# Species diversification in catfish farming



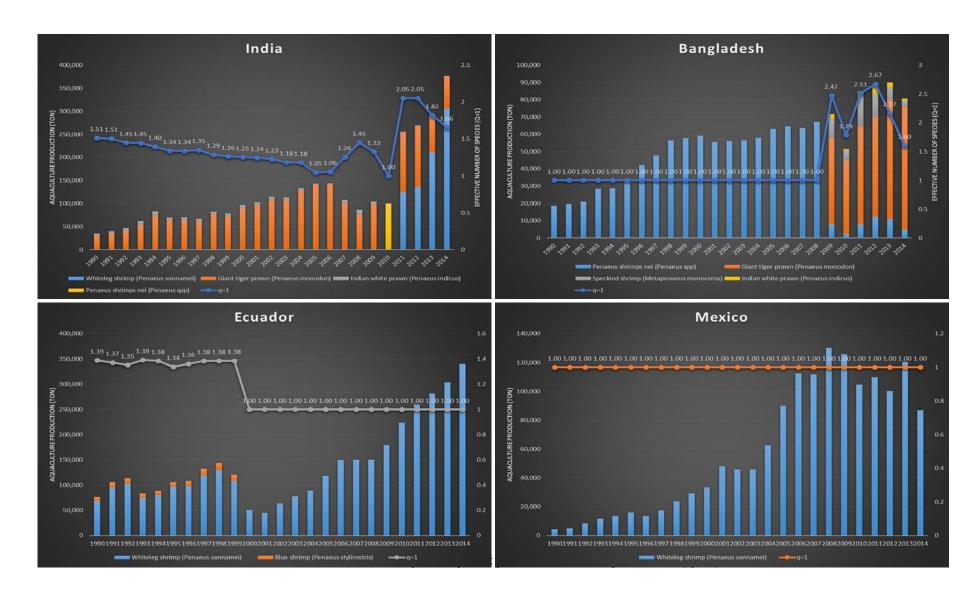
# Species diversification in salmonid farming



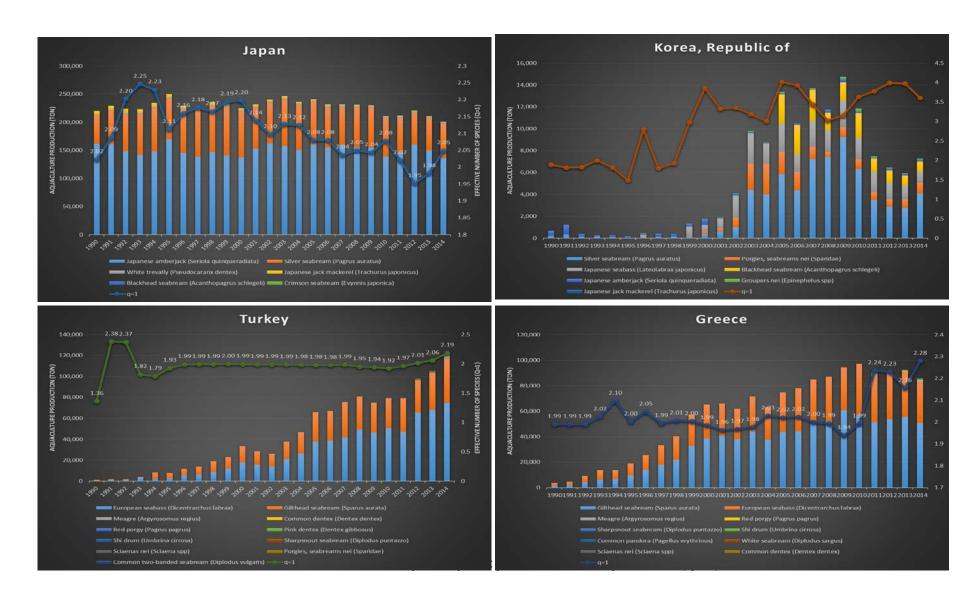
# Species diversification in marine shrimps and prawns farming (I)



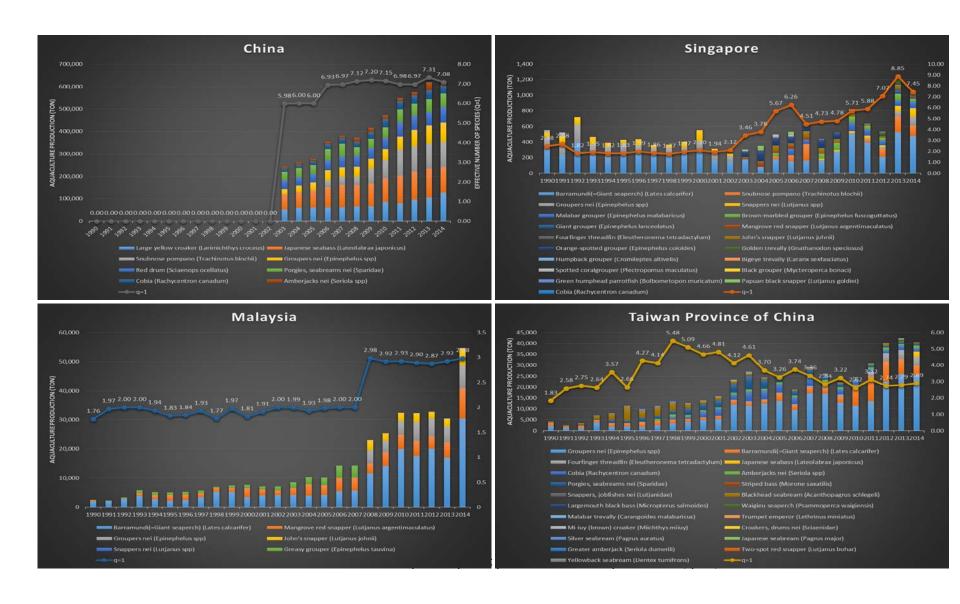
# Species diversification in marine shrimps and prawns farming (II)

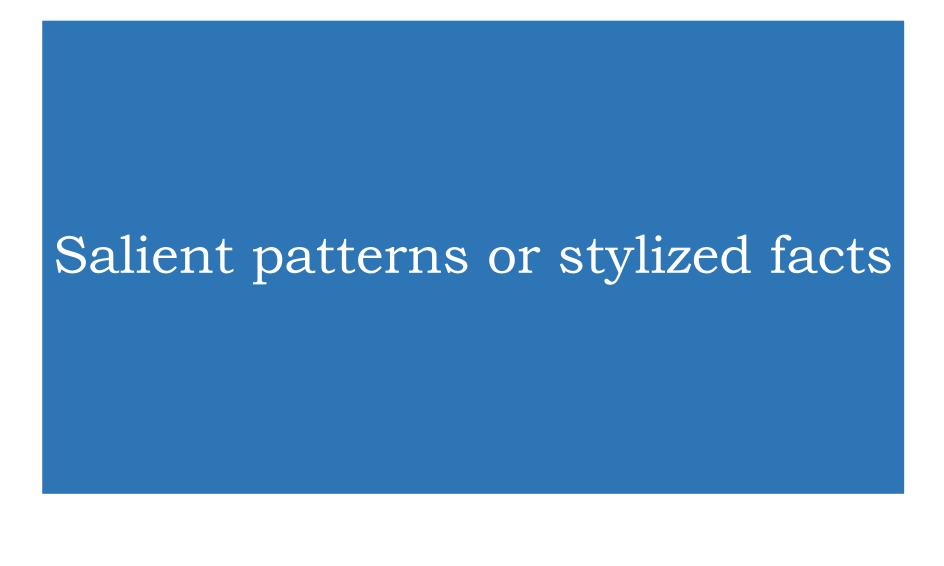


# Species diversification in marine perch\_like fishes farming (I)

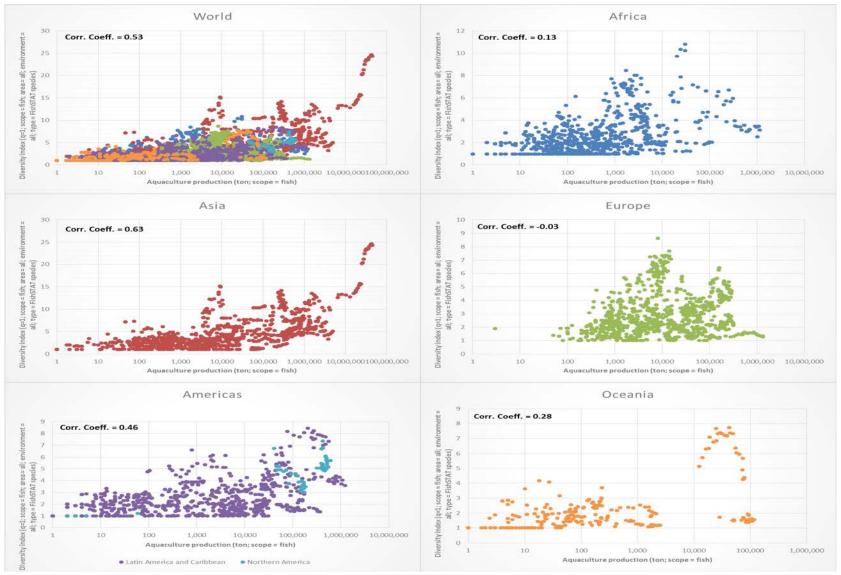


# Species diversification in marine perch-like fishes farming (II)



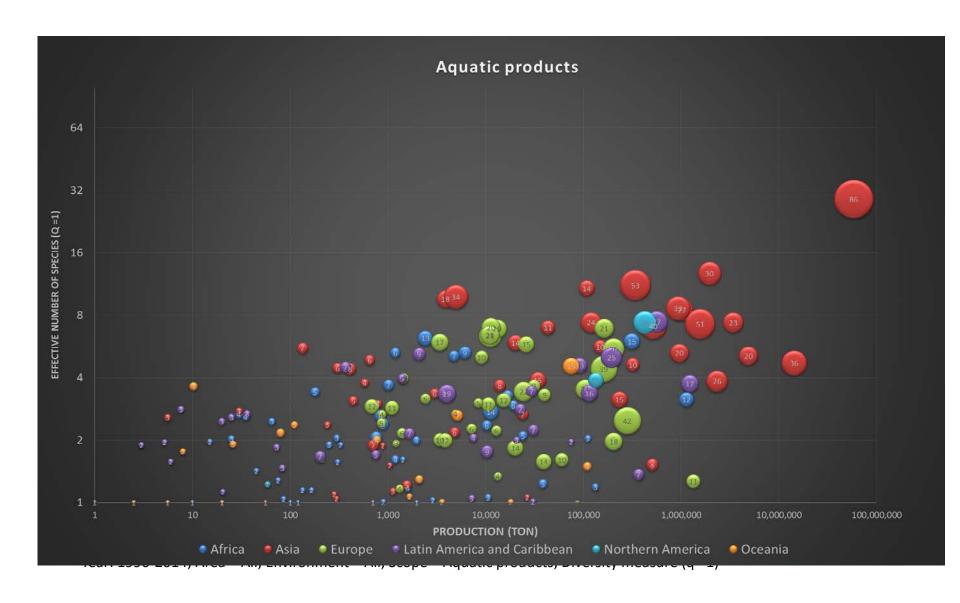


# Some evidence of positive correlation between farmed fish species diversification and production scale

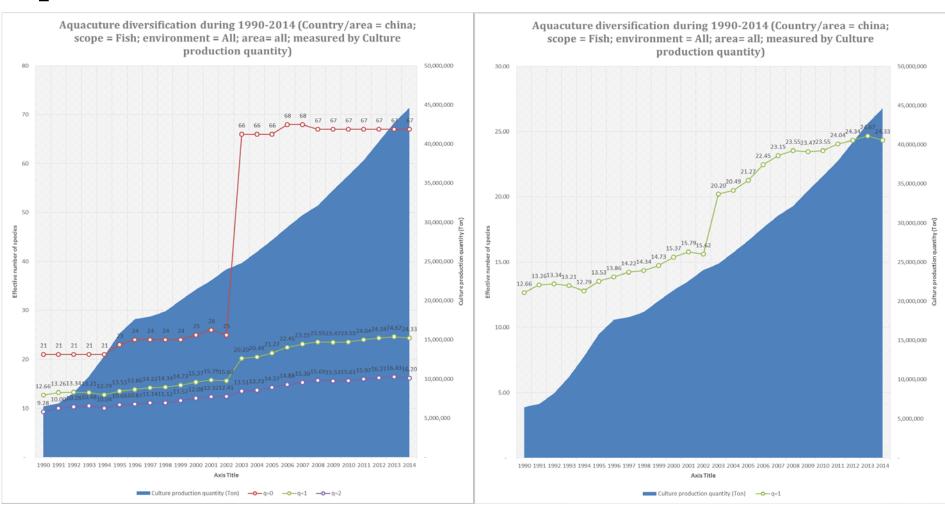


Year: 1990-2014; Area = All; Environment = All; Scope = Fish; Production measure = Quantity; Diversity measure (q =1)

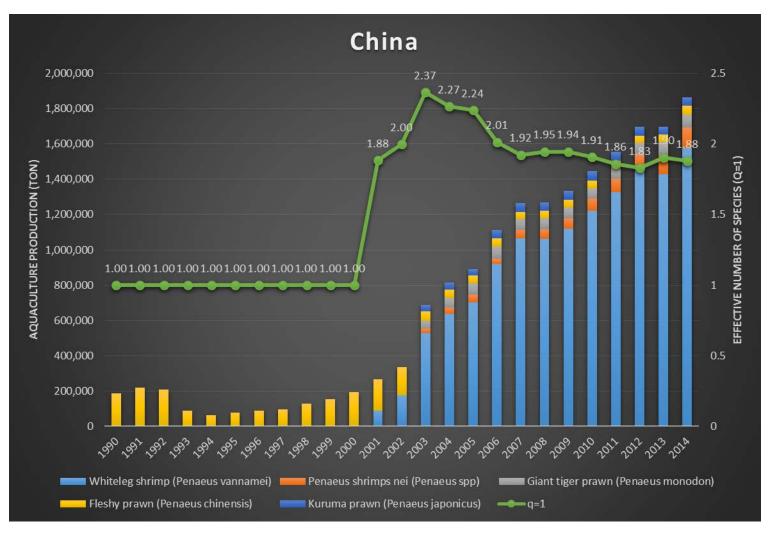
# Aquaculture products: the larger the production; the greater the diversity



# China: the largest and most diversified aquaculture producer

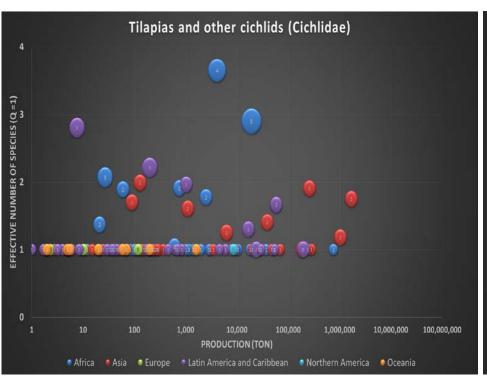


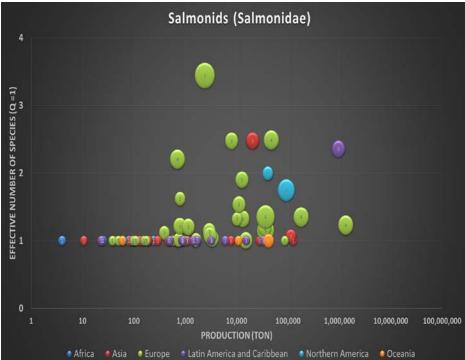
# Declined species diversification in China's marine shrimps and prawn aquaculture



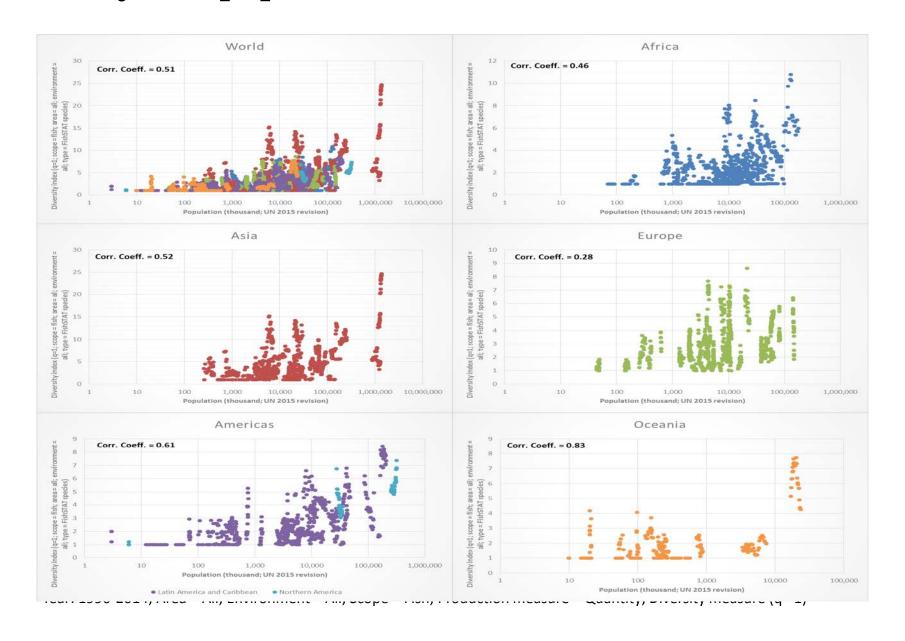
Year: 1990-2014; Area = All; Environment = All; Scope = Aquatic products; Diversity measure (q =1)

# Tilapias and Salmonids: the larger the production; the smaller the diversity

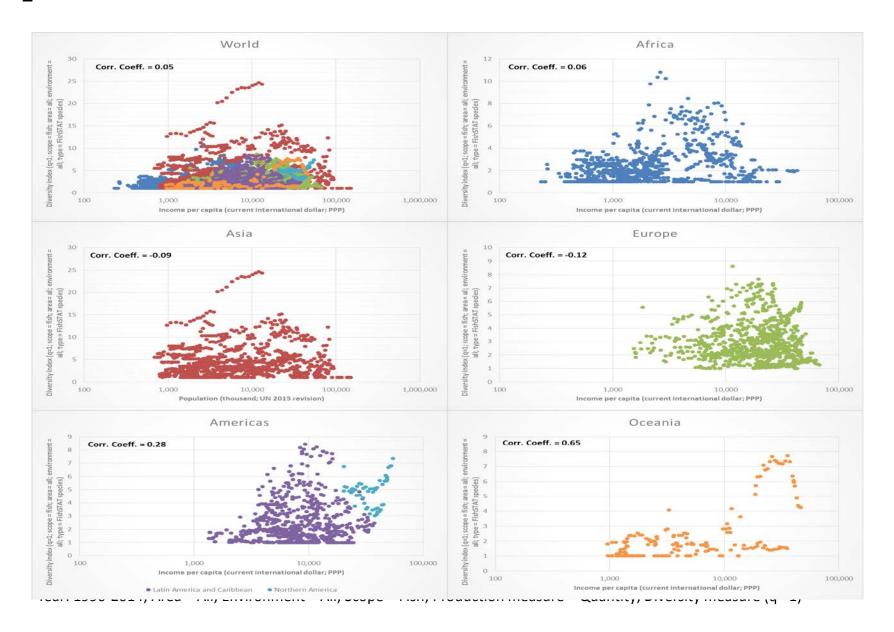




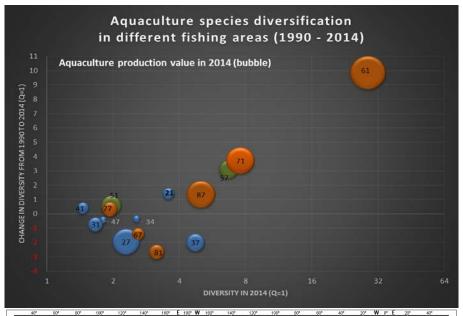
# Some evidence of positive correlation between diversity and population

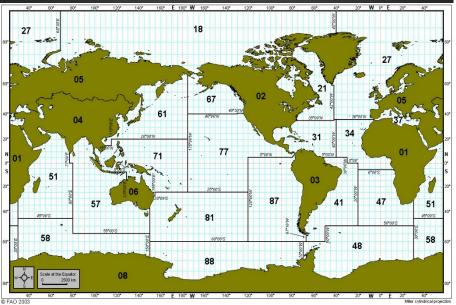


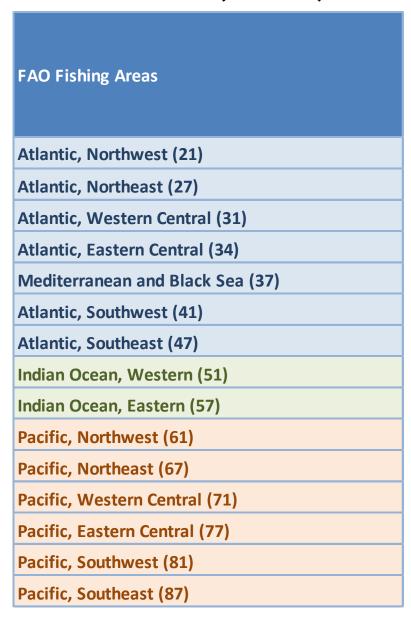
# No obvious correlation between diversity and per capita income



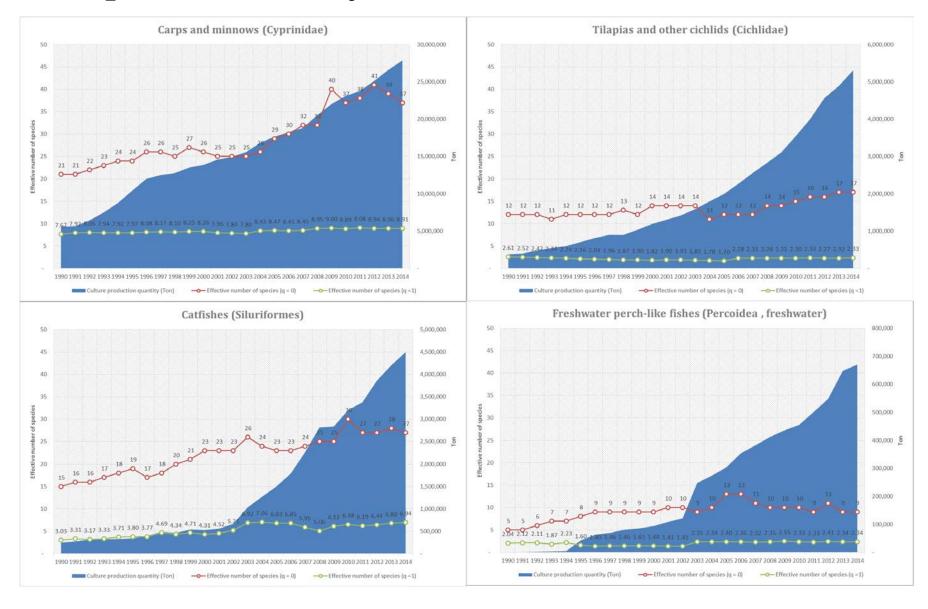
# Aquaculture in Atlantic Ocean (coast) less diversified than Pacific or Indian Ocean (coast)



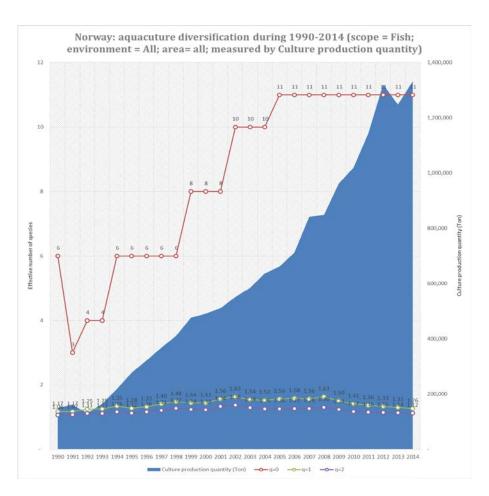


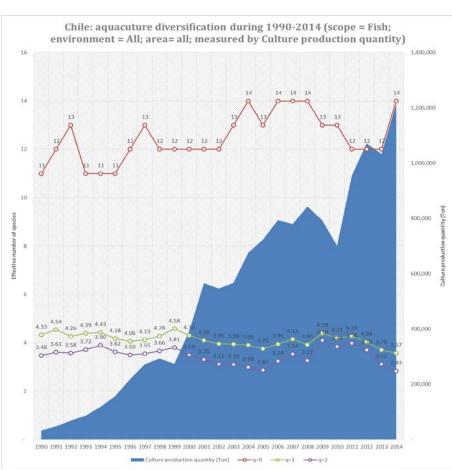


# Tilapias: export oriented commodities tend to have less species diversity

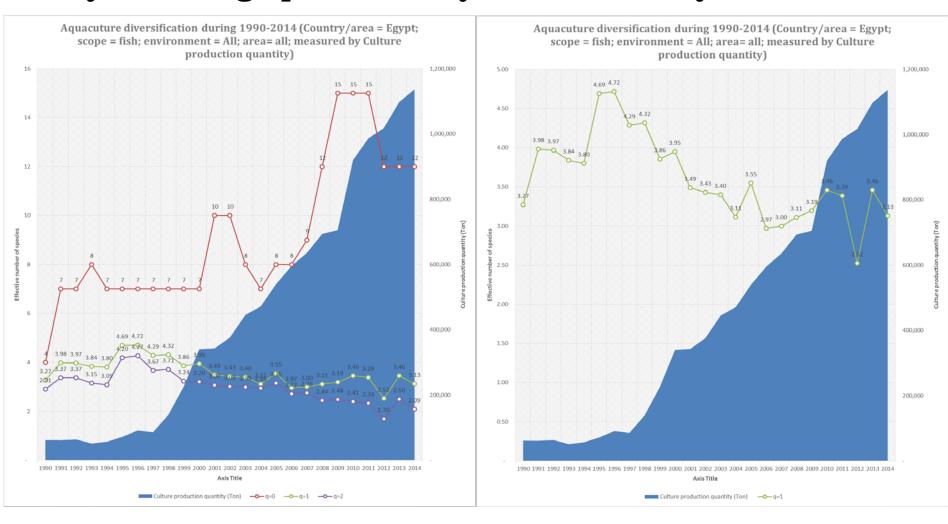


# Norway and Chile: Export-oriented countries may have large production yet low diversity

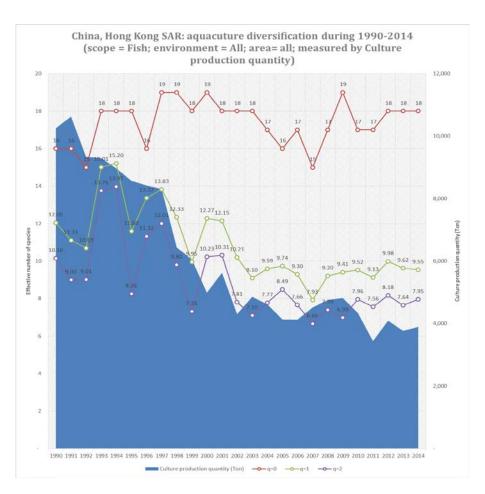


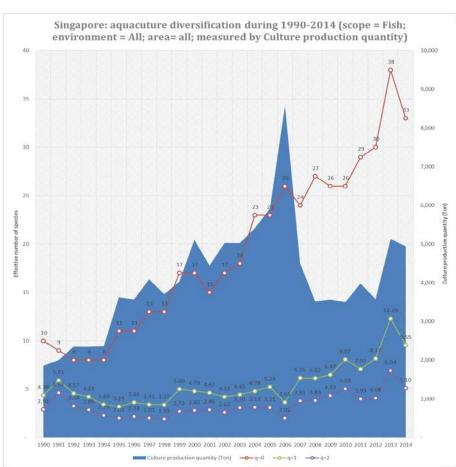


## Egypt: country with less diverse aquaculture resources may have large production yet low diversity

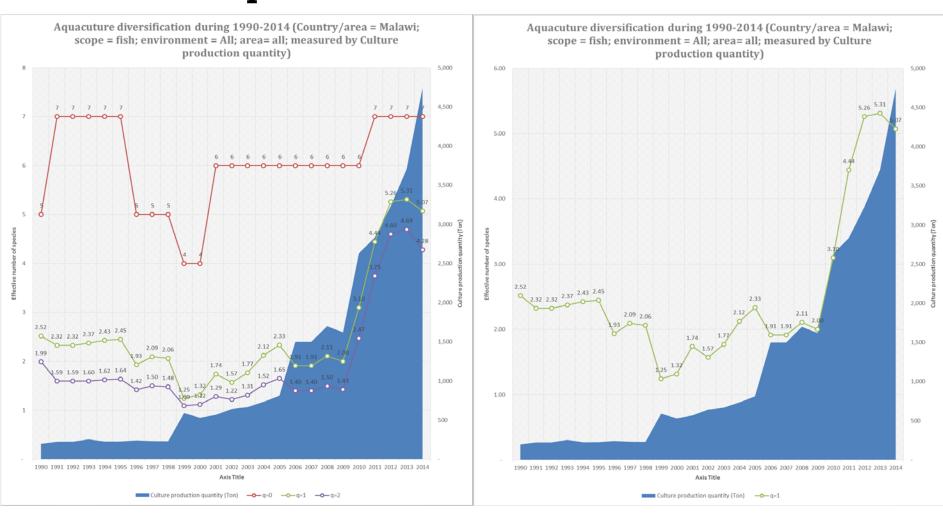


### Hong Kong and Singapore: High income cities with strong preference over seafood may have small production yet high diversity

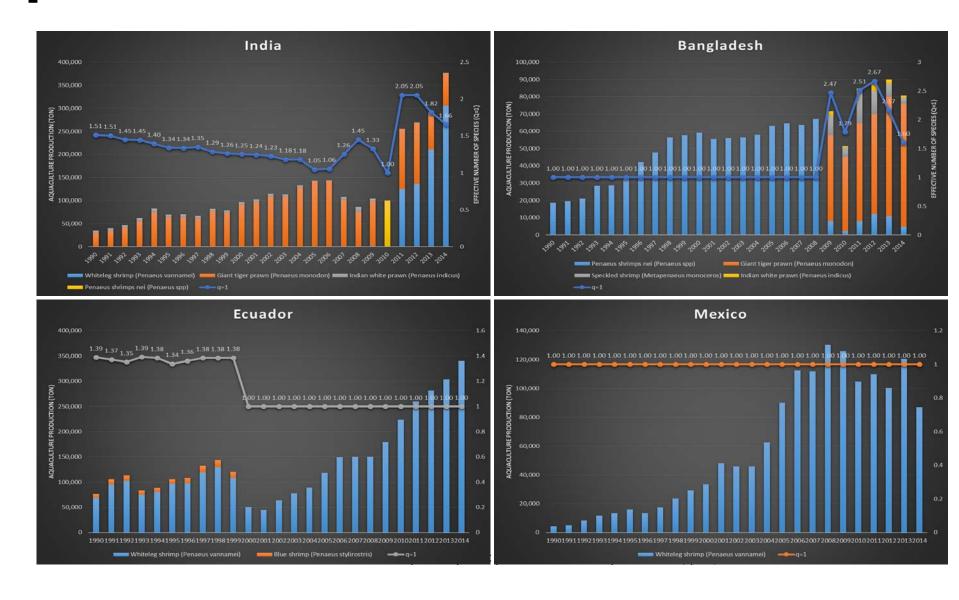




# Malawi: high diversity because of restriction over introduced species



### Marine shrimps and prawns: large-scale producers tend to be less species diversified than small-holder producers



Part III: Use of knowledge and information about species diversification patterns for evidence-based decision making

### Lessons learned

- Species diversification patterns are under the influence of many factors.
- Different countries tend to have different species diversification patterns.
- A country tends to have different species diversification patterns at different aquaculture development stages.
- A variety of dimensions need to be specified for species diversification to be properly defined and measured.

### Remaining questions

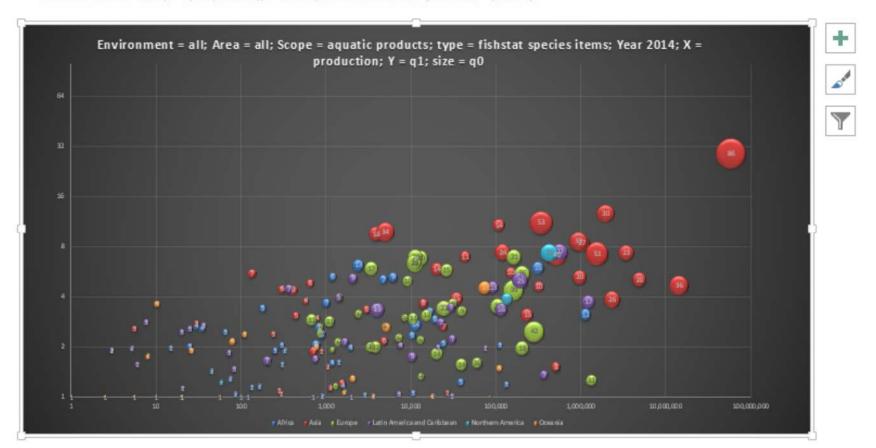
- How can a country learn from other countries' experiences in species diversification?
  - What can government learn?
  - What can the private sector learn?
- How do we know whether a certain species diversification pattern is good or bad for a country?
- What are other species diversification measures to use?
- How can species diversification measures be used to help government design proper aquaculture development strategies or make decisions on specific issues such as allocation of public funds to different research activities?
- How can species diversification measures help farmers select farming species?

## Part IV: World Aquaculture Performance Indicators (WAPI)

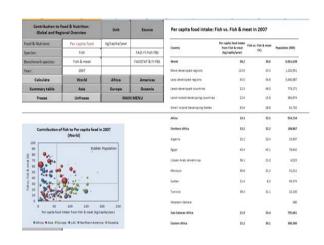
# Species diversification would become a feature template in the WAPI aquaculture production module

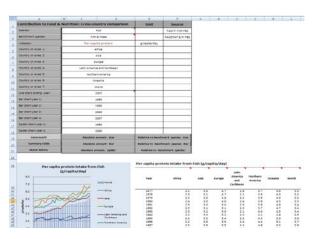
	Year	Variable	Adjustment
X	2014	production	
Y	2014	q1	
Size	2014	q0	

Environment = all; Area = all; Scope = aquatic products; type = fishstat species items; Year 2014; X = production; Y = q1; size = q0



## A prototype of World Aquaculture Performance Indicators: a user-friendly tool for evidence-based decision making





### Scope

233 countries (or territories); 42 country groups;
 major species groups (FAOSTAT groups)

#### Data sources utilized

• FISHSTAT; Food Balance Sheet; FAOSTAT; UN Comtrade; UN Population; IMF WEO; World Bank WDI; FAO Statistics Division; ILO databases; China Fishery Statistics Yearbook; Global Aquaculture Performance Index (GAPI); FAO-INFOOD, among others.

### Data sources untapped

FI data (land & water, employment, etc.);
 National fishery statistics; FATPs (feed, seed, small scale, etc.); Globefish; many others

### Components

 Selection menus; Tables & charts; Supporting materials (papers, websites, etc.)

### Welcome collaboration on WAPI

FAO Fisheries and Aquaculture Report No. 1063

FIRA/R1063 (En)

ISSN 2070-6987

Report of the

FAO EXPERT WORKSHOP ON ASSESSMENT AND MONITORING OF AQUACULTURE SECTOR PERFORMANCE

Gaeta, Italy, 5-7 November 2012

WAPI@fao.org



### Thank You