



AN ABSTRACT OF THE THESIS OF

Amrutha Das for the degree of Master of Science in Civil Engineering presented on May 23, 2014.

Title: Risk and Reliability Associated with Use and Reuse of Vertical Formwork

Abstract approved:

---

Andre R. Barbosa, John A. Gambatese

Concrete formwork is a common type of temporary structure used on construction projects. Due to difficulties in considering actual construction site implications during formwork design, assessments of formwork integrity are often made in the field by site personnel based on subjective visual inspection. The use and re-use of concrete formwork exposes the workers involved in formwork use to different types of injury. This research study aims at: (i) mapping a general site activity workflow for the use and re-use of vertical formwork; (ii) evaluating onsite safety risks associated with formwork use and re-use activities, and (iii) assessing the reliability associated with formwork use and re-use. Development of the mapped workflow and identification of safety risks associated with each activity were based on interviews of construction site foremen involved in formwork construction and jobsite observations of formwork construction activities. Based on results from the survey on 32 carpenters engaged in concrete work, worker risk associated with formwork activities was quantified. Erection, stripping, and assembly of formwork were found to be activities that contribute most to the cumulative risk. The worker perception on the safety risk was compared to the recorded Occupational Safety and Health Administration (OSHA) Fatality and Catastrophe summaries, which correspond to worker injury reports. Data collected from OSHA injury reports indicate that concrete pouring, erection, and stripping are the activities with the highest risk. This

shows a notable disconnect between survey based worker perception results and corresponding OSHA statistics. Sensitivity of unit risk indicate that high severity incidents have the highest impact on the risk, followed by Near Misses.

Comparing the capacity of formwork samples with different number of uses to estimated load demand, reliability assessments were performed. The reliability assessment results are mixed since a large bias and uncertainty in the computation of the loading and capacity were identified in the development of this study. The bias is related to overly simplified and over conservative design equations that are currently prescribed in design guides, while the large uncertainty is mainly due to inherent randomness in the material and influence of exposure to the concrete on the strength of the plyform.

© Copyright by Amrutha Das  
May 23, 2014  
All Rights Reserved

Risk and Reliability Associated with Use and Reuse of Vertical Formwork

by  
Amrutha Das

A THESIS

submitted to

Oregon State University

in partial fulfillment of  
the requirements for the  
degree of

Master of Science

Presented May 23, 2014  
Commencement June, 2014

Master of Science thesis of Amrutha Das presented on May 23, 2014.

APPROVED:

---

Co-Major Professor, representing Civil Engineering

---

Co-Major Professor, representing Civil Engineering

---

Head of School of Civil and Construction Engineering

---

Dean of Graduate School

I understand that my thesis will become part of the permanent collection of Oregon State University libraries. My signature below authorizes release of my thesis to any reader upon request.

---

Amrutha Das, Author

## ACKNOWLEDGEMENTS

I would like to express my sincere gratitude to Dr. Andre Barbosa and Dr. John Gambatese for being my mentors, and patiently guiding me through every step of this research study. Their expertise and help have been invaluable to me, and knowing them has enriched my life in every way.

I would also like to sincerely thank the various construction professionals that I have crossed paths with in the due course of this study. Their input and cooperation made this study possible at many levels.

A special note of gratitude to Milo Clauson, who is a wonderful source of knowledge on more topics than I can count. His help and guidance made testing and working in the laboratory an unforgettable experience.

Finally, I would like to thank my family and friends. They are the source of my strength and my inspiration. They keep me grounded, and have supported me through the highs, the lows, and everything in between.

# Table of Contents

|   |    |
|---|----|
| CHAPTER 1. Introduction .....                                     | 1  |
| 1.1. Background.....  | 1  |
| 1.2. Objectives .....   | 2  |
| 1.3. Thesis Overview .....  | 3  |
| CHAPTER 2. Literature Review .....                                | 5  |
| 2.1. Formwork Design and Loads considered .....                   | 6  |
| 2.2. Allowable Capacity of formwork .....                         | 9  |
| 2.3. Formwork Use and Reuse.....                                  | 16 |
| 2.4. Risk Assessment .....  | 18 |
| 2.5. Reliability Assessment of Temporary Structures .....         | 20 |
| CHAPTER 3. Methodology.....                                       | 22 |
| 3.1. Introduction .....   | 22 |
| 3.2. Formwork Monitoring .....                                    | 24 |
| 3.2.1. Formwork Questionnaire .....                               | 24 |
| 3.2.2. On-Site Monitoring.....                                    | 26 |
| 3.3. Mapped Workflow.....   | 27 |
| 3.4. Causes of Accidents Related to Formwork .....                | 27 |
| 3.4.1. OSHA Case Studies .....                                    | 27 |
| 3.5. Safety Risk Survey .....                                     | 29 |
| 3.5.1. Judgement Based Biases .....                               | 31 |
| 3.6. Formwork Sampling and Laboratory Testing Methodologies ..... | 32 |

|  |    |
|--|----|
| 3.6.1. Sample Collection.....                        | 32 |
| 3.6.2. Tests Performed .....                         | 33 |
| 3.6.3. Test Specimen Preparation and Dimensions..... | 34 |
| 3.6.4. Test Setup.....                               | 35 |
| 3.7. Reliability Assessment .....                    | 37 |
| CHAPTER 4. Formwork Monitoring and Testing .....     | 39 |
| 4.1. Formwork Questionnaire Summary .....            | 39 |
| 4.2. Formwork Monitoring .....                       | 42 |
| 4.3. Mapped Workflow for Formwork Use.....           | 50 |
| 4.3.1. General Mapped Workflow .....                 | 50 |
| 4.3.2. Project Specific Mapped Work Flows .....      | 53 |
| 4.4. OSHA Case Study Results .....                   | 55 |
| 4.5. Laboratory Testing Results.....                 | 60 |
| 4.5.1. Third Point Bending (Bending) .....           | 60 |
| 4.5.2. Five Point Bending (Shear).....               | 63 |
| 4.5.3. Discussion of Testing Results.....            | 67 |
| CHAPTER 5. Risk and Reliability Assessment .....     | 69 |
| 5.1. Safety Risk Survey .....                        | 69 |
| 5.2. Risk Assessment .....                           | 73 |
| 5.2.1. Comparison With OSHA Case Study Results.....  | 82 |
| 5.3. Reliability Assessment .....                    | 83 |
| 5.3.1. Assumptions .....                             | 83 |

|  |    |
|--|----|
| 5.3.2. Results .....                     | 84 |
| CHAPTER 6. Conclusion.....               | 88 |
| 6.1. Challenges found in this Study..... | 89 |
| 6.2. Scope for Further Study.....        | 90 |
| BIBLIOGRAPHY .....                       | 91 |
| APPENDIX .....                           | 94 |

## LIST OF FIGURES

| <u>Figure</u>  | <u>Page</u> |
|--|-------------|
| Figure 2.1: Formwork Components (Hurd, 2005) .....   | 5           |
| Figure 2.2: Relation of Strength to Duration of Load, $C_D$ (Forest Products Laboratory, 2010) ... | 11          |
| Figure 3.1: Research Scheme.....   | 23          |
| Figure 3.2: Setup for Third Point Bending tests .....  | 36          |
| Figure 3.3: Setup for Five Point Bending tests.....  | 37          |
| Figure 4.1: Number of Respondents/project.....   | 40          |
| Figure 4.2: Wall form Erection, Project 1.....   | 43          |
| Figure 4.3: Erected Column Form, Project 1 .....   | 44          |
| Figure 4.4: Base Wall Formwork Ready to be Stripped, Project 1.....                                | 44          |
| Figure 4.5: Wall Formwork Systems Partially Erected, Project 2.....                                | 45          |
| Figure 4.6: Preparation of Formwork Components, Project 2.....                                     | 46          |
| Figure 4.7: Footing Foundation, Project 2 .....  | 47          |
| Figure 4.8: Footing Formwork, Project 3 .....  | 48          |
| Figure 4.9: Wall Formwork, Project 3 .....   | 49          |
| Figure 4.10: Mapped Workflow for One General Cycle of Formwork Use.....                            | 51          |
| Figure 4.11: Mapped Workflows in Project 1 .....   | 53          |
| Figure 4.12: Mapped Workflows in Project 2 .....   | 54          |
| Figure 4.13: Mapped Workflows in Project 3 .....   | 55          |
| Figure 4.14: Number of Incidents categorized by Activities .....                                   | 57          |
| Figure 4.15: Fatalities Relative to High Severity Incidents.....                                   | 58          |

## LIST OF FIGURES (contd.)

|   |    |
|---|----|
| Figure 4.16: Percent of Fatalities in each Activity Category .....                      | 59 |
| Figure 4.17: Box Plot, Maximum Load from Bending tests, Project 1 .....                 | 60 |
| Figure 4.18: Box Plot, Calculated Bending Moment from Bending tests, Project 1 .....    | 61 |
| Figure 4.19: Box Plot, Maximum Load from Bending tests, Project 3 .....                 | 62 |
| Figure 4.20: Box Plot, Calculated Bending Moment from Bending tests, Project 3 .....    | 63 |
| Figure 4.21: Box Plot, Maximum Load from Rolling Shear tests, Project 1 .....           | 64 |
| Figure 4.22: Box Plot, Induced Shear Stress from Rolling Shear tests, Project 1 .....   | 65 |
| Figure 4.23: Box Plot, Maximum Load from Rolling Shear tests, Project 3 .....           | 66 |
| Figure 4.24: Box Plot, Induced Shear Stress from Rolling Shear tests, Project 3 .....   | 67 |
| Figure 5.1: Experience of Respondents, in years.....                                    | 70 |
| Figure 5.2: Probability Density of the Total Unit Risk.....                             | 77 |
| Figure 5.3: Probability Density of the Total Cumulative Risk .....                      | 78 |
| Figure 5.4: Sensitivity of the Total Unit Risk.....                                     | 79 |
| Figure 5.5: Sensitivity of the Total Cumulative Risk .....                              | 80 |
| Figure 5.6: Probability Density, Cumulative Risk, Stripping Forms.....                  | 81 |
| Figure 5.7: Probability Density for Cumulative Risk, Erecting Forms .....               | 82 |
| Figure 5.8: Probability Density of Cumulative Risk, Moving Forms/ Form components ..... | 82 |

## LIST OF TABLES

| <u>Table</u>   | <u>Page</u> |
|--|-------------|
| Table 2.1; Chemistry Coefficients, $C_C$ (Hurd, 2005) .....                          | 7           |
| Table 2.2: Unit Weight Coefficient $C_W$ (Hurd, 2005) .....                          | 7           |
| Table 2.3: Load Duration Factors $C_D$ (AWC, 2005) .....                             | 10          |
| Table 2.4: Size Factors for 2” to 4” thick dimensional lumber (AWC, 2005) .....      | 12          |
| Table 2.5: Flat Use Factors, $C_{fu}$ (AWC, 2005) .....                              | 13          |
| Table 2.6: Wet Service Factors, $C_M$ (AWC, 2005) .....                              | 13          |
| Table 2.7: Temperature Factor, $C_t$ (AWC, 2005) .....                               | 14          |
| Table 2.8: Incising Factors, $C_i$ .....   | 14          |
| Table 2.9: ASD Factors used in formwork design (AWC, 2005) .....                     | 16          |
| Table 3.1: Frequency Ratings .....   | 29          |
| Table 3.2: Nominal Specimen dimensions for Third Point Bending Tests .....           | 34          |
| Table 3.3: Nominal Specimen dimensions for 5 point bending tests .....               | 35          |
| Table 4.1: OSHA Incident statistics according to Severity and Activity .....         | 56          |
| Table 4.2: Fatalities associated with each activity, relative to High Severity ..... | 58          |
| Table 4.3: Test Statistics for Third point bending tests, Project 1 .....            | 61          |
| Table 4.4: Test Statistics for Third Point bending tests, Project 3 .....            | 62          |
| Table 4.5: Test Statistics for Five point bending tests, Project 1 .....             | 64          |
| Table 4.6: Test Statistics for Five point bending tests, Project 3 .....             | 66          |
| Table 5.1: Sample of Safety Survey Response (Partial) .....                          | 70          |
| Table 5.2: Average Values of Responses obtained .....                                | 71          |

## LIST OF TABLES (contd.)

|  |    |
|--|----|
| Table 5.3: Factors that affect risk of injury.....   | 72 |
| Table 5.4: Unit Risk and Cumulative Risk per Activity.....   | 76 |
| Table 5.5 $\beta$ and Pf for all tested samples, with and without extreme outliers in the test data..... | 85 |
| Table 5.6: $\beta$ and Pf for Project 3 samples, with assumed standard deviation for demand .....        | 86 |
| Table 5.7: $\beta$ and Pf for Project 1 samples, with assumed standard deviation for demand .....        | 86 |

## **CHAPTER 1. INTRODUCTION**

### **1.1. BACKGROUND**

Formwork has been used widely in construction practice since the discovery and establishment of Portland cement concrete as a favored building material. Concrete can be molded into desired shapes and dimensions using formwork, which is essentially a mold for the concrete. Formwork is a temporary structure that can be incorporated into the permanent structure or removed after the concrete has reached design strength. Formwork costs can constitute from 35 up to 60 percent of the concrete cost in projects involving large quantities of concrete work (Hurd, 2005; Lab, 2007).

There are many types of formwork available in the market for use depending on the application and location of use. The two most common types of formwork found in the Pacific Northwest are traditional, site-built timber formwork and engineered formwork systems. The former is the most labor and time intensive of the two, especially for projects with a large amount of concrete work. However, traditional timber formwork is also the most flexible out of all the different types of formwork and hence can be used to form sections with intricate architectural detail. Traditional timber formwork typically consists of plywood or timber sheathing, with timber members placed as studs and wales on the back of the formwork. Falsework such as braces or shoring may be used depending on the concrete member being formed. Engineered formwork is used very commonly due to its relative ease and speed of assembly. Engineered formwork systems consist of formwork panels with plywood or metal sheathing on an aluminum or steel frame, and can be connected with pins, clamps or screws. These prefabricated systems also have the additional advantage of lower overall costs and larger number of uses compared to traditional timber formwork.

Formwork is generally designed according to guidelines set by various associations or publications such as the American Plywood Association (APA), National Design Specifications for Wood Construction, and ASCE *Design Loads on Structures During Construction* manual (ASCE 37-02). Perusal of the more commonly available guidelines indicate that re-use of formwork is generally not formally factored into the design of formwork. Formwork is subjected

to a wide variety of loads and exposures when in use, and it stands to reason that there would be a reduction in the strength or structural capacity of the formwork as it undergoes multiple uses. Reliability and risk related to formwork activities are also topics that are underexplored. Use of formwork often involves working at heights, and on temporary platforms, which are factors that affect the efficiency and safety of construction workers. In addition to this, activities such as stripping of formwork from concrete and assembling forms at site involve a certain amount of risk to the workers. The location of the activity as well as the activity itself affects the productivity of the worker as well as the safety of the worker, and these effects have not been considered so far, even by regulating agencies such as OSHA. Even though most of the issues such as fall protection, scaffolding, use of power tools etc. have been addressed in the OSHA 29 CFR 1926 by themselves, they have not been investigated from the perspective of formwork use. OSHA Fatality and Catastrophe Investigation Summaries give an idea of the various types of accidents associated with concrete formwork, as well as the causes of accidents associated with formwork use. There are no mandatory rules regarding the use and re-use of formwork, but just guidelines for use.

This thesis document was supported by CPWR through NIOSH cooperative agreement OH009762. Its contents are solely the responsibility of the author and do not necessarily represent the official views of CPWR or NIOSH.

## **1.2. OBJECTIVES**

The main objectives of this study are to expand the construction industry's understanding of formwork activities by mapping the typical use cycle of formwork on-site, identifying the primary factors contributing to risks and evaluating the risks posed to the workers caused by the execution of various activities that comprise the formwork cycle, and evaluating the reliability associated with formwork use and reuse. To reach these main objectives, multiple secondary objectives were established: to determine the main factors that impact formwork lifecycle on a project, establish a sequence of activities that represent an overall formwork cycle that can be easily modified to fit any project, identify the major causes of accidents related to formwork, evaluate the change in strength characteristics of formwork between uses and quantify risk associated with each activity in the established formwork cycle, which can be used to determine the overall risk associated with one formwork cycle.

The medium to long-term output from this research study is expected to be a formwork use model, which accounts for deterioration of the formwork as the number of uses increases. The formwork use model would also include risk values for each use cycle, with the risk values increasing as the deterioration increases with the number of uses.

### **1.3. THESIS OVERVIEW**

Multiple tasks were carried out in order to meet the objectives of this research. First, interviews were conducted in order to gain insight into the factors that affect the lifecycle of formwork during real-time construction activities, as well as to develop an understanding of the formwork use cycle. Next, projects with some amount of concrete work were chosen so that formwork use could be monitored through the project and samples obtained for estimating possible degradation. A safety survey has also been developed and was carried out in an attempt to quantify the risks associated with formwork activities.

This thesis consists of six chapters:

#### **Chapter 1: Introduction**

Chapter 1 covers the following three topics: background of the research study, objectives, and an overview of the thesis.

#### **Chapter 2: Literature Review**

Chapter 2 consists of short overviews of a selection of past work and research deemed relevant to this thesis, and consists of three subsections: formwork design (allowable loads and capacities), formwork use and re-use, risk assessment, and reliability assessment.

#### **Chapter 3: Methodology**

Chapter 3 explains the methods used for obtaining information, monitoring the formwork cycle, testing samples, identifying safety concerns associated with formwork, as well as methods used in reliability assessment of the formwork.

#### **Chapter 4: Formwork Monitoring and Testing**

Chapter 4 contains detailed explanations of the results of the formwork questionnaire, onsite formwork monitoring, OSHA case study results, and the laboratory testing undertaken.

**Chapter 5: Risk and Reliability Assessment**

Chapter 5 presents the results obtained from the safety risk survey, the subsequent risk model, and the reliability assessment performed.

**Chapter 6: Conclusion**

Chapter 6 summarizes the main conclusions of this research, and includes a discussion of the limitations as well as recommendations for further study.

## CHAPTER 2. LITERATURE REVIEW

The following literature review is divided into sections describing the background with which this research study was initiated, and contains an overview of the formwork design process, loads considered in the process, allowable capacities of formwork, formwork use and re-use, and risk assessment and reliability assessment.

In this section, numerous references to the term formwork components are used to specify individual members that are combined to constitute traditional formwork, such as plywood, and dimensional lumber that form the sheathing, studs, walers, braces, etc. Figure 2.1 shows a wall form panel assembled and erected, ready for the placement of concrete. Sheathing is the surface of the formwork directly in contact with the concrete. Figure 2.1 shows plywood sheathing as well as board sheathing. Form liners can also be placed on the sheathing so as to obtain specific architectural finishes. Studs, joists and walers act as supporting members to the sheathing and resist the lateral load. Different types of hardware - taper ties, nails, screws, bolts, clamps etc. – are used as connectors in the system. Ties and spreaders are used to maintain a constant gap between the panels for uniform thickness of the structure. Sills or plates help to fix the formwork panel on surfaces, and prevent leakage of concrete from the form. Braces are used to provide additional support and resist wind or seismic loads.

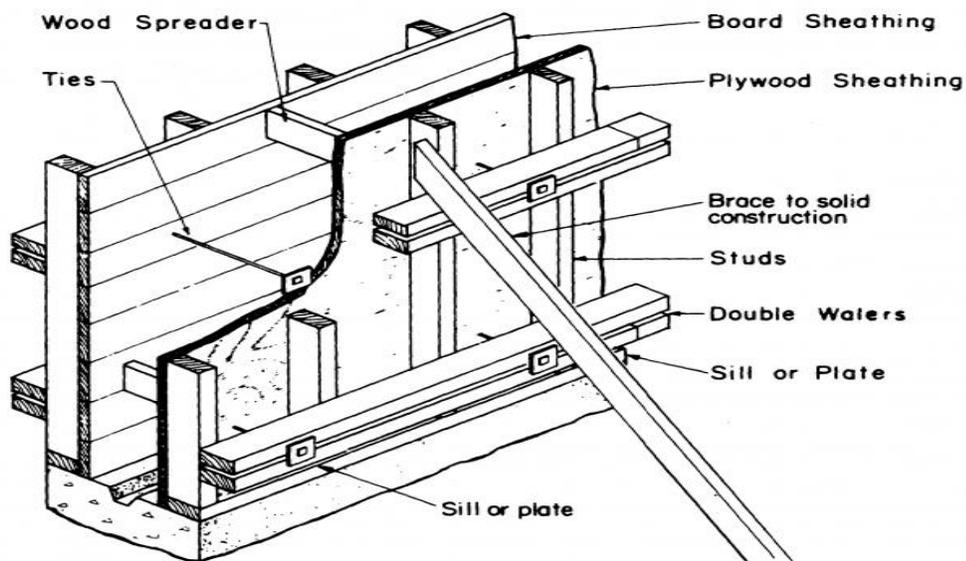


Figure 2.1: Formwork components (Hurd, 2005)

## 2.1. FORMWORK DESIGN AND LOADS CONSIDERED

The typical design procedure for formwork is adapted from *Formwork for Concrete* (Hurd, 2005). For vertical formwork, specifically walls and columns, the lateral pressure on the formwork is calculated using the various formulae based on specific conditions such as concrete properties, rate of pour, temperature of mix, and height of the design member in consideration. Wind loads and any additional loads other than the lateral load applied by the placement of concrete are assumed to be resisted by the bracing or shores. Information regarding loads other than lateral pressure exerted by concrete, and various load combinations can be found in the *National Design Specification for Wood Construction* (AWC, 2005) and *Design Loads on Structures During Construction* (ASCE, 2002). The calculation of lateral pressure is discussed in detail in this subsection.

For column formwork (Hurd, 2005), pressure  $P$  on the forms due to the placement of fresh concrete is calculated by the formula

$$P = \gamma H \quad (2.1)$$

where:

- $P$  = Pressure in lb/ft<sup>2</sup>
- $\gamma$  = Unit weight of the concrete mix in lb/ft<sup>3</sup>
- $H$  = Height of concrete placement in ft

For concrete mixes with a slump of 7 inches or less, and for a depth of internal vibration of 4 feet or less, the following formula can be used to calculate the pressure  $P$ :

$$P_{col} = C_C C_W \left[ 150 + 9000 \frac{R}{T} \right] \quad (2.2)$$

where:

- $C_C$  = Chemistry coefficient, values can be found in Table 2.1
- $C_W$  = Unit weight coefficient, values can be found in Table 2.2
- $R$  = Rate of placement of concrete measured in feet/hour
- $T$  = Temperature in degrees Fahrenheit

The pressure  $P$  that the form is subjected to should be taken between a minimum pressure of  $600C_W$  and a maximum pressure of  $\gamma H$  under these conditions. For the purpose of determining pressures, columns are said to be structural members with plan dimensions less than or equal to 6.5 ft.

Table 2.1; Chemistry Coefficients,  $C_C$  (Hurd, 2005)

| <b>Cement type or blend</b>  | <b>Chemistry coefficient <math>C_C</math></b> |
|--|---|
| Types I, II, and III cements without retarders                                       | 1.0   |
| Types I, II, and III cements with a retarder   | 1.2   |
| Other types or blends containing less than 70% slag or 40% fly ash without retarders | 1.2   |
| Other types or blends containing less than 70% slag or 40% fly ash with a retarder   | 1.4   |
| Blends containing more than 70% slag or 40% fly ash                                  | 1.4   |

Table 2.2: Unit Weight Coefficient  $C_W$  (Hurd, 2005)

| <b>Unit Weight of Concrete</b>   | <b><math>C_W</math></b>                  |
|----------------------------------|--|
| Less than 140 lb/ft <sup>3</sup> | $C_W = 0.5[1 + (w/145 \text{ lb/ft}^3)]$ |
| 140 to 150 lb/ft <sup>3</sup>    | 1.0                                      |
| More than 150 lb/ft <sup>3</sup> | $C_W = w/145 \text{ lb/ft}^3$            |

For the design of wall formwork (Hurd, *Formwork for Concrete* (SP4), Seventh Edition, 2005), two equations are considered when concrete mixes with a slump of 7 inches or less are used, and when the depth of internal vibration is 4 ft or less.

First, when rate of placement  $R$  is less than 7 ft/hr, and height of placement  $H$  is 14 ft or less,

$$P_{wall} = C_c C_w \left[ 150 + 9000 \frac{R}{T} \right] \quad (2.3)$$

When rate of placement  $R$  ranges between 7 ft/hr and 15 ft/hr, or if rate of placement  $R$  is less than 7 ft/hr and height of placement  $H$  is greater than 14 ft, the maximum lateral pressure is calculated by:

$$P_{wall} = C_c C_w \left[ 150 + \frac{43400}{T} + 2800 \frac{R}{T} \right] \quad (2.4)$$

The value of this pressure is still subjected to a maximum of  $\gamma H$  and a minimum of  $600C_w$ . For any conditions that exceed those specified above, the design pressure is calculated by using the equation (2.1):  $P = \gamma H$ .

It is to be noted that equations (2.2) and (2.3) are the same, even though the former is used for columns, while the latter is conditionally used along with equation (2.4) for the calculation of lateral pressure on wall formwork.

While *Formwork for Concrete* (Hurd, 2005) is the document most widely in practice to determine the loads on formwork as well as for formwork design, a recent study (Barnes & Johnston, 2003) measuring the lateral pressure exerted by fresh concrete has indicated that equation (2.3) for walls is to be eliminated as it underestimates the pressure, even if it meets the equation constraints, i.e. the rate of placement is less than 7 ft/hr (Barnes & Johnston, 2003). Additionally, this study recommends that equation (2.4) be used without the rate of placement limitation instead of equation (2.3), so as to provide a more conservative estimate of the lateral pressure. Research studies comparing the calculated pressure as per Equations 2.1, 2.2, 2.3 and 2.4 to the actual lateral concrete pressure exerted on formwork indicate that the calculated pressure value is not close to the measured pressure value (Gardner, 2014)

For slab formwork or horizontal formwork, the design load is determined as the sum of the actual dead load on the formwork, which includes the self-weight and weight of the concrete and reinforcing steel which is to be placed on it, plus additional loads such as construction live load and equipment/personnel load as specified by ASCE 37-02: Design Loads for Structures under Construction (2002). Since horizontal formwork has a different loading pattern compared to vertical formwork, its design procedure and loading conditions fall outside the scope of this study.

After obtaining the lateral pressure in  $\text{lb/ft}^2$  using the appropriate formula, the load per unit foot  $w$  (plf) is calculated considering a strip of formwork 1 foot wide. For any given timber wall or column formwork system, the allowable bending stress, shear and deflection are checked so as to ensure that the load demand on the formwork, as calculated using the pressure formulae, is below the allowable capacity of the given system. If it is found that the load demand is greater than the allowable capacity of the given arrangement, the arrangement is revised, either by increasing the size or thickness of the various components that constitute the arrangement, or by decreasing the spacing of the joists and stringers.

## 2.2. ALLOWABLE CAPACITY OF FORMWORK

The allowable capacity of formwork, i.e., the allowable maximum bending stress, shear stress, and deflection, is calculated using tabulated design values from the *Formwork for Concrete* (Hurd, 2005), and by using various adjustment factors depending on the design philosophy adopted. In timber design, either Allowable Stress Design (ASD) or Load and Resistance Factor Design (LRFD) can be used. For the purpose of this study, design has been performed using ASD adjustment factors. A brief explanation of the different adjustment factors applicable to formwork design using ASD can be found in the following paragraphs, as mentioned in the National Design Specification for Wood Construction (National Design Specification for Wood Construction, 2005). For the purpose of this section, the following notations denote the respective reference design properties/values:

- $F_b / F'_b =$  Allowable bending stress / Factored allowable bending stress
- $F_c / F'_c =$  Allowable compression stress parallel to the grain / Factored allowable compression stress parallel to the grain

- $F_{c\perp} / F'_{c\perp} =$  Compression stress perpendicular to the grain / Factored compression stress perpendicular to the grain
- $E / E' =$  Modulus of elasticity / Factored modulus of Elasticity
- $F_v / F'_v =$  Allowable shear stress / Factored allowable shear stress
- $F_t / F'_t =$  Allowable tensile stress / Factored allowable tensile stress

***Load Duration Factor ( $C_D$ ):***

The load duration factor accounts for the relationship between the strength of the formwork component, and the time the component spends under loading. It is applicable to all reference design values except modulus of elasticity (E) and compression perpendicular to grain. The value of  $C_D$  increases as the duration of loading decreases. For construction loading, a factor of 1.25 corresponding to a duration of 7 days is typically used. Other values of  $C_D$  for different durations of loading are presented in Table 2.3. When loads of different duration are applicable, the  $C_D$  for the shortest duration of load is used for calculation purposes.

$C_D$  is calculated from the Madison curve, developed by the Forest Products Laboratory. The curve can be seen in Figure 2.2.

Table 2.3: Load Duration Factors  $C_D$  (AWC, 2005)

| <b>Load Duration</b> | <b><math>C_D</math></b> |
|----------------------|-------------------------|
| Permanent            | 0.90                    |
| Ten Years            | 1.00                    |
| Two months           | 1.15                    |
| Seven Days           | 1.25                    |
| Ten Minutes          | 1.60                    |
| Impact               | 2.00                    |

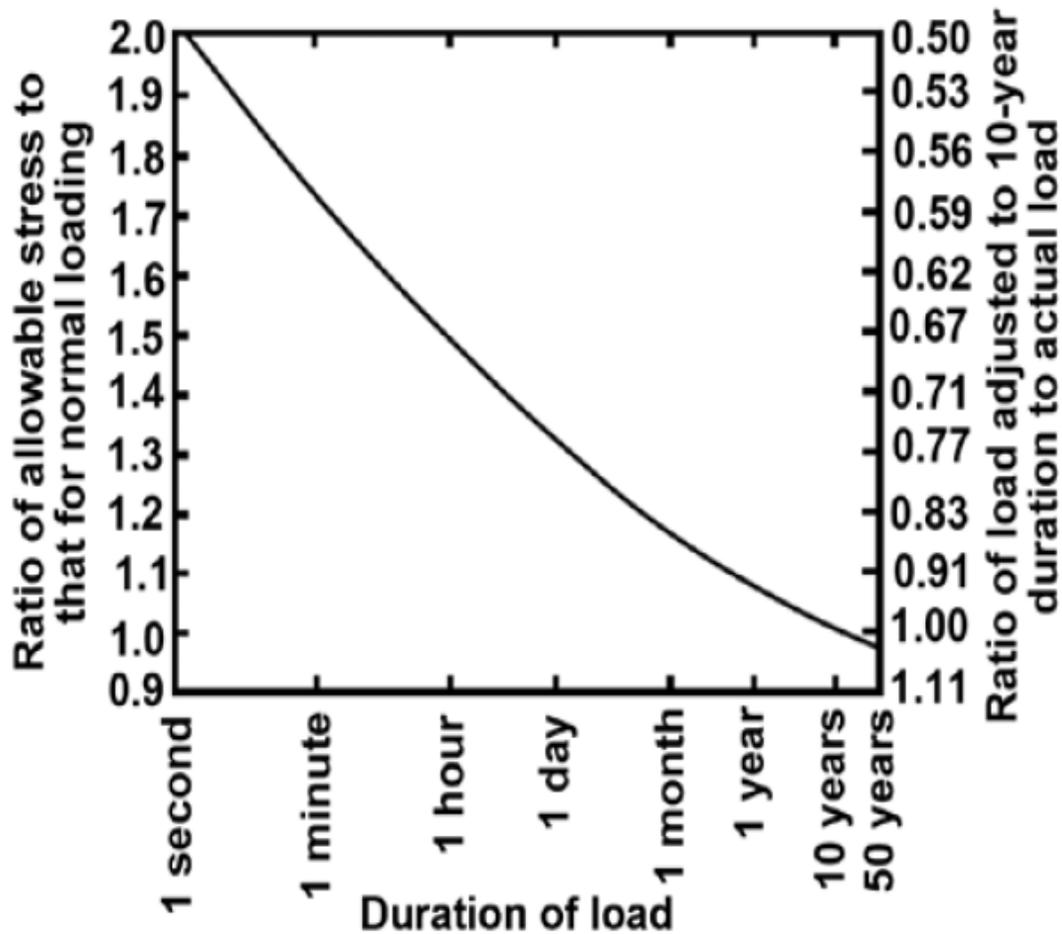


Figure 2.2: Relation of Strength to Duration of Load,  $C_D$  (Forest Products Laboratory, 2010)

***Size Factor ( $C_F$ ):***

The size factor is used to adjust the tabulated allowable bending, tension, and compression parallel to the grain values for dimensional lumber, and is calculated using the provisions given in ASTM D1990. For members 2" to 4" thick,  $C_F$  is selected as per Table 2.4. The size factors are different for dimensional lumber 5"x5" and larger, but these sizes are not typically used as formwork components.

Table 2.4: Size Factors for 2" to 4" thick dimensional lumber (AWC, 2005)

|   |                          | <b>F<sub>b</sub></b>  |           | <b>F<sub>t</sub></b> | <b>F<sub>c</sub></b> |
|---|--------------------------|---|-----------|----------------------|----------------------|
| <b>Grades</b>   | <b>Width<br/>(depth)</b> | <b>Thickness (breadth)</b>  |           |                      |                      |
|   |                          | <b>2" &amp; 3"</b>  | <b>4"</b> |                      |                      |
| <b>Select<br/>Structural<br/>No.1 &amp; Btr,<br/>No.1, No.2,<br/>No.3</b> | <b>2",3", &amp; 4"</b>   | 1.5   | 1.5       | 1.5                  | 1.15                 |
|   | <b>5"</b>                | 1.4   | 1.4       | 1.4                  | 1.1                  |
|   | <b>6"</b>                | 1.3   | 1.3       | 1.3                  | 1.1                  |
|   | <b>8"</b>                | 1.2   | 1.3       | 1.2                  | 1.05                 |
|   | <b>10"</b>               | 1.1   | 1.2       | 1.1                  | 1.0                  |
|   | <b>12"</b>               | 1.0   | 1.1       | 1.0                  | 1.0                  |
|   | <b>14" &amp;</b>         | 0.9   | 1.0       | 0.9                  | 0.9                  |
| <b>Stud</b>   | <b>2",3", &amp; 4"</b>   | 1.1   | 1.1       | 1.1                  | 1.05                 |
|   | <b>5" &amp; 6"</b>       | 1.0   | 1.0       | 1.0                  | 1.0                  |
|   | <b>8" &amp; wider</b>    | Use No.3 Grade tabulated Reference design values and size factors |           |                      |                      |
| <b>Construction,<br/>Standard</b>   | <b>2",3", &amp; 4"</b>   | 1.0   | 1.0       | 1.0                  | 1.0                  |
| <b>Utility</b>  | <b>4"</b>                | 1.0   | 1.0       | 1.0                  | 1.0                  |
|   | <b>2" &amp; 3"</b>       | 0.4   | ---       | 0.4                  | 0.6                  |

**Flat Use Factor ( $C_{fu}$ ):**

Flat use factors are used to adjust the allowable bending stress values if the member is used edgewise, i.e., load is applied to the narrow face of the member, by multiplying the tabulated design value by the flat use factor shown in Table 2.5. This adjustment factor is calculated using the 1/9 power size equation in ASTM D245, and should be used cumulatively

with the size factor  $C_F$ . It is to be noted that the flat use factor for dimensional lumber 5"x5" and larger are different, but these sizes are not typically used as formwork components.

Table 2.5: Flat Use Factors,  $C_{fu}$  (AWC, 2005)

| Width (depth) | Thickness (breadth) |      |
|---------------|---------------------|------|
|               | 2" & 3"             | 4"   |
| 2" & 3"       | 1.0                 | ---  |
| 4"            | 1.1                 | 1.0  |
| 5"            | 1.1                 | 1.05 |
| 6"            | 1.15                | 1.05 |
| 8"            | 1.15                | 1.05 |
| 10" & wider   | 1.2                 | 1.1  |

**Wet Service Factor ( $C_M$ ):**

The wet service factor accounts for the variability in the strength of wood caused by fluctuations in its moisture content, and is calculated from ASTM D1990 (7) and ASTM D245. When the formwork components are used where the moisture content is known to exceed 19% for an extended period of time, the appropriate wet service factor should be used as specified in Table 2.6. It is to be noted that the wet service factors for dimensional lumber 5"x5" and larger are different from those listed in Table 2.6, but these are not typically used as formwork components.

Table 2.6: Wet Service Factors,  $C_M$  (AWC, 2005)

| $F_b$  | $F_t$ | $F_v$ | $F_{c\perp}$ | $F_c$ | $E$ and $E_{min}$ |
|--|-------|-------|--------------|-------|-------------------|
| 0.85*  | 1.0   | 0.97  | 0.67         | 0.8** | 0.9               |
| *when $(F_b)(C_F)$ is less than or equal to 1150 psi, $C_M = 1.0$  |       |       |              |       |                   |
| ** when $(F_c)(C_F)$ is less than or equal to 750 psi, $C_M = 1.0$ |       |       |              |       |                   |

**Temperature Factor ( $C_t$ ):**

The temperature factor is to be used for formwork components used in both dry and wet conditions, only if the temperature at which the wood is being used is 100° F or above, for extended periods of time. Wood tends to lose strength at high temperatures and has a tendency to gain some strength as it cools down. The temperature factor values can be seen in Table 2.7.

Table 2.7: Temperature Factor,  $C_t$  (AWC, 2005)

| Reference Design Values              | In-service moisture conditions | $C_t$                      |  |  |
|--------------------------------------|--------------------------------|----------------------------|--|--|
|                                      |                                | $T \leq 100^\circ\text{F}$ | $100^\circ\text{F} < T \leq 125^\circ\text{F}$ | $125^\circ\text{F} < T \leq 150^\circ\text{F}$ |
| $F_t, E, E_{\min}$                   | Wet or Dry                     | 1.0                        | 0.9  | 0.9  |
| $F_b, F_v, F_c,$<br>and $F_{c\perp}$ | Dry                            | 1.0                        | 0.8  | 0.7  |
|                                      | Wet                            | 1.0                        | 0.7  | 0.5  |

**Incising Factor ( $C_i$ ):**

The reference design values are multiplied by the incising factor when the dimensional lumber is incised parallel to grain a maximum depth of 0.4 inches, maximum length of 0.375 inches, and density of incisions up to 1100/ft<sup>2</sup>. For members with incisions that conform to the mentioned specifications, the necessary reference design values should be multiplied by the appropriate incising factor  $C_i$ , as provided in Table 2.8.

Table 2.8: Incising Factors,  $C_i$ 

| Reference Design Values | $C_i$ |
|-------------------------|-------|
| $E, E_{\min}$           | 0.95  |
| $F_b, F_t, F_c, F_v$    | 0.80  |
| $F_{c\perp}$            | 1.00  |

Incising factors are determined by testing or by calculation using reduced section properties for incisions with properties that exceed the aforementioned limits.

***Beam Stability Factor ( $C_L$ ):***

The beam stability factor  $C_L$  accounts for the effect of lateral-torsional buckling in  $F_b$ , and is always equal to 1.0 if the depth of the bending member does not exceed its breadth, or if the depth of the member exceeds its breadth and the member is restrained against any kind of lateral displacement. For members that do not meet the aforementioned criteria, the value of  $C_L$  will be less than one, and can be calculated using the guidelines in the *National Design Specification for Wood Construction* (AWC, 2005).

***Repetitive Member Factor ( $C_r$ ):***

Reference design values for allowable bending stress, for dimensional lumber 2" to 4" thick should be multiplied by a repetitive member factor  $C_r = 1.15$ , when they are used in contact with other load distributing elements which are able to support the lateral load, or if used at a spacing of not more than 24" on center. This 15% increase in  $F_b$  is based on the provisions set forth by ASTM D245 and ASTM D6555, and accounts for the increase in the capacity and stiffness when multiple framing members are fastened together by other transverse members.

***Concrete Setting Factor ( $C_s$ ):***

The concrete setting factor is not specified or defined in either of the major references for formwork design - *Formwork for Concrete* (Hurd, 2005), or the *National Design Specification for Wood Construction* (2005). It is referred to in the *Concrete Forming- Design/Construction Guide* (APA, 2012). This factor is applicable to the tabulated bending and shear stress values of plywood, and is formulated so as to account for the ability of concrete to carry its own weight as it cures over time. A concrete setting factor of 1.625 is obtained as the product of the load duration factor ( $C_D$ ) equal to 1.25 and an experience adjustment factor equal to 1.30. The experience factor is not mentioned either in the SP-4: Formwork for Concrete (Hurd, 2005) or the National Design Specification for Wood Construction (2005). No additional information was found by the research team regarding this adjustment factor.

After looking up the appropriate factors, the reference design values are obtained from tables either in the Formwork for Concrete (Hurd, 2005) or in the National Design Specification for Wood Construction (AWC, 2005), and the relevant factors are applied to the appropriate value, as shown in Table 2.9.

Table 2.9: ASD Factors used in formwork design (AWC, 2005)

|            | Load<br>Duration<br>Factor | Wet<br>Service<br>Factor | Tempe-<br>rature<br>Factor | Beam<br>Stability<br>Factor | Size<br>Factor | Flat<br>Use<br>Factor | Repetitive<br>Member<br>Factor | Incising<br>Factor |
|------------|----------------------------|--------------------------|----------------------------|-----------------------------|----------------|-----------------------|--------------------------------|--------------------|
| $F'_b=F_b$ | $C_D$                      | $C_M$                    | $C_t$                      | $C_L$                       | $C_F$          | $C_{fu}$              | $C_r$                          | $C_i$              |
| $F'_v=F_v$ | $C_D$                      | $C_M$                    | $C_t$                      | --                          | --             | --                    | --                             | $C_i$              |
| $E'=E$     | --                         | $C_M$                    | $C_t$                      | --                          | --             | --                    | --                             | $C_i$              |

Table 2.9 only provides details about the factors relevant to design of formwork. For more comprehensive tabulated data, and further details regarding wood design, it is recommended that the National Design Specification for Wood Construction (AWC, 2005) be referred.

### 2.3. FORMWORK USE AND REUSE

Concrete formwork is re-used in projects to facilitate and economize the concrete construction process, as re-use can possibly reduce the costs associated with formwork, as well as provide for a more sustainable solution. It is worth noting that there is limited availability of literature that provides guidance on how to quantitatively assess factors that have direct impact on the re-use of formwork, as well as the fact that none of the adjustment factors discussed in Section 2.2 are related to formwork re-use. Most literature, related to formwork use, either describe engineering judgment as the main decision criterion used for determining whether a piece of formwork can be used again or not (Hurd, 2005), or provides general guidelines such as *Formwork Design* (Ringwald, 1985) for use of formwork. Formwork use guidelines consist of general industry practices, and is considered common knowledge, as imparted by *Formwork for*

*Concrete* (Hurd, 2005) and works based on the aforementioned guide by Ringwald (Formwork Design, 1985).

A relatively newer study conducted in Singapore describes various factors that contribute to the re-use of traditional timber formwork (Ling & Leo, 2000), and identifies five main factors that affect the re-use of traditional timber formwork. These five main factors are:

- i. Materials used to fabricate the formwork;
- ii. Workmen who work with the formwork;
- iii. Design of the completed structure;
- iv. Design, fabrication, and stripping of the formwork; and
- v. Site management issues.

After examining the effects of fifteen sub-factors that fall under the main factors, Ling and Leo (2000) conclude that only three sub-factors have any impact on the reusability of formwork. These are: (i) the working attitudes of workers, (ii) the efficiency of the crew, and (iii) the formwork stripping or formwork striking process. Of these, all three sub-factors belong to the second main factor listed above - Workmen who work with formwork; hence, it can be concluded that the most important factor that affects formwork re-use is the workmen who handle formwork on-site.

To identify and assess factors that impact the reuse of formwork, it is also necessary to define the activities that constitute one use cycle of formwork. The typical use of traditional timber formwork on a project has been assumed to be common knowledge, and the use of formwork is implied to consist of assembling and erecting forms, setting rebar, pouring and curing concrete, and stripping the forms from the cured member (Hurd, 2005, pp. 10-1 to 10-25). The activities that a construction worker has to execute in due course of using concrete have been defined as (Hallowell & Gambatese, 2009):

- i. Transport materials and equipment without motorized assistance;
- ii. Transport materials using construction vehicle or other motorized assistance;
- iii. Lift or lower materials, form components or equipment;
- iv. Hold materials or components in place (static lift);
- v. Accept/load/connect materials or forms from crane;

- vi. Cut materials using skill or table saw;
- vii. Nail/screw/drill form components or other materials;
- viii. Hammer using sledgehammer or other equipment;
- ix. Plumb and/or level forms using body weight, pry bar or other equipment;
- x. Ascend or descend ladder;
- xi. Work below grade or in confined space;
- xii. Work above grade (>5 feet) or near uncontrolled opening;
- xiii. Inspect forms and construction planning; and
- xiv. Excavation.

It is to be noted that this list identifies all activities that may or may not be performed during formwork use and re-use, and are not in any particular chronological sequence.

## **2.4. RISK ASSESSMENT**

An extensive literature review and search of online resources show that risk assessment pertaining to the risks associated with formwork use has been performed previously. There exists previously conducted research that assesses the risk associated with the fourteen activities involved in the use of formwork using the Delphi method (Hallowell & Gambatese, 2009). In the study, a panel of experts in the construction industry was asked to quantify the associated risks using probability, severity, and the exposure associated with each activity. Risk associated with each activity is calculated as follows (Hallowell & Gambatese, 2009; Jannadi & Almishari, 2003):

$$\text{Risk} = \text{Probability} \times \text{Severity} \times \text{Exposure}$$

where:

- Probability - Likelihood of occurrence of an incident;
- Severity - Potential outcome of the event; and
- Exposure - Time spent by the worker in contact with a potential hazard.

After the completion of the initial phase and definition of a sequential formwork cycle, it can be seen that the viewpoints of the project administrators are different from that of the field workers in several instances. Hence, an effort was made by the research team to carry out a

similar risk assessment, but the target population was shifted to the construction personnel in the field, who work hands-on with formwork. Further exploration of this method can be found in Chapter 3.

There is additional literature available on different methods of risk assessment in construction as well as other fields of study. Two methods for addressing parameter uncertainty in risk assessment have been discussed by Guyonnet et al. (1999). A study from China (Longquan, Youliang, Liang, & Wu, 2011) on method selection for building safety risk assessment gives information about the application of different methods of risk assessment to building construction, of which two methods are Risk Matrix Analysis and Risk Factors Checklist. The authors state that risk assessment for buildings comprise of two major steps: identification of risks, and assessment of the possible impacts of the identified risks, i.e., risk levels. To do so, the safety status of buildings and risk factors are respectively categorized into four levels depending on the level of impact on building safety: (i) Grade A – Safe, (ii) Grade B – Generally safe, (iii) Grade C – Local unsafe, and (i) Grade D – Seriously unsafe. The main disadvantage of the risk methods employed that was identified was that the risk assessment remained qualitative due to lack of empirical data.

Risk models are often used to carry out quantitative cost and schedule risk analysis of construction projects (Grey, 1995). These models provide estimates that account for the uncertainty produced due to factors such as inflation, project environment, hazards, and labor issues. The estimates obtained provide a clearer picture of the actual schedule and cost, rather than an expected value. The estimate of risk calculated using equation (2.5) gives an expected value of risk. This expected or average value may not be a true assessment of risk. Hence, in the present study, a risk model is built using the @Risk software to analyze the calculated risk, and obtain a probability density of the risk values. @Risk software is a tool developed by Palisade Corporation as an add-in to Microsoft Excel. This software was chosen due to its easy availability, ability to merge seamlessly with Excel functions for basic statistical calculations, and the user-friendly interface. Additionally, sensitivity analysis, used to isolate key factors, or in this case, key inputs that significantly affect the output risk value (Smith, 1999), can be performed with relative ease using @Risk.

## 2.5. RELIABILITY ASSESSMENT OF TEMPORARY STRUCTURES

Reliability studies of structures are performed to assess a structure's ability to fulfill the structure's design purpose for the specified design life (Novak & Collins, 2013). Reliability can be said to be equivalent to the probability that the structure will not fail or underperform when put to the intended end use. Most design codes used in current practice are based on probabilistic models of loads and resistances

Reliability problems can be formulated to assess the probability of failure and reliability of structures, and in this case, the probability of failure and reliability of formwork. There are three levels of formulation of the basic problem, as put forth in *Structural Safety* (Borges & Castanheta, 1985)-

- Level 1 – Semi-Probabilistic: The safety parameters used are partial safety factors and/or tolerances affecting characteristic and design values. Probability computations are performed using design algorithms weakly related to probability of survival.
- Level 2 – Probabilistic Approximate: Probabilities of survival are substituted for by reliability indices corresponding to bounds of probability of survival. Approximate values of the probabilities of failure and survival are computed.
- Level 3 – Probabilistic Exact – Exact values of probabilities of failure or survival are computed using integrals that define the probabilities.

In this study, the Level 2 approach is adopted and the reliability indices and probability of failure is computed.

A review of other available literature shows that investigations have been conducted previously to investigate the causes of temporary structure failure. Causes of failure of temporary structures have been identified (Hadipriono & Wang, 1986), and further evaluated using Event Tree Analysis (Hadipriono, Lim, & Wong, 1986). In the former study identifying and categorizing causes of failure of temporary structures, concrete formwork was identified as the fourth category out of 5 categories of falsework, and 13% of the cases out of 85 total cases investigated in the study were found to be related to this category (Hadipriono & Wang, 1986).

In the second related study, an event tree analysis was performed on a typical bridge formwork and falsework system, and a procedure to evaluate the probability of failure, which gives the most likely path of failure was developed (Hadipriono, Lim, & Wong, 1986). According to the authors, the aforementioned procedure could be applied to any structure to predict potential failures, and to establish quality control to reduce causes of failure.

## **CHAPTER 3. METHODOLOGY**

### **3.1. INTRODUCTION**

In this study, there are three primary objectives (PO) and five secondary objectives (SO). The PO are established to expand the general understanding of formwork use, as well as the associated risk and reliability in formwork use and re-use. The PO established are:

- PO # 1 - Map the typical use cycle of formwork on-site
- PO # 2 - Identify the primary factors contributing to risks associated with the use and re-use of formwork and evaluate the risks posed to the workers caused by the execution of various activities that comprise the formwork cycle
- PO # 3 - Evaluate the reliability associated with formwork use and re-use

The secondary objectives established in support of the PO are:

- SO # 1 - Establish a sequence of activities that represent an overall formwork cycle
- SO # 2 - Determine the main factors that impact formwork lifecycle on a project
- SO # 3 - Identify the major causes of accidents related to formwork
- SO # 4 - Quantify risk associated with each activity in the established formwork cycle
- SO # 5 - Evaluate the change in strength characteristics of formwork between uses

Due to the nature of the SOs established, it is necessary to carry out research using multiple methods. The relationship between the different objectives and associated research methods is represented in Figure 3.1.

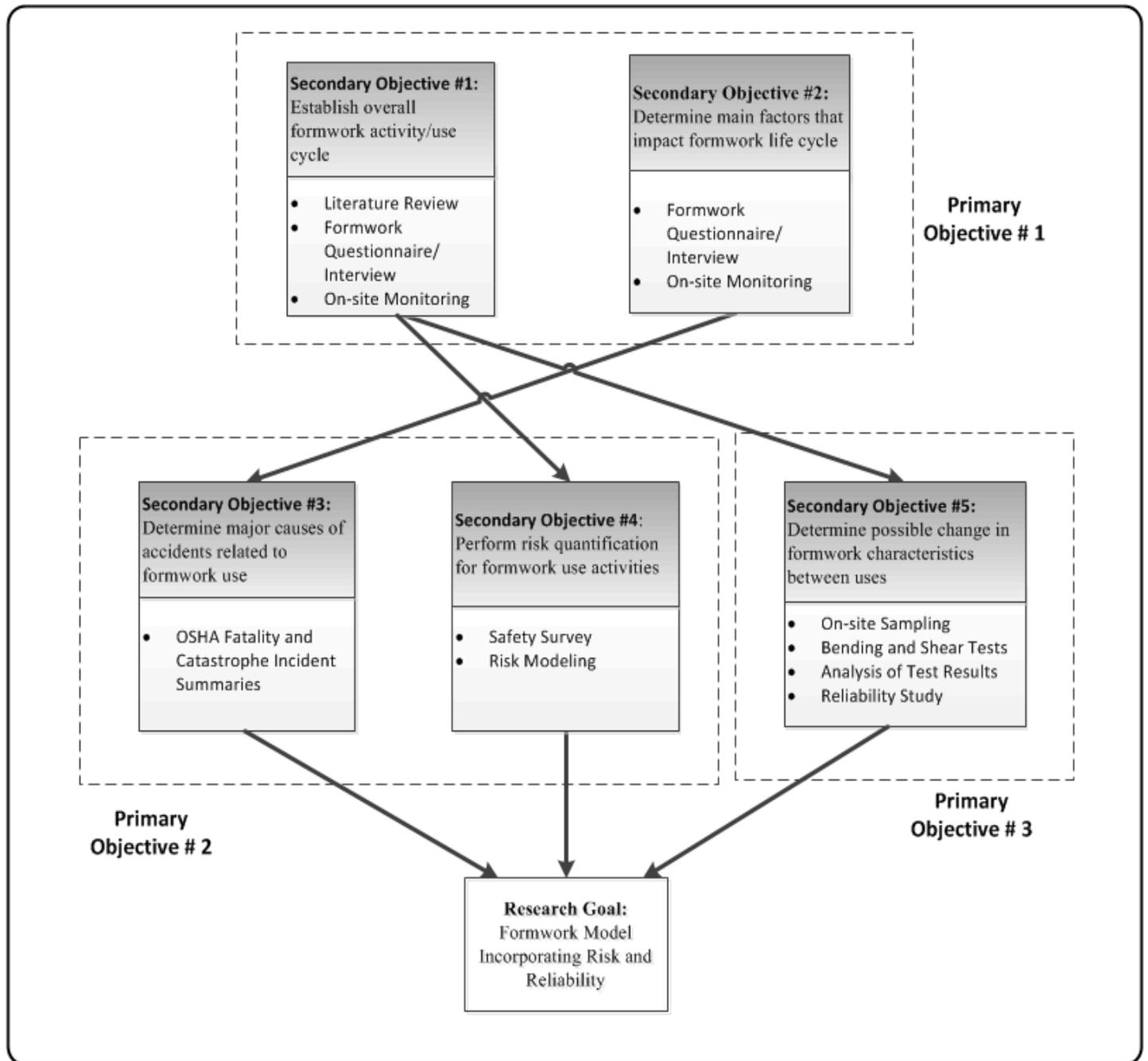


Figure 3.1: Research Scheme

To meet SO #1 and SO # 2, a combination of observation, interview and survey methods of research was deemed the most suitable. Initially, on-site interviews were carried out in order to obtain and establish the standard procedures of formwork use, and determine factors impacting the formwork lifecycle. Based on these results, on-site monitoring of formwork was carried out and a sequence of activities representing the workflow of a general formwork use

cycle was obtained. Further, based on this general mapped workflow, project specific mapped workflows can be established.

OSHA Fatality and Catastrophe Investigation Summaries (OSHA, 2013) are used to identify the main causes of accidents related to formwork, i.e., SO #3. SO #4 is met by obtaining formwork samples from chosen projects with concrete work which is an experimental research method, and the final SO #5 is met using a Safety Survey. Further details of these methods are discussed in this chapter.

## **3.2. FORMWORK MONITORING**

As the first step in identifying factors that impact the lifecycle of formwork, two methods of formwork monitoring were considered: (i) a formwork questionnaire, to be answered in an interview format, and (ii) on-site tracking of formwork, which was to be conducted after identifying the most important criteria considered by construction personnel pertaining to re-use.

### **3.2.1. FORMWORK QUESTIONNAIRE**

To identify the various activities associated with the formwork cycle in detail, a questionnaire was developed and used for conducting interviews of formwork construction personnel. The purpose of the questionnaire was to obtain information and record observations pertaining to formwork activities and formwork use, as well as to identify the criteria used to determine the re-usability of formwork components in real-time projects. This was regarded as a preliminary attempt at obtaining the various stages of use in the formwork cycle. The questionnaire was divided into eight sections.

The first section of the formwork questionnaire aimed at collecting general information about formwork components at the project site, the ownership of the formwork, formwork design considerations other than those prescribed in ASCE 37-02, and frequent issues associated with vertical formwork use. In addition, the first section was designed to identify decision processes on how formwork condition is assessed on the project leading to formwork components and panel reuse.

The second section solicited information related to storage and stockpiling of formwork, and various factors that may have some impact on the storage of formwork.

The third section of the questionnaire asked about the assembly steps of vertical formwork, differences in the assembly and erection of column and wall formwork, and the connections typically used on projects.

The fourth section dealt with the placement and curing of concrete, and gathered information regarding the duration of wait time of formwork before, during and after concrete pours, as well as any possible seasonal variations in the durations.

The fifth section collected information on the transportation and removal of formwork, both within projects and from one project to another. This section is used to characterize the impact of formwork transportation activities on its use and reuse.

The sixth section of the questionnaire asks open ended questions concerning the most common types of degradation found on formwork, and the number of uses after which each type of degradation is typically observed. Additionally, this section also asks about the methods used for assessing whether a particular section of formwork can be used again or not, and if there are any manufacturers' instructions regarding the number of re-uses.

The seventh section collects information about the causes of formwork failures typically observed, and the injuries associated with formwork on the project. This section allowed respondents to specify typical causes of formwork failure, as well as types and magnitudes of injury on the project.

The eighth and final section of the questionnaire was added in to identify the importance that various factors have on the number of uses of formwork and/or the various formwork components. A table showing various factors that impact the formwork was provided with the provision for respondents to add other factor(s) considered important. The respondent was asked to rate the impact of each factor in the table on a scale of 0 to 5, ranging from no impact (0) to a very large impact (5).

It is worth noting that in the questionnaire, certain questions are project specific, while most questions were applicable to every project. Most questions were presented in a multiple-choice format, with the option of checking all applicable options. Additionally, space was provided after each question for the respondent to put down any other answer of their choice. The questionnaire can be seen in Appendix I.

The targeted population for this questionnaire was those personnel identified as having obtained extensive knowledge of formwork use, and who were working at a construction project at the time of interview. After identifying the target population for the questionnaire, approval for conducting the interviews was sought and obtained from the OSU Institutional Review Board (IRB) with the necessary documentation. The IRB ruled that the study was not Human Subject Research. Multiple personnel were interviewed from various projects, depending on their willingness and availability. Initially, queries were sent to contact persons at 15 prominent construction firms located in Northwest Oregon enquiring about the availability of any ongoing projects involving concrete formwork, and the possible availability of workers to respond to the questionnaire. The selected contact persons were graduates of the Civil and Construction Engineering Department known to be working in the construction industry. Permission was requested using the same email to interview relevant personnel on the project, as well as to conduct a project walk-through to understand how formwork is used, handled and stored in the particular project. Appointments were fixed with the right contact at the project site, and interviews were conducted, followed by a site walk-through.

### **3.2.2. ON-SITE MONITORING**

Three projects were identified within close proximity of the Oregon State University campus. The main selection criteria was that all these projects made use of traditional timber vertical formwork. The projects were all in the same region so as to maintain constant accessibility by the research team to the project site throughout the duration of the concrete construction activities. The research team gained access to the project site, regularly observed the movement and use of formwork, and maintained photographic records of many formwork use cycles that occurred during the project. Any potential safety issues and additional impacts to formwork were noted.

To reduce variability by maintaining consistency in loading patterns and general use conditions, observations were limited to vertical formwork at every project site. For the purpose of this study, vertical formwork includes wall, column, and foundation formwork.

### **3.3. MAPPED WORKFLOW**

Information gathered from on-site observations and from the questionnaire was used to generate two types of formwork mapped workflows. First, a mapped workflow for one cycle of general formwork use, which is applicable to any construction site, was developed and second, project specific mapped workflows for the formwork use observed in the three monitored projects were developed.

The mapped workflow for general formwork use is representative of one complete formwork use cycle containing all possible steps that could be involved in formwork use, and is generally applicable to any project site. The actual formwork use cycle that occurs at any project site would be comprised of at least some of the steps that are defined in the workflow. In some projects, some of the activities in the formwork cycle can be skipped or performed concurrently, depending on the project conditions. For example, the stockpiling stage after stripping can be skipped and the formwork can be directly erected for the next pour or assembly and erection activities may take place at the point of use simultaneously. In case some activity is skipped, the time/cost/waste associated with that activity would be set to zero and thus the flow would not account for that activity, making this a general model.

The project specific mapped workflows show all possible formwork activities that were executed on site during the course of the project. Project specific workflows were developed to aid in the definition and validation of the mapped workflow of general formwork use. It is worth noting that the activities that constituted each project specific formwork cycle were governed by many factors such as the space available on the construction site, weather, schedule, required concrete surface finish etc.

### **3.4. CAUSES OF ACCIDENTS RELATED TO FORMWORK**

#### **3.4.1. OSHA CASE STUDIES**

To gain some historical perspective into causes of accidents related to formwork, the research team went to the most readily available and continuously updated source of recordable incidents, which is the incident database maintained by the Occupational Safety and Health Administration (OSHA) This database is known as the Fatality and Catastrophe Investigation Summaries (OSHA, 2013). A search of this database revealed that there were 438 cases recorded

incidents associated with the keyword “concrete form work” out of 22902 incidents associated with construction (2%), dating from the year 1984 to the year 2012. All of the cases found associated with concrete formwork were summarized and grouped by the research team according to two different criteria: (i) severity of injury, and (ii) formwork activity being performed at the time of incident. The summary of incidents obtained as a result is attached in Appendix-II.

OSHA CFR 1904 states that criteria used to make the decision of whether a work-related injury or illness is recordable is if it results in one or more of the following- loss of consciousness, medical treatment beyond first aid, more than one day away from work, restricted work or transfer to another job, significant injury or illness diagnosed by a physician or other licensed health care professional, or death (CFR, 2001). The severity of incidents has been classified into four different levels by Dharmapalan (2011). The four severity levels set forth by Dharmapalan (2011) are:

- i. Near Miss: No impact on work time, no or negligible injuries sustained, assigned a value of 1
- ii. Low Severity: Temporary and/or persistent discomfort and pain, requiring minor first aid, assigned a value of 17
- iii. Medium Severity: Required major first aid or medical attention, loses more than one work day, assigned a value of 158
- iv. High Severity: Incident resulted in death or permanent disability, worker could not return to work in the same capacity, assigned a value of 14,282

The incidents were also classified according to the activity that was being performed when the incident occurred. The research team categorized the incidents based on activities that constitute the mapped workflow of the general formwork cycle. The main categories based on activities were “Transportation”, “Stripping”, “Erection”, “Assembly”, “Forming”, and “Pouring Concrete”. In cases where the activity performed by the injured worker was known, but unrelated to formwork use in any way, assignment was made to the category of “Other”. If the activity being undertaken was not specified in the investigation summary, the incident was assigned to the category “Not specified”.

This categorization provides valuable insight into the main causes of incidents related to formwork as well as the activities that have resulted in the maximum amount of incidents. Further, the categorization can assist in the risk assessment related to each activity in the formwork cycle.

### 3.5. SAFETY RISK SURVEY

To assess the risk associated with the each activity in the formwork cycle, a Safety Risk Survey was developed. In this survey, participants were asked to rate the probability of occurrence of an incident of each of the four severity levels using a pre-defined frequency scale. In addition, participants were also asked to indicate the time spent working on each activity as a percent of the total time spent working on formwork to quantify exposure. The survey is listed in Appendix III-A.

For this survey, the participants targeted were workers who have hands-on experience in the use of formwork, and hence are exposed to the various safety risks and hazards associated with formwork usage. Possible respondents were approached in two ways; first, by going to project sites with concrete construction and obtaining permission from the Project Engineer/Foreman to obtain survey responses, and second, by attending the Pacific Northwest Regional Council of Carpenters (PNRCC) meetings and having the attendees fill out surveys. Responses were gathered from four different gatherings. Two gatherings consisted of groups of workers at two project sites organized specifically to obtain survey responses, and the other two were monthly PNRCC meetings. The participants were provided with initial information about the research study, and also about the severity levels of incidents and the frequency ratings. The frequency ratings adopted are described in Table 3.1 (Dharmapalan, 2011) :

Table 3.1: Frequency Ratings

| <b>Frequency Scale Value</b> | <b>Original Range</b> | <b>Worker Hours/ incident</b> | <b>Incidents/ worker hour</b> |
|------------------------------|-----------------------|-------------------------------|-------------------------------|
| 10                           | 1 hour                | 1                             | 1.000000                      |
| 9                            | 1 day                 | 9                             | 0.111111                      |
| 8                            | 1 week                | 45                            | 0.022222                      |

| <b>Frequency Scale Value</b> | <b>Original Range</b> | <b>Worker Hours/ incident</b> | <b>Incidents/ worker hour</b> |
|------------------------------|-----------------------|-------------------------------|-------------------------------|
| 7                            | 1 month               | 189                           | 0.005291                      |
| 6                            | 6 months              | 1134                          | 0.000882                      |
| 5                            | 1 year                | 2250                          | 0.000444                      |
| 4                            | 5 years               | 11250                         | 0.000089                      |
| 3                            | 10 years              | 22500                         | 0.000044                      |
| 2                            | 50 years              | 112500                        | 0.000009                      |
| 1,0                          | Negligible            | 0                             | 0                             |

The rounded mean/median frequency value and activity exposure value are calculated from all the responses received, and the risk value for each activity is calculated. The risk for each activity is calculated from the rounded mean/median frequency values for severity using the following equations:

$$\text{Total Unit Risk} = \sum (\text{Frequency} \times \text{Severity}) \quad (3.1)$$

$$\text{Cumulative Risk} = \sum (\text{Exposure} \times \text{Frequency} \times \text{Severity}) \quad (3.2)$$

The terms used in equations (3.1) and (3.2) as defined in Chapter 2.

The responses obtained from the survey are tabulated, and an appropriate probability distribution function (PDF) is assigned to each severity level. The PDF used is selected after the examination of histograms of the responses obtained for each category, at each severity level. The selected PDF for each activity is assigned, tabulated, and a model created using the software @Risk. The software can run a specified number of iterations, and provide the probability density curves for the unit risk and cumulative risk associated with an entire formwork use cycle. The model can be set up to run any number of cycles. In this study, the model was created to provide the risk for a single cycle, with the assumption of no deterioration in properties.

### **3.5.1. JUDGEMENT BASED BIASES**

Bias can be defined as any factor or effect that distorts the true nature of an opinion or observation, and can lead to inaccurate results and conclusions (Hallowell M. , 2008). There is a possibility of judgment based bias occurring in the responses obtained, affecting the safety risk survey responses and consequently, the risk model output. Since the respondents would be providing responses to the safety survey based on their opinion of perceived risk and judgment based on their experience of handling and using formwork, an effort was made to mitigate and remove biases. Different possible judgment based biases (Hallowell M. , 2008) applicable to the survey, and methods to minimize these biases, are discussed in this section.

#### ***Collective Unconscious:***

The theory of collective unconscious or the “bandwagon effect” states that the respondents tend to join a popular trend. Individuals may feel compelled to match their opinion to the standard beliefs existing in a particular group. This is a possibility if multiple responses are obtained from one construction project due to the safety culture of the organization. This possibility was reduced by collecting information from PNRCC meetings, which are attended by workers belonging to many different organizations, as well as by emphasizing that the survey is based on the individual opinion of the worker, and not project-specific.

#### ***Neglect of Probability:***

Workers may underestimate the role of probability and assign lower frequency scale ratings, due to reduced risk perception. In the survey, since the frequency values are used only to determine the activities that post the largest amount of risk in one formwork use cycle, the actual numbers do not affect the outcome of the safety survey and risk model. For example, Worker A may provide a rating of 10 for a Near miss incident and in a similar trend, 5 for a High severity incident, whereas Worker B may start with a rating of 6 for a Near miss incident during the same activity, subsequently providing a rating of 1 for a High severity incident. Although the rating values may start at lower values, they will still follow the same trend, i.e., Near miss incidents will have the highest rating, followed by Low severity, Medium severity and High severity incidents respectively. The risk model obtained depends on the trend mentioned above rather than the exact numerical values. This bias would need to be accounted for further if the risk

model is modified to incorporate increased risk due to deterioration as the number of uses increases, and if the total risk values thus obtained are compared to the total risk values when no deterioration is factored into the model.

***Von Restroff Effect:***

According to the Von Restroff Effect, subjects are found to recall incidents of high severity more accurately than less extreme events, distorting a sense of probability. This bias was controlled and minimized by choosing workers at random construction projects or PNRCC meetings.

***Recency Effect:***

Workers are more likely to remember incidents that have occurred recently and accordingly inflate the probability of risk. For example, a person who has incurred a low severity injury recently will provide higher frequency ratings for that category compared to a person who has not incurred any injuries in the recent past. In this study, recency effect is minimized by obtaining thirty or more completed responses to the survey.

### **3.6. FORMWORK SAMPLING AND LABORATORY TESTING METHODOLOGIES**

#### **3.6.1. SAMPLE COLLECTION**

To measure the possible deterioration in the structural capacity of formwork, and thus, assess the reliability of the same, formwork samples were collected from the three projects monitored. To compare the strength between each use, efforts were made to obtain samples of formwork which had undergone different number of uses. Each number of use would be considered one treatment. An unused sample was also collected from each project so as to have a basis of comparison to the used samples. For this study, samples of only the plywood sheathing were collected, rather than an entire form panel. This was done so as to maintain constant test sample dimensions and also to ensure that the same number of identical test specimens were tested from each treatment.

The samples were collected from sites both in the form of uncut 4' by 8' panels, and in some cases, smaller pieces cut on-site by workers for easier transportation, or depending on

availability. The samples were transported into the testing lab and stored in a temperature and humidity controlled environment so that all specimens could be ultimately tested in the same moisture conditions. The size of test specimen depended on the test chosen, the end result required from the test, and the size and quantity of sample initially obtained from the project site.

### **3.6.2. TESTS PERFORMED**

Concrete formwork is typically designed considering three design criteria of each form component- bending, deflection, and rolling shear (Hurd, Formwork for Concrete (SP4), Seventh Edition, 2005). The tests for plywood samples were selected based on two of the three criteria- bending and rolling shear.

To assess the possible change in bending capacity between different numbers of uses, Method B: Two-point Flexure Test of ASTM D3043 was chosen. Method B, otherwise referred to as third point bending test was chosen for testing the samples to obtain the bending capacity, provided that the specimen length is controlled.

To assess the possible change in rolling shear capacity between different numbers of uses, Method B prescribed in ASTM D2718 - Standard Test Methods for Structural Panels in Planar Shear (Rolling Shear) was used. Method B: Planar shear induced by five-point bending was chosen given that in this research, the specimen size is dictated largely by the availability of sample panels, and the loading of vertical formwork is perpendicular to the face of the plywood sheathing.

Prior to any test, the panels or the specimens were stored in a controlled environment under the conditions recommended by the ASTM standards, namely relative humidity of  $65\% \pm 2\%$  at a temperature of  $68^{\circ}\text{F} \pm 6^{\circ}\text{F}$  ( $20^{\circ}\text{C} \pm 3^{\circ}\text{C}$ ) so that the specimens can be brought to equilibrium moisture content during the tests, and are tested under uniform moisture conditions.

In addition to the test results, the moisture content of the specimens is also determined as per ASTM D4442 - Test Methods for Direct Moisture Content Measurement of Wood and Wood-Base Materials. Method A of this standard was the method selected. Method A is the Primary Oven Drying method and was used as there were no special circumstances or purposes that rendered Method A undesirable. In this test, moisture content of a specimen is determined by recording the initial weight,  $W_i$  of the specimen and then drying the sample in a drying

chamber maintaining a temperature of  $103^{\circ}\text{C} \pm 2^{\circ}\text{C}$  for a period of twenty-four to forty-eight hours. The samples are then removed from the oven and weighed again to obtain the dry weight ( $W_d$ ). The moisture content is calculated as a percent of the dry weight using the following equation:

$$\text{Moisture Content, \%} = (W_i - W_d) / W_d \times 100 \quad (3.3)$$

### 3.6.3. TEST SPECIMEN PREPARATION AND DIMENSIONS

The preparation of samples for the third point and five point bending tests, samples included cutting the samples using a panel saw or a table saw into specimens of appropriate size, which were then stored in a controlled environment under the conditions recommended by the ASTM standards. Uniform moisture content was deemed necessary to avoid possible variation in tested specimen strength due to varying moisture content. The same number of specimens were tested for bending or shear from each project and number of uses.

For determining appropriate test specimen size for the third point bending test, ASTM 3043 recommends that the span be 48 times the specimen thickness, plus the spacing between the two load points when the principal direction is parallel to the test span, or 24 times the specimen thickness plus the spacing between the two load points when the principal direction is perpendicular to the test span. The span can be reduced from this recommended value if the material has high rolling shear capacity, or if all plies are parallel to span. The span values mentioned do not include the overhang of an inch required on each side. The width of sample has no effect on the test, as long as it is greater than 2 inches for samples with thickness greater than 0.75 inches. The nominal specimen dimensions for the bending tests are as provided in Table 3.2. For calculations, the actual width and thickness of each specimen is measured close to load points.

Table 3.2: Nominal Specimen dimensions for Third Point Bending Tests

| Project # | Span (in) | Width (in) | Thickness (in) |
|-----------|-----------|------------|----------------|
| Project 1 | 26        | 4.5        | 0.75           |
| Project 3 | 22        | 2.25       | 0.75           |

For the five point bending tests, ASTM 2718 recommends that the span shall be 16 times the nominal specimen depth when the principal direction is parallel to test span, and 11 times the

nominal specimen depth when the principal direction is perpendicular to the test span. However, ASTM 2718 also says that the percent of shear failures will increase if the test span is reduced from the recommended value, and that the test span may be adjusted as long as all specimens have the same test span. An extra inch on each side is required as overhang, similar to the third point bending samples. The recommended range of widths of the specimens are 4.5 inches to 10 inches. However, this value may be adjusted for practical purposes. Based on these guidelines, and based on best use of the collected samples, the nominal specimen dimensions for five-point bending tests are provided in Table 3.3.

Table 3.3: Nominal Specimen Dimensions for 5 Point Bending Tests

| <b>Project #</b> | <b>Span (in)</b> | <b>Width (in)</b> | <b>Thickness (in)</b> |
|------------------|------------------|-------------------|-----------------------|
| <b>Project 1</b> | 12               | 4.5               | 0.75                  |
| <b>Project 3</b> | 10               | 2.25              | 0.75                  |

It is to be noted that no specimens were prepared or tested from samples obtained from Project 2, as the number of uses the samples had undergone were unknown to the workers. Other formwork used on the project was rented, and was unavailable for sampling.

#### **3.6.4. TEST SETUP**

This section provides a brief overview of the test set up for the third point and five point bending test set up. Both tests were carried out using an Instron Universal Testing Machine. The load cell used is a 100 kN load cell, and the loading rates for each test were calculated from ASTM 3043 and 2718, and the calculated rates adjusted in such a way that each test finished approximately in 2 to 3 minutes. The test set up for the third point bending tests can be seen in Figure 3.2.



Figure 3.2: Setup for third point bending tests

The test span is divided into equal thirds, and load is applied from the top two points, henceforth referred to as load points. The end supports can rotate in order to accommodate irregularities in the specimen.

The test setup for rolling shear assessment using five point bending can be seen in Figure 3.3. In this setup, the sample span is divided into four equal lengths, and five load points; three support points below the sample, and two load points above the sample. The samples were all tested to failure. The actuator displacement and corresponding load are recorded, and the maximum load is reported as the test result.



Figure 3.3: Setup for five point bending test

### 3.7. RELIABILITY ASSESSMENT

To understand and quantify the structural safety associated with formwork use, the reliability index, which is a safety index formulation, is employed (Novak & Collins, 2013). For the calculation of a reliability index, the resistance (or the moment carrying capacity),  $R$ , and the applied load (or the demand)  $Q$ , are required. Here, the capacity  $R$  is determined from the test data obtained from various uses as discussed in Section 3.6, while the demand  $Q$  is obtained from the design guidelines set forth in *Formwork for Concrete*. It is assumed that  $R$  and  $Q$  are normally distributed random variables, and that these are statistically independent. The standard deviation and mean of the capacity  $R$  are calculated from the various test specimens, while the variation in the demand  $Q$  is calculated using the standard deviation and mean of the concrete

unit weight, obtained from literature (Ellingwood, Gambolos, MacGregor, & Cornell, 1980). The reliability index is calculated according to the following equation (Novak & Collins, 2013)-

$$\text{Reliability Index, } \beta = \frac{\mu_R - \mu_Q}{\sqrt{\sigma_R^2 + \sigma_Q^2}} \quad (3.4)$$

Where  $\mu_R$  = mean of capacity, R

$\mu_Q$  = mean of demand, Q

$\sigma_R$  = standard deviation of capacity, R, and

$\sigma_Q$  = standard deviation of capacity, Q

The reliability index is related to the probability of failure as follows, where  $P_f$  is probability of failure, and  $\varphi$  denotes a cumulative distribution function (CDF) -

$$\beta = -\varphi^{-1}(P_f), \text{ or } P_f = \varphi(-\beta) \quad (3.5)$$

Thus, it can be seen that the higher the value of the reliability index, the lower is the probability of failure.

## **CHAPTER 4. FORMWORK MONITORING AND TESTING**

This chapter discusses the outcomes of the various methods/approaches put forward by the research team to address the main objectives of this study, the mapped workflows obtained from the onsite monitoring and survey results, the results of the testing conducted and a discussion of the testing results. The formwork questionnaire was used to create a general formwork use cycle, with various activities laid out in sequence, as well as to obtain a general impression of the use conditions of formwork. In the next step, the use of vertical formwork was monitored and formwork use cycles were formed for each project. The mapped workflow for a general formwork use cycle is validated using the observed formwork use cycles in each project. To assess the common causes of accidents related to formwork, all 438 cases associated with formwork available at OSHA Fatality and Catastrophe Investigation Summaries were categorized according to severity and formwork activity. Formwork component samples of varying number of uses as well as design information for the relevant formwork were obtained from each of the projects, and these samples were tested in the laboratory to check for possible deterioration in strength with increasing number of uses.

### **4.1. FORMWORK QUESTIONNAIRE SUMMARY**

Responses to the formwork questionnaire were collected from 20 construction industry professionals working in projects that involve the use of formwork. All 20 respondents belonged to eleven different construction projects located in Oregon. The distribution of the number of respondents from each project can be seen in Figure 4.1. The projects are numbered in chronological order of responses, and the number of respondents is indicated in the Y-axis. The respondents belonged to the posts of project manager, project engineer, superintendent and senior carpenter. At the beginning of the survey, the respondent's name, project that they are currently working on, and the name of the company that they work for were collected as identifiers. It can be seen that there were 8 respondents from Project # 1, and these respondents included the Project Manager, Project Engineer, Foreman, Superintendent as well as a few carpenters.

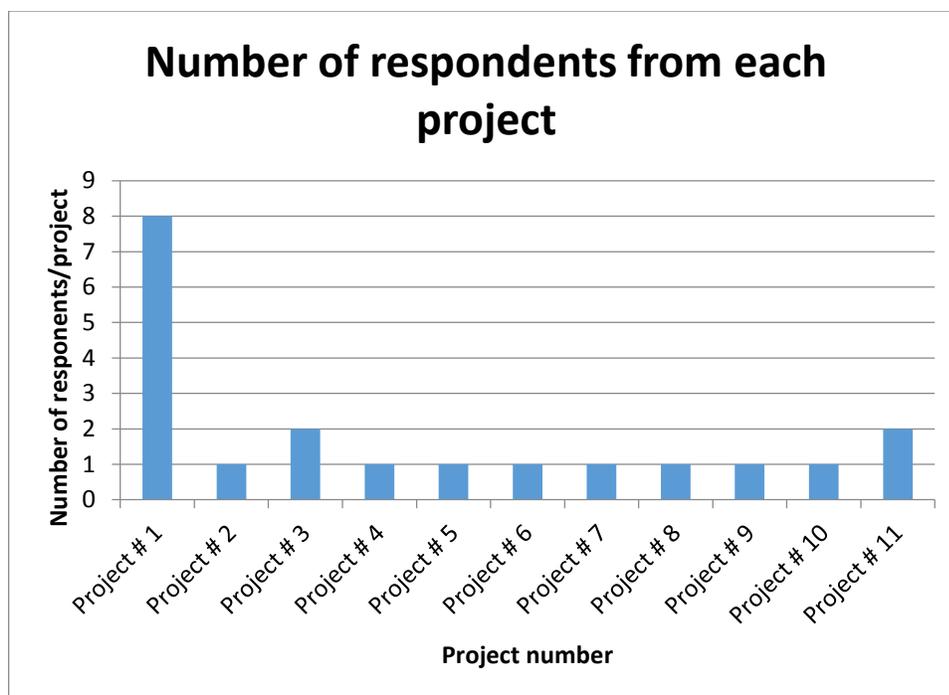


Figure 4.1: Number of respondents/project

The formwork questionnaire (Appendix I-A) is divided into eight sections. The first general section asks about the different components that are combined to build traditional handset formwork. The major formwork components identified were Medium Density Overlay (MDO) plywood, dimensional lumber, steel stakes, ties (either snap ties or taper ties) and hardware such as brackets, clamps, bolts, nails, etc. It could be seen that most projects that had a good amount of concrete formwork used a combination of handset or hand-built forms, and manufactured formwork systems such as Aluma System gang forms or Alisply Formwork Systems, both of which were assembled at the project site. These manufactured systems are typically rented, and consist of steel or aluminum studs, joists, and/or strongbacks with plywood faces. Formwork panels of desired dimensions are formed by putting together several smaller panels, and connecting them together using metal clamps or brackets. This practice makes the preparation, assembly and stripping of panels easier and more convenient compared to handset forms. To answer the question of loads considered other than design loads, 10 respondents (50%) chose the option of manufacturer's specifications, 10 respondents (50%) chose the option of worker load, and 8 respondents (40%) chose the option of embedments. Other possible responses for loading were rain and snow loads, but the majority did not choose these options. The major problems associated with vertical formwork use were recognized to be surface finish by 17

respondents (85%) and formwork being out-of-plumb by 13 respondents (65%). Nineteen respondents (95%) said that used formwork could be used again in the project, provided that it is in good condition and the condition of formwork components is assessed by looking for warping, cracks, straight edges, and uneven surfaces by visual inspection.

The second section enquired about the storage of formwork. It was found that for the most part, formwork was stored outdoors in 85% of the cases (17 responses), exposed, and on platforms called dunnage by 14 respondents (70%). Major factors that influence the storage of formwork were found to be size of jobsite, quantity and type of formwork, and duration of storage. Most interviewees felt that formwork storage may influence formwork performance in the long-term, but not for short durations during a project. Storage of forms in flat, covered stacks sorted and banded according to dimension would prevent warping and distortion, as well as facilitate efficient re-use at a later point in time.

The third section enquired about the assembly process. All interviewees, in essence, described the same process for the erection of formwork. The main steps were establishing gridlines, cutting formwork components to size, assembling formwork panels, and fixing them at the place of use, either by hand or using a crane/forklift. The major differences between the erection process of wall formwork and column formwork were found to be the connections holding the panels together: wall formwork typically uses taper ties, which can be pulled out after the forms are stripped, or snap ties, the ends of which are broken off after stripping of the forms. For column forms, the panels are held together using brackets or banding around the forms.

The fourth and fifth sections contained questions regarding the time frames for the process of concrete placement in the forms, and transportation and removal of formwork respectively. It was found that most vertical forms are stripped on the day after pouring, as long as the weather permits. Weather conditions such as snow or extreme cold/rain would cause the forms to stay on longer so as to enable proper curing of concrete as well as to prevent any damage to the concrete members. The majority also answered that formwork is moved within the project site by forklifts, crane or by hand, while it is transported from project to project in trucks or in one case, by barges. For the stripping of formwork from concrete, 19 respondents (95%)

indicated removal by hand, while 14 respondents noted removal by mechanical means such as cranes, forklifts, or hydraulic jacks.

The sixth section enquired about observed degradation in formwork. While 17 respondents (85%) felt that degradation of edges and corners were observed and 15 respondents (75%) felt that the formwork faces exhibited degradation, only 8 respondents (40%) felt that any structural cracking or degradation was observed. Shrinkage, and warping were also mentioned additionally as degradation of formwork. Out of 20 respondents, 19 (95%) said that all degradation was assessed only visually, and the judgment for this visual assessment comes only from experience. Only six respondents (30%) were aware of any manufacturer's guidelines for extended use, and most said that these were not taken into account.

The seventh section addressed any possible injuries or near misses associated with or formwork failures at the project site. Only two respondents (10%) reported an injury associated with formwork, or formwork failure, while 6 respondents (30%) mentioned low severity injuries on the job. Upon being asked to identify typical causes of formwork failure, 14 respondents (70%) chose the option of blowouts, while 12 respondents (60%) chose failure of connections or ties. The typical criteria for which formwork is designed – bending, deflection and shear – were not found to be typical causes of failure by the majority of the respondents.

The eighth and final section of the survey contained an impact table, which required the respondents to rank various factors that the research team had deemed to likely impact the lifecycle of formwork on a scale of 0 to 5 of increasing importance. The factors rated the highest by most respondents were removal of formwork, warping, connections and ties, surface damage and assembly. A brief summary of the questionnaire results can be found in Appendix I-B.

## **4.2. FORMWORK MONITORING**

Three projects were selected and monitored by taking photographs and noting various activities during the use of formwork to validate the mapped workflow of general formwork use cycle, as well as identify any possible extra loads to formwork that are typically not considered during the design process but may have a considerable impact. The projects monitored are referred to as Project 1, Project 2, and Project 3 based on chronological order. Project 1 comprised of the construction of a four story building with concrete shear walls and columns.

The construction of a building with a concrete basement was monitored in Project 2. The rest of the building was constructed out of steel. Project 3 involved the construction of a four story mixed use building, with the first floor made of concrete, and the remaining floors constructed using wood.

Since all three projects monitored had different types of formwork, the use of all the different types was observed. A sample of the photographs obtained showing the different types of formwork can be seen in this section.

Figure 4.2, Figure 4.3, and Figure 4.4 show the different types of formwork used in Project 1. Figure 4.2 shows the erection of a shear wall form in progress. First, one side of formwork was installed, and then the workers tie off their fall protection systems into the wall form to fix the braces to the form, while two other workers place the other side of the form, which is held up by a crane (not visible in Figure 4.2). The wooden portion visible is the bulkhead, used to form the end of the shear wall.

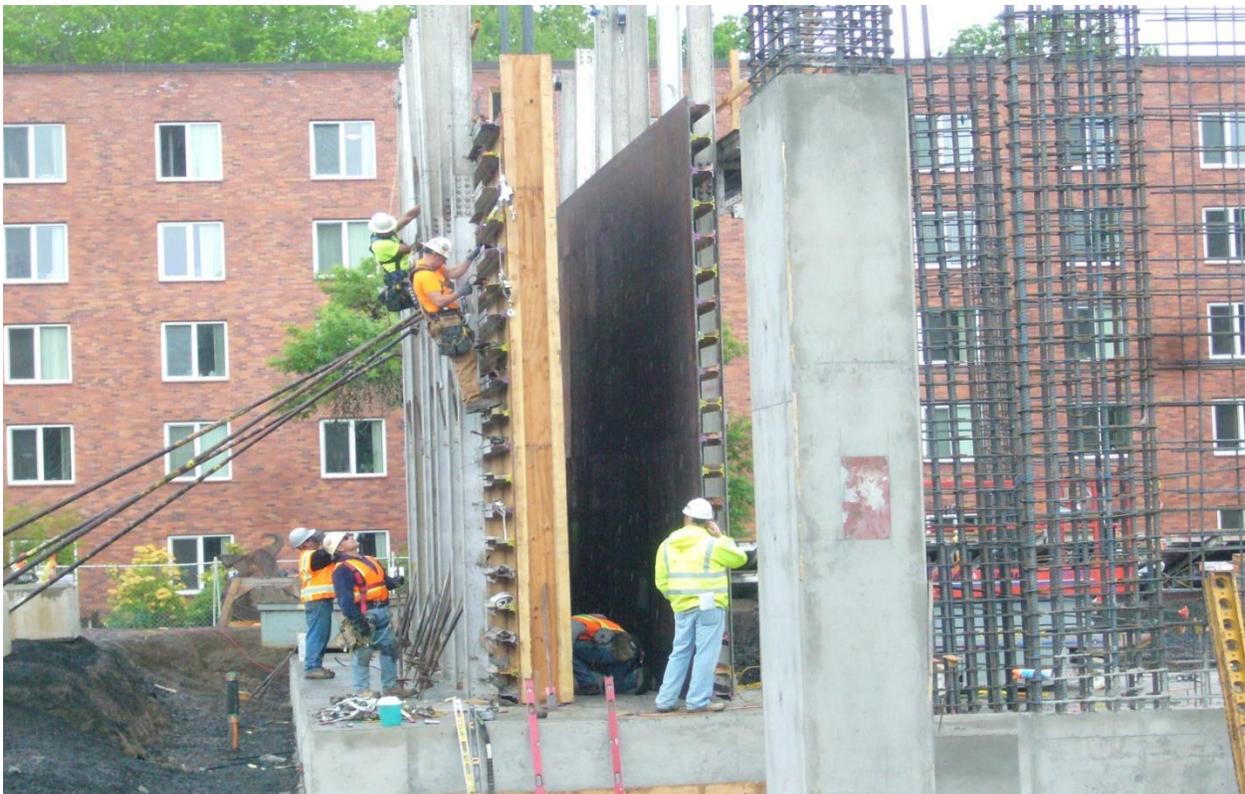


Figure 4.2: Wall forms being Erected, Project 1



Figure 4.3: Erected column form, Project 1



Figure 4.4: Base wall formwork ready to be stripped, Project 1

Column formwork used in Project 1 can be viewed in Figure 4.3. Figure 4.4 shows formwork for a base wall, which is to be covered later by fill. The base wall formwork is similar to the foundation formwork used in this project. The walls and columns were to have the concrete surfaces exposed to view after completion, and hence, the forms used were of better quality compared to the form shown in Figure 4.4. The foundation formwork panels used in Project 1 were used in previous projects. The exact number of previous uses was unknown.

The different formwork systems used in project 2 can be seen in Figure 4.5, Figure 4.6 and Figure 4.7. In Figure 4.5, the wall forms, made of Alisply Formwork systems, have been partially erected. The other side of the wall formwork was placed after all reinforcing steel was arranged satisfactorily.



Figure 4.5: Wall formwork systems partially erected, Project 2



Figure 4.6: Preparation of formwork components, Project 2

Figure 4.6 above shows strip footing foundation formwork (top left corner) in the background. Rather than using plywood, 2x12 dimensional lumber was used as sheathing, and these 2x12 members were nailed to steel stakes driven into the ground. In front, a worker can be observed preparing some dimensional lumber by cutting it using a handsaw. It is to be noted that the worker is supporting the member on his foot rather than using a saw horse. The bottom half of the figure, towards the right, shows a prepared formwork panel serving as a support for the bracing for wall formwork (wall formwork not visible here). This shows use of formwork which the panel was not designed for.

Footing formwork used for portions of footing other than the strip footing can be seen in Figure 4.7. These forms were 2 ft high, and were made of plywood of 0.75 inch nominal thickness, supported by 2x4 members at the back. The number of uses the plywood had undergone was not known to the workers.



Figure 4.7: Footing Foundation, Project 2

Figure 4.8 and Figure 4.9 show the different types of formwork used in Project 3. Figure 4.8 shows the formwork used for the footings. These were assembled and erected using 1.125 inch thick plywood and 2x dimensional lumber, held together by bands. Again, the number of uses the plywood had under gone prior to use on this project was unknown.

The wall form systems used in Project 3, called gang forms, are seen in Figure 4.9. The bulkhead can be seen in the gap between the two halves of the wall form. These bulkheads were made out of new plywood initially, and the movement of the bulkhead forms was tracked and samples obtained for testing.



Figure 4.8: Footing Formwork, Project 3



Figure 4.9: Wall Formwork, Project 3

In all three projects, it was observed that the workflow in formwork use cycle is shortened version of the mapped workflow of general formwork use, and that each formwork use cycle onsite was different from the previous use cycle. Inclusion of storage and subsequently, the moving activities depended on the concrete placement schedule, as well as space and schedule constraints. Hence, there are several different workflows for formwork use in each project, and the mapped workflow generated for each project reflects several use cycles observed. The standards of worker safety observed were generally up to par, barring a few isolated instances and repetitive motions that may have an impact on health.

### **4.3. MAPPED WORKFLOW FOR FORMWORK USE**

This section discusses the general formwork use cycle, as well as the project specific formwork use cycles obtained after monitoring the three projects. The use cycles are modeled as mapped workflows.

#### **4.3.1. GENERAL MAPPED WORKFLOW**

This section discusses the mapped workflow of one general formwork cycle. The workflow shows all possible activities that can possibly occur in one cycle of use, in a sequential manner, and can be seen in Figure 4.10.

One general cycle of formwork use consists of the following steps:

- i. Stockpile - When different formwork components are transported to a project site, they are stored someplace on the project site by stacking them according to size, material type or other relevant criteria. Storage on site is typically done outdoors on pallets, and is stored uncovered.
- ii. Prepare - In this step, the components are taken to a designated work area and cut to the dimensions desired to construct formwork as per project specifications.
- iii. Move - This is an optional step, where the prepared components may or may not be moved elsewhere for assembly, or for assembly at a later date.
- iv. Assemble – The prepared components are assembled into formwork panels using various connectors, such as nails, bolts, and clamps, driven by hand or by other mechanical means.
- v. Stack/Stockpile – This is an optional step, where the assembled formwork panels may be stockpiled on site for use after site preparation.
- vi. Move – In this step, the assembled formwork panels are moved to a different spot on the project site for erection at the point of use.
- vii. Erect – For wall or column forms, the assembled formwork panels are raised into position around the reinforcing bars by hand, forklift, crane, or other means and fixed in place. For wall formwork, the opposing side of the formwork is placed next, and the two panels are connected using ties. For columns, the

panels on adjacent sides are placed, and the forms are fixed using bands, clamps, or other means of connection. Braces, stakes and any other necessary falsework for support are also installed.

- viii. Pour – Concrete is poured into the constructed form, and vibrated internally or externally to consolidate the concrete.
- ix. Cure – The concrete is left under ambient conditions of temperature and moisture to attain design strength.

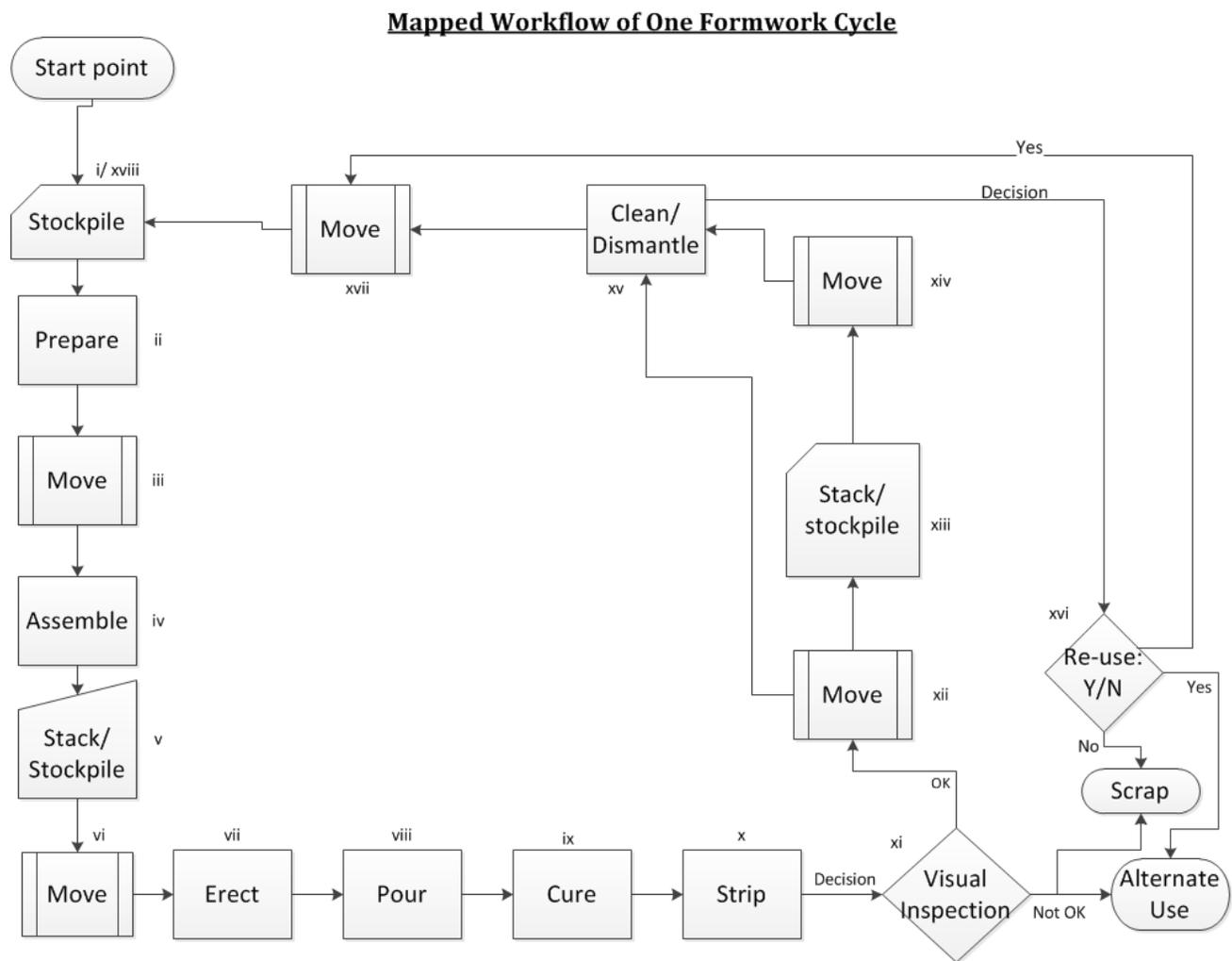


Figure 4.10: Mapped workflow for one general cycle of formwork use

- x. Stripping – The stripping or striking of the formwork refers to the process of removal of the forms from the concrete after the concrete has attained the strength to carry its own weight. This process can be done by hand, or by mechanical means such as hydraulic jacks, forklifts, or cranes.
- xi. Visual Inspection – The condition of the formwork is assessed by visual inspection, and the decision is made whether to use the formwork again, or not. If the decision is no, the formwork panel is disposed of into scrap, or put to an alternate use (not as formwork).
- xii. Move – This is an optional step, where the formwork panels to be reused are moved.
- xiii. Stack/Stockpile – In this step, the formwork panels fit for re-use are stored until the next instance of use or for cleaning/dismantling.
- xiv. Move – This is an optional step, required only if the formwork has to be moved to a different spot for cleaning.
- xv. Clean/Dismantle – The formwork panels are cleaned of the residual concrete and/or any other debris that may have accumulated, and oiled. Upon cleaning, further defects present on the formwork may be revealed. Otherwise, if the use of the panels on the particular project is over, they are dismantled for storage and transportation.
- xvi. Decision to Re-use – The cleaned formwork panels are assessed again visually, to confirm that all components are sound and can produce the required surface finish. If any components are found unsuitable, they are replaced, and put into the scrap pile or to alternate uses.
- xvii. Move – This is an optional step, where the panels can be moved elsewhere due to limited availability of space on the project site.
- xviii. Stockpile – The cleaned panels are put aside till the next scheduled pour.

It is to be noted that it is not necessary that all steps explained have to be present in every formwork cycle. This general cycle can be modified to fit the formwork use cycle on any project and for any type of formwork by simply removing the steps that are not performed on the particular project.

### 4.3.2. PROJECT SPECIFIC MAPPED WORK FLOWS

The mapped workflows for each of the three projects monitored are explained in this section. For these project-specific workflows, it is to be noted that each figure has multiple formwork cycles depicted in it.

Figure 4.11 shows all the different formwork cycles observed during the course of Project 1. Any of the paths as set forth in the direction of the arrows can constitute one formwork cycle. For example, after erection, pouring, curing and stripping, visual inspection is done, and if any part of the formwork panel requires replacement, they would be replaced, and the panel cleaned and oiled. The cleaned panel may be erected immediately for the next use, or may be stockpiled somewhere on the site depending on the progress of work, and scheduled time of the next concrete pour. While the mapped workflow in Figure 4.10 shows one use cycle of formwork with all possible steps, Figure 4.11 shows the multiple workflows observed in Project 1, with the steps not required in the project removed.

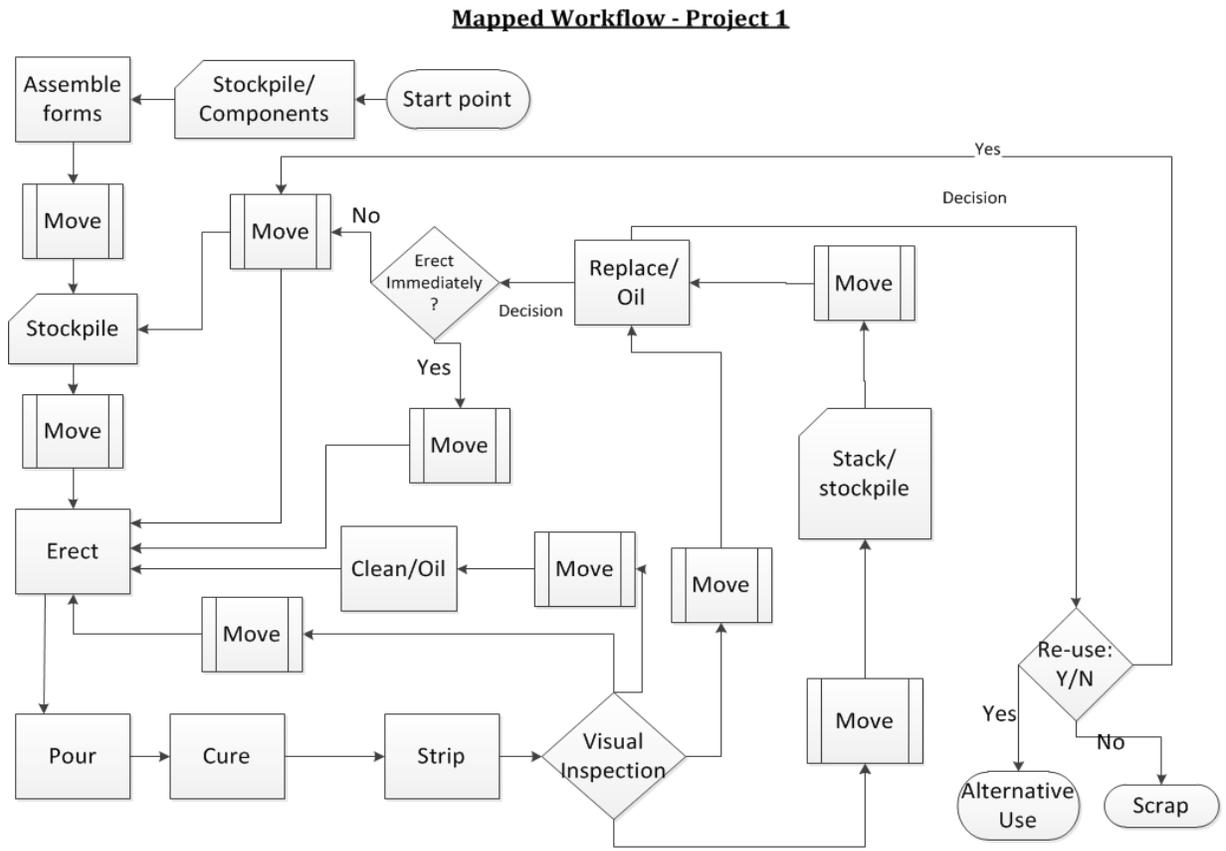


Figure 4.11: Mapped Workflows in Project 1

The second project monitored had very limited handset forms, and most of them were used for foundations. The stockpile form components were pre prepared and assembled when the day of pour was close, and erected immediately. After the concrete was poured and cured, the foundation forms were stripped the next day by hand, and forms from foundations of similar dimensions were taken to the next spot, cleaned and erected for the next pour. There were three different types of formwork observed on this project, but the workflow for the project (Figure 4.12) shows only the formwork cycles undergone by the handset wooden formwork. For mapping out the work flow, the other types of formwork were not taken into account.

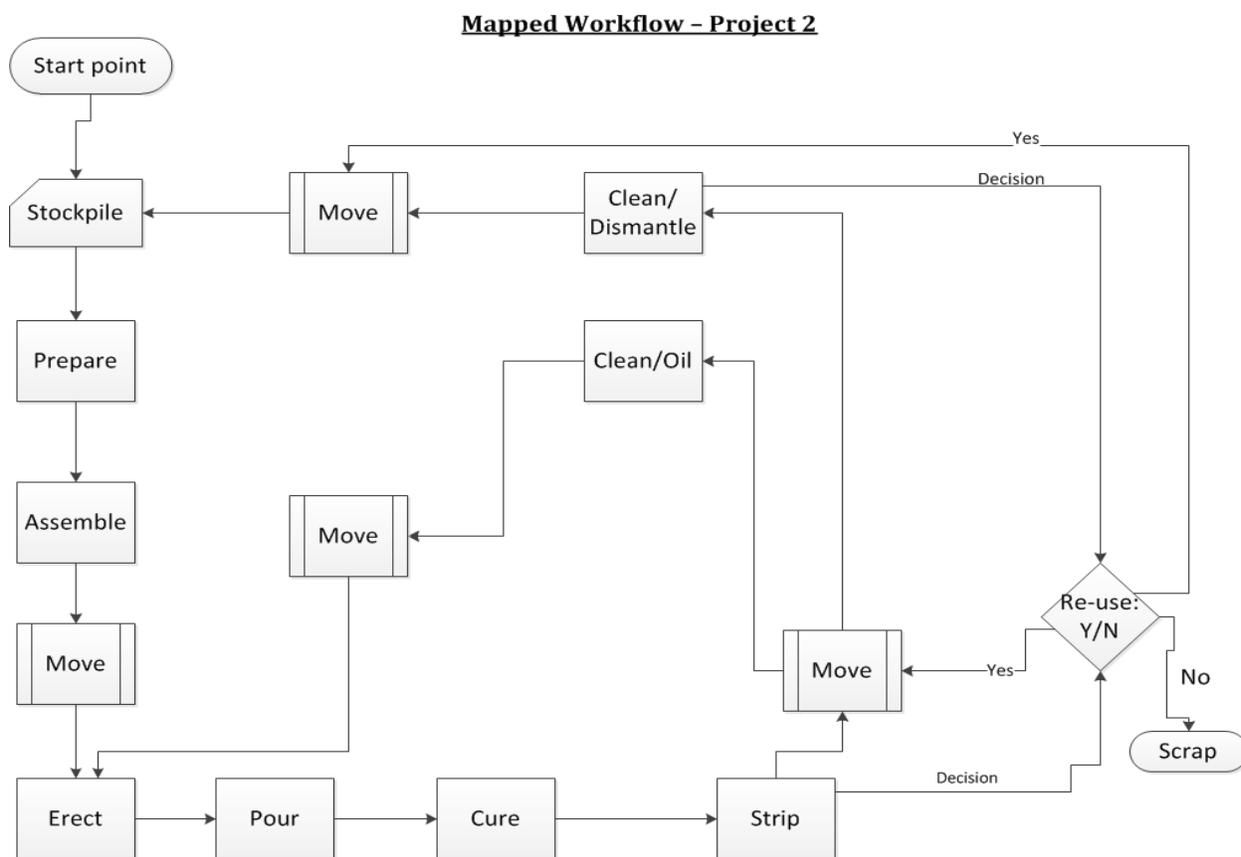


Figure 4.12: Mapped Workflows in Project 2

Similarly, there were two different types of vertical formwork observed in the third project – rented Aluma System forms, as well as handset forms. Handset forms were used in this project as foundation forms, as well as bulkheads on wall forms. The mapped workflow for this project shows the formwork use cycle for the handset wooden bulkhead forms. The first use

cycle of the bulkheads is the stockpile-prepare-move-assemble-erect-pour-cure-strip-move-clean-move-stockpile sequence represented in Figure 4.13. The second and last use cycle for the bulkheads begin after the stockpile step in the previous cycle and follows the sequence of move-erect-pour-cure-strip, ending with the decision to re-use or not.

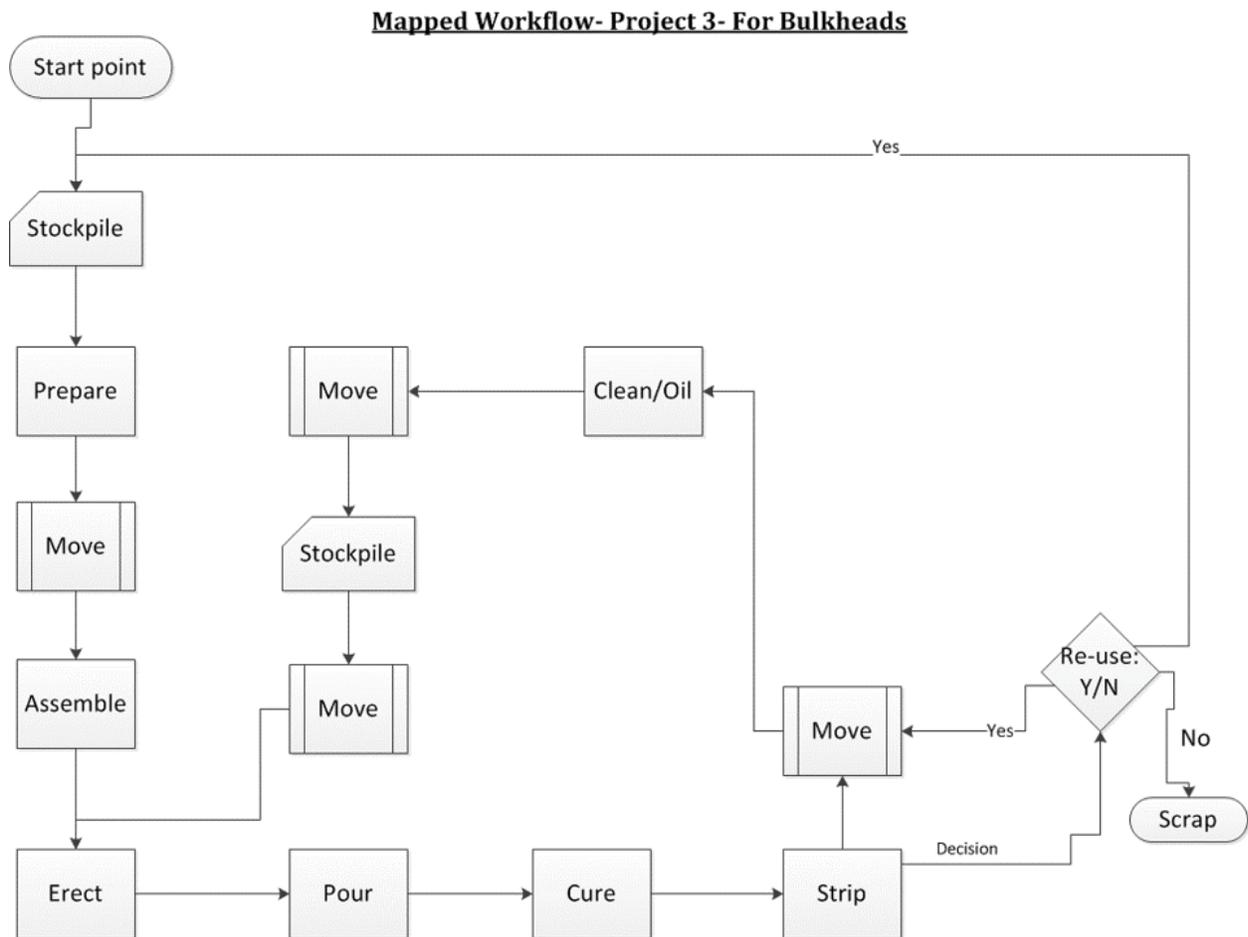


Figure 4.13: Mapped Workflows in Project 3

#### 4.4. OSHA CASE STUDY RESULTS

In order to assess worker safety risks associated with formwork, it was deemed necessary to collect and analyze data from publically available OSHA Fatality and Catastrophe Investigation Summaries so as to obtain an idea of the typical causes of injury associated with formwork. In the list of workplace injuries, illnesses, and fatalities available on the OSHA recordable incident database, there are 438 cases associated in some way with concrete formwork from 1984 to 2012. All 438 case summaries were reviewed to understand the

proportion of risk associated with each activity in the formwork cycle. A detailed statistical summary of the number of incidents can be seen in Table 4.1.

Table 4.1: OSHA Incident statistics according to Severity and Activity

| Activity  |                  | Assembly | Erection | Forming | Transportation | Pouring Concrete | Stripping | Other  | Not Specified |
|---|------------------|----------|----------|---------|----------------|------------------|-----------|--------|---------------|
| Near Miss   | No. of incidents | 0        | 0        | 0       | 0              | 1                | 0         | 0      | 1             |
|   | % of total*      | 0.00%    | 0.00%    | 0.00%   | 0.00%          | 0.89%            | 0.00%     | 0.00%  | 5.00%         |
| Low Severity  | No. of incidents | 1        | 4        | 1       | 1              | 6                | 3         | 3      | 1             |
|   | % of total*      | 5.00%    | 4.12%    | 2.38%   | 7.14%          | 5.36%            | 3.41%     | 6.67%  | 5.00%         |
| Medium Severity   | No. of incidents | 9        | 49       | 13      | 4              | 62               | 41        | 18     | 7             |
|   | % of total*      | 45.00%   | 50.52%   | 30.95%  | 28.57%         | 55.36%           | 46.59%    | 40.00% | 35.00%        |
| High Severity   | No. of incidents | 10       | 44       | 28      | 9              | 43               | 44        | 24     | 11            |
|   | % of total*      | 50.00%   | 45.36%   | 66.67%  | 64.29%         | 38.39%           | 50.00%    | 53.33% | 55.00%        |
| Total Number of incidents / activity  |                  | 20       | 97       | 42      | 14             | 112              | 88        | 45     | 20            |
|   | % of Net total** | 4.57%    | 22.15%   | 9.59%   | 3.20%          | 25.57%           | 20.09%    | 10.27% | 4.57%         |
| <p><i>Legend:</i><br/> * 'total' refers to the total number of incidents related to each activity<br/> ** 'Net total' refers to the total number (438) of incidents recorded, which are related to formwork use</p> |                  |          |          |         |                |                  |           |        |               |

Out of 438 incidents, 2 incidents were Near Misses (disruptive incidents that resulted in no injury, but had a significant impact on the project), 20 were of Low Severity (temporary discomfort/pain or minor first aid required, with limited impact on work time, but had significant impact on the project), 203 incidents of Medium Severity (major first aid required or medical case, along with lost work time greater than a day), and 213 incidents of High Severity (incidents leading to permanent disability or fatality), out of which 177 incidents were fatalities. It is to be noted that in Table 4.1, 'total' refers to the total number of incidents related to each activity, while 'Net total' refers to the total number of incidents recorded which are related to formwork

use, and is equal to 438. The distribution of injuries for each activity in the formwork cycle is shown in Figure 4.14.

Table 4.2 and Figure 4.15 show the number of fatalities associated with each activity, relative to the number of incidents of High Severity level associated with each activity. The largest number of fatalities (40 fatalities, 93.02% of High Severity incidents) has been reported for the activity of Pouring Concrete. It is worth noting that although it is not possible to distinguish between horizontal formwork and vertical formwork in many cases, most incidents of high severity related to Pouring Concrete occurs during the use of horizontal formwork.

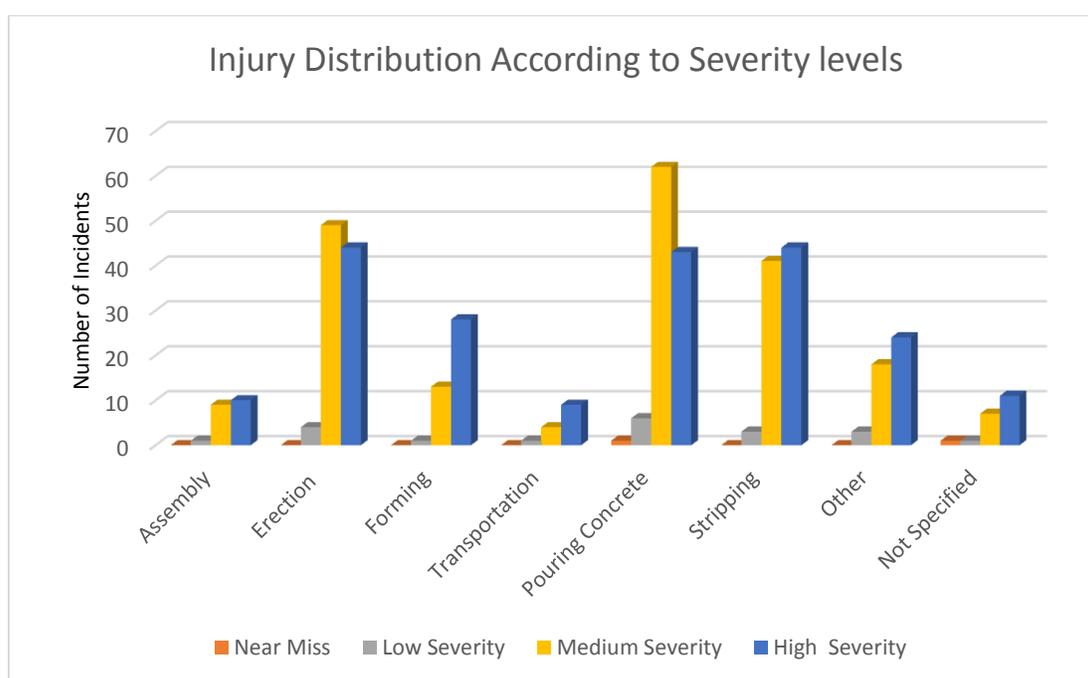


Figure 4.14: Number of Incidents categorized by Activities

The number of fatalities was also classified according to the activity being performed at the time occurrence of the incident. The percent of fatalities relative to the number of incidents of high severity, as well as to the number of total incidents in each category can be found in Table 4.2. As seen in Figure 4.16, the activity with the largest number of fatalities was Pouring Concrete (40 fatalities) followed by Stripping (38 fatalities). Other activities with a large number of fatalities were Erection of formwork (29 fatalities) and Forming (22 fatalities). The Other category (23 fatalities) specifies incidents in which the worker involved in the incident was performing another activity completely independent of any concrete forming activities. The Not

Specified category contains the number of incidents in which it is not possible to determine the ongoing activity due to a lack of detail in the summary.

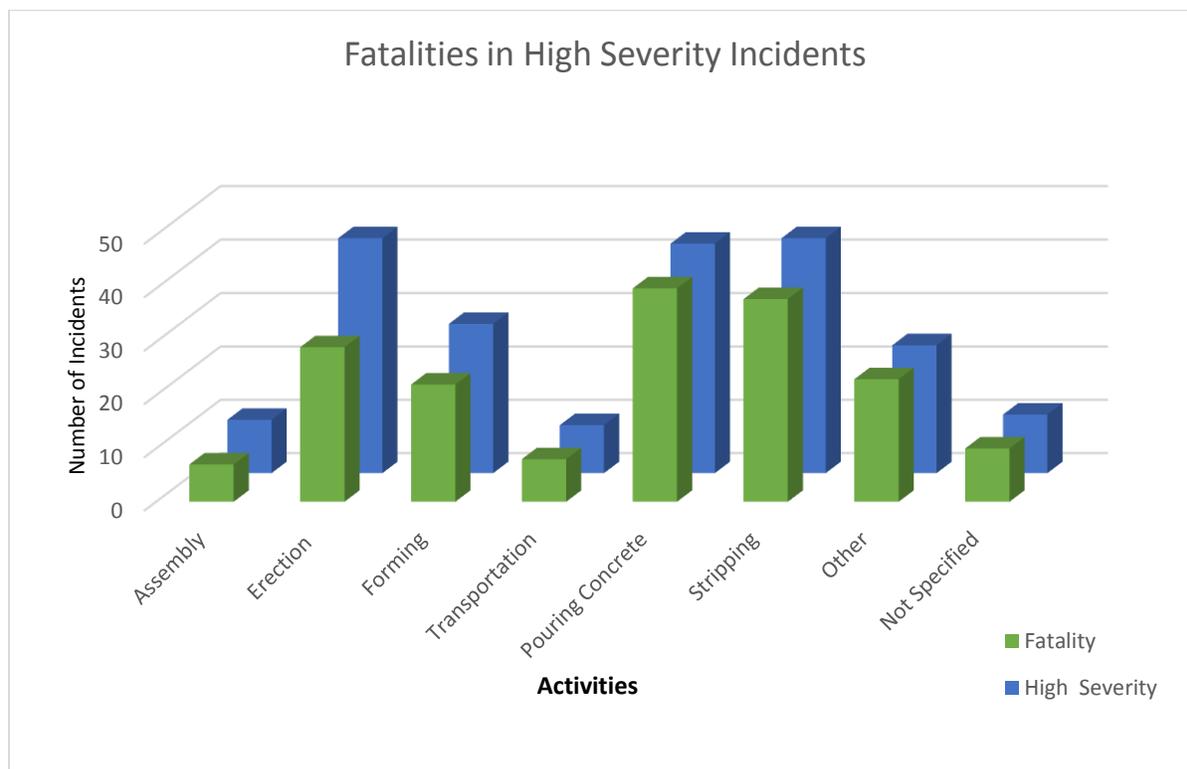


Figure 4.15: Fatalities Relative to High Severity Incidents

Table 4.2: Fatalities associated with each activity, relative to High Severity

| Activity             |                         | Assembly | Erection | Forming | Transportation | Pouring Concrete | Stripping | Other  | Not Specified |
|----------------------|-------------------------|----------|----------|---------|----------------|------------------|-----------|--------|---------------|
| <b>High Severity</b> | <b>No. of incidents</b> | 10       | 44       | 28      | 9              | 43               | 44        | 24     | 11            |
| <b>Non-fatality</b>  | <b>No. of incidents</b> | 3        | 15       | 6       | 1              | 3                | 6         | 1      | 1             |
| <b>Fatality</b>      | <b>No. of incidents</b> | 7        | 29       | 22      | 8              | 40               | 38        | 23     | 10            |
|                      | <b>% of High</b>        | 70.00%   | 65.91%   | 78.57%  | 88.89%         | 93.02%           | 86.36%    | 95.83% | 90.91%        |

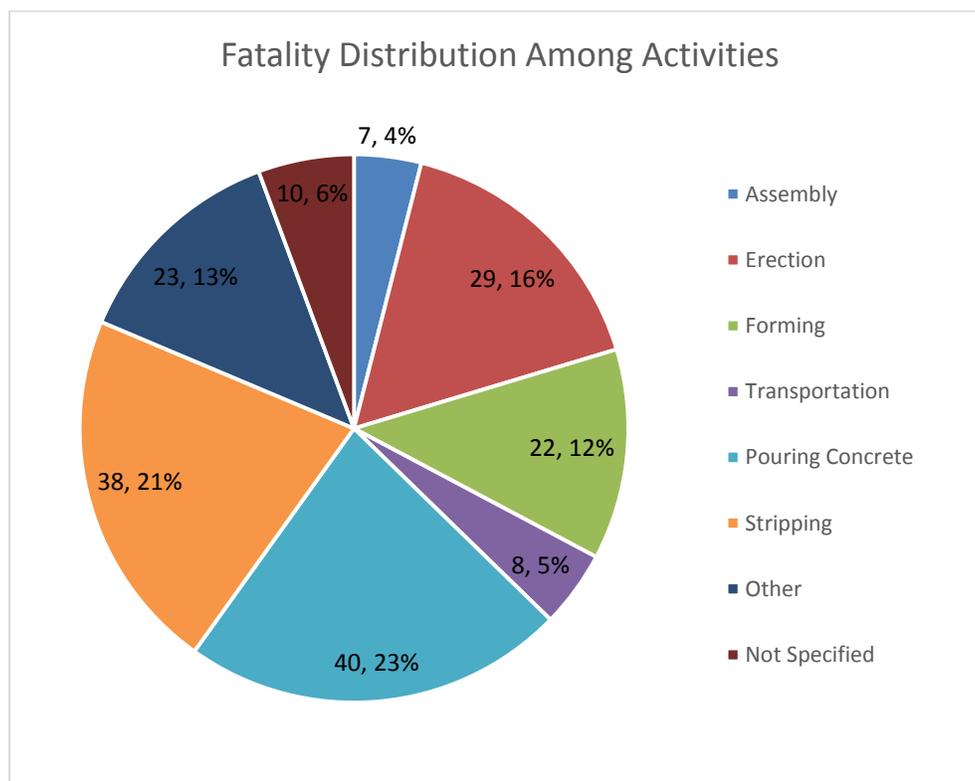


Figure 4.16: Percent of Fatalities in each Activity Category

The lower number of Near Misses and Low Severity injuries could be attributed to the fact that most incidents which meet the definitions for these types of injuries do not fall into the category of an OSHA recordable injury. Since OSHA Fatality and Catastrophe summaries do not provide in-depth detail of every incident, in many cases, it is not possible to determine the exact conditions and type of formwork being worked on at the time of incident, i.e., horizontal formwork or vertical formwork, the safety culture, surrounding hazards, and the specific activity performed by the worker involved in the incident. Most importantly, the root cause of the incident itself is not described in detail. The case summaries in some incidents do not give a clear idea of the accident scenario, which could lead to the incident being assigned to a different severity level. It worth noting that for some incidents there was just sufficient information available to understand that the employee was engaged in preparation, assembly, or erection of formwork during the occurrence of the incident, but not enough to assign the incident specifically to any of the mentioned activity categories. For such cases, the activities were assigned to the activity of Forming, which comprises both Assembly and Erection activities.

## 4.5. LABORATORY TESTING RESULTS

The results obtained from the bending tests (Third point bending) and rolling shear tests (Five point bending) of the formwork specimens prepared from samples collected from the monitored projects are presented in this section.

### 4.5.1. THIRD POINT BENDING (BENDING)

Plywood specimens of 0 uses, 2 uses, 5 uses, 8 uses, 11 uses and 14 uses were tested from Project 1, and the maximum load and the induced bending stress values obtained are shown in this section. The test statistics of average maximum load and average maximum bending moment per use, for all specimens prepared from Project 1 samples can be seen in Table 4.3, corresponding to Figure 4.17 and Figure 4.18 respectively.

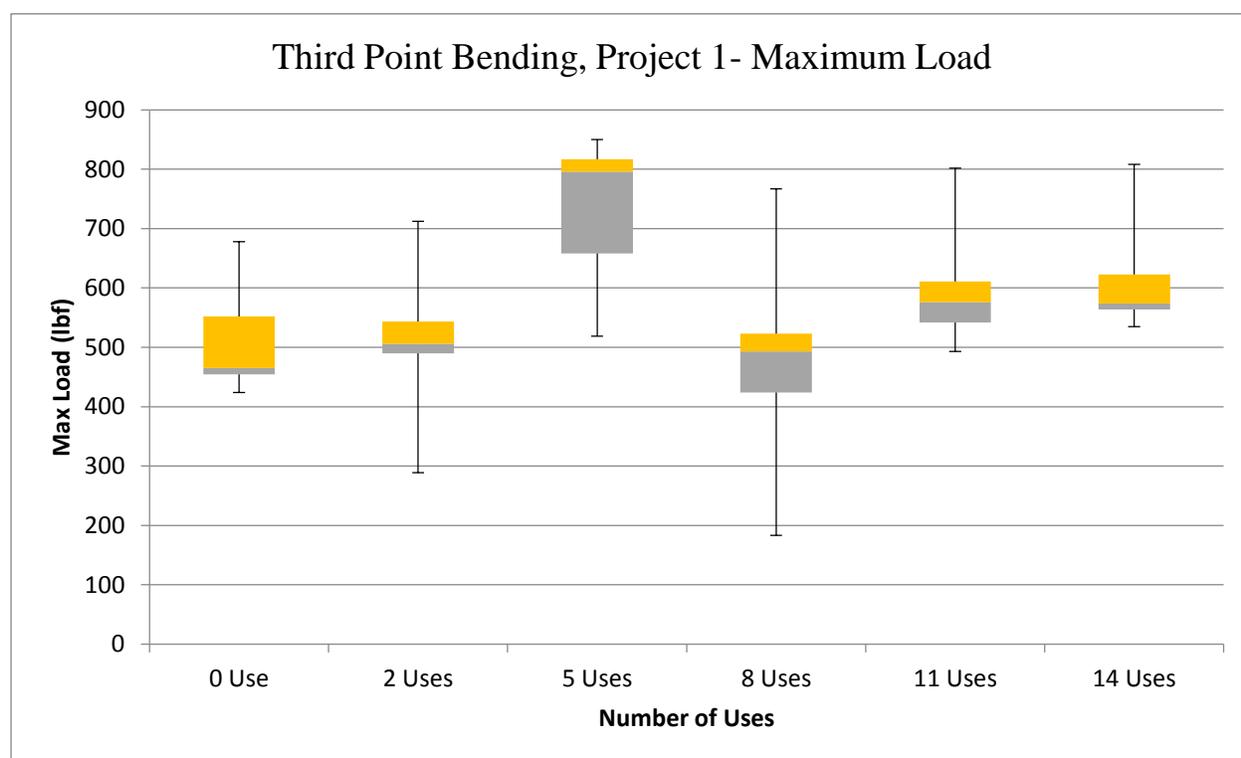


Figure 4.17: Box Plot, Maximum Load from Bending tests, Project 1

It can be seen that the average maximum load decreases from 0 uses to 2 uses, increases to the highest value at 5 uses, and comes down to the lowest value at 8 uses. The average maximum loads for 11 uses and 14 uses are close, falling lower than the value for 5 uses, yet above the average maximum load value for 0 use. The test statistics for average bending moment

per use follow the same trend as the average maximum load: the highest average bending moment value corresponded to 5 uses, followed by 14 uses, 11 uses, 0 uses, 2 uses, and 8 uses at the lowest. The median value trend is the same as the mean value trend in both cases.

Table 4.3: Test Statistics for Third point bending tests, Project 1

| # of Uses | Mean Max Load | Std Dev. Max Load | Mean Bending moment | Std Dev. Bending moment | COV      |
|-----------|---------------|-------------------|---------------------|-------------------------|----------|
|           | (lbf)         | (lbf)             | (lbf.in)            | (lbf.in)                | %        |
| 0         | 513.8         | 85.47961          | 2226.55             | 370.41                  | 17.01425 |
| 2         | 501.3         | 106.6865          | 2172.18             | 462.31                  | 21.76684 |
| 5         | 743.8         | 107.9378          | 3223.21             | 467.73                  | 14.84111 |
| 8         | 484.0         | 148.5254          | 2097.33             | 643.61                  | 31.3845  |
| 11        | 593.9         | 88.1311           | 2573.61             | 381.90                  | 15.17641 |
| 14        | 605.7         | 80.25222          | 2624.82             | 347.76                  | 13.55001 |

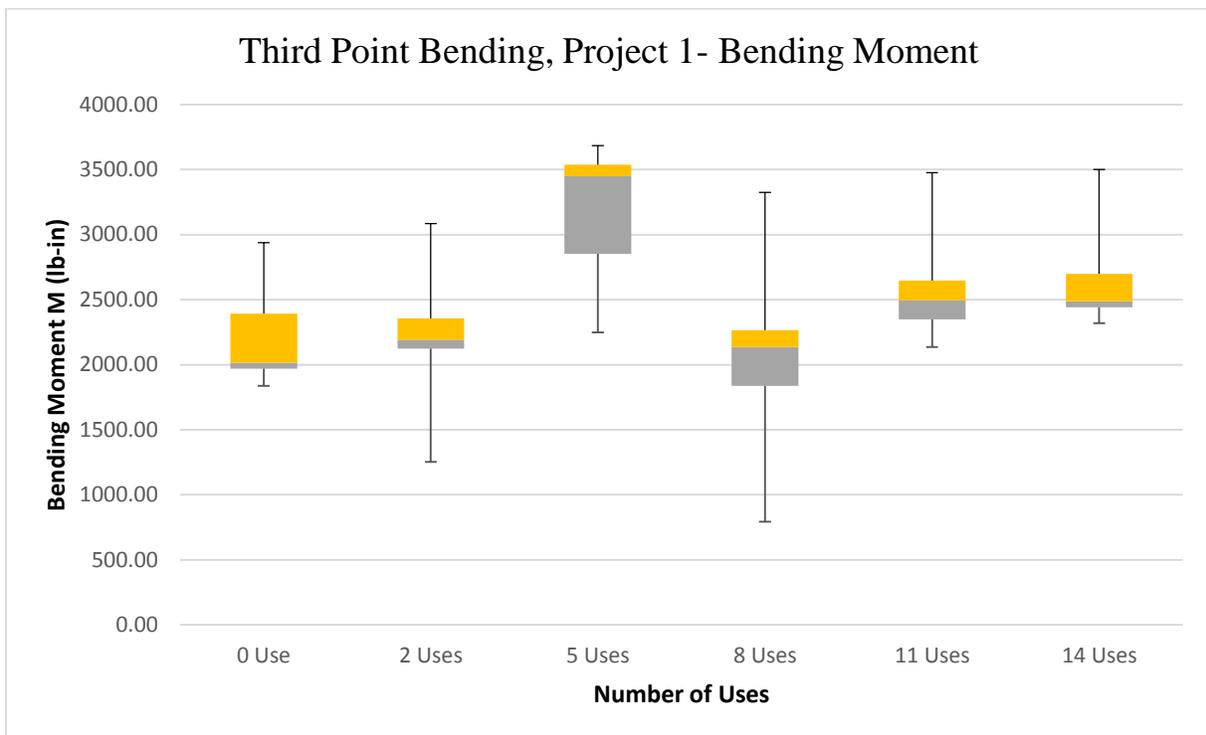


Figure 4.18: Box Plot, Calculated Bending Moment from Bending tests, Project 1

The test statistics for the average maximum load per use and the calculated bending moment per use for specimens prepared from Project 3, using Third point bending tests can be viewed in Table 4.4. The corresponding box plots can be seen in Figure 4.19 and Figure 4.20 respectively. The test statistics for maximum load show that specimens with 0 uses had the highest average capacity, followed by samples with 2 uses, and finally with 1 use. However, the median maximum load shows a slightly different trend, with 0 uses being the highest, followed by 1 use, and then 2 uses.

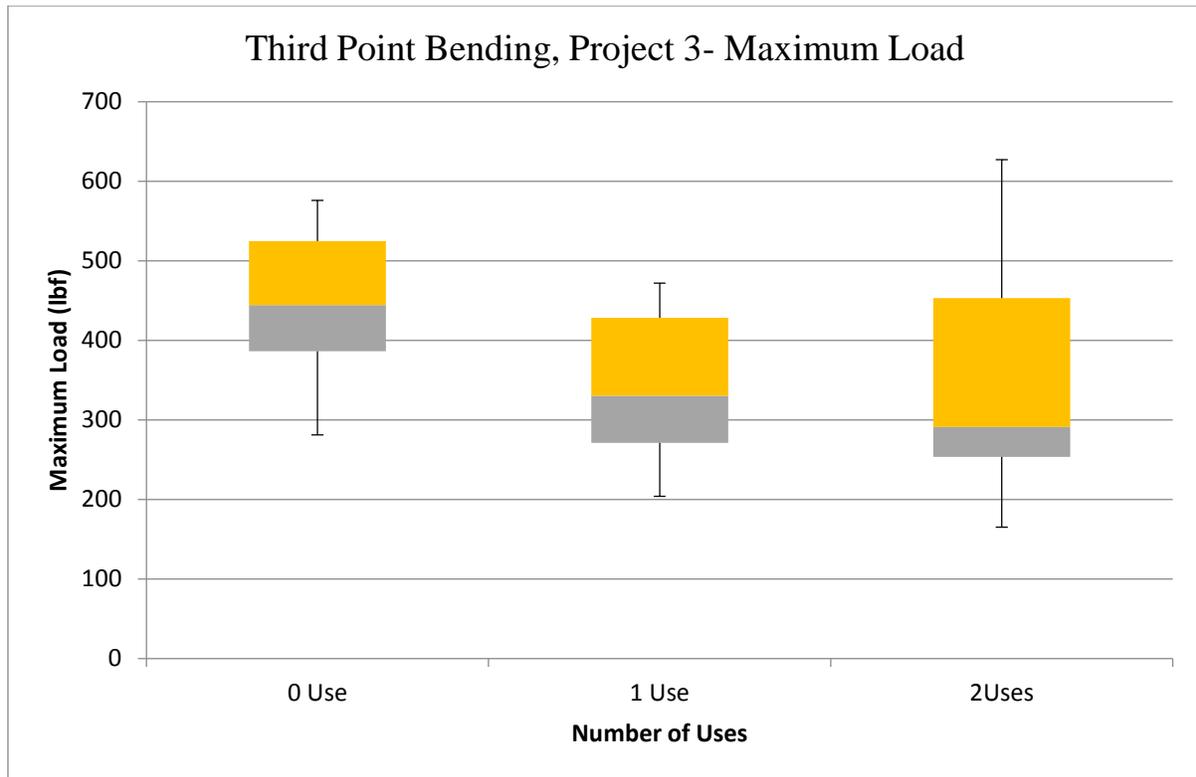


Figure 4.19: Box Plot, Maximum Load from Bending tests, Project 3

Table 4.4: Test Statistics for Third Point bending tests, Project 3

| # of Uses | Mean Max Load/use (lbf) | Std Dev. Max Load/Use (lbf) | Mean M/use (lbf.in) | Std Dev. M/Use (lbf.in) | COV (%) |
|-----------|-------------------------|-----------------------------|---------------------|-------------------------|---------|
| <b>0</b>  | 443.00                  | 96.37                       | 1605.88             | 349.34                  | 22.21   |
| <b>1</b>  | 337.50                  | 91.62                       | 1223.44             | 332.11                  | 27.71   |
| <b>2</b>  | 347.71                  | 135.09                      | 1260.46             | 489.69                  | 39.66   |

The test statistics for the average bending moment per use for specimens prepared from Project 3, calculated using the maximum loads obtained can be viewed in Table 4.4 and Figure 4.20. The test statistics for bending moment exhibit the same trend, in descending order - 0 use, 2 uses, and 1 use – and the value of the median bending moment is 0 uses being the highest, followed by 1 use, and then 2 uses.

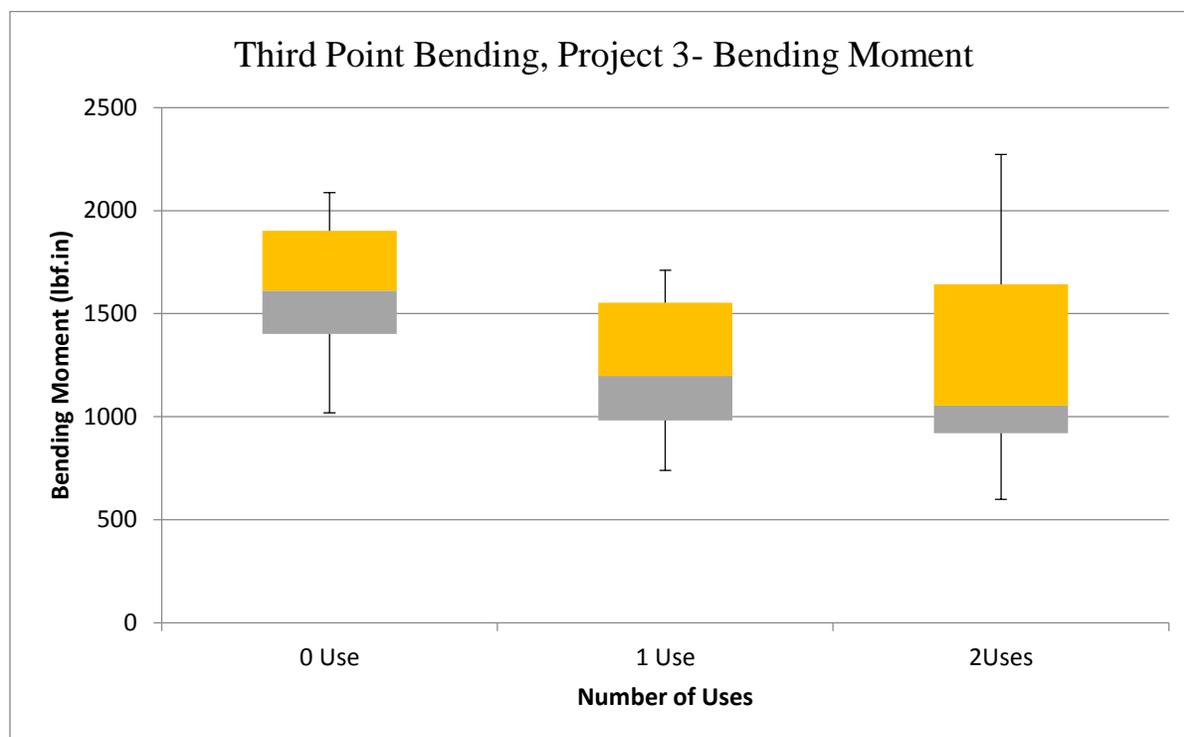


Figure 4.20: Box Plot, Calculated Bending Moment from Bending tests, Project 3

#### 4.5.2. FIVE POINT BENDING (SHEAR)

The test statistics for the average maximum load per use and the induced shear stress per use for specimens prepared from Project 1 tested using five point bending shear tests can be viewed in Table 4.5, and the corresponding box plots can be seen in Figure 4.21 and Figure 4.22. The test statistics for maximum load show that specimens with 8 uses had the highest average capacity, followed by samples with 5 uses, 0 uses, 2 uses, 11 uses, and finally with 14 uses, in the descending order. The median values also show the same trend.

The test statistics for average induced shear stress show that specimens with 8 uses had the highest average capacity, followed by samples with 5 uses, 0 uses, 2 uses, 14 uses, and finally with 11 uses, in the mentioned order. The median values follow a different order - 5 uses, 8 uses, 0 uses, 2 uses, 11 uses, and 14 uses – in descending order of magnitude.

Figure 4.21: Box Plot, Maximum Load from Rolling Shear tests, Project 1

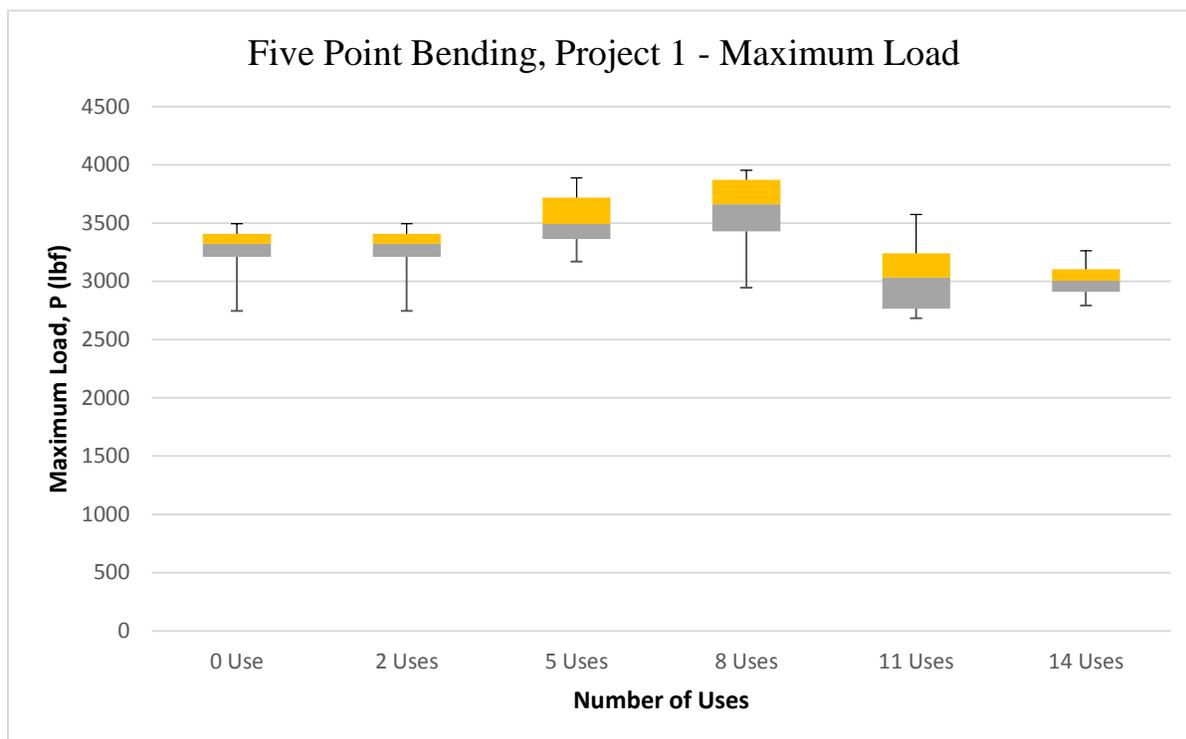


Table 4.5: Test Statistics for Five point bending tests, Project 1

| # of Uses | Mean Max Load/Use | Std Dev. Max Load/Use | COV  | Mean Induced Shear stress/Use | Std Dev. Induced Shear stress/Use | COV  |
|-----------|-------------------|-----------------------|------|-------------------------------|-----------------------------------|------|
|           | (lbf)             | (lbf)                 | %    | (psi)                         | (psi)                             | %    |
| <b>0</b>  | 3517.88           | 169.06                | 4.91 | 533.03                        | 27.97                             | 5.38 |
| <b>2</b>  | 3266.78           | 230.39                | 7.21 | 487.94                        | 30.56                             | 6.42 |
| <b>5</b>  | 3539.76           | 234.60                | 6.78 | 551.13                        | 39.16                             | 7.28 |
| <b>8</b>  | 3608.45           | 319.11                | 9.04 | 554.16                        | 51.83                             | 9.59 |

| # of Uses | Mean Max Load/Use | Std Dev. Max Load/Use | COV   | Mean Induced Shear stress/Use | Std Dev. Induced Shear stress/Use | COV  |
|-----------|-------------------|-----------------------|-------|-------------------------------|-----------------------------------|------|
| 11        | 3035.26           | 298.89                | 10.07 | 443.24                        | 37.85                             | 8.75 |
| 14        | 3025.93           | 157.74                | 5.33  | 463.07                        | 33.46                             | 7.41 |

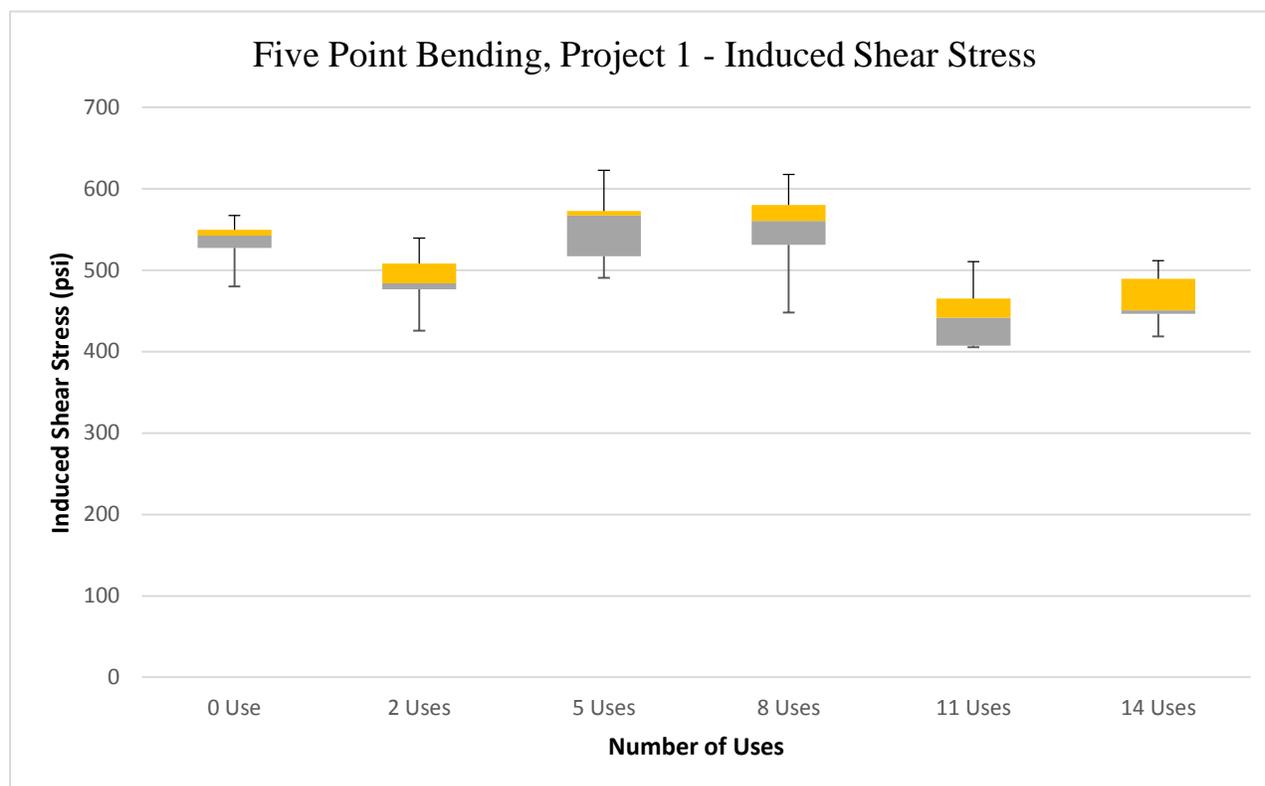


Figure 4.22: Box Plot, Induced Shear Stress from Rolling Shear tests, Project 1

The mean maximum load per use and induced shear stress per use for specimens prepared from Project 3 samples, obtained by five point bending, have the test characteristics shown in Table 4.6. The corresponding boxplots can be found in Figure 4.23 and Figure 4.24 respectively. The magnitude of the test value for the average maximum load per use, in descending order, is :1 use, 2 uses, and 0 use. The median values also exhibit the same trend.

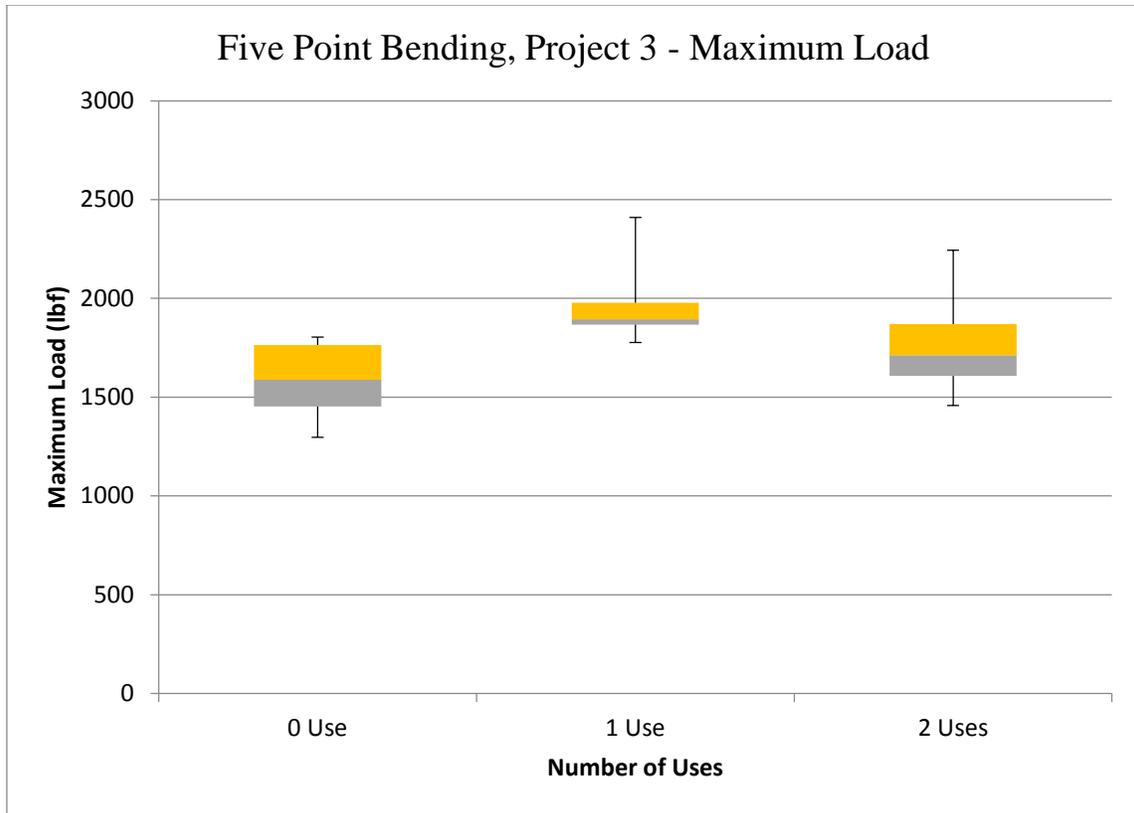


Figure 4.23: Box Plot, Maximum Load from Rolling Shear tests, Project 3

Table 4.6: Test Statistics for Five point bending tests, Project 3

| # of Uses | Average Max Load/Use | Std Dev. Max Load/use | COV  | Average Induced Shear Stress | Std Dev. Induced Shear Stress | COV   |
|-----------|----------------------|-----------------------|------|------------------------------|-------------------------------|-------|
|           | (psi)                | (psi)                 | %    | (psi)                        | (psi)                         | %     |
| <b>0</b>  | 1628.37              | 148.93                | 9.34 | 399.85                       | 191.85                        | 48.98 |
| <b>1</b>  | 1891.56              | 63.02                 | 3.40 | 468.87                       | 219.90                        | 47.88 |
| <b>2</b>  | 1675.36              | 146.24                | 8.91 | 405.15                       | 191.63                        | 48.28 |

For the average induced shear stress per use for specimens prepared from Project 3, the trend of mean and median values exhibited is the same as the trend exhibited by the average maximum load for Project 3 specimens obtained by five point bending tests - 1 use, 2 uses and 0 uses – in the decreasing order of magnitude.

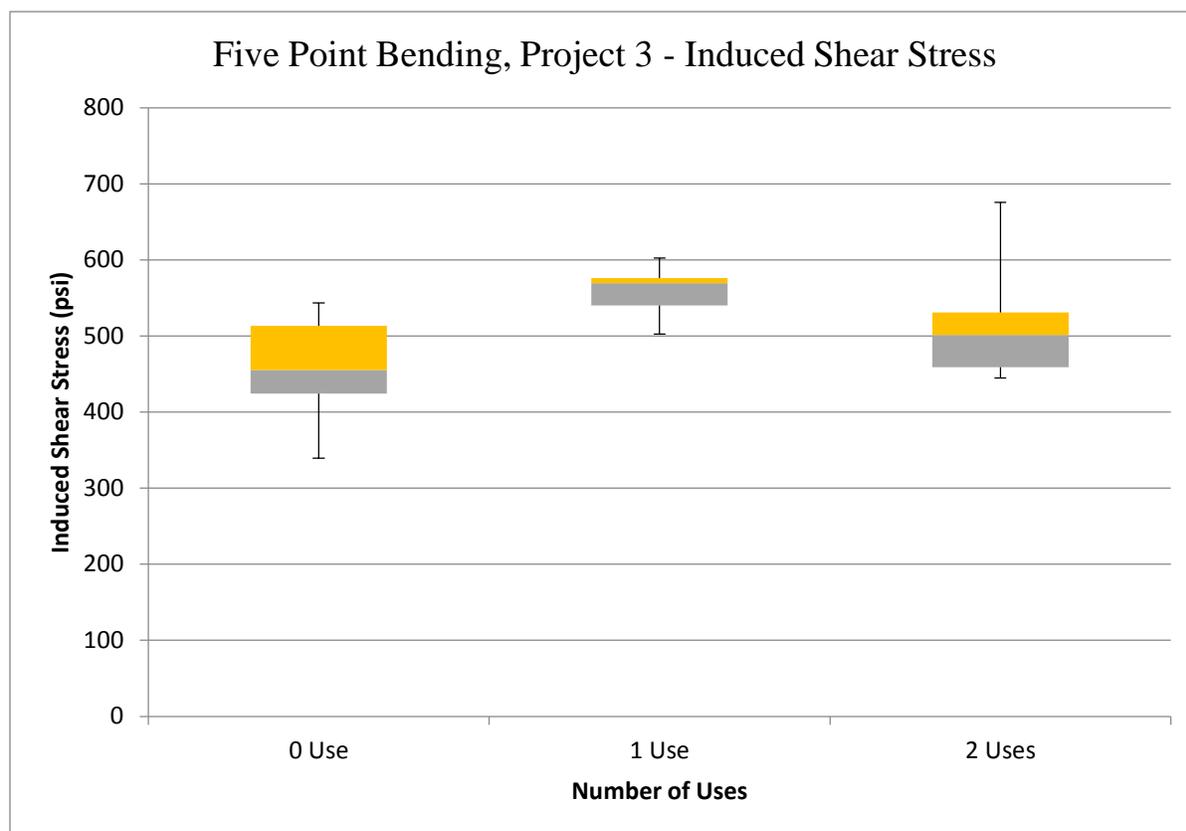


Figure 4.24: Box Plot, Induced Shear Stress from Rolling Shear tests, Project 3

### 4.5.3. DISCUSSION OF TESTING RESULTS

The testing results, presented in Chapter 4, exhibit no particular trend. The expected trend was that the sample specimens with lower number of uses would exhibit higher capacity, with specimens of 0 uses having the maximum value. However, this was not observed in the testing. The possible reasons for this are discussed in this section.

It was found that keeping track of the number of uses is not something usually done in the industry. In the cases where sheets of plywood were used for the first time on any of the three projects, it was possible for the research team to track the number of uses the plywood was subjected to. The primary reason for not conducting any testing on the sample panels obtained

from Project 2 was that apart from the new sheets of plywood, there were no means available to identify with any certainty the number of uses that the used plywood panels had been subjected to.

From both projects, samples were collected as panels of varying sizes, and there was often only one panel with a certain confirmed number of uses available. The variation of properties in plywood can be large, as can be seen from the large coefficients of variation obtained by testing specimens prepared from one or two panels. In addition to this, there could be even more unaccounted variation introduced into the test data as the samples of different uses obtained could have come from different sources, as the samples may be made out of different types of wood, glue or manufactured in a different way. It is assumed that the sample panels at least come from the same manufacturer, as companies use the same supplier for plywood through extended periods of time. Even then, it is not necessary that all samples belong to the same batch.

In this study, significant variations were observed in the dimensions of the specimens prepared from the obtained samples, and the variation in thickness of the sample specimens. These variations were accounted for by measuring the thickness and width of each specimen at four different points on the specimen and using an average value thus obtained for subsequent calculations. All the tests were set up and carried out by the same operator in an effort to minimize variations in the testing method and the test setup.

Additionally, from the formwork monitoring, it was observed that some formwork panels had different exposure patterns depending on the concrete pour schedule and the weather conditions. Some forms were exposed to rain and to additional stresses during storage, such as workers climbing on them. Furthermore, some formwork panels were subjected to alternate use before being reused (For example, formwork panel being used as a work platform). Variations due to these exposures are not accounted for in the testing.

## **CHAPTER 5. RISK AND RELIABILITY ASSESSMENT**

This section contains the details of the risk and reliability calculations performed based on the obtained data. It contains a summary of the results of the safety risk survey, a discussion and analysis of the survey results and the risk model developed in @Risk, and the reliability assessment performed using the testing results and other calculations.

### **5.1. SAFETY RISK SURVEY**

Thirty two responses were obtained from safety surveys and analyzed. The results of the survey are summarized in this section. All respondents worked on different construction projects in Northwest Oregon, and were members of the Pacific Northwest Regional Council of Carpenters (PNRCC). Additionally, all respondents belonged to the carpentry trade, as carpenters work with formwork on the majority of projects. The first section of the survey gathered information about trade, affiliated organization, and number of years of experience. The statistics for the number of years can be seen in Figure 5.1. Twenty-five percent of the respondents had 25 or more years of work experience. The next section of the survey, which is the main section of the survey, asked the respondents to rate the frequency of injuries of different severities as well as the time spent by the worker working on each activity.

A portion of the safety survey with example responses can be seen in Table 5.1. As seen in the mapped workflow for general formwork use, there are 18 steps, out which 13 activities were deemed to pose some amount of risk to the workers involved. Each row in the survey corresponds to an activity, in sequential order. The first column to be filled in asks the respondents to enter the percent of time spent working on each activity. The second column requires the frequency of near miss incidents, rated according to the frequency scale provided in Chapter 3. Similarly, the third, fourth and fifth columns ask the respondent to fill in the frequency of low, medium, and high severity incidents corresponding to the activities in each row.

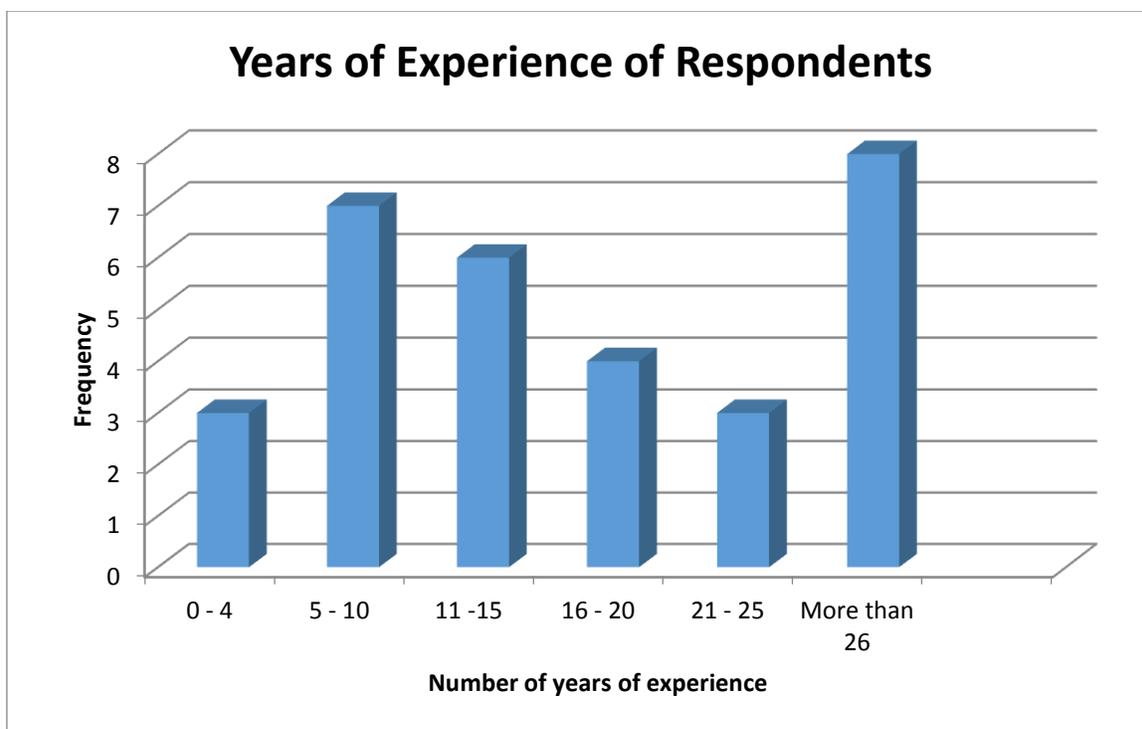


Figure 5.1: Experience of Respondents, in years

Table 5.1: Sample of Safety Survey Response (Partial)

| ACT. NO. | ACTIVITY EXPOSURE* (%) | ACTIVITY    | ACTIVITY DESCRIPTION  | POSSIBLE FREQUENCY OF INJURY<br>(Frequency of injury on a scale of 0 – 10) |              |                 |               |
|----------|------------------------|-------------|---|--|--------------|-----------------|---------------|
|          |                        |             |   | Near Miss  | Low Severity | Medium Severity | High Severity |
|          | 20                     | Moving      | Unloading and carrying plywood/wood/other form components from trucks to stockpile on site  | 7  | 5            | 4               | 2             |
|          | 40                     | Preparation | Cutting plywood/2x's into the necessary sizes and shapes required to construct a formwork panel using a handsaw, saw horses, etc. | 9  | 7            | 5               | 3             |

\*The total sum of exposures does not need to add up to a 100%

Average frequency values were calculated, and the average exposure of respondents to the risk associated with each activity was calculated. The mean responses obtained for each of the five input columns are displayed in Table 5.2. It can be seen that the largest value for exposure is 40%, for assembling forms (Activity No. 4), meaning the respondents, as an average, perceive that the longest duration of exposure to any existing risk occurs during the activity of assembling forms. The highest average frequency value is 6, or an incident every six months for near miss incidents during the activity of Moving. The average is calculated here rather than the median or mode, as the available responses would be further used as inputs into the risk simulation software @Risk, to obtain the total unit risk and the total cumulative risk, as well as to identify the activities that affect the total risk values the most.

Table 5.2: Average Values of Responses obtained

| Act. No. | Activity                | AVERAGE           |                               |                                  |                                     |                                   |
|----------|-------------------------|-------------------|-------------------------------|----------------------------------|-------------------------------------|-----------------------------------|
|          |                         | Activity Exposure | Near Miss (Severity/incident) | Low Severity (Severity/incident) | Medium Severity (Severity/incident) | High Severity (Severity/incident) |
| 1        | Stockpile               | 15.56             | 5.33                          | 4.89                             | 3.22                                | 1.78                              |
| 2        | Preparation             | 37.78             | 5.00                          | 5.11                             | 3.56                                | 2.11                              |
| 3        | Moving (Optional)       | 32.22             | 6.00                          | 5.67                             | 3.44                                | 2.00                              |
| 4        | Assembling Forms        | 40.00             | 5.56                          | 5.00                             | 3.33                                | 2.22                              |
| 5        | Stacking Prepared Forms | 17.78             | 5.22                          | 5.00                             | 3.33                                | 1.67                              |
| 6        | Moving                  | 15.56             | 5.11                          | 5.00                             | 3.67                                | 2.22                              |
| 7        | Erection/Placing Forms  | 34.44             | 5.67                          | 5.00                             | 3.56                                | 2.56                              |
| 8        | Pouring Concrete/Curing | 20.56             | 4.67                          | 4.33                             | 3.00                                | 2.33                              |
| 9        | Stripping Forms         | 25.56             | 5.67                          | 5.33                             | 3.33                                | 2.00                              |
| 10       | Move forms              | 16.67             | 5.44                          | 4.67                             | 3.33                                | 1.56                              |

| Act. No. | Activity                    | AVERAGE           |                                |                                   |                                      |                                    |
|----------|-----------------------------|-------------------|--------------------------------|-----------------------------------|--------------------------------------|------------------------------------|
|          |                             | Activity Exposure | Near Miss (Severity/ incident) | Low Severity (Severity/ incident) | Medium Severity (Severity/ incident) | High Severity (Severity/ incident) |
| 11       | Dismantling/ Cleaning Forms | 15.56             | 4.78                           | 4.11                              | 2.78                                 | 1.11                               |
| 12       | Move forms/ Form Components | 20.00             | 4.89                           | 4.33                              | 3.00                                 | 1.44                               |
| 13       | Stack/ Stockpile Forms      | 17.22             | 5.11                           | 4.56                              | 3.44                                 | 1.56                               |

The final section of the safety survey posed an open ended question to the respondents regarding the factors that increase or decrease the risk of injury while working with formwork. The most frequent responses obtained were tabulated and can be seen in Table 5.3. A summary containing the responses obtained from the safety survey can be viewed in Appendix III-B.

Table 5.3: Factors that affect risk of injury

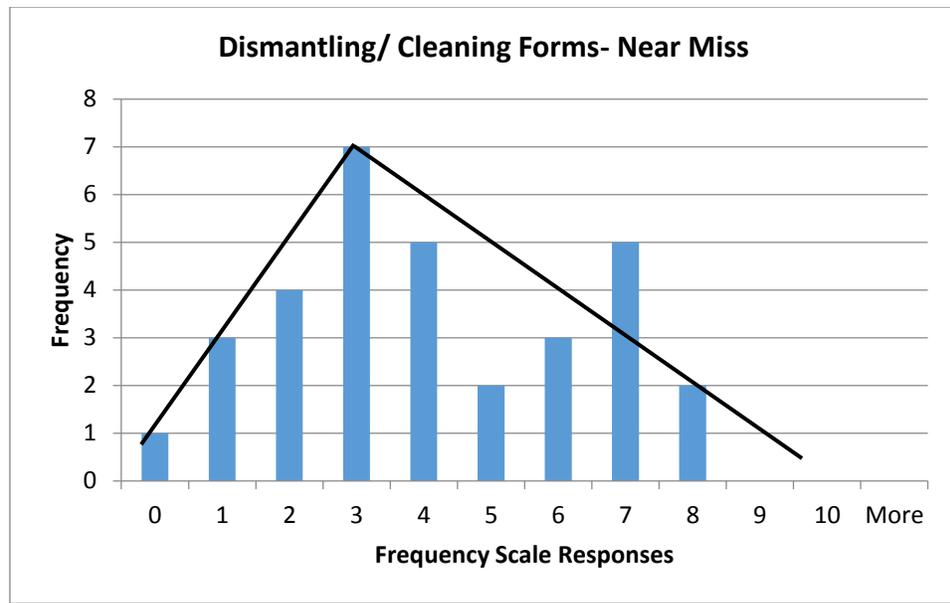
| Factors that <u>increase</u> the risk of injury | Factors that <u>decrease</u> the risk of injury |
|---|---|
| Weather Conditions                              | Pre-task planning                               |
| Tight Schedule                                  | Collect tools and supplies                      |
| Drug/Alcohol abuse                              | Effective communication                         |
| Lack of proper tools/ equipment                 | Good Morale                                     |
| Lack of sleep                                   | Proper access and use of proper safety gear     |
| Lack of experience, training                    | Sufficient sleep                                |
| Lack of Communication                           | Team work                                       |
| Lifting too much weight                         | Clean/Tidy site                                 |

## 5.2. RISK ASSESSMENT

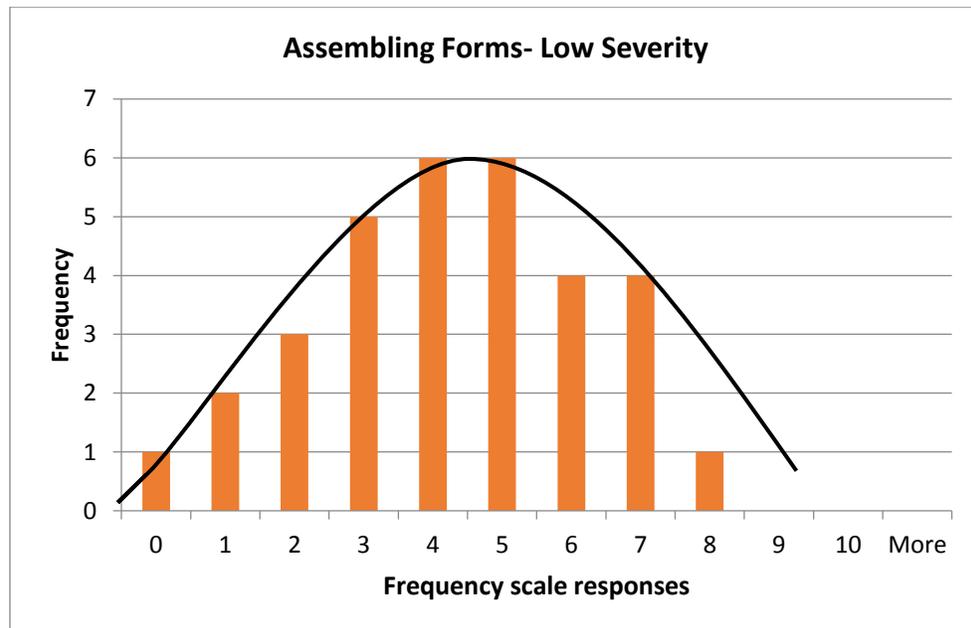
Assessment of the risk to workers carrying out various formwork use activities was carried out using a safety survey. The responses obtained were used to calculate quantitative unit risk and cumulative risk values for each activity, as well as to calculate total unit risk and total cumulative risk for an entire formwork use cycle. One variable was obtained from the frequency response for each activity and severity level, making it 52 variables in total. In addition to these 52 frequency variables, the exposure for each activity was also considered. Thus, the total number of variables was 65.. Each variable was assigned an appropriate probability distribution functions (PDF). To do so, histograms of each of the variables were examined, and an appropriate PDF assigned by shape. For additional validation, cumulative distribution plots showing the empirical cumulative distribution against the expected cumulative distribution were constructed for each variable to confirm that the distribution used is appropriate. From the histograms, it is visible that the frequency values for each severity level approximately follow the same trend.

In the case of Near misses, the histograms showed no particular trend, and did not seem indicative of the commonly used normal or lognormal PDF. PERT (Program Evaluation and Review Technique) and Triangular distributions are very widely used to model uncertainties during risk modeling. The PERT distribution is similar to the normal distribution, the main difference being that additional weight is given to the mean or expected value during calculations. The triangular PDF is a triangularly-shaped distribution, and requires the maximum, minimum, and most likely value as distribution parameters. The model has been set up in such a way that the frequency value for variables in the four different severity levels are always within the range of 0 and 1, while the exposure values are set up to never go below 0. The histograms and cumulative distribution plots for determining the appropriate PDF can be found in Appendix III-A and B respectively. An example of histograms obtained has been provided below to demonstrate the distribution trend in each category. The following PDF were assigned to variables of each severity level:

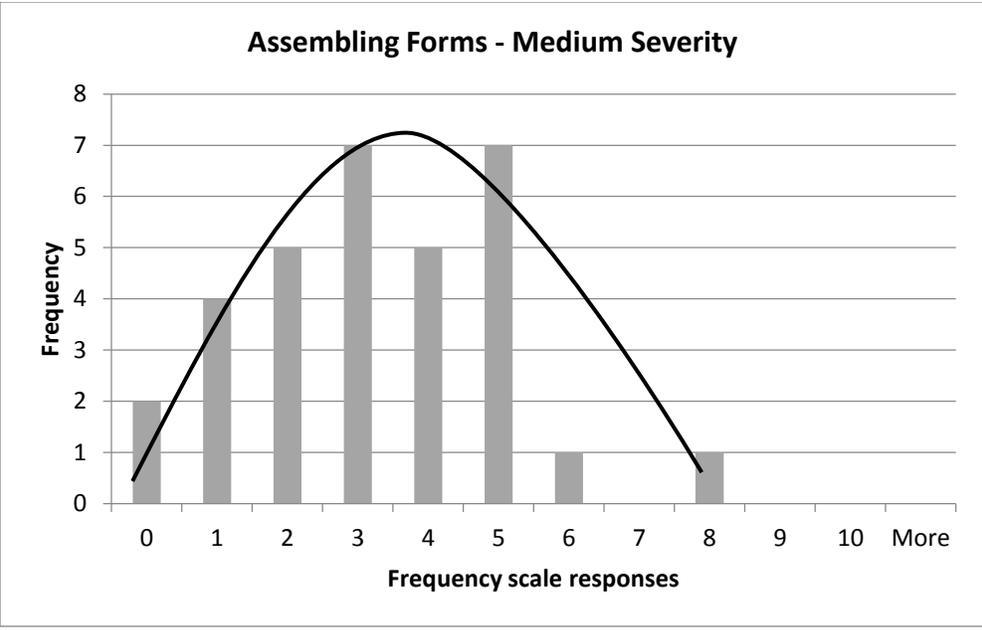
- Near Misses – Triangular Distribution
  - For the activity of dismantling and cleaning formwork or formwork components, the histogram is as follows:



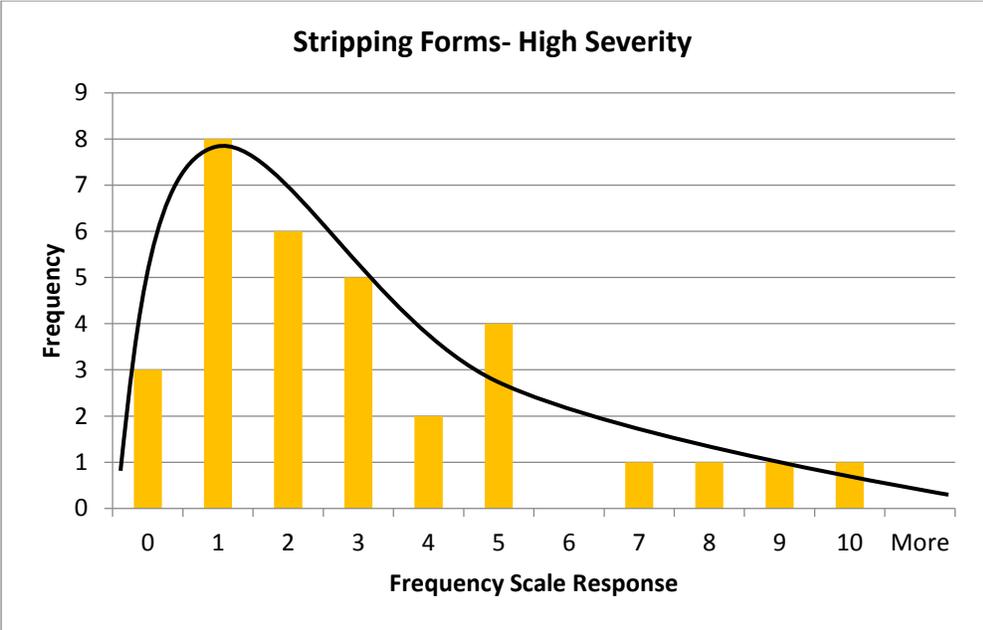
- Low Severity – Normal Distribution
  - For the activity of assembling forms, the histogram is as follows:



- Medium Severity – Normal Distribution
  - For the activity of assembling forms, the histogram is as follows:



- High Severity – Lognormal Distribution
  - For the activity of stripping forms, the histogram is as follows:



- Activity Exposure – Lognormal Distribution
  - For the activity of stacking prepared forms, the histogram is as follows:

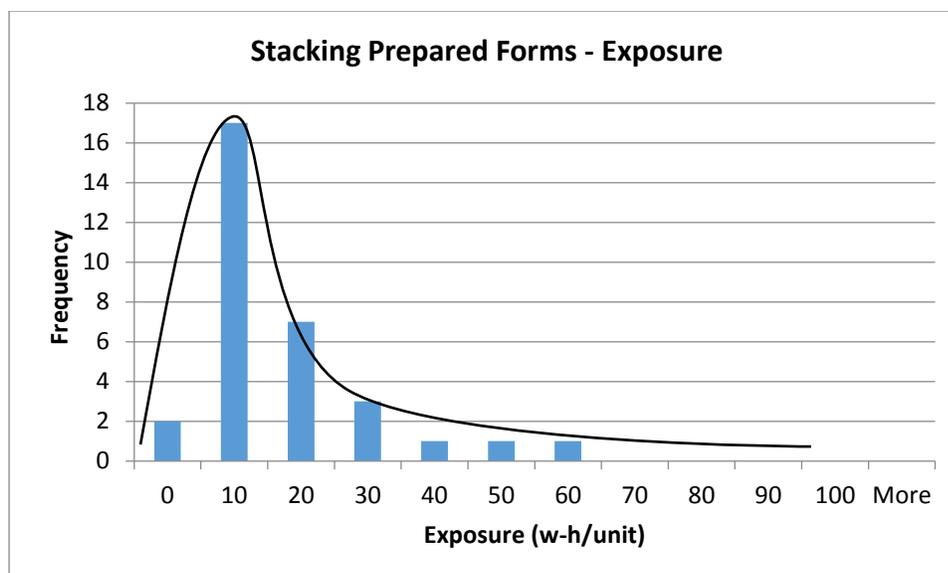


Table 5.4: Unit Risk and Cumulative Risk per Activity

| <b>Activity Performed</b>   | <b>Unit Risk/activity<br/>(Severity/worker hour)</b> | <b>Cumulative Risk/activity<br/>(Severity/unit)</b> |
|-----------------------------|--|---|
| Stockpile                   | 0.44   | 4.95  |
| Preparation                 | 0.62   | 17.25   |
| Moving (Optional)           | 0.47   | 10.08   |
| Assembling Forms            | 0.81   | 25.29   |
| Stacking Prepared Forms     | 0.55   | 8.16  |
| Moving                      | 0.85   | 15.60   |
| Erection/Placing Forms      | 1.07   | 39.15   |
| Pouring Concrete/Curing     | 0.79   | 13.61   |
| Stripping Forms             | 1.01   | 27.04   |
| Move forms                  | 0.63   | 9.43  |
| Dismantling/ Cleaning Forms | 0.56   | 9.52  |

| Activity Performed             | Unit Risk/activity<br>(Severity/worker hour) | Cumulative Risk/activity<br>(Severity/unit) |
|--------------------------------|--|---|
| Move forms/<br>Components Form | 0.66   | 10.47                                       |
| Stack/ Stockpile Forms         | 0.68   | 10.42                                       |
| <b>TOTAL</b>                   | <b>9.13</b>                                  | <b>200.98</b>                               |

The values for risk/activity in Table 5.4 are the expected or average values of risks. Figure 5.2 and Figure 5.3 show the probability densities of the unit risk and the total cumulative risk respectively, obtained after a simulation consisting of 10,000 iterations from @Risk.

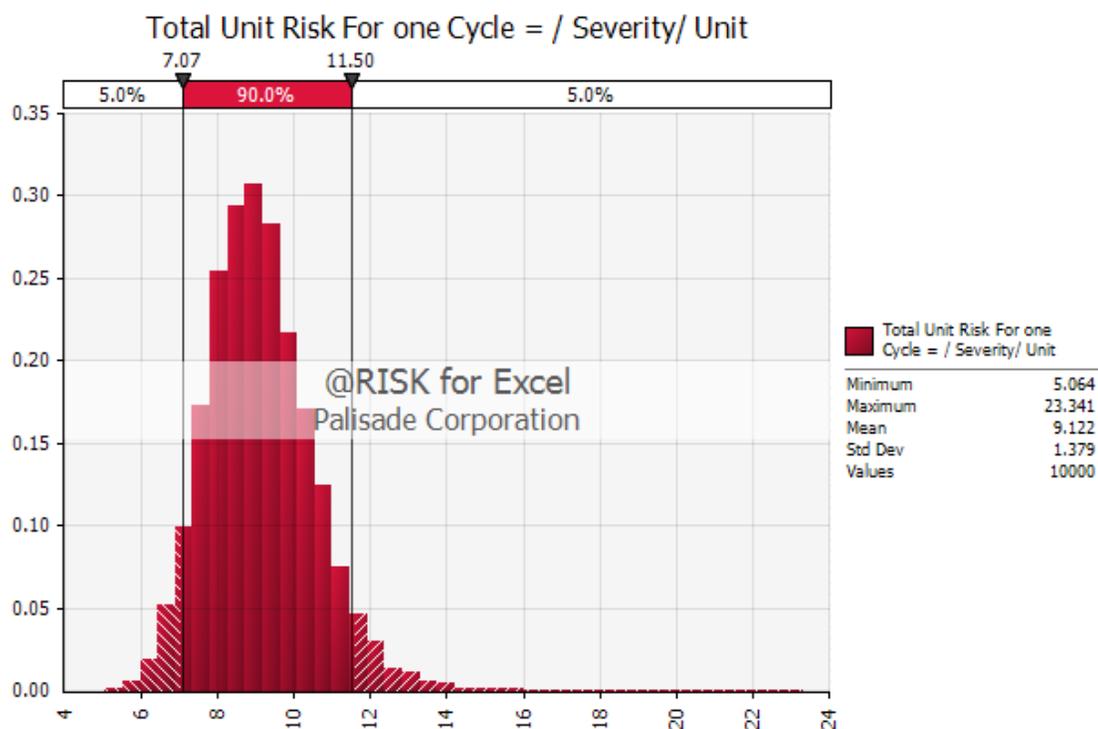


Figure 5.2: Probability Density of the Total Unit Risk

From Figure 5.2, it can be seen that there is a five percent probability that the total unit risk associated with one cycle of formwork use falls at 7.07, and there is a ninety five percent probability that the total unit risk associated with one cycle of formwork use falls at 11.5. These

values reflect only the risk associated with performing each activity, and the amount of time the worker spends doing each activity is not factored into the unit risk.

Figure 5.3 shows that there is a five percent probability that the total cumulative risk associated with one cycle of formwork use falls at or below 118, and there is a ninety five percent probability that the total cumulative risk associated with one cycle of formwork use falls at 317 or lesser. The amount of time the worker is exposed to the risk associated with each activity is accounted for in the calculations for cumulative.

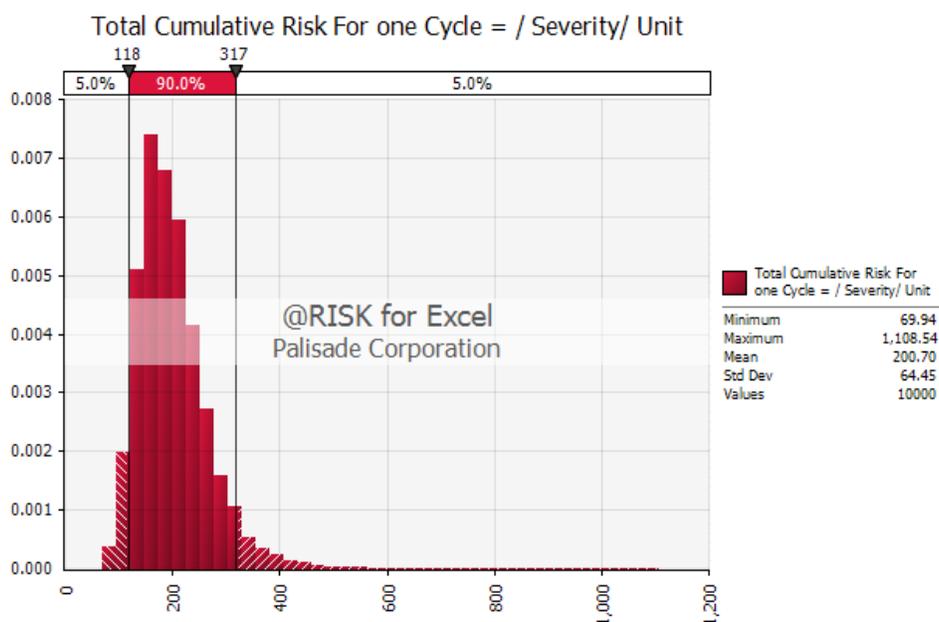


Figure 5.3: Probability Density of the Total Cumulative Risk

@Risk provides tornado plots with mapped regression coefficients that make understanding the sensitivity of the output variable to the different input variables easier. The best method of interpreting the sensitivity plot is that an increase of the input by one standard deviation causes a change in the output by the value indicated in the plot for the corresponding activity. For example, in Figure 5.4, if the standard deviation  $\sigma$  of the frequency values obtained for the activity of stripping forms in the high severity level increases by one  $\sigma$ , i.e. from  $\sigma$  to the value  $2\sigma$ , the increase in the output value (in this case, total unit risk) will be 0.43 severity/unit.

Figure 5.4 and Figure 5.5 show the sensitivity of the total unit risk and the total cumulative risk, respectively, to the different activities at the 4 different severity levels.

The sensitivity analysis plot shows that a unit change in the value of unit risk calculated for the activity of stripping and high severity category brings about the maximum increase in the total unit risk. It is seen that the second and third most activities that cause the unit risk value to increase is moving forms/form components and the activity of moving prepared forms to the erection site, both in the high severity category. The fourth activity that affects the unit risk is stripping, in the near miss category. This can be interpreted to mean that the total unit risk posed to the worker in one formwork cycle is the highest for a high severity injury during the activity of stripping. Other activities that affect the total unit risk the most can be seen in Figure 5.4.

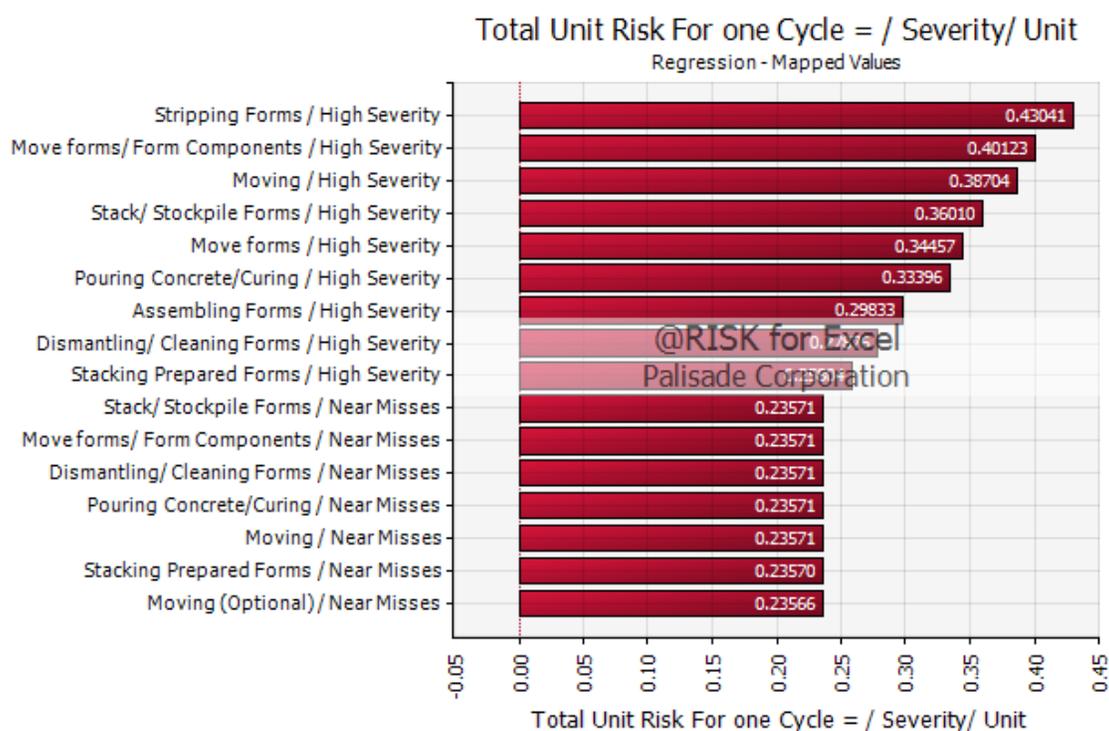


Figure 5.4: Sensitivity of the Total Unit Risk

The order of activities that affect the risk posed to the worker alters slightly when the exposure is also taken into account. The input that affects the total cumulative risk the most is the activity exposure for stripping formwork and that for the erection and placement of forms. The frequency of injury that affects the total cumulative risk the most is the activity of stripping

forms again, for incidents of high severity. Further details of activities that affect the total cumulative risk can be found in Figure 5.5. Keeping this in mind, the probability density of the activities stripping forms, erecting forms, and moving forms/form components are obtained from @Risk. The probability densities of the cumulative risk associated with each of the activities mentioned are displayed in Figure 5.6, Figure 5.7, and Figure 5.8.

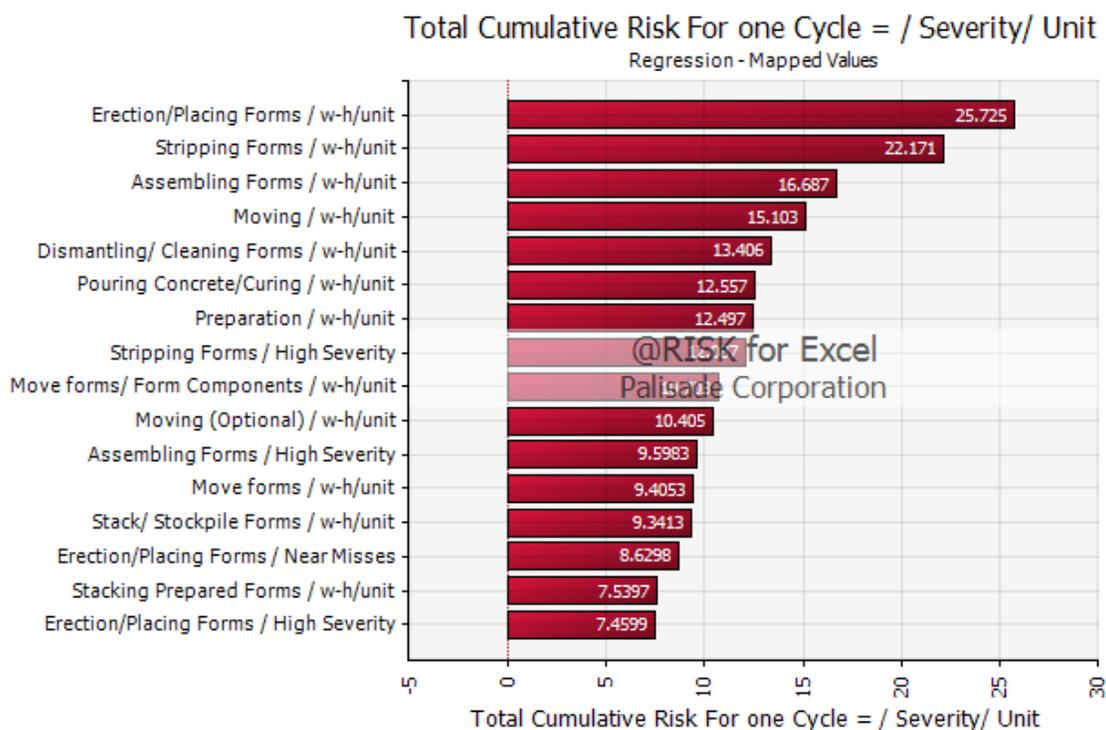


Figure 5.5: Sensitivity of the Total Cumulative Risk

The activity of stripping formwork is the activity that brings about the largest change in the total unit risk, as well as the activity that brings about the second largest change in total cumulative risk. From Figure 5.6, it can be seen that there is 5 percent probability that the cumulative risk value is as low as 4.7 for the stripping activity, whereas there is a 95 percent probability that the cumulative risk value for the same is as high as 74.4.

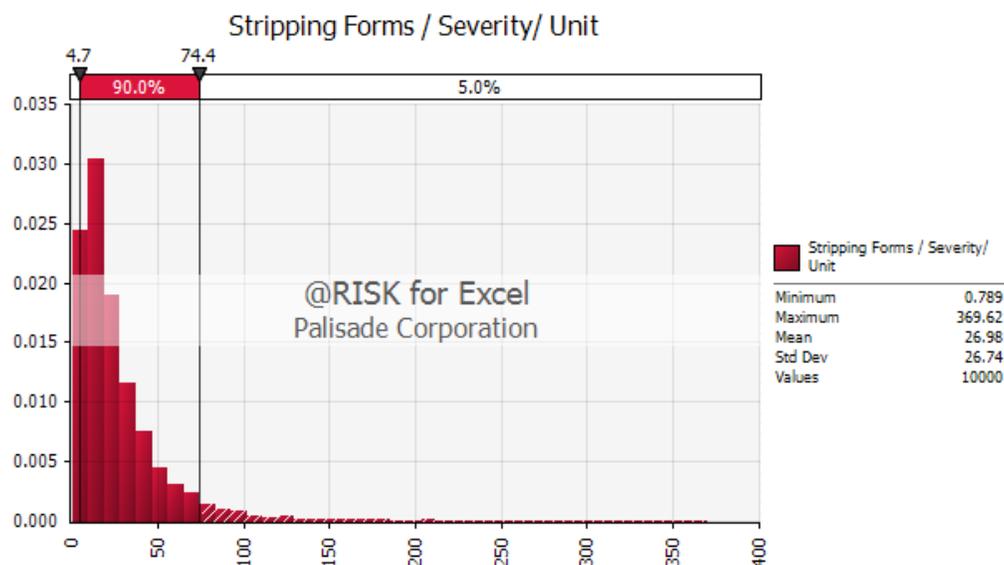


Figure 5.6: Probability Density, Cumulative Risk, Stripping Forms

The activity with the highest effect on Total Cumulative Risk is the activity of formwork erection, shown in Figure 5.7. There is 5 percent probability that the cumulative risk value is about 10 for the activity of erecting formwork, whereas there is a 95 percent probability that the cumulative risk value for the same is as high as 94. Similarly, for the activity of moving forms and/or form components, there is 5 percent probability that the cumulative risk value will be about 1.0, and 95 percent probability that the cumulative risk value is 33.6. The probability density distribution of the total cumulative risk associated with the activity 'Move forms/ Form components' can be seen in Figure 5.8.

It is also worth noting that the risk values here are based on the respondents' perception of their exposure to risk, and the type of incidents that may occur at various frequencies.

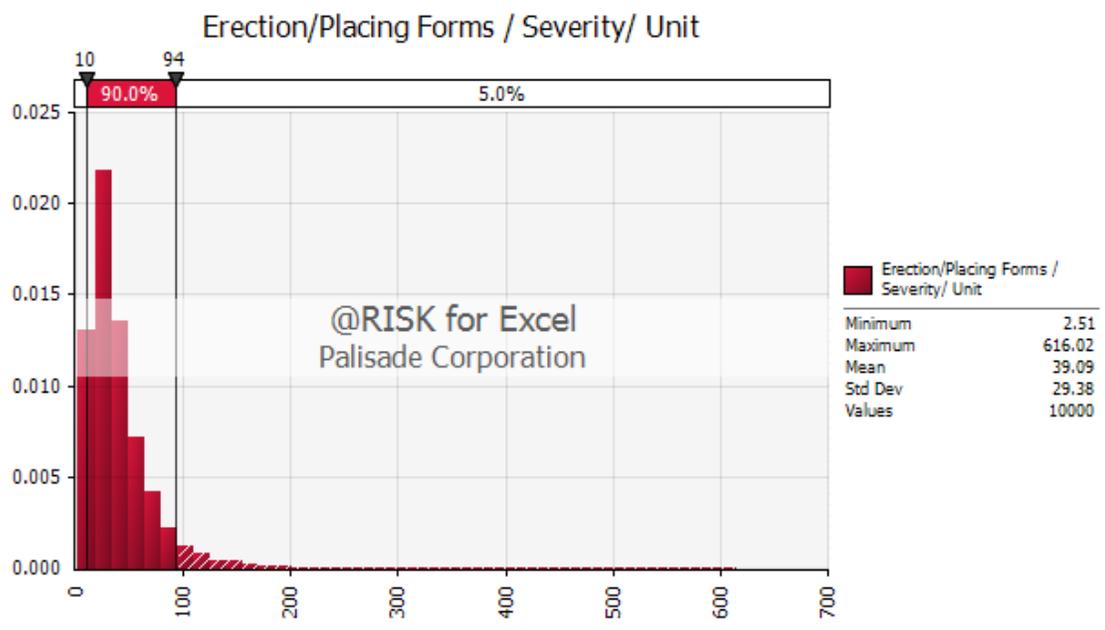


Figure 5.7: Probability Density for Cumulative Risk, Erecting Forms

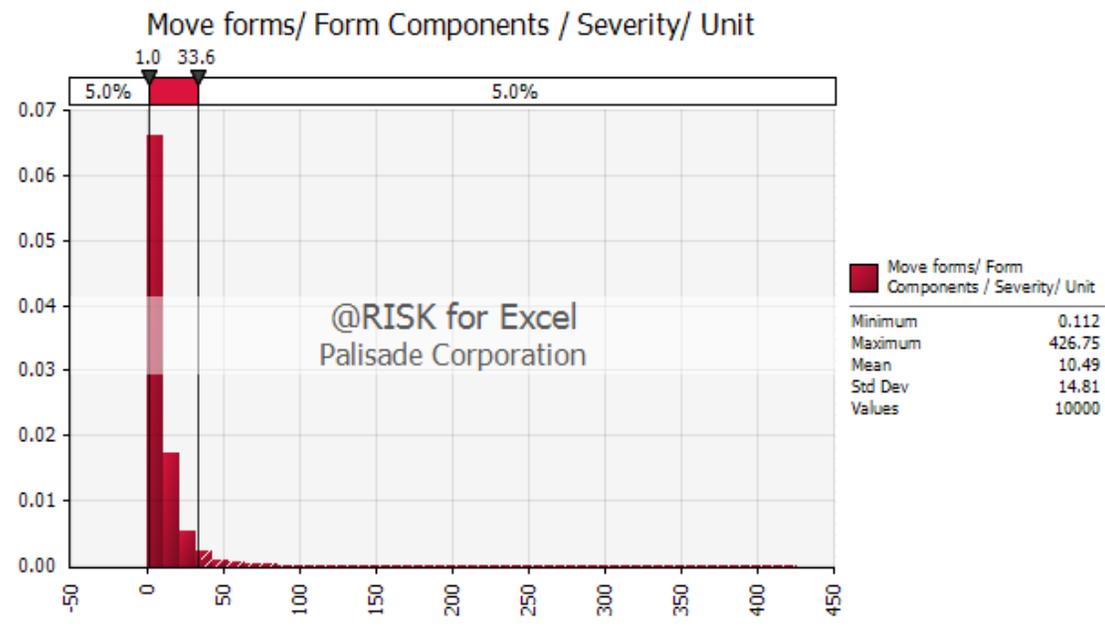


Figure 5.8: Probability Density of Cumulative Risk, Moving Forms/ Form components

### 5.2.1. COMPARISON WITH OSHA CASE STUDY RESULTS

The statistics from the OSHA case studies do not match the order obtained from the @Risk simulations. According to the OSHA statistics, the largest number of incidents occurred

during the activity of pouring of concrete, followed by the activities of erection of forms and stripping forms. The reasons for this could be several:

- i. OSHA does not classify incidents according to severity levels, and due to a lack of sufficient detail in the incident summary, an incident might have been assigned to a different severity level.
- ii. The number of incidents may not be accurate, as most near miss incidents and low severity incidents do not meet the criteria for an OSHA recordable incident.
- iii. No distinction is made between horizontal and vertical formwork use in the OSHA case summaries.
- iv. The data from the OSHA case summaries span chronologically from 1984 to 2012. Many significant changes in safety requirements, practices and law have occurred in this period. Hence, potential hazards that could have caused incidents are minimal, or altered in the current work environment during the use of formwork.
- v. The risk values obtained from @Risk are based on the perceptions and opinions of workers in the industry. The actual risk posed to the workers may be different from the perceived risk.

### **5.3. RELIABILITY ASSESSMENT**

#### **5.3.1. ASSUMPTIONS**

To calculate the reliability index  $\beta$  and probability of failure ( $P_f$ ) using methods described in Chapter 3, it is necessary to calculate the mean and standard deviation of the load demand for the formwork used at each project site. These calculations were performed for Projects 1 and 3, from which samples with established numbers of uses were obtained. Samples from Project 2 are not included as the number of uses the samples were subjected to could not be determined with a high degree of certainty on this project.

In the absence of measured onsite loads, calculation of lateral pressure has to account for several factors such as mix design, rate of placement, and temperature. Hence, necessary information about these factors were obtained from the Project Engineers onsite. For Project 1, the average rate of placement of concrete based on available information was 20 cubic yards/hour (cy/hr), which, upon conversion, meant that the rate of placement varied from 14 ft/hr

to 17 ft/hr, depending on the thickness and height of the specific wall. All wall forms had the same spacing of joists, and the forms were used interchangeably depending on walls of different dimensions. Hence, the maximum height and thickness of wall were considered as design values, and equation (2.1) was deemed appropriate for use.

The mean unit weight of concrete was reported to be 142 pounds per cubic feet (pcf) from the mix design, and the aggregate used was 3/8 inch. Based on the aggregate size, the COV reported in Ellingwood et al (1980) was used. The demand parameters for reliability assessment to be used in equation (3.4) - were calculated based on the mean  $\mu_\gamma$  and standard deviation  $\sigma_\gamma$  of the unit weight.

Calculation of parameters for samples from Project 3 was more challenging, as the plywood tested was obtained from bulkheads, which are the forms used as stoppers at the end of wall forms. Although these are used for wall forms, the dimensions are more like that of a column, and hence Equations (2.1) and (2.2) were considered for design purposes. Since the wall height is 15 ft, and the placement of concrete for the entire wall took place at the same time with no interruptions, Equation (2.1) was deemed appropriate for estimating the lateral pressure demand. The demand parameters for reliability assessment - mean of demand ( $\mu_Q$ ) and standard deviation of demand ( $\sigma_Q$ ) were calculated based on these values.

After obtaining these values, the reliability index and the probability of failure for each use of formwork tested in Projects 1 and 3 were calculated and adjusted using the Equations (3.4) and (3.5) for various conditions and levels of uncertainty. The obtained values of reliability indices and probabilities of failure are presented in the next subsection.

### **5.3.2. RESULTS**

The reliability index ( $\beta$ ) and the probability of failure ( $P_f$ ) of the formwork panels observed in projects 1 and 3 were calculated using the equations presented in Chapter 3, and are presented in this section.

Table 5.5 shows the values of  $\beta$  for bending ( $\beta_1$ ) and for shear ( $\beta_2$ ). The test data obtained had a few outliers, which were much higher or lower than the average values. To assess if the removal of outliers has any effect on the reliability indices, the indices are calculated with

the outliers removed from the data set ( $\beta_1'$  and  $\beta_2'$  in the Tables) also. The corresponding probability of failure can also be found in the columns adjacent to each column of  $\beta_1$ ,  $\beta_2$ , and  $\beta_1'$  and  $\beta_2'$ . From these calculations, all samples from Project 3 and Project 1 show low to moderate probabilities of failure. The degree of uncertainty due to the large range exhibited in the boxplots in Chapter 4 also has an effect on the  $\beta$  and the  $P_f$  values.

Table 5.5  $\beta$  and  $P_f$  for all tested samples, with and without extreme outliers in the test data

|                          | No. of Uses    | Bending, with outliers |       | Bending, without outliers |       | Shear, with outliers |       | Shear, without outliers |       |
|--------------------------|----------------|------------------------|-------|---------------------------|-------|----------------------|-------|-------------------------|-------|
|                          |                | $\beta_1$              | $P_f$ | $\beta_1'$                | $P_f$ | $\beta_2$            | $P_f$ | $\beta_2'$              | $P_f$ |
| <b>Project 3 Samples</b> | <b>0 uses</b>  | 3.11                   | 0.1%  | 2.42                      | 0.8%  | 3.82                 | 0.0%  | 5.74                    | 0.0%  |
|                          | <b>1 uses</b>  | 2.27                   | 1.2%  | 0.96                      | 16.9% | 13.14                | 0.0%  | 14.95                   | 0.0%  |
|                          | <b>2 uses</b>  | 1.60                   | 5.5%  | 0.46                      | 32.3% | 4.53                 | 0.0%  | 8.30                    | 0.0%  |
| <b>Project 1 Samples</b> | <b>0 uses</b>  | 1.73                   | 4.2%  | 0.59                      | 27.8% | 13.34                | 0.0%  | 16.68                   | 0.0%  |
|                          | <b>2 uses</b>  | 1.19                   | 11.8% | 0.38                      | 35.1% | 10.87                | 0.0%  | 14.60                   | 0.0%  |
|                          | <b>5 uses</b>  | 3.63                   | 0.0%  | 1.29                      | 9.8%  | 10.18                | 0.0%  | 11.56                   | 0.0%  |
|                          | <b>8 uses</b>  | 0.85                   | 19.9% | 0.58                      | 28.2% | 7.82                 | 0.0%  | 10.83                   | 0.0%  |
|                          | <b>11 uses</b> | 1.82                   | 3.5%  | 0.65                      | 25.7% | 7.74                 | 0.0%  | 7.89                    | 0.0%  |
|                          | <b>14 uses</b> | 2.34                   | 1.0%  | 0.81                      | 20.9% | 9.27                 | 0.0%  | 10.00                   | 0.0%  |

The  $P_f$  values seen in Table 5.5 for both shear and bending capacities are consistent with the onsite observations as no formwork failure was observed at either project. The high probabilities of failure values observed in some cases could be attributed to the conservative estimate of design load demand obtained using equation (2.1). Hence, reliability indices were calculated for Project 3 and Project 1 with three levels of uncertainty: COV = 10%, 30% and 50%. The COV values are obtained following general uncertainty design tables proposed in the *FEMA P695: Quantification of Building Seismic Performance Factors* (ATC, 2009) document. The values calculated can be seen in Table 5.6 and Table 5.7 for Project 3 and Project 1 respectively. It is worth noting that no additional bias was considered.

There is the possibility of additional variability in the design model for Project 3, as the tested samples were obtained from bulkhead forms, and the design load demand was calculated using equation (2.1), assuming that loading is similar to that for column forms. It can be seen that as the uncertainty increases, the probabilities of failure also increase for  $\beta_1$ ,  $\beta_2$ ,  $\beta_1'$  and  $\beta_2'$ .

Table 5.6:  $\beta$  and  $P_f$  for Project 3 samples, with assumed standard deviation for demand

|                   | No. of Uses | Bending, with outliers |       | Bending, without outliers |       | Shear, with outliers |       | Shear, without outliers |       |
|-------------------|-------------|------------------------|-------|---------------------------|-------|----------------------|-------|-------------------------|-------|
|                   |             | $\beta_1$              | $P_f$ | $\beta_1'$                | $P_f$ | $\beta_2$            | $P_f$ | $\beta_2'$              | $P_f$ |
| <b>COV = 10%</b>  |             |                        |       |                           |       |                      |       |                         |       |
| Project 3 Samples | 0 uses      | 2.91                   | 0.2%  | 2.01                      | 2.2%  | 4.52                 | 0.0%  | 6.66                    | 0.0%  |
|                   | 1 uses      | 1.48                   | 6.9%  | 1.06                      | 14.5% | 14.29                | 0.0%  | 16.05                   | 0.0%  |
|                   | 2 uses      | 0.88                   | 19.0% | 0.76                      | 22.4% | 5.21                 | 0.0%  | 9.45                    | 0.0%  |
| <b>COV = 30%</b>  |             |                        |       |                           |       |                      |       |                         |       |
| Project 3 Samples | 0 uses      | 2.26                   | 1.2%  | 1.70                      | 4.5%  | 3.91                 | 0.0%  | 5.20                    | 0.0%  |
|                   | 1 uses      | 1.15                   | 12.5% | 0.86                      | 19.4% | 8.39                 | 0.0%  | 8.70                    | 0.0%  |
|                   | 2 uses      | 0.74                   | 22.9% | 0.68                      | 24.9% | 4.52                 | 0.0%  | 6.31                    | 0.0%  |
| <b>COV = 50%</b>  |             |                        |       |                           |       |                      |       |                         |       |
| Project 3 Samples | 0 uses      | 1.69                   | 4.5%  | 1.35                      | 8.8%  | 3.18                 | 0.1%  | 3.89                    | 0.0%  |
|                   | 1 uses      | 0.86                   | 19.5% | 0.67                      | 25.2% | 5.52                 | 0.0%  | 5.60                    | 0.0%  |
|                   | 2 uses      | 0.59                   | 27.7% | 0.57                      | 28.4% | 3.70                 | 0.0%  | 4.34                    | 0.0%  |

Table 5.7:  $\beta$  and  $P_f$  for Project 1 samples, with assumed standard deviation for demand

|                   | No. of Uses | Bending, with outliers |       | Bending, without outliers |       | Shear, with outliers |       | Shear, without outliers |       |
|-------------------|-------------|------------------------|-------|---------------------------|-------|----------------------|-------|-------------------------|-------|
|                   |             | $\beta_1$              | $P_f$ | $\beta_1'$                | $P_f$ | $\beta_2$            | $P_f$ | $\beta_2'$              | $P_f$ |
| <b>COV = 10%</b>  |             |                        |       |                           |       |                      |       |                         |       |
| Project 1 Samples | 0 uses      | 1.73                   | 4.2%  | 1.62                      | 5.2%  | 12.41                | 0.0%  | 15.01                   | 0.0%  |
|                   | 2 uses      | 1.16                   | 12.2% | 1.14                      | 12.7% | 10.22                | 0.0%  | 13.17                   | 0.0%  |
|                   | 5 uses      | 3.48                   | 0.0%  | 3.51                      | 0.0%  | 9.78                 | 0.0%  | 11.01                   | 0.0%  |
|                   | 8 uses      | 1.25                   | 10.5% | 0.83                      | 20.3% | 7.64                 | 0.0%  | 10.39                   | 0.0%  |
|                   | 11 uses     | 1.91                   | 2.8%  | 1.74                      | 4.1%  | 7.41                 | 0.0%  | 7.56                    | 0.0%  |
|                   | 14 uses     | 2.95                   | 0.2%  | 2.23                      | 1.3%  | 8.79                 | 0.0%  | 9.42                    | 0.0%  |
| <b>COV = 30%</b>  |             |                        |       |                           |       |                      |       |                         |       |
| Project 1 Samples | 0 uses      | 1.10                   | 13.5% | 1.04                      | 14.9% | 7.61                 | 0.0%  | 8.19                    | 0.0%  |
|                   | 2 uses      | 0.77                   | 22.2% | 0.83                      | 20.2% | 6.54                 | 0.0%  | 7.25                    | 0.0%  |
|                   | 5 uses      | 2.57                   | 0.5%  | 2.62                      | 0.4%  | 7.02                 | 0.0%  | 7.50                    | 0.0%  |
|                   | 8 uses      | 0.98                   | 16.3% | 0.70                      | 24.2% | 6.11                 | 0.0%  | 7.36                    | 0.0%  |
|                   | 11 uses     | 1.22                   | 11.2% | 1.24                      | 10.7% | 5.24                 | 0.0%  | 5.33                    | 0.0%  |
|                   | 14 uses     | 1.59                   | 5.5%  | 1.53                      | 6.3%  | 5.88                 | 0.0%  | 6.11                    | 0.0%  |
| <b>COV = 50%</b>  |             |                        |       |                           |       |                      |       |                         |       |

|                          | No. of Uses    | Bending, with outliers |       | Bending, without outliers |       | Shear, with outliers |       | Shear, without outliers |       |
|--------------------------|----------------|------------------------|-------|---------------------------|-------|----------------------|-------|-------------------------|-------|
|                          |                | $\beta_1$              | $P_f$ | $\beta_1'$                | $P_f$ | $\beta_2$            | $P_f$ | $\beta_2'$              | $P_f$ |
| <b>Project 1 Samples</b> | <b>0 uses</b>  | 0.75                   | 22.7% | 0.71                      | 24.0% | 5.07                 | 0.0%  | 5.28                    | 0.0%  |
|                          | <b>2 uses</b>  | 0.52                   | 30.0% | 0.60                      | 27.5% | 4.43                 | 0.0%  | 4.69                    | 0.0%  |
|                          | <b>5 uses</b>  | 1.86                   | 3.1%  | 1.91                      | 2.8%  | 5.00                 | 0.0%  | 5.21                    | 0.0%  |
|                          | <b>8 uses</b>  | 0.74                   | 23.0% | 0.55                      | 29.0% | 4.65                 | 0.0%  | 5.21                    | 0.0%  |
|                          | <b>11 uses</b> | 0.82                   | 20.5% | 0.88                      | 18.9% | 3.71                 | 0.0%  | 3.76                    | 0.0%  |
|                          | <b>14 uses</b> | 1.03                   | 15.3% | 1.07                      | 14.2% | 4.05                 | 0.0%  | 4.16                    | 0.0%  |

## CHAPTER 6. CONCLUSION

The conclusions drawn from the obtained results and discussion, and the extent to which the primary objectives set forth in Chapter 3 have been achieved are presented in this section.

Primary objective #1 was to map the typical use cycle of vertical timber formwork. This was achieved by establishing a sequence of activities that constitute one formwork use cycle, and validated by observing formwork use cycles at three different projects. A clear picture of the different formwork activities being employed on various construction sites was obtained, leading to the development of a mapped workflow of the formwork use cycle. The general mapped workflow can be applied to any project by adjusting the workflow by eliminating the unnecessary steps. This can help in identifying and keeping track of the number of uses, as well as monitoring the degradation of formwork. It can also be used as a value stream map for identifying improvements in safety and productivity.

Primary objective #2 was to identify the primary factors contributing to risks associated with use and re-use of formwork and evaluate the risks the workers are exposed to while carrying out various activities in the formwork cycle. The risks posed to the workers were quantified and evaluated using the safety survey, and the results of the survey were used as inputs to a risk model. This helped in identifying stripping and erection activities as those activities that have the highest impact on the overall risk associated with formwork use and reuse, taking into account the frequency of injury at different levels as well as the exposure of the worker to the risk.

Primary objective #3 was to calculate the reliability associated with the use and reuse of formwork, so as to ascertain the ability of the monitored formwork to fulfil its purpose. The preliminary reliability calculations shown in Chapter 5 reveal that the actual capacity of the formwork component (plyform) obtained from testing is comparable to the estimated lateral load demand on the formwork. Possible reasons for variations in these calculations are discussed in the next subsection.

The ultimate goal of the study was to obtain a mapped formwork use cycle, with a measured loss in capacity per use. The loss of capacity per use was to be linked to an increase in the quantitative risk values – the total unit risk and the total cumulative risk - to the workers

handling formwork. The reduction in capacity per use would also be an indicator of increasing probabilities of failure due to reduction in the mean capacity value and larger uncertainty, i.e., standard deviation, as number of uses increases. Only a moderate degradation was obtained from testing the samples from Project 1 and Project 3 and it was hypothesized that a larger number of uses would be needed to see significant/ larger degradation in strength. Thus, the mapped formwork use cycle and the risk model assume no degradation. A degradation trend obtained from further research can be incorporated into both the formwork use cycle as well as to the risk model so as to obtain a formwork model with risk and reliability values that account for degradation.

As explained in detail in Chapter 4, the mixed trend observed in testing could be attributed to the inherent variability of the material itself. Most test specimens were prepared from just one or two samples per use due to limited availability of samples per number of uses. Hence a true assessment of deterioration in strength due the number of uses requires samples from additional sites, which were not possible. Additionally, the estimation of design demand for the formwork may be different from the actual load demand on the formwork, as the value estimated by equation (2.1) is conservative. Hence, it is suggested that the actual load onsite is measured in future work.

## **6.1. CHALLENGES FOUND IN THIS STUDY**

There were several challenges encountered in this study, namely:

- i. The sample population for both the projects monitored as well as the respondents to the questionnaire and safety survey is limited only to a relatively small region in the United States, namely Northwest Oregon. Validation of the obtained results may have to be done with inputs from a larger population to ensure applicability to the general construction industry. This limitation affects the risk assessment and the mapped workflow of the general formwork use cycle.
- ii. The risk values obtained depend on the risk perception of each respondent. This may depend on the risk tolerance of the individual, the amount of importance afforded to

jobsite safety, and the overall safety culture of the employer and the respondent. These may also vary with the geographical region.

- iii. Although deterioration was observed, there is no established method to quantitatively assess and monitor deterioration to formwork panels and formwork components. This makes the assessment of risks associated with deterioration of formwork with each use difficult.
- iv. The deterioration observed on formwork will increase as the number of reuses increase, resulting in increased risk to the workers. However, this has not been factored into the formwork model as a clear trend in deterioration was not obtained.
- v. The test methods adopted from ASTM 3043 and ASTM 2718 allow for further variability in the test results due to variations in sample dimensions. Different test methods assessing Modulus of Rigidity (MOR) rather than maximum load could provide results that are not affected by the variability in sample dimensions.

## **6.2. SCOPE FOR FURTHER STUDY**

This study can be viewed as a preliminary study, aimed towards understanding and quantifying the deterioration of formwork with use and reuse as well as identifying the risks associated with use and reuse of formwork. The following recommendations for further investigations are proposed based on the conclusions and limitations of this study:

- i. It is important to be able to quantify the actual deterioration of formwork on site in addition to loss of capacity by testing, and factor the results into a similar risk model. This model can emulate a realistic formwork use cycle, where the number of uses is limited, and the risks assigned would increase as the number of uses increase.
- ii. Validation of the research study by sampling over a wider population will increase the applicability of the conclusions of this study to the construction industry as a whole.
- iii. The testing of formwork components can be performed using specimens prepared from samples obtained from different panels with same number of uses, or use samples that have been used in a controlled environment so as to reduce the variability further.
- iv. An accurate estimation of the design demand can make the calculations for the reliability indices and probabilities of failure closer to the true probability values. This can be done by measuring the actual loads on the formwork while it is being used.

## BIBLIOGRAPHY

- APA. (2012). *Concrete Forming Design/Construction Guide (Form No. V345)*. 7011 So. 19th Street, Tacoma, Washington 98466: American Plywood Association. Retrieved from [www.apawood.org](http://www.apawood.org)
- ASCE. (2002). *Design Loads on Structures During Construction*. 1801 Alexander Bell Drive, Reston, VA 20191: American Society of Civil Engineers.
- ATC. (2009). *FEMA P695: Quantification of Building Seismic Performance Factors*. 201 Redwood Shores prkwy, Suite 240, Redwood City, CA 94065: Applied Technology Council.
- AWC. (2005). *National Design Specification for Wood Construction*. 222 Catoctin Circle SE Suite 201 Leesburg, VA 20175: American Wood Council.
- Barnes, J., & Johnston, D. (2003). Fresh Concrete Lateral Pressure On Formwork. *Construction Research Congress*. ASCE.
- Borges, J., & Castanheta, M. (1985). *Structural Safety*. Lisbon: Laboratorio Nacional De Engenharia Civil.
- CFR, O. 2. (2001, January 19). *1904.7 General Recording Criteria*. Retrieved December 20, 2013, from Recording and Reporting Occupational Injuries and Illness: [https://www.osha.gov/pls/oshaweb/owadisp.show\\_document?p\\_table=STANDARDS&p\\_id=9638](https://www.osha.gov/pls/oshaweb/owadisp.show_document?p_table=STANDARDS&p_id=9638)
- Dharmapalan, V. (2011). *Risk Factor Quantification of Design Elements for Multistory Commercial Office Buildings*. Corvallis: Oregon State University.
- Ellingwood, B., Gambolos, T., MacGregor, J., & Cornell, C. (1980). *Developement of a Probability Based Load Criterion for American National Standard A58*. Washington: U.S. Government Printing Office.
- Eparaachchi, D., & Stewart, M. (2004). Human Error and Reliability of Multistory Reinforced-Concrete Building Construction. *Journal of Performance of Constructed Facilities*, Vol 18, 12-20.

- Eparaachchi, D., Stewart, M., & Rosowsky, D. (2002). Structural Reliability of Multistory Buildings during Construction. *Journal of Construction Engineering, Vol 128*, 205-213.
- Forest Products Laboratory. (2010). *Wood Handbook*. Madison, WI.
- Gardner, N. (2014, June). Pressure of Internally Vibrated Concrete. *Concrete International, Issue 6, Vol 36*, 33-37.
- Grey, S. (1995). *Practical Risk Assessment for project Management*. John Wiley and Sons.
- Guyonnet, D., Co<sup>^</sup>me, B., Perrochet, P., & Parriaux, A. (1999). Comparing two methods for Addressing Uncertainty in Risk Assessments. *Journal of Environmental Engineering, 125*, 660-666.
- Hadipriono, F., & Wang, H.-K. (1986). Analysis of Causes of Falsework Failures in Concrete Structures. *Journal of Construction Engineering Management, Vol 112*, 112-121.
- Hadipriono, F., Lim, C., & Wong, K. (1986). Event Tree Analysis to Prevent Failures in Temporary Structures. *Journal of Construction Engineering Management, Vol 112*, 500-513.
- Hallowell, M. (2008). *A Formal Model for Construction Safety and Health Risk Management, PhD Dissertation*. Oregon State University.
- Hallowell, M., & Gambatese, J. (2009). Activity-based safety risk quantification for concrete formwork construction. *Journal of Construction Engineering Management, 990-998*.
- Hurd, M. (2005). *Formwork for Concrete (SP4), Seventh Edition*. Michigan: American Concrete Institute.
- Jannadi, O., & Almishari, S. (2003). Risk Assessment in Construction. *Journal of Construction Engineering and Management, Vol 129*, 492-500.
- Lab, R. (2007, April). *Think Formwork- Reduce Costs*. Retrieved February 10, 2014, from STRUCTUREmag.org: <http://www.structuremag.org/article.aspx?articleID=423>
- Li, C., & Zhao, J. M. (2010). Time-Dependent Risk Assessment of Combined Overtopping and Structural Failure for Reinforced Concrete Coastal Structures. *Journal of Waterway, Port, Coastal and Ocean Engineering, Vol 136*, 97-103.

- Ling, Y. Y., & Leo, K. (2000). Reusing timber formwork: importance of workmen's efficiency. *Building and Environment, Vol 35*, 135-143.
- Longquan, M., Youliang, H., Liang, C., & Wu, Y. (2011). Study on the Method Selection for Building Safety Risk Assessment in China. *International Conference on Vulnerability and Risk Analysis and Management (ICVRAM)-International Symposium on Uncertainty Modeling and Analysis (ISUMA)*. American Society of Civil Engineers (ASCE).
- Novak, A., & Collins, K. (2013). *Reliability of Structures, Second Edition*. CRC Press, Taylor & Francis Group.
- OSHA. (2013, December 20). *Fatality and Catastrophe Investigation Summaries*. Retrieved from Occupational Health and safety Administration: <https://www.osha.gov/pls/imis/accidentsearch.html>
- Ringwald, R. (1985). Formwork Design. *Journal of Construction Engineering and Management, Vol 111, Issue 4*.
- Smith, N. (1999). *Managing Risk in Construction Projects*. Blackwell Science Ltd.

## **APPENDIX**

## APPENDIX – I A: FORMWORK QUESTIONNAIRE

### Interview questions for Construction Sites/Firms-

(Please tick all options that apply)

#### 1. General:

- a. Identify various components that constitute formwork at this project site:
- Component 1 - \_\_\_\_\_
  - Component 2 - \_\_\_\_\_
  - Component 3 - \_\_\_\_\_
  - Component 4 - \_\_\_\_\_
  - Connections - \_\_\_\_\_
- b. What is the formwork source?
- Self-owned                       Assembled at jobsite                       Assembled off-site                       Rented
  - Others(please specify) \_\_\_\_\_
- c. Other than the design loads (ASCE 37-02), what other loads (including environmental) are typically considered for formwork use?
- Rain                       Snow                       Manufacturers' Specifications                       Embedments
  - Worker load                       Others(please specify) \_\_\_\_\_
- d. What are the most frequent problems associated with wall/column (basically vertical) formwork?
- Out-of-plumb                       Warping                       Cracks                       Surface Finish                       Overload(eg-higher rate of pour)
  - Others(please specify) \_\_\_\_\_
- e. Formwork condition-
- i. What condition makes the formwork acceptable for this project?
    - Unused                       Used but in reasonable condition
    - Others (please specify) \_\_\_\_\_
  - ii. If used but in reasonable condition, how is the condition of formwork assessed?
- \_\_\_\_\_

#### 2. Stockpiling/Storage:

- a. How is the formwork stored?
- Exposed     Covered     Indoors     Outdoors     On ground     Platforms
  - Others (please specify) \_\_\_\_\_
- b. In case of any moderate to severe weather change (rains, snow), are any changes made to the formwork stockpile/storage? Is there any seasonal change?
- \_\_\_\_\_
- c. What other factors determine how the formwork is stockpiled/stored?
- \_\_\_\_\_
- d. How does the way the formwork is stockpiled influence the formwork performance? Is this assessment performed? How?
- \_\_\_\_\_

#### 3. Assembly of formwork:

- a. Could you describe the formwork erection process?(specifically, vertical formwork)
- \_\_\_\_\_
- \_\_\_\_\_

b. Other than design differences, are there differences in the assembly process of different vertical formwork?(columns, walls)

\_\_\_\_\_

c. Other than design differences, are there differences in the erection process of different vertical formwork?(columns, walls)

\_\_\_\_\_

d. What connectors are typically used, and how are they installed?

Mechanically driven       Installed by hand

Others(please specify) \_\_\_\_\_

#### 4. Pouring of Concrete:

a. Before pouring concrete:

i. Is there a typical timeframe for how long the formwork stays in place?  
(\_\_\_\_ days)

ii. Are there any external impacts on the formwork in the meantime?

Wind       Personnel Climbing on it       Equipment loads

Others(Please specify)

b. Is there a typical timeframe for how long the formwork stays in place after pouring concrete?  
(\_\_\_\_ days)

c. Seasonal Variations-

i. Does the season during which the work is done affect the time after pouring before the formwork is removed? (Yes/no)

ii. If so, how?

- Time increases by \_\_\_\_/\_\_\_\_/\_\_\_\_/\_\_\_\_ days in Summer/Spring/Fall/Winter due to

\_\_\_\_\_

- Time decreases by \_\_\_\_/\_\_\_\_/\_\_\_\_/\_\_\_\_ days in Summer/Spring/Fall/Winter due to

\_\_\_\_\_

#### 5. Transportation & Removal:

a. How is the formwork removed?

By Hand       Using Cranes/Forklifts       Others(Please specify)

b. How is the formwork moved around from place-to-place?

Within the site- \_\_\_\_\_

From site to site- \_\_\_\_\_

#### 6. Degradation & Re-use:

a. Observations:

i. What kind of degradation can be most commonly observed?

Edges/Corners       Faces       Structural Cracks

Others(please specify) \_\_\_\_\_

ii. After how many uses?

Edges/Corners - \_\_\_\_\_ times       Faces - \_\_\_\_\_ times

Structural Cracks - \_\_\_\_\_ times

Others(please specify) \_\_\_\_\_

- b. How many times is a particular component of formwork used before it is judged to be unfit for re-use?
- Component 1 - \_\_\_\_\_ times       Component 2 - \_\_\_\_\_ times
- Component 3 - \_\_\_\_\_ times       Component 4 - \_\_\_\_\_ times
- Connections - \_\_\_\_\_ times

- c. What methods are used for this assessment?

Visual Inspection       Others (please Specify) \_\_\_\_\_

- d. What is the Deciding factor that makes you say that a particular formwork cannot be re-used?
- \_\_\_\_\_

- e. Manufacturer's Guidelines:

- i. Are there any guidelines/suggestions from the manufacturer on the number of re-uses?

Yes       No

- ii. Are these taken into account?

Yes       No

#### 7. Failure & Injuries:

- a. What are the typical causes of formwork failure?

Failure of ties/connections    Bending       Deflection       Shear       Blowouts

Others (Please specify) \_\_\_\_\_

- b. Have any cases of formwork failure occurred on this project? If so, what was the cause and impact?
- \_\_\_\_\_

- c. Have there been any minor worker injuries associated with formwork on this project?
- \_\_\_\_\_

- d. If yes, how many?
- \_\_\_\_\_

#### 8. On a scale of 1 to 5, rate the impact of the following factors on the use and re-use of formwork:

| Factors Impacting the lifecycle of formwork | Very large Impact | Large Impact | Medium Impact | Some Impact | Nominal Impact | No Impact |
|---|-------------------|--------------|---------------|-------------|----------------|-----------|
|   | (5)               | (4)          | (3)           | (2)         | (1)            | (0)       |
| Construction Loading                        |                   |              |               |             |                |           |
| Climbing up                                 |                   |              |               |             |                |           |
| Warping                                     |                   |              |               |             |                |           |
| Cracks                                      |                   |              |               |             |                |           |
| Surface Damage                              |                   |              |               |             |                |           |
| Storage conditions                          |                   |              |               |             |                |           |
| Assembly                                    |                   |              |               |             |                |           |
| Design                                      |                   |              |               |             |                |           |
| Connections                                 |                   |              |               |             |                |           |
| Ties  |                   |              |               |             |                |           |
| Removal                                     |                   |              |               |             |                |           |

| <b>Factors Impacting the lifecycle of formwork</b> | <b>Very large Impact</b> | <b>Large Impact</b> | <b>Medium Impact</b> | <b>Some Impact</b> | <b>Nominal Impact</b> | <b>No Impact</b> |
|--|--------------------------|---------------------|----------------------|--------------------|-----------------------|------------------|
|  | (5)                      | (4)                 | (3)                  | (2)                | (1)                   | (0)              |
| Increase/decrease in temperature                   |                          |                     |                      |                    |                       |                  |
| Increase/decrease in humidity                      |                          |                     |                      |                    |                       |                  |
| Accidental impact                                  |                          |                     |                      |                    |                       |                  |
|  |                          |                     |                      |                    |                       |                  |
|  |                          |                     |                      |                    |                       |                  |

(Please add in any other relevant factor in the last 2 rows)

## APPENDIX I-A: SUMMARY OF RESPONSES FROM FORMWORK QUESTIONNAIRE

| Sl. no: of Respondents     | 1                | 2                             | 3                  | 4                            | 5                     | 6              | 7                     | 8       | 9              | 10                                     |
|----------------------------|------------------|-------------------------------|--------------------|------------------------------|-----------------------|----------------|-----------------------|---------|----------------|--|
| <b>Section 1. General-</b> |                  |                               |                    |                              |                       |                |                       |         |                |  |
| <b>a. Components:</b>      |                  |                               |                    |                              |                       |                |                       |         |                |  |
| <b>Component 1</b>         | Plywood          | ties                          | Gang form          | Gang form                    | Gang form             | Gang forms     | Wood/ Snap ties       | plywood | Plywood facing | 2x members, steel stakes               |
| <b>Component 2</b>         | MDO Plywood      | Braces                        | BFD Clamp          |                              | BFD Clamp             | Misc. hardware | Camlocks              | 2x4     | wood forms     | .75" plywood, 2x4, camlocks, snap ties |
| <b>Component 3</b>         | MDO Plywood      | Misc. Hardware                | Tar whaler         | BFD's, form ties & wing nuts | Tar whaler            | Plywood        | plywood               | Chamfer | shafts         | 2x members, tapers, tilt brackets      |
| <b>Component 4</b>         | Steel Gang Forms | Lumber                        | Tie rod & wing nut | Tar whaler                   | Tie rod and self form | Snap ties      | Steel/Tar whaler/ BFD | Stakes  | pylons         |  |
| <b>Connections</b>         | Nails, Screws    | nails, Screws, stakes, Clamps | Pipe braces        |                              |                       |                |                       | Clamps  | bolts, clamps  |  |
| <b>b. Formwork Source:</b> |                  |                               |                    |                              |                       |                |                       |         |                |  |
| <b>Self-owned</b>          | <b>X</b>         | x                             | x                  | x                            |                       | x              | x                     |         | x              | x                                      |



| Sl. no: of Respondents            | 1                 | 2  | 3                           | 4                  | 5                   | 6                      | 7                   | 8                              | 9                 | 10 |
|-----------------------------------|-------------------|--|-----------------------------|--------------------|---------------------|------------------------|---------------------|--------------------------------|-------------------|----|
| <b>Section 1. General-</b>        |                   |  |                             |                    |                     |                        |                     |                                |                   |    |
| <b>Unused</b>                     |                   |  |                             |                    | x                   | x                      | x                   |                                | x                 | x  |
| <b>Used, reasonable Condition</b> | X                 | x  | x                           | x                  | x                   | x                      | x                   | x                              | x                 |    |
| <b>Others</b>                     |                   |  |                             |                    |                     |                        |                     |                                |                   |    |
| <b>ii. Method of Assessment-</b>  | Visual Inspection | No. of holes, Squareness, structural integrity | Surface & structural damage | Broken and/or bent | assessed by workers | Face & Edge conditions | assessed by workers | Look for holes, breaks, divits | Visual Inspection |    |
|                                   |                   |  |                             |                    |                     |                        |                     |                                |                   |    |

| Sl. no: of Respondents     | 11           | 12                 | 13                     | 14            | 18 | 19                 | 20                 | 21            | 22                      | 23             |
|----------------------------|--------------|--------------------|------------------------|---------------|----|--------------------|--------------------|---------------|-------------------------|----------------|
| <b>Section 1. General-</b> |              |                    |                        |               |    |                    |                    |               |                         |                |
| <b>a. Components:</b>      |              |                    |                        |               |    |                    |                    |               |                         |                |
| <b>Component 1</b>         | .75" plywood | steel stakes       | 2x members             | Steel Facades |    | Form plywood       | Dimensional lumber | 2x4's         | Plywood, 0.75" & 1.125" | Plywood 1.125" |
| <b>Component 2</b>         | 2x4 whalers  | Dimensional lumber | Stakes, angle brackets | 4x6 whalers   |    | Dimensional lumber | Plywood            | plywood forms | 2x4's                   | Plywood, 0.75" |

| Sl. no: of Respondents                   | 11                               | 12       | 13               | 14                              | 18 | 19   | 20                           | 21                                     | 22  | 23                |
|--|----------------------------------|----------|------------------|---------------------------------|----|--|------------------------------|--|---|-------------------|
| <b><i>Section 1. General-</i></b>        |                                  |          |                  |                                 |    |  |                              |  |   |                   |
| <b>Component 3</b>                       | 2x12                             | plywood  | nails,<br>screws | Steel C-<br>channels            |    | Snap ties                                    | Steel stakes,<br>turnbuckles | steel stakes                           | Steel Stakes,<br>3' o.c.                  | 2x4's             |
| <b>Component 4</b>                       | steel stakes                     | Hardware |                  | Plywood<br>Skin (inner<br>face) |    | John A<br>Brackets,<br>hairpin,<br>strapping |                              | alisp<br>systems                       | Banding, 4'<br>o.c.                       | Banding 3/4",1/2" |
| <b>Connections</b>                       | Camlocks<br>(John A<br>Brackets) | nails    |                  | She-bolts                       |    | Duplex<br>nails                              | Snap ties                    | Pencil rods,<br>snap ties @<br>2' o.c. | 16/8 penny<br>nails for 2x's<br>& banding | Nails, Screws     |
| <b><i>b. Formwork Source:</i></b>        |                                  |          |                  |                                 |    |  |                              |  |   |                   |
| <b>Self-owned</b>                        | x                                | x        | x                | x                               | x  | x  |                              | x                                      | x   | x                 |
| <b>Assembled on-site</b>                 | x                                | x        | x                | x                               | x  | x  | x                            | x                                      | x   | x                 |
| <b>Assembled off-site</b>                |                                  | x        |                  |                                 |    |  |                              |  | x   |                   |
| <b>Rented</b>                            | x                                | x        |                  |                                 |    |  |                              | x                                      |   | x                 |
| <b>Others</b>                            |                                  |          |                  |                                 |    |  |                              |  | Purchased                                 |                   |
| <b><i>c. Other loads:</i></b>            |                                  |          |                  |                                 |    |  |                              |  |   |                   |
| <b>Rain</b>                              | x                                | x        |                  | x                               |    |  |                              |  | x   |                   |
| <b>Snow</b>                              | x                                | x        |                  |                                 |    |  |                              |  | x   |                   |
| <b>Manufacturer's<br/>Specifications</b> | x                                | x        | x                |                                 |    |  |                              | x                                      | x   |                   |
| <b>Embedments</b>                        | x                                | x        | x                |                                 | x  | x  | x                            | x                                      | x   |                   |

| Sl. no: of Respondents                | 11  | 12                   | 13                       | 14   | 18                                 | 19                                      | 20                          | 21   | 22  | 23  |
|---------------------------------------|---|----------------------|--------------------------|--|------------------------------------|---|-----------------------------|--|---|---|
| <b>Section 1. General-</b>            |   |                      |                          |  |                                    |   |                             |  |   |   |
| <b>Worker load</b>                    | x   | x                    |                          |  |                                    | x                                       | x                           | x  | x   | x   |
| <b>Others</b>                         |   |                      |                          | Wind,<br>Deflection<br>(l/1000)                    |                                    |   |                             |  |   |   |
| <b>d. Frequent Problems:</b>          |   |                      |                          |  |                                    |   |                             |  |   |   |
| <b>Out-of-plumb</b>                   | x   | x                    |                          | x  |                                    | x                                       | x                           |  | x   | x   |
| <b>Warping</b>                        | x   |                      |                          | x  |                                    |   |                             |  | x   | x   |
| <b>Cracks</b>                         |   |                      |                          |  |                                    |   |                             |  | x   | x   |
| <b>Surface Finish</b>                 | x   | x                    | x                        |  |                                    | x                                       | x                           | x  | x   | x   |
| <b>Overload</b>                       | x   |                      | x                        |  |                                    | x                                       | x                           | x  | x   | x   |
| <b>Others</b>                         |   |                      | Vibration                | Tolerance<br>s                                     | Finished<br>Product<br>Quality     | Over<br>consolidati<br>on/<br>vibration |                             |  |   |   |
| <b>e. Formwork Condition:</b>         |   |                      |                          |  |                                    |   |                             |  |   |   |
| <b>i. Acceptable Condition-</b>       |   |                      |                          |  |                                    |   |                             |  |   |   |
| <b>Unused</b>                         | x   |                      |                          | x  |                                    |   | x                           |  | x   | x   |
| <b>Used, reasonable<br/>Condition</b> | x   | x                    | x                        | x  | x, surface<br>blemishes            | x                                       | x                           | x  | x   | x   |
| <b>Others</b>                         |   |                      |                          |  | Structural<br>integrity            |   | depends on<br>project       |  |   |   |
| <b>ii. Method of<br/>Assessment-</b>  | Not<br>warped/split/<br>veined/<br>covered in<br>concrete | visual<br>inspection | no warping<br>& cracking | scraped &<br>oiled, no<br>holes/burr<br>s/concrete | Concrete<br>foreman<br>assesses it | straight/<br>clean                      | final finish<br>requirement | surface<br>condtns,<br>smoothness,<br>no chips | Visual<br>Inspection,<br>defects such<br>as surface<br>damage | How many times it<br>has been already<br>used |

| Sl. no: of Respondents  | 1                      | 2                             | 3                | 4                 | 5                     | 6                | 7               | 8   | 9     | 10                                    |
|---|------------------------|-------------------------------|------------------|-------------------|-----------------------|------------------|-----------------|---|-------|---------------------------------------|
| <b><u>Section 2.</u></b><br><b><u>Stockpiling/storage-</u></b>            |                        |                               |                  |                   |                       |                  |                 |   |       |                                       |
| a. Method of Storage:   |                        |                               |                  |                   |                       |                  |                 |   |       |                                       |
| Exposed   | x                      | x                             | x                |                   | x                     | x                | x               | x   | x     |                                       |
| Covered   |                        | x                             |                  |                   |                       |                  |                 |   |       | x                                     |
| Indoors   |                        |                               |                  |                   | x                     |                  |                 |   |       |                                       |
| Outdoors  | x                      | x                             | x                | x                 | x                     | x                | x               | x   | x     |                                       |
| On ground   | x                      | x                             | x                |                   |                       | x                | x               |   |       |                                       |
| Platforms/ Dunnage  |                        | x                             |                  |                   |                       |                  | x               | x   | x     | x                                     |
| Others  |                        | depends on forms              |                  |                   |                       |                  |                 |   |       |                                       |
| <b><i>b. Changes in storage methods due to weather/season change:</i></b> | no                     | Possibly, generally no        | none             | outside           | no                    | no               | no              | no, things damaged by weather stored covered on dunnage | no    | covered to prevent swelling/shrinking |
| <b><i>c. Other factors influencing storage:</i></b>                       | Time, money, Necessity | Order of use, Location of use | type of material | weight and height | Size of Concrete work | Type of formwork | Size of Jobsite | size, shape, weight                                     | Space | jobsite logistics                     |

| Sl. no: of Respondents                                 | 1                                    | 2  | 3  | 4   | 5                   | 6                                 | 7                   | 8  | 9  | 10                                       |
|--|--------------------------------------|--|--|---|---------------------|-----------------------------------|---------------------|--|--|--|
| <b>Section 2.</b><br><b>Stockpiling/storage-</b>       |                                      |  |  |   |                     |                                   |                     |  |  |  |
| <b>d. Influence of Storage Methods on performance:</b> | not assessed, but influences majorly | storing like sizes/ types increases efficiency | has to be stored flat to prevent warping | Can't let the forms bow or get mud on surface | Rust on steel forms | even stacking can prevent warping | Rust on Steel forms | correct stockpiling makes work easier and faster | sequential layout increases efficiency and speed of work | banding & covering forms prevent defects |

| Sl. no: of Respondents                           | 11                  | 12 | 13 | 14 | 18 | 19 | 20 | 21                 | 22                       | 23 |  |
|--|---------------------|----|----|----|----|----|----|--------------------|--------------------------|----|--|
| <b>Section 2.</b><br><b>Stockpiling/storage-</b> |                     |    |    |    |    |    |    |                    |                          |    |  |
| a. Method of Storage:                            |                     |    |    |    |    |    |    |                    |                          |    |  |
| Exposed  | x                   |    |    | x  |    |    | x  | x                  | x                        | x  |  |
| Covered  |                     | x  |    |    | x  | x  | x  |                    | x                        | x  |  |
| Indoors  |                     |    | x  |    |    | x  |    |                    | x                        |    |  |
| Outdoors   | x                   | x  |    |    | x  | x  | x  | x                  | x                        | x  |  |
| On ground  |                     |    |    | x  |    |    |    | x                  | x                        | x  |  |
| Platforms/ Dunnage                               | x                   | x  | x  | x  | x  | x  | x  |                    | x                        | x  |  |
| Others   | Access to forklifts |    |    |    |    |    |    | no surface contact | Lath used in btwn layers |    |  |

| Sl. no: of Respondents   | 11  | 12                    | 13                                    | 14  | 18  | 19  | 20                             | 21                                   | 22  | 23                               |
|--|---|-----------------------|---------------------------------------|---|---|---|--------------------------------|--------------------------------------|---|----------------------------------|
| <b>Section 2.</b>  |   |                       |                                       |   |   |   |                                |                                      |   |                                  |
| <b>Stockpiling/storage-</b>  |   |                       |                                       |   |   |   |                                |                                      |   |                                  |
| <b>b. Changes in storage methods due to weather/season change:</b> | Covered for snow/heavy rain                             | no                    | keep as dry and protected as possible | no  | covered during rain, kept out of long periods of sunshine to prevent cracking | covered and extra oiling during rain/heavy snow | Covered to prevent warping     | tied off in bad weather              | Summer-stacked w/o platforms/laths, Winter- Stacked w/ platforms/lath in btwn | Not so much                      |
| <b>c. Other factors influencing storage:</b>                       | Banding for long term storage                           | Availability of Space | stacked to prevent warping            | Space-availability                            | labor dollars   | straight racks                                  | material type and size         | bands                                | Thickness, Size, structural integrity   | Duration of time stored          |
| <b>d. Influence of Storage Methods on performance:</b>             | banding and covering reduce damage in long term storage | Neat stockpiling      | Cleanly stacked                       | load path during long-term storage(>3 months) | Not sure  | level and plumb storage                         | depends on duration of storage | no, form lines may make a difference | Forms stacked w/ equal platforms at a minimum of 2' o.c., to prevent warp     | Heat/sun exposure affects lumber |

| Sl. no: of Respondents                                 | 1  | 2  | 3   | 4              | 5   | 6  | 7  | 8                          | 9   | 10   |
|--|--|--|---|----------------|---|--|--|----------------------------|---|--|
| <b>Section 3. Assembly-</b>                            |  |  |   |                |   |  |  |                            |   |  |
| <b>a. Description of Erection Process:</b>             | Clean, layout, snap lines, plate, stand plywood, install, walers, strongbacks, plumb+line, shoot grade, install chamfer, blockouts, reveals, etc. Install button up panels, then hardware, walers, strongbacks, bulkheads, plumb & line. | Gang forms = layout, set forms, pour, strip and reset.<br><br>Handset = layout, set forms, pour, strip, clean-up, recycle, everything that is re-usable. | Plywood is drilled and stood, snap ties are installed with camlocks, walers and braces are installed. Closing plywood is installed in the same fashion. | hand-set walls | Pour concrete & lay out walls                 | Layout concrete, set first side of forms, install any interna pipes (i.e., snap ties & coil rod), set button up side, brace & line & reinforce entire pour | Pour footing, layout wall line, roto hammer plate down, stand plywood, put on ties, camcocks, walers, strong backs, braces, line wall, button up other side, pour. | Stand sheets, rap with 2X4 | Cranes, Pre-fabricated panels, moved in place by cranes | Vertical forms are assembled on the footing first. One side is set then steel is erected. Then close-up side is installed. |
| <b>b. Diff. between wall/column assembly process?:</b> | yes, absolutely  | Minor. Nothing major   | yes   | yes            | most of those, the installation, its the same | no   | yes, wood to steel are different.  | yes                        | generally same  | Gang forms may be assembled in sections verses handset that is assembled piece by piece.                                   |



| Sl. no: of Respondents  | 11  | 12   | 13   | 14   | 18  | 19  | 20   | 21   | 22   | 23  |
|---|---|--|--|--|-----|---|--|--|--|---|
| <b><u>Section 3. Assembly-</u></b>                            |   |  |  |  |     |   |  |  |  |   |
| <b><i>a. Description of Erection Process:</i></b>             | Layout, template, erect, plumb & line top (all one side) install reinforcement - then install other side. | erected by hand  | Build form, add rebar, nail form together, wrap forms with 2X4s, brace form 2X4 to the ground with metal stakes. | set one face, install rebar curtain, embedments, adjust, set inside face, insert she-bolts, access decks placed, concrete poured | N/A | string lines, stake points, bring to elevation and nail forms | establish gridlines, install concrete stakes, 2x's nailed in | Each type's different - footing, snap ties for elevator shaft, Alisply forms | Secure the base, fasten stakes to concrete, set forms, plumb & line. Brace properly.                 | put panels together, lift w/ crane, set panels, brace panels to plumb, clamp together |
| <b><i>b. Diff. between wall/column assembly process?:</i></b> | manufacturer of formwork (if rented) changes some of assembly.  | Columns-corners interlocked, Walls-Sides tied and braced | Columns, snap ties, metal pre made form, sona tube.  | slight difference in fabrication   | N/A | lots of differences , snap ties vs taper ties                 | yes, each design is unique                                   | Yes, different systems. Not on wall/column                                   | Yes, mere bracing on walls. Different brace spacing. Thicker plywood. Depending on height thickness. | Yes, Differences present  |



| Sl. no: of Respondents                                    | 1                    | 2         | 3   | 4   | 5   | 6   | 7            | 8                            | 9           | 10   |
|---|----------------------|-----------|-----|-----|-----|---|--------------|------------------------------|-------------|------|
| <b>Section 4.</b><br><b>Stockpiling/storage-</b>          |                      |           |     |     |     |   |              |                              |             |      |
| i. Timeframe for which the formwork stays in place (days) | no typical timeframe | 0-5       | 0-3 | 0-3 | 0-3 | no typical timeframe                        | 0-3          | 0-3                          | 0-7         | 5-10 |
| ii. External impacts during the timeframe:                |                      |           |     |     |     |   |              |                              |             |      |
| Wind  | x                    |           |     | x   |     |   | x            | x                            | x           | x    |
| Personnel load  | x                    | x         | x   | x   |     | x   | x            | x                            |             | x    |
| Equipment load  | x                    |           |     |     |     | x   |              |                              |             | x    |
| Others  | Sun, rain, Vibration |           |     |     |     |   |              |                              |             |      |
| <b>b. After pouring Concrete:</b>                         |                      |           |     |     |     |   |              |                              |             |      |
| i. Timeframe for which the formwork stays in place (days) | 1                    | 0-30, 0-2 | 1   | 1   | 1-2 | depends on concrete, weather, and structure | 1            | 1, depends on contract specs | 1-14, avg 7 | 1-3  |
| <b>c. Seasonal variations:</b>                            |                      |           |     |     |     |   |              |                              |             |      |
| i. Is 4.b.i affected by season?                           | yes                  | no        | no  | yes | yes | yes   | yes (freeze) | yes                          | yes         | no   |

| Sl. no: of Respondents                           | 1                         | 2    | 3    | 4                  | 5 | 6                             | 7 | 8                         | 9                 | 10 |
|--|---------------------------|------|------|--------------------|---|-------------------------------|---|---------------------------|-------------------|----|
| <b>Section 4.</b><br><b>Stockpiling/storage-</b> |                           |      |      |                    |   |                               |   |                           |                   |    |
| ii. By how much?                                 | Fall/ Winter by 24-72 hrs | none | none | 1-2 days in winter |   | increases in cold/dry weather |   | depends on contract specs | doubles in winter | -  |

| Sl. no: of Respondents                                    | 11   | 12  | 13  | 14                           | 18     | 19        | 20 | 21 | 22 | 23 |
|---|------|-----|-----|------------------------------|--------|-----------|----|----|----|----|
| <b>Section 4.</b><br><b>Stockpiling/storage-</b>          |      |     |     |                              |        |           |    |    |    |    |
| <b>a. Before pouring Concrete:</b>                        |      |     |     |                              |        |           |    |    |    |    |
| i. Timeframe for which the formwork stays in place (days) | 5-10 | 1-2 | 1-2 | 0,depends on thermal control | 3 days | 1 day min | no | 2  | 3  | -  |
| ii. External impacts during the timeframe:                |      |     |     |                              |        |           |    |    |    |    |
| Wind  | x    |     |     | x                            |        | x         |    |    | x  |    |
| Personnel load  | x    | x   | x   | x                            |        | x         | x  |    | x  | x  |
| Equipment load  | x    |     |     | x                            |        | x         | x  |    | x  | x  |





|                                 |                |          |                   |                 |                             |               |                              |                  |                   |                  |
|---------------------------------|----------------|----------|-------------------|-----------------|-----------------------------|---------------|------------------------------|------------------|-------------------|------------------|
| <b>a. Method of removal:</b>    |                |          |                   |                 |                             |               |                              |                  |                   |                  |
| By hand                         | x              | x        | x                 |                 | x                           | x             | x                            |                  | x                 | x                |
| Cranes/forklifts                | x              |          |                   | x               |                             | x             |                              | x                | x                 | x                |
| others                          |                |          |                   | Hydraulic ram   | hammers, scarpers           |               | depends on form construction | TRACKHOES        |                   |                  |
| <b>b. Movement of formwork:</b> |                |          |                   |                 |                             |               |                              |                  |                   |                  |
| Within Site                     | Forklift/crane | Forklift | Hands or forklift | Crane, Forklift | trucks/vans on lumber racks | Forklift      | forklifts                    | Forklifts        | By hand, Forklift | Cranes/Forklifts |
| From Site-to site               | Truck/trailer  | trucks   | trucks            | Forklift/trucks |                             | Truck/trailer | truck                        | trucks, trailers | Trailer, Truck    | Trucks, Trailers |

| Sl. no: of Respondents                      | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|---|---|---|---|---|---|---|---|---|---|----|
| <b>Section 6. Degradation &amp; Re-use:</b> |   |   |   |   |   |   |   |   |   |    |
| <b>a. Observations:</b>                     |   |   |   |   |   |   |   |   |   |    |
| i. Commonly observed Degradations:          |   |   |   |   |   |   |   |   |   |    |
| Edges/Corners                               | x | x | x |   | x | x | x | x | - | x  |
| Faces                                       | x | x | x | x |   | x | x | x | - |    |
| Structural Cracks                           | x |   |   |   |   | x |   | x | - | x  |



| Sl. no: of Respondents                             | 1                                 | 2                  | 3                           | 4              | 5   | 6                                       | 7                            | 8                    | 9                               | 10               |
|--|-----------------------------------|--------------------|-----------------------------|----------------|-----|---|------------------------------|----------------------|---------------------------------|------------------|
| <b><u>Section 6. Degradation &amp; Re-use:</u></b> |                                   |                    |                             |                |     |   |                              |                      |                                 |                  |
| Visual Inspection                                  | x                                 | x                  | x                           | x              |     | x                                       | x                            | x                    | x                               | x                |
| Others   |                                   |                    |                             |                |     |   |                              |                      |                                 |                  |
| <b>d. Deciding Factor against re-use:</b>          | depends on project, mostly finish | depends on the use | Surface & Structural Damage | Surface Finish |     | Required finish, and formwork condition | Cracks, cannot hold concrete | Holes, cracks,breaks | -                               | Ease of assembly |
| <b>e. Manufacturer's Guidelines:</b>               |                                   |                    |                             |                |     |   |                              |                      |                                 |                  |
| i. Availability of guidelines:                     | not sure                          | no                 | no                          | no             | no  | no                                      | yes                          | no                   | yes                             | no               |
| ii. Guidelines taken into account (y/n):           | no                                | no                 | no                          | yes            | yes | no                                      | yes                          | yes                  | yes, depending on specification | no               |

| Sl. no: of Respondents                             | 11 | 12 | 13 | 14 | 18 | 19 | 20 | 21 | 22 | 23 |
|--|----|----|----|----|----|----|----|----|----|----|
| <b><u>Section 6. Degradation &amp; Re-use:</u></b> |    |    |    |    |    |    |    |    |    |    |
| <b>a. Observations:</b>                            |    |    |    |    |    |    |    |    |    |    |
| i. Commonly observed Degradations:                 |    |    |    |    |    |    |    |    |    |    |
| Edges/Corners                                      | x  | x  | x  | x  | x  | x  | x  |    | x  | x  |
| Faces  | x  | x  |    | x  |    | x  | x  | x  | x  | x  |
| Structural Cracks                                  |    | x  | x  |    | x  |    |    |    | x  |    |

| Sl. no: of Respondents                             | 11                         | 12                               | 13                          | 14         | 18    | 19                      | 20      | 21                        | 22   | 23                  |
|--|----------------------------|----------------------------------|-----------------------------|------------|-------|-------------------------|---------|---------------------------|------|---------------------|
| <b><i>Section 6. Degradation &amp; Re-use:</i></b> |                            |                                  |                             |            |       |                         |         |                           |      |                     |
| Others   | Cupping & twisting         |                                  | Warping                     |            | holes | delamination of plywood |         | shrinkage                 |      | Concrete Buildup    |
| ii. Number of Uses                                 |                            |                                  |                             |            | 3-4   |                         | depends |                           |      |                     |
| Edges/Corners                                      | 3                          | 3                                | 3-4                         | 1          |       | 2-3                     |         | 4                         | 1    | 1                   |
| Faces  | 3                          | 2                                | 3-4                         | 1          |       | 2-3                     |         | 4                         | 1    | 1                   |
| Structural Cracks                                  | 3                          |                                  | 3-4                         |            |       |                         |         |                           | 3    | -                   |
| Others   | depends on required finish | depends on the handling of forms | Wall finish forms only once |            |       |                         |         | can depend on maintenance |      | Concrete Buildup- 1 |
| <b><i>b. No. of uses of each component:</i></b>    |                            |                                  |                             |            |       |                         |         |                           |      |                     |
| Component 1  | 3                          | multiple                         | 3-4                         | 10         |       | 10-20                   | 2-3     | 20                        | 5/10 | 1-10                |
| Component 2  | 6                          | 6                                | 25                          | 10         |       | 6-12                    | 4       | 4                         | 10   | 1-4                 |
| Component 3  | 3                          | 6-7                              | 1                           | 10         |       | 1                       | many    |                           | 50   | 1-4                 |
| Component 4  | 100                        | multiple                         | -                           | 3-4        |       | 100                     | -       | 50                        | 2    | 1                   |
| Connections  | 100                        | 1                                |                             | infinitely |       | 1                       | 1       |                           | 1    | 1                   |
| <b><i>c. Method of Assessment:</i></b>             |                            |                                  |                             |            |       |                         |         |                           |      |                     |
| Visual Inspection                                  | x                          | x                                | x                           | x          |       | x                       | x       | x                         | x    | x                   |

| Sl. no: of Respondents                      | 11                          | 12                  | 13   | 14                  | 18                     | 19      | 20              | 21                              | 22  | 23   |
|---|-----------------------------|---------------------|------|---------------------|------------------------|---------|-----------------|---------------------------------|---|--|
| <b>Section 6. Degradation &amp; Re-use:</b> |                             |                     |      |                     |                        |         |                 |                                 |   |  |
| Others                                      |                             |                     |      |                     | craftsman's experience |         |                 | quality of work required        |   |  |
| <b>d. Deciding Factor against re-use:</b>   | Formwork condition and size | Condition of lumber | size | Cost of preparation |                        | Warping | required finish | rot                             | Cracks, lefects, missing layers, delamination, visual | Broke, too cut up, warped, split, too short etc. |
| <b>e. Manufacturer's Guidelines:</b>        |                             |                     |      |                     |                        |         |                 |                                 |   |  |
| i. Availability of guidelines:              | yes                         | no                  | no   | no                  | yes                    | no      | yes             | y                               | y   | no   |
| ii. Guidelines taken into account (y/n):    | yes                         | no                  | no   | no                  | not practical to use   | no      | no              | depends on whether rented/owned | y   | no   |

| Sl. no: of Respondents                    | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|---|---|---|---|---|---|---|---|---|---|----|
| <b>Section 7. Failure &amp; Injuries:</b> |   |   |   |   |   |   |   |   |   |    |
| <b>a. Typical causes of Failure:</b>      |   |   |   |   |   |   |   |   | - |    |
| Connections/Ties                          | x | x |   | x |   | x | x |   |   | x  |
| Bending                                   | x |   |   |   |   | x |   |   |   |    |
| Deflection                                | x |   |   |   |   |   |   | x |   | x  |
| Shear                                     |   |   |   |   |   |   |   | x |   |    |

| Sl. no: of Respondents                                   | 1                               | 2                             | 3    | 4    | 5       | 6    | 7    | 8                  | 9    | 10   |
|--|---------------------------------|-------------------------------|------|------|---------|------|------|--------------------|------|------|
| <b>Section 7. Failure &amp; Injuries:</b>                |                                 |                               |      |      |         |      |      |                    |      |      |
| Blowouts   | x                               |                               | x    | x    |         | x    | x    | x                  |      | x    |
| Others   |                                 | Inappropriately braced/formed |      |      |         |      |      |                    |      |      |
| <b>b. Occurance of formwork failure on project (y/n)</b> | small blowouts due to poor work | no                            | no   | no   | no      | no   | no   | no                 | no   | no   |
| <b>c. Occurance of minor injuries on project (y/n)</b>   | no                              | no                            | no   | no   | no      | no   | no   | scratches, pinches | no   | no   |
| <b>d. Number of minor injuries on project (y/n)</b>      | none                            | none                          | none | none | handful | none | none | handful            | none | none |

| Sl. no: of Respondents                    | 11 | 12 | 13 | 14 | 18 | 19 | 20 | 21 | 22 | 23 |
|---|----|----|----|----|----|----|----|----|----|----|
| <b>Section 7. Failure &amp; Injuries:</b> |    |    |    |    |    |    |    |    |    |    |
| <b>a. Typical causes of Failure:</b>      |    |    |    |    |    |    |    |    |    |    |
| Connections/Ties                          | x  | x  | x  |    |    |    |    | x  | x  | x  |
| Bending                                   |    |    |    |    |    |    |    | x  | x  |    |
| Deflection                                | x  |    |    |    |    |    | x  |    | x  | x  |

| Sl. no: of Respondents                                   | 11                   | 12   | 13    | 14                 | 18   | 19                                      | 20                    | 21   | 22              | 23                               |
|--|----------------------|------|-------|--------------------|--|---|-----------------------|--|-----------------|----------------------------------|
| <b>Section 7. Failure &amp; Injuries:</b>                |                      |      |       |                    |  |   |                       |  |                 |                                  |
| Shear  |                      |      |       |                    |  |   |                       |  | x               |                                  |
| Blowouts   |                      | x    | x     | x                  |  |   | x                     | x  | x               | x                                |
| Others   | Mistakes in erection |      |       | Installation error | poor installation, insufficient span support | lack of maintenance, improper stripping | improper installation | higher rate of pour, fastening not perfect | Bracing failure | Wood break, material defect      |
| <b>b. Occurance of formwork failure on project (y/n)</b> | no                   | no   | no    | y                  | no   | sagging due to overload                 | no                    | no   | N/A             | Minor one, no significant impact |
| <b>c. Occurance of minor injuries on project (y/n)</b>   | no                   | no   | yes   | y                  | no   | yes                                     | no                    | no   | no              | y                                |
| <b>d. Number of minor injuries on project (y/n)</b>      | none                 | none | a few | 60>                | no   | a few                                   | none                  | none                                       | N/A             | 5 approx                         |

| Sl. no: of Respondents                                    | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
|---|---|---|---|---|---|---|---|---|---|----|----|----|
| <b>Section 8. Rated Impact on life cycle of formwork-</b> |   |   |   |   |   |   |   |   |   |    |    |    |
| Construction Loading                                      | 3 | 1 | 1 | 1 | 1 | 2 | 1 | 1 | 2 | 0  | 2  | 5  |
| Climbing up   | 2 | 1 | 1 | 1 | 1 | 0 | 1 | 0 | 1 | 1  | 1  | 3  |
| Warping   | 3 | 2 | 4 | 4 | 4 | 3 | 4 | 1 | 5 | 3  | 5  | 1  |

|                                  |   |   |   |   |   |   |   |   |   |   |         |   |
|----------------------------------|---|---|---|---|---|---|---|---|---|---|---------|---|
| Cracks                           | 2 | 3 | 4 | 4 | 4 | 2 | 4 | 3 |   | - | 4       | 2 |
| Surface Damage                   | 4 | 4 | 4 | 4 | 1 | 4 | 3 | 4 | 5 | 1 | 5       | 2 |
| Storage conditions               | 2 | 3 | 3 | 3 |   | 4 | 3 | 2 | 1 | 3 | 5       | 3 |
| Assembly                         | 4 | 3 | 5 | 5 | 4 | 4 | 4 | 2 | 2 | 3 | 4       | 3 |
| Design                           | 4 | 2 | 0 | 1 | 4 | 3 | 5 | 1 | 5 | 1 | 5       | 4 |
| Connections                      | 4 | 3 | 4 | 4 | 4 | 3 | 4 | 1 | 3 | 1 | 4       | 4 |
| Ties                             | 4 | 3 | 3 | 3 | 5 | 4 | 3 | 1 | 3 | 1 | 4       | 4 |
| Removal                          | 4 | 2 | 5 | 5 | 1 | 5 | 5 | 3 | 5 | 1 | 4       | 2 |
| Increase/decrease in temperature | 2 | 0 | 3 | 3 |   | 2 | 3 | 0 | 1 | 3 | 3       | 1 |
| Increase/decrease in humidity    | 2 | 0 | 3 | 3 |   | 2 | 3 | 0 | 1 | 3 | 3       | 1 |
| Accidental impact                | 3 | 3 | 4 | 4 |   | 2 | 3 | 3 | 5 | - | 3       | 4 |
| Other                            | - | - | - | - | - | - | - | - | - | - | Abuse-4 | - |

| Sl. no: of Respondents   | 13 | 14 | 15* | 16* | 17* | 18 | 19 | 20 | 21 | 22 | 23 |
|--|----|----|-----|-----|-----|----|----|----|----|----|----|
| <b><i>Section 8. Rated Impact on life cycle of formwork-</i></b> |    |    |     |     |     |    |    |    |    |    |    |
| Construction Loading   | 0  | 5  | 3   | 3   | 2   | 3  | 4  | 2  | 4  | 5  | 5  |
| Climbing up  | 0  | 1  | 0   | 0   | 2   | -  | 1  | 2  | 1  | 3  | 1  |

| Sl. no: of Respondents   | 13 | 14 | 15* | 16*    | 17* | 18 | 19                    | 20 | 21   | 22  | 23                   |
|--|----|----|-----|--------|-----|----|-----------------------|----|--|-----|----------------------|
| <b><u>Section 8. Rated Impact on life cycle of formwork-</u></b> |    |    |     |        |     |    |                       |    |  |     |                      |
| Warping  | 5  | 1  | 4   | 3      | 1   | 5  | 5                     | 3  | 2  | 5   | 4                    |
| Cracks   | 5  | 1  | 3   | 3      | 2   | 5  | 2                     | 4  | 1  | 4   | 5                    |
| Surface Damage   | 5  | 3  | 1   | 2      | 1   | 5  | 5/1                   | 4  | 4  | 3   | 4                    |
| Storage conditions   | 5  | 2  | 5   | 2      | 2   | 2  | 5                     | 2  | 1  | 4   | 1                    |
| Assembly   | 1  | 1  | 3   | 2      | 1   | 4  | 5                     | 4  | 3  | 5   | 2                    |
| Design   | 0  | 4  | 5   | 4      | 3   | 3  | 4                     | 2  | 2  | 2   | 4                    |
| Connections  | 2  | 4  | 5   | 2      | 2.5 | 5  | 3                     | 4  | 1  | 5   | 4                    |
| Ties   | 3  | 4  | 5   | 2      | 2   | 4  | 3                     | 4  | 2  | 5   | 4                    |
| Removal  | 2  | 5  | 5   | 2      | 1.5 | 4  | 5                     | 5  | 2  | 2   | 5                    |
| Increase/decrease in temperature                                 | 1  | 2  | 4   | 0      | 0   | 1  | 3                     | 2  | 2  | 2   | 1                    |
| Increase/decrease in humidity                                    | 1  | 3  | 4   | 0      | 0   | 1  | 3                     | 2  | 2  | 2-4 | 1                    |
| Accidental impact  | 2  | 2  | 1   | 0      | 0   | -  | 2                     | 4  | 3  | 3   | 4                    |
| Other  | -  | -  | -   | Rain-3 | -   | -  | Oiling and stacking-5 | -  | Cleaning and oiling, especially if there are form liners-4 | -   | Concrete Build up- 4 |

\*Respondents 15, 16 and 17 filled out only the impact tables.

| <b><i>Section 8. Rated Impact on life cycle of formwork-</i></b> | <b>Total Impact (sum)</b> |
|--|---------------------------|
| Construction Loading   | 56                        |
| Climbing up  | 24                        |
| Warping  | 77                        |
| Cracks   | 67                        |
| Surface Damage   | 73                        |
| Storage conditions   | 63                        |
| Assembly   | 74                        |
| Design   | 68                        |
| Connections  | 76.5                      |
| Ties   | 76                        |
| Removal  | 80.5                      |
| Increase/decrease in temperature                                 | 39                        |
| Increase/decrease in humidity                                    | 38                        |
| Accidental impact  | 55                        |

## APPENDIX – II: OSHA FATALITY AND CATASTROPHE SUMMARY REVIEW

|    | Report ID | Event Description   | Trade        | Severity | Hospitalized/Not | Activity       | Cause  |
|----|-----------|---|--------------|----------|------------------|----------------|--|
| 1  | 950632    | Driver Sustains Fractures When Concrete Truck Topples       | Truck driver | Medium   | Yes              | Pouring Conc   | Trench near back of truck collapsed, causing truck to fall on driver, who was outside near back of truck |
| 2  | 950614    | Employee Cuts Hand With Circular Saw                        | C. Laborer   | High     | Yes              | Forming        | Accident   |
| 3  | 950643    | Worker Lacerates Hand On Angle Grinder Used On Concrete     | C. Laborer   | Medium   | Yes              | Pouring Conc   | Cause unspecified  |
| 4  | 111500    | Four Employees Are Injured When Concrete Form Falls Over    | C. Laborer   | Low      | Yes              | Erection       | Upright form collapsed on employee when brace was removed  |
| 5  | 950633    | Employee Is Shocked In Contact With Overhead Power Line     | Mason        | High     | Yes              | Pouring Conc   | Accidental Contact with Powerline  |
| 6  | 626000    | Employee Dies From Heat Exhaustion                          | -            | High     | Fatality         | Assembly       | Employee collapsed from heat exhaustion and died   |
| 7  | 950611    | Four Employees Are Injured When Roof Deck Collapses         | Carpenter    | Medium   | Yes              | Pouring Conc   | Falsework design load underestimated, leading to collapse  |
| 8  | 950641    | Employee Is Injured In Fall From High Wall                  | Carpenter    | Low      | No               | Stripping      | Slip from form   |
| 9  | 352410    | One Employee Is Killed, Another Is Injured In Wall Collapse | C. Laborer   | High     | Fatality         | Erection       | Collapse of precast concrete wall  |
| 10 | 950641    | Employee Is Injured In Fall                                 | Carpenter    | Medium   | Yes              | Pouring Conc   | Employee slipped while tightening brace to form during pour  |
| 11 | 950624    | Employee Is Struck And Injured By Hose                      | C. Laborer   | Medium   | Yes              | Pouring Conc   | Block in hose  |
| 12 | 111700    | Worker Is Killed In Slip And Fall On Icy Surface            | Truck driver | High     | Fatality         | Transportation | Ice on Concrete  |
| 13 | 524530    | Employee Is Killed By Concrete Form Collapse                | C. Laborer   | High     | Fatality         | Pouring Conc   | Culvert floor Form collapse  |
| 14 | 523900    | Employee Is Killed When Wall Collapses                      | -            | High     | Fatality         | Pouring Conc   | Inadequate brace of forms for CIP Wall   |
| 15 | 214700    | Employee Dies From Fall While Installing Scaffold           | C. Laborer   | High     | Fatality         | Forming        | Fall of abt 6-7 ft   |
| 16 | 552651    | Employee Is Killed When Crushed By Collapsing Wall          | C. Laborer   | High     | Fatality         | Other          | Excavation to erect form   |

|    | Report ID | Event Description   | Trade      | Severity | Hospitalized/Not | Activity     | Cause                               |
|----|-----------|---|------------|----------|------------------|--------------|-------------------------------------|
| 17 | 950611    | Worker Falls And Sustains Cuts To Hand And Arm              | Finisher   | Medium   | Yes              | Pouring Conc |                                     |
| 18 | 950631    | Employee'S Fingertip Is Crushed When Chain Is Hoisted       | Finisher   | Medium   | No               | Pouring Conc |                                     |
| 19 | 950612    | Employee Is Injured When Struck By Metal Concrete Form      | C. Laborer | Medium   | Yes              | Other        | Falling form                        |
| 20 | 950641    | Laborer Fractures Leg When Pinned By Concrete Vault Forms   | C. Laborer | Medium   | Yes              | NS           | Falling form                        |
| 21 | 830300    | Employee Is Killed In Excavation Cave-In                    | -          | High     | Fatality         | Erection     | Cave-In                             |
| 22 | 134000    | Employee Lacerates Leg While Cutting Wood With Portable Saw | -          | Medium   | Yes              | Assembly     | Saw recoil                          |
| 23 | 523400    | Employee Is Injured By Falling Concrete Forms               | -          | Medium   | Yes              | Forming      | Pin holding forms in basket removed |
| 24 | 1032500   | Three Employees Injured In Collapse Of Bridge Being Built   | Carpenter  | Medium   | Yes              | Other        | Deck formwork Collapse              |
| 25 | 950621    | Employee Is Injured In Fall From Ladder                     | Foreman    | Medium   | Yes              | Stripping    | Struck by loose form                |
| 26 | 951510    | Employee Is Injured Struck By Flying Object                 | Carpenter  | Medium   | Yes              | Stripping    | Struck by flying form               |
| 27 | 950633    | Employee Injures Back In Fall From Scaffold                 | Mason      | Medium   | Yes              | Other        | Struck by form                      |
| 28 | 950641    | Employee Lacerates Thumb While Using Portable Electric Saw  | Carpenter  | Low      | No               | Assembly     | Untrained?                          |
| 29 | 950633    | Employee Amputates Thumb While Using Portable Saw           | C. Laborer | High     | Yes              | Forming      | Cause Unspecified                   |
| 30 | 134000    | Worker Ripping Wood Amputates Thumb                         | -          | High     | Yes              | Stripping    | Safety Device inactive              |
| 31 | 1054113   | Employee Injured In Fall From Bridge Scaffold Into River    | C. Laborer | Medium   | Yes              | Stripping    | Fall from Scaffold                  |
| 32 | 215000    | One Killed, One Injured When Concrete Forms Collapse        | -          | High     | Fatality         | Pouring Conc | Formwork Collapse                   |
| 33 | 134000    | Employee Sustains Fractures In Fall                         | -          | Medium   | Yes              | Erection     | Fall                                |
| 34 | 953220    | Seven Employees Injured When Concrete Form Collapses        | Carpenter  | Low      | No               | Pouring Conc | Shoring Failure                     |
| 35 | 950615    | Employee Amputates Three Fingers Using Circular Saw         | C. Laborer | High     | Yes              | Forming      | Saw blade Kick back                 |
| 36 | 950613    | Employee Injured In Same-Level Fall On                      | Carpenter  | Medium   | Yes              | Forming      | Lost Balance                        |

|    | Report ID | Event Description   | Trade        | Severity | Hospitalized/Not | Activity     | Cause   |
|----|-----------|---|--------------|----------|------------------|--------------|---|
|    |           | Work Platform   |              |          |                  |              |   |
| 37 | 950611    | Employee Injured In Backward Fall Off Column During Pour    | Metal Worker | Medium   | Yes              | Pouring Conc | Column bar bent, letting hook slip out                      |
| 38 | 950636    | Employee Fractures Leg In Fall From Wood Formwork           | Carpenter    | Medium   | Yes              | Erection     | Lost footing, no catenary lines attached                    |
| 39 | 215000    | Employee Killed In Fall When Plywood Panel Breaks Loose     | -            | High     | Fatality         | Forming      | Stepped on unsupported deck form                            |
| 40 | 352440    | Employee Injures Shoulder In Fall Into Excavation           | C. Laborer   | Medium   | Yes              | Pouring Conc | Tripped over formwork                                       |
| 41 | 214700    | Employee Killed In Fall From Work Platform                  | -            | High     | Fatality         | Forming      | Work platform slipped                                       |
| 42 | 950614    | Employee Trips And Fractures Leg                            | Inspector    | Medium   | Yes              | Other        | Tripped over formwork                                       |
| 43 | 950632    | Employee Injured When Knocked Down By Falling Coworker      | Carpenter    | Medium   | Yes              | Assembly     | -   |
| 44 | 950621    | Employee'S Fingers Lacerated When Power Saw Kicks Back      | Finisher     | Medium   | Yes              | Erection     | Knot in wood  |
| 45 | 953220    | Employee Killed In Apparent Fall Into Elevator Shaft        | C. Laborer   | High     | Fatality         | Other        | -   |
| 46 | 729700    | Employee Killed When Struck In Neck By Masonry Saw          | C. Laborer   | High     | Fatality         | Forming      | Blade kickback  |
| 47 | 729700    | Employee Killed When Struck In Neck By Masonry Saw          | C. Laborer   | High     | Fatality         | Forming      | Blade kickback  |
| 48 | 950633    | Employee Injured When Crushed By Collapsing Concrete Wall   | Mason        | Medium   | Yes              | Stripping    | Wall collapse   |
| 49 | 216000    | Employee Killed In Fall Through Floor Opening               | -            | High     | Fatality         | Other        | Fall through form opening                                   |
| 50 | 454510    | Employee Electrocuted When Bull Float Contacts Power Line   | Finisher     | High     | Fatality         | Other        | Electrocution   |
| 51 | 950642    | Employee Injured When Struck By Falling Piece Of Wall Form  | Carpenter    | Medium   | Yes              | Erection     | Struck by components falling from crane                     |
| 52 | 418800    | Employee Killed In Fall Over Side Of High Rise              | -            | High     | Fatality         | Stripping    | unstable position and fall, causing his lines to be snapped |
| 53 | 950611    | Employee Suffers Back Contusions In Fall From Work Platform | Carpenter    | Medium   | Yes              | Forming      | lost balance  |
| 54 | 453730    | Employee Is Injured In Fall From Collapsed                  | Foreman      | Medium   | Yes              | Erection     | Damaged shores under deck form system                       |

|    | Report ID | Event Description   | Trade      | Severity | Hospitalized/Not | Activity       | Cause  |
|----|-----------|---|------------|----------|------------------|----------------|--|
|    |           | Deck  |            |          |                  |                |  |
| 55 | 552700    | Nine Employees Are Injured When Concrete Forms Collapse     | -          | Medium   | Yes              | Pouring Conc   | Deck formwork Collapse   |
| 56 | 352440    | Employee Falls From Elevation, Sustains Minor Injuries      | Carpenter  | Medium   | No               | Forming        | Tie-off point collapsed  |
| 57 | 453710    | Employee Falls From Form Work, Fractures Wrist              | -          | Medium   | Yes              | Forming        | Lost balance   |
| 58 | 950625    | Employee Sustains Fractures When Struck By Falling Forms    | C. Laborer | Medium   | Yes              | Transportation | Bands burst on stacks of slip forms                                  |
| 59 | 521700    | Employee Is Struck And Killed By Falling Formwork           | -          | High     | Yes              | Erection       | Choker cable detached from hook, toppling the form                   |
| 60 | 953220    | Employee Is Injured When Concrete Falsework Collapses       | Carpenter  | Medium   | Yes              | Stripping      | System collapsed due to lack of balance                              |
| 61 | 950614    | Employee Suffers Burns To Feet From Contact With Wet Cement | Carpenter  | Medium   | Yes              | Pouring Conc   | Chemical burns, non-regulation footwear                              |
| 62 | 950632    | Employee Fractures Bones In Leg And Ankle In Fall From Beam | Carpenter  | Medium   | Yes              | Forming        | Lost balance while climbing down                                     |
| 63 | 1032500   | Worker Is Killed When Crane Collision Topples Concrete Form | -          | High     | Fatality         | NS             | Crane collision, which knocked over precast form                     |
| 64 | 419700    | Employee Is Struck And Killed By Industrial Equipment       | -          | High     | Fatality         | NS             | Struck by concrete mover when on the blind spot                      |
| 65 | 953220    | Two Employees Are Killed And Two Injured In Form Collapse   | -          | High     | Fatality         | Stripping      | Form collapse  |
| 66 | 950631    | Worker Falls And Fractures Leg                              | Carpenter  | Medium   | Yes              | Erection       | Sudden pull, causing worker to fall                                  |
| 67 | 950614    | Employee Sustains Laceration And Fractures In Fall          | -          | Medium   | Yes              | Other          | Fall   |
| 68 | 950641    | Employee Is Injured When Column Form Collapses              | C. Laborer | Medium   | Yes              | Erection       | Worker cuts tie, causing form & rebar collapse                       |
| 69 | 950643    | Employee'S Hand Is Lacerated When Pinched By Casting        | C. Laborer | Medium   | Yes              | Stripping      | Misjudged force for rotation of fixture, inorder to place it upright |
| 70 | 453720    | Employee Is Struck By Falling Metal Panel And Paralyzed     | -          | High     | Fatality         | Stripping      | Form fell from slipping co-worker's hands                            |
| 71 | 950641    | Employee Is Injured When Caught Between Lift And Ceiling    | Carpenter  | Medium   | Yes              | NS             | Pushed wrong switch on forklift                                      |

|    | Report ID | Event Description  | Trade        | Severity | Hospitalized/Not | Activity       | Cause   |
|----|-----------|--|--------------|----------|------------------|----------------|---|
| 72 | 418600    | Employee Is Struck And Killed By Concrete Formwork           | -            | High     | Fatality         | Stripping      | -   |
| 73 | 419400    | Employees Are Injured By Falling Concrete                    | -            | Medium   | Yes              | Other          | Stacked forms knocked over onto workers by crane      |
| 74 | 950624    | Employee Fractures Clavicle In Fall From Truck               | -            | Medium   | No               | Transportation | Rope slipped, causing him to fall over                |
| 75 | 953220    | No Injuries When Concrete Forms Displaced From Building      | -            | Low      | No               | NS             | Flying forms displaced and fell 100 ft                |
| 76 | 1054112   | Employee Killed When Struck By Falling Slab Of Concrete      | -            | High     | Fatality         | Forming        | Concrete slab collapse                                |
| 77 | 215600    | Attic Collapses, Kills One Worker And Injures Three          | -            | High     | Fatality         | Pouring Conc   | Deck formwork Collapse during pour                    |
| 78 | 830300    | Employee Is Killed In Fall From Slip Form Scaffold           | -            | High     | Fatality         | Other          | Fall from scaffold, 110 ft                            |
| 79 | 950622    | Employee Is Injured In Fall From Concrete Formwork           | Utility      | Medium   | Yes              | Other          | Support shores removed, causing formwork to collapse  |
| 80 | 418800    | Construction Worker Is Killed In Fall Through Floor Opening  | -            | High     | Fatality         | Stripping      | fall through floor opening, no fall protection        |
| 81 | 950612    | Employee Amputates Finger While Assembling Concrete Parts    | -            | High     | Yes              | Assembly       | Finger caught between form components                 |
| 82 | 418100    | One Employee Killed, One Injured In Hit-And-Run Accident     | -            | High     | Fatality         | Other          | Hit & run   |
| 83 | 950611    | Two Carpenters Are Injured When Falsework Collapses          | Carpenter    | Medium   | Yes              | Erection       | Improperly designed falsework                         |
| 84 | 950611    | Two Ironworkers Are Injured When Falsework Collapses         | Metal Worker | Medium   | Yes              | Erection       | Improperly designed falsework(same incident as above) |
| 85 | 950635    | Worker Fractures Feet In Fall From Concrete Wall             | -            | Medium   | Yes              | Pouring Conc   | Snap hook not fastened to approved anchor point       |
| 86 | 134000    | Employee Falls And Fractures Skull                           | -            | High     | Yes              | Forming        | 12ft fall   |
| 87 | 950644    | Employee Is Injured In Fall From Wall From                   | C. Laborer   | Medium   | Yes              | Erection       | Employee was between tie off points                   |
| 88 | 418800    | Three Employees Are Killed, Fourth Injured, In Deck Collapse | -            | High     | Fatality         | Pouring Conc   | Deck forms collapse during pour                       |
| 89 | 215600    | Employee Dies After Being Struck By Concrete Forms           | -            | High     | Fatality         | Transportation | Falling forms   |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity       | Cause  |
|-----|-----------|--|------------|----------|------------------|----------------|--|
| 90  | 352450    | Employee'S Fingers Are Injured By Falling Object           | C. Laborer | Medium   | Yes              | Assembly       | Safety latch of sling holding form up failed, causing fall         |
| 91  | 112000    | Employee Fractures Leg When Concrete Form Falls Over       | Carpenter  | Medium   | Yes              | Erection       | Form tipping over  |
| 92  | 215000    | Employee Is Killed In Collapse                             | C. Laborer | High     | Fatality         | Stripping      | Vertical slab collapsed upon removal of form                       |
| 93  | 950611    | Employee Injured In Fall From Concrete Form During Pour    | Foreman    | Medium   | Yes              | Pouring Conc   | No anchor points to tie off or appropriate scaffold                |
| 94  | 953220    | Employee Is Injured In Fall From Cement Form               | C. Laborer | Medium   | Yes              | NS             | Form he was standing on shifted                                    |
| 95  | 950615    | Employee Is Injured In Fall Through Floor Opening          | Finisher   | Medium   | Yes              | Other          | fall from unprotected stairwell                                    |
| 96  | 950625    | Employee Falls From Scaffold And Suffers Concussion        | C. Laborer | Medium   | Yes              | Erection       | Wind knocked over 4x8 panel held by worker                         |
| 97  | 454712    | Cave-In Kills Construction Worker                          | Carpenter  | High     | Fatality         | Forming        | Trench collapse, lack of shoring                                   |
| 98  | 950622    | Employee Amputates Fingers On Circular Saw Blade           | C. Laborer | High     | Yes              | Other          | Saw jammed   |
| 99  | 953220    | Employees Are Injured In Collapse While Pouring Concrete   | C. Laborer | Medium   | Yes              | Pouring Conc   | Deck form collapse   |
| 100 | 524200    | One Employee Killed, Another Injured In Fall               | -          | High     | Fatality         | Stripping      | Collapse of formwork and scaffolding                               |
| 101 | 552700    | Employee Is Killed In Fall Down Mechanical Shaft           | -          | High     | Fatality         | Erection       | Fall through floor hole while inspecting forms                     |
| 102 | 950621    | Concrete Worker Sustains Fracture When Struck In Chest     | Finisher   | Medium   | Yes              | Pouring Conc   | Surge chamber attached to concrete truck hit worker                |
| 103 | 950622    | Employee Fractures Leg When Struck By Skid-Steer Loader    | Carpenter  | Medium   | Yes              | Assembly       | Nearby loader backed up onto worker                                |
| 104 | 950411    | Employee Amputates Two Fingers In Precast Concrete Machine | C. Laborer | High     | Yes              | Forming        | worker stuck his hand into gap into a machine used to form precast |
| 105 | 523300    | Employee Falls And Suffers Head And Back Injuries          | -          | Medium   | Yes              | Erection       | 18.6 ft fall   |
| 106 | 953220    | Employee Is Injured In Fall And Dies Later                 | C. Laborer | High     | Fatality         | Forming        | Cut rebar to which he was hooked to                                |
| 107 | 420600    | Employee Dies After Lacerating Leg With Saw                | -          | High     | Fatality         | Assembly       | Saw stuck in leg, causing bleeding                                 |
| 108 | 627410    | Employee Is Killed When Struck By Pickup Truck             | -          | High     | Fatality         | Transportation | Pinned to the bed of a truck by the pick up                        |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity       | Cause   |
|-----|-----------|--|------------|----------|------------------|----------------|---|
| 109 | 636900    | Employee Is Killed In Fall When Board Comes Loose          | Carpenter  | High     | Fatality         | Forming        | Displaced guardrail, causing employee to fall through opening |
| 110 | 950621    | Carpenter Is Injured In Fall From Ladder                   | Carpenter  | High     | Yes              | Erection       | lost balance on ladder  |
| 111 | 352430    | Employee Is Injured In Formwork Collapse                   | C. Laborer | Medium   | Yes              | Pouring Conc   | Collapse of formwork and scaffolding, cause unknown           |
| 112 | 950631    | Employee Sustains Lacerations In Fall While Building Patio | Finisher   | Medium   | Yes              | Pouring Conc   | Slipped and fell onto uncapped concrete stakes                |
| 113 | 216000    | Employee Dies After Being Struck By Concrete Form          | C. Laborer | High     | Fatality         | Stripping      | Form came off crane and struck worker                         |
| 114 | 950613    | Employee'S Leg Is Injured When Run Over By Tractor         | -          | Medium   | Yes              | Erection       | Backed into by tractor  |
| 115 | 953220    | Employee Dislocates Knee In Fall                           | Carpenter  | Medium   | Yes              | Erection       | Fall of 10-12 ft  |
| 116 | 257220    | Employee Is Struck And Killed By Falling Crane Boom        | -          | High     | Fatality         | Erection       | Struck by crane boom  |
| 117 | 521400    | Formwork Collapses And Crushes Employee                    | -          | High     | Fatality         | Erection       | Improper temporary bracing, lack of guidelines                |
| 118 | 953220    | Employee Is Injured After Falling From Work Platform       | Carpenter  | Medium   | Yes              | Stripping      | Crane boom cable failure                                      |
| 119 | 552651    | Employee Electrocuted While Working In Open Basement       | C. Laborer | High     | Fatality         | Stripping      | Faulty cable caused electrocution                             |
| 120 | 950622    | Employee Is Injured While Stripping Concrete Form          | Finisher   | Medium   | Yes              | Stripping      | Scraped leg on uncapped state, causing infection              |
| 121 | 950411    | Eleven Employees Are Injured In Deck Collapse              | Finisher   | Medium   | Yes              | Pouring Conc   | Deck form collapse  |
| 122 | 418800    | Employee Dies When Crushed By Storm Drain                  | -          | High     | Fatality         | NS             | Crushed between trench wall and catchbasin byaccident         |
| 123 | 728900    | Employee Injured By Falling Form Wall                      | -          | Low      | No               | Pouring Conc   | Form broke at half-height, pinning his foot against wall      |
| 124 | 626700    | Employee Is Struck And Killed By Falling Concrete Form     | Carpenter  | High     | Fatality         | Forming        | form shifted and fell on worker                               |
| 125 | 626000    | Employee Killed When Struck By Falling Mass Of Concrete    | C. Laborer | High     | Fatality         | Transportation | Form has become filled with concrete inadvertently            |
| 126 | 950642    | Employee Injured In Fall When Raised Platform Collapses    | C. Laborer | Medium   | Yes              | Erection       | unsecured platform with no guardrails/toe boards              |
| 127 | 950644    | Employee Is Injured In Trench Cave-In                      | C. Laborer | Medium   | Yes              | Erection       | Cave-In while clearing water out of trench for                |

|     | Report ID | Event Description  | Trade        | Severity | Hospitalized/Not | Activity     | Cause  |
|-----|-----------|--|--------------|----------|------------------|--------------|--|
|     |           |  |              |          |                  |              | excavation   |
| 128 | 352440    | Employee Is Injured When Struck On His Head By Concrete Form | C. Laborer   | Medium   | Yes              | Stripping    | Dropped form while slipping, hardhat fell off                        |
| 129 | 1054113   | Employee Is Injured When Struck By Falling Concrete          | Carpenter    | Medium   | Yes              | Erection     | Crane dropped form by 1 ft   |
| 130 | 950641    | Employee Fractures Both Legs When Concrete Form Strikes Him  | C. Laborer   | Medium   | Yes              | Stripping    | Unrestrained form fell on him  |
| 131 | 418800    | One Is Killed, Three Are Injured In Concrete Form Collapse   | -            | Medium   | Yes              | Stripping    | Form fell on employee while stripping                                |
| 132 | 854910    | Employee Electrocuted While Extending Boom On Pump Truck     | Finisher     | High     | Fatality         | Pouring Conc | Boom touched overhead powerline, and worker closed circuit on ground |
| 133 | 317900    | One Employee Killed, One Hurt When Boom Strikes Power Line   | -            | High     | Fatality         | Pouring Conc | Boom touched overhead powerline, electrocuting the nearby personnel  |
| 134 | 950633    | Employee Injures Leg When Struck By Precast Wall Panel       | -            | High     | Yes              | Stripping    | Wall panel fell on worker's leg when formwork was stripped           |
| 135 | 625700    | Two Employee Drown In A Lake                                 | Carpenter    | High     | Fatality         | Other        | Unrelated to form work   |
| 136 | 453730    | Three Employees Are Overcome By Carbon Monoxide              | C. Laborer   | Low      | No               | Pouring Conc | Exposure to Carbon Monoxide  |
| 137 | 453730    | Three Employees Are Exposed To Carbon Monoxide               | C. Laborer   | Medium   | Yes              | Pouring Conc | Exposure to Carbon Monoxide  |
| 138 | 352410    | Employee Injures Arm In Fall                                 | C. Laborer   | Medium   | Yes              | Stripping    | Unhooked lanyard while stripping shores                              |
| 139 | 950631    | Employee lamputates Thumb While Using Skil Saw               | Mason        | Medium   | Yes              | Other        | Amputated thumb while using a skil saw                               |
| 140 | 420600    | Employee Dies After Falling From An Elevation                | C. Laborer   | High     | Fatality         | Stripping    | Lost balance while stripping close to edge, falling <30ft            |
| 141 | 352440    | Employee'S Fingers Amputated In Cement Mixer Nip Point       | -            | High     | Yes              | Pouring Conc | Worker's glove got caught in the nip point, pulling his hand in      |
| 142 | 418800    | Employee Killed In Fall While Tying Rebars For Form          | Carpenter    | High     | Fatality         | Erection     | Fell from scaffold   |
| 143 | 950632    | Employee Is Injured In Fall From Work Platform               | C. Laborer   | High     | Yes              | Stripping    | Fall from unprotected scaffole, no fall protection                   |
| 144 | 830500    | Employee Dies From Crushing Injuries                         | Truck driver | High     | Fatality         | Other        | Crushed between lowboy trailer and screed machine                    |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity       | Cause   |
|-----|-----------|--|------------|----------|------------------|----------------|---|
| 145 | 316100    | Employee Killed In Fall From Concrete Form Work            | C. Laborer | High     | Fatality         | Forming        | Slipped when climbing up formwork                               |
| 146 | 522000    | Employee Is Impaled After Fall From Formwork               | Carpenter  | Medium   | Yes              | Stripping      | Lost balance after stepping on unsecured scaffold plank         |
| 147 | 950642    | Employee Falls Into Trench And Is Injured                  | C. Laborer | Medium   | Yes              | Erection       | Fall as formwork member came loose, no fall protection was used |
| 148 | 523400    | Employee Is Killed In Fall From Form Work Being Dismantled | C. Laborer | High     | Fatality         | Stripping      | Fall at Height > 25ft   |
| 149 | 729700    | Employee'S Toes Amputated By Concrete Form                 | C. Laborer | High     | Yes              | Transportation | 3400 lb form fell off from a trailer and landed on employee     |
| 150 | 950411    | Employee Injures Head When Struck By Form                  | C. Laborer | Medium   | Yes              | Stripping      | Form broke off and fell on worker                               |
| 151 | 355112    | One Killed, One Burned When Truck Boom Strikes Power Line  | -          | High     | Fatality         | Pouring Conc   | Electrocution   |
| 152 | 418800    | Employee Killed When Struck By Falling Concrete Form       | Carpenter  | High     | Fatality         | Erection       | Form collapsed when employee was attempting to secure bulkhead  |
| 153 | 950641    | Employee Injured When Struck By Falling Form               | -          | Medium   | Yes              | Erection       | Rigging tangled, causing form to fall                           |
| 154 | 950621    | Employee Injured In Entanglement And Fall                  | Finisher   | Medium   | Yes              | Other          | Foot caught between precast form and platform, causing fall     |
| 155 | 625700    | Employee Electrocuted When Truck Boom Strikes Power Line   | -          | High     | Fatality         | Pouring Conc   | Electrocution   |
| 156 | 552651    | Employee Killed In Fall From Concrete Form                 | C. Laborer | High     | Fatality         | Stripping      | Fall while removing crane hook                                  |
| 157 | 452110    | Employee Killed And Another Injured When Form Fails        | -          | High     | Fatality         | Pouring Conc   | Ties broke, causing form to fall/collapse on employees          |
| 158 | 950611    | Employee Fractures Leg When Struck By Pole                 | C. Laborer | Medium   | Yes              | Forming        | Employee struck by shore  |
| 159 | 215800    | One Employee Killed And Nine Injured In Bridge Collapse    | C. Laborer | High     | Fatality         | Pouring Conc   | Lack of adequate bracing causing formwork t collapse            |
| 160 | 521700    | Three Employees Injured When Concrete Pump Hits Power Line | -          | Medium   | Yes              | Pouring Conc   | Boom contacted overhead power lines                             |
| 161 | 625700    | Employee Is Killed When Concrete Formwork Collapses        | -          | High     | Fatality         | Erection       | Formwork Collapse   |
| 162 | 729700    | Employee Is Killed In Excavation Cave-In                   | Finisher   | High     | Fatality         | Stripping      | Excavation Collapse, no excavation protection                   |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity       | Cause  |
|-----|-----------|--|------------|----------|------------------|----------------|--|
|     |           |  |            |          |                  |                | system used  |
| 163 | 215000    | Employee Is Crushed And Killed By Falling Concrete Wall      | Carpenter  | High     | Fatality         | Assembly       | Hoist pulling up wall failed, causing wall to collapse on worker |
| 164 | 950611    | Employee Is Killed In Fall From Golden Gate Bridge           | Carpenter  | High     | Fatality         | Assembly       | Accident due to lack of requisite scaffolding                    |
| 165 | 950642    | Employee'S Thumb Is Lacerated By Circular Saw                | C. Laborer | Medium   | Yes              | Assembly       | Cause not determined   |
| 166 | 830600    | Employee Killed In Fall After Suffering From Heart Failure   | -          | High     | Fatality         | Pouring Conc   | Caused by heart attack/fall                                      |
| 167 | 950643    | Employee Injured In Fall From Formwork                       | -          | Medium   | Yes              | Assembly       | Stiff-back to which worker attached his fall protection failed   |
| 168 | 950632    | Employee Injured From 30 Ft Fall From Parking Garage         | C. Laborer | High     | Yes              | Erection       | Platform Collapse  |
| 169 | 552651    | Employees Injured In Fall When Formwork Fails                | C. Laborer | Medium   | No               | Pouring Conc   | Formwork Failure   |
| 170 | 950632    | Three Employees Burned By Propane Explosion                  | Various    | Medium   | Yes              | Other          | Propane tank leak caused explosion                               |
| 171 | 751910    | Employee Killed When Struck By Falling Load                  | C. Laborer | High     | Fatality         | Transportation | Load of concrete forms fell off the crane sling                  |
| 172 | 626600    | Employee Killed When Concrete Pump Truck Strikes Power Line  | C. Laborer | High     | Fatality         | Pouring Conc   | Electrocution  |
| 173 | 626700    | Three Employees Injured When Struck By Concrete              | C. Laborer | Medium   | Yes              | Pouring Conc   | Concrete collapse  |
| 174 | 419700    | Employee Electrocuted When Pump Truck Boom Contacts Power Li | -          | High     | Fatality         | Pouring Conc   | Electrocution  |
| 175 | 830500    | Concrete Form Fell Killing Employee                          | Carpenter  | High     | Fatality         | Stripping      | Form was released and fell on the worker                         |
| 176 | 418300    | Employee Fell And Died After Bridge Form Fell                | -          | High     | Fatality         | Erection       | Form the worker was standing on fell, causing a fall of >60ft    |
| 177 | 950621    | Employee Injured When Hit By Come-Along                      | Finisher   | Medium   | Yes              | Stripping      | Form worked loose, hitting the employee in the face              |
| 178 | 355112    | Employee Killed When He Is Struck In Chest With Wire Rope    | C. Laborer | High     | Fatality         | Erection       | Tensioning wire came loose, hitting the employee                 |
| 179 | 950643    | Employee Is Injured In Fall From Retaining Wall              | C. Laborer | Medium   | Yes              | Stripping      | Board came loose unexpectedly, causing worker to lose balance    |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity     | Cause   |
|-----|-----------|--|------------|----------|------------------|--------------|---|
| 180 | 950651    | Employee Pierced By Reinforcing Rods In Fall From Ladder     | C. Laborer | Medium   | Yes              | Erection     | Ladder slipped, causing worker to fall                                    |
| 181 | 950632    | Employee Partially Amputated Thumb With Circular Saw         | Painter    | High     | Yes              | Assembly     | Saw jumped off as it hit a knot in the wood, and hit worker's hand        |
| 182 | 521100    | Employee Dies From Asphyxiation - Struck By Concrete Forms   | C. Laborer | High     | Fatality         | Other        | Form was inadequately braced  |
| 183 | 953210    | Employee Injured When Safety Bolt Failed And Door Fell       | -          | Medium   | Yes              | Other        | Safety bolt of vibrator connecting to a concrete steel form box           |
| 184 | 729700    | Employee Died When Fell Onto An Impalement Hazard            | -          | High     | Fatality         | Pouring Conc | Worker fell as he was clearing the concrete chute                         |
| 185 | 134000    | Two Employees Injured When Concrete Form Fell Onto Scaffold  | C. Laborer | Medium   | Yes              | Stripping    | Form came loose and fell on scaffold, causing scaffold to collapse        |
| 186 | 552651    | Employee Injured In Fall From Top Of Concrete Form           | C. Laborer | Medium   | Yes              | Assembly     | Worker slipped as he climbed up a 14ft formwork panel                     |
| 187 | 215800    | Employee Injured In Fall From Elevated Work Platform         | -          | Medium   | Yes              | Pouring Conc | Excavator pouring concrete accidentally hit employee, causing fall        |
| 188 | 352440    | Employee Injured In Fall When Concrete Floor Deck Collapses  | Supervisor | Low      | No               | Other        | Bar joints rolled, causing deck concrete to collapse                      |
| 189 | 216000    | Employee Killed In Fall From Hanging Scaffold                | -          | High     | Fatality         | Stripping    | Tractor trailer hit ladder as employee was descending, causing fall       |
| 190 | 950615    | Employee Injured In Fall From Formwork Wall                  | Carpenter  | Medium   | Yes              | Pouring Conc | Lack of adequate work platform/scaffold                                   |
| 191 | 625400    | Three Employees Drown When Concrete Form Collapses Into Lake | -          | High     | Fatality         | Pouring Conc | Form blowout caused employees (tied off to top of form) to fall into lake |
| 192 | 1055350   | Employee'S Back Fractured When Struck By Falling Boom        | -          | High     | Yes              | Pouring Conc | Part of pumping apparatus came loose                                      |
| 193 | 950611    | Employee'S Ankle Fractured When Excavation Wall Collapses    | C. Laborer | Medium   | Yes              | Assembly     | Excavation Collapse   |
| 194 | 950622    | Employee'S Groin Impaled In Fall On Metal Stake              | C. Laborer | High     | Yes              | Erection     | Worker slipped and fell into 24" deep trench                              |
| 195 | 950632    | Employee'S Leg Fractured In Fall From Form Wall              | Carpenter  | Medium   | Yes              | Other        | Positioning belt on the worker's lanyard broke                            |
| 196 | 950622    | Employee Injured In Fall From Ladder                         | C. Laborer | Medium   | Yes              | Pouring Conc | Forms separated from wall, causing worker to lose balance                 |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity     | Cause   |
|-----|-----------|--|------------|----------|------------------|--------------|---|
| 197 | 317000    | Eight Employees Injured When Forms For Access Ramp Collapsed | -          | Medium   | Yes              | Pouring Conc | Improperly installed PERI form system                             |
| 198 | 950613    | Employee'S Finger Amputated When Ring Is Caught By Nail      | -          | High     | Yes              | Erection     | Ring caught on nail, causing amputation                           |
| 199 | 215000    | Employee Killed When Building Collapses                      | -          | High     | Fatality         | Pouring Conc | Building collapsed all the way to basement, asphyxiating employee |
| 200 | 950635    | Employee Injures Head In Fall From Concrete Form             | Carpenter  | High     | Yes              | Erection     | Fall from a height of 12 ft                                       |
| 201 | 418800    | Employee Killed In Fall While Removing Concrete Forms        | Carpenter  | High     | Fatality         | Forming      | Lost balance while climbing down and fell (65 feet)               |
| 202 | 854910    | Employee Injured In Fall While Stripping Concrete Forms      | Carpenter  | Medium   | Yes              | Stripping    | Stripping concrete forms when he fell (31 feet)                   |
| 203 | 950635    | Employee Injured In Fall Through Form Work Decking           | C. Laborer | High     | Yes              | Erection     | Fall 16 feet  |
| 204 | 352420    | Employee's Back Injured In Fall From Concrete Form           | C. Laborer | Medium   | Yes              | Pouring Conc | Knocked off by the formwork wall and fell                         |
| 205 | 854910    | Employee Injured When Struck By Concrete Forms               | Finisher   | Medium   | Yes              | Stripping    | Struck by falling slab  |
| 206 | 950645    | Employee Hit On Head By Mandrel                              | C. Laborer | Low      | No               | Pouring Conc | Striking by the mandrel fell                                      |
| 207 | 419700    | Employee Killed When Crushed Between A Form And Column       | C. Trades  | High     | Fatality         | Forming      | Striking by the flipped up table                                  |
| 208 | 454510    | Employees Injured When Struck By Rebar Cage                  | C. Trades  | Low      | No               | Other        | Toppled over by the cage  |
| 209 | 257220    | Employee Killed In Excavation Cave-In                        | Utility    | High     | Fatality         | Erection     | Collapse of structure   |
| 210 | 418800    | Employee Killed In Fall With Concrete Column Form            | Carpenter  | High     | Fatality         | Forming      | Fall 130 feet   |
| 211 | 950611    | Employee Injured When Steel Column Fell Over                 | C. trades  | Medium   | Yes              | Erection     | The column fell over on him                                       |
| 212 | 111400    | Two Employees Injured When Block Wall Collapses              | Various    | Medium   | Yes              | Pouring Conc | Trapped by the collapsed wall, wall was inadequately braced       |
| 213 | 729700    | Employee Injured In Fall From Concrete Form                  | C. Laborer | Medium   | Yes              | Forming      | Falling 7 feet  |
| 214 | 950611    | Employee Killed When Struck By Concrete                      | Carpenter  | High     | Fatality         | Pouring      | Collapse of structure   |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity       | Cause                                  |
|-----|-----------|--|------------|----------|------------------|----------------|--|
|     |           | Column   |            |          |                  | Conc           |  |
| 215 | 1032300   | Employee Crushed By Concrete Form                            | Finisher   | High     | Fatality         | Forming        | Crushed by the panel                   |
| 216 | 111400    | Employee Killed In Fall From Scaffold Platform               | -          | High     | Fatality         | Pouring Conc   | Falling 16 feet                        |
| 217 | 830600    | A Concrete Form Falls On Employees                           | -          | High     | Fatality         | NS             | Cause of form fall not specified       |
| 218 | 627100    | Employee Killed In Fall When Bridge Form Collapses           | -          | High     | Fatality         | Other          | Falling                                |
| 219 | 1055320   | Employee Dies After Suffering Heart Attack                   | -          | High     | Fatality         | Other          | Falling                                |
| 220 | 830600    | One Employee Injured In Fall When Roof Decking Fails         | -          | Medium   | Yes              | Pouring Conc   | Collapse of structure                  |
| 221 | 935000    | Employee Killed When Concrete Structure Collapses            | C. Laborer | High     | Fatality         | Other          | Collapse of structure                  |
| 222 | 418800    | Three Employees Injured In Fall When Concrete Form Collapses | -          | Medium   | Yes              | Pouring Conc   | Collapse of structure                  |
| 223 | 729300    | Five Employees Injured When Concrete Form Collapses          | Various    | Medium   | Yes              | Pouring Conc   | Collapse of shoring, cause unspecified |
| 224 | 854910    | Employee Injured When Weld On Concrete Form Fails            | Supervisor | Medium   | Yes              | Transportation | Collapse of structure                  |
| 225 | 950643    | Employee Injured When Cut With Saw                           | Carpenter  | Medium   | Yes              | Forming        | Struck by the saw table                |
| 226 | 950613    | Employee Injured In Fall From Concrete Form                  | -          | Medium   | Yes              | Erection       | Falling 4 feet                         |
| 227 | 751910    | Employee Killed When Crushed By Concrete Form                | -          | High     | Fatality         | Stripping      | Crushed by falling object              |
| 228 | 950622    | Employee Injured In Fall From Retaining Wall                 | Carpenter  | High     | Yes              | Erection       | Falling                                |
| 229 | 626300    | Employee Killed In Fall When Work Platform Fails             | -          | Hlgh     | Fatality         | Forming        | Falling from eleventh floor            |
| 230 | 112000    | Employee Injured In Fall When Scaffold Bracket Fails         | -          | Medium   | Yes              | Pouring Conc   | Collapse of structure                  |
| 231 | 418200    | Employee Killed In Fall From Building Under Construction     | C. Laborer | High     | Fatality         | Other          | Falling 100 feet                       |
| 232 | 854910    | Employee Injured In Fall From Concrete Wall                  | Carpenter  | Medium   | Yes              | Stripping      | Falling 12 feet                        |
| 233 | 729700    | Six Employees Were Injured When Scaffolding Collapses        | -          | Medium   | Yes              | Pouring Conc   | Falling 16 feet                        |

|     | Report ID | Event Description  | Trade        | Severity | Hospitalized/Not | Activity     | Cause  |
|-----|-----------|--|--------------|----------|------------------|--------------|--|
| 234 | 418200    | Employee Injured By Form Work When Crane Boom Fails          | -            | Medium   | Yes              | Forming      | Collapse of structure  |
| 235 | 627700    | One Employee Killed, One Injured By Falling Concrete Form    | -            | High     | Fatality         | Pouring Conc | Falling  |
| 236 | 522000    | Employee Injured When Knocked Off Form Work By Swinging Load | -            | Low      | Yes              | Erection     | Falling 7.5 feet   |
| 237 | 830500    | Employee Killed When Struck By Boom On Concrete Pump Truck   | -            | High     | Fatality         | Pouring Conc | Collapse of structure  |
| 238 | 352410    | Employee Injured In Fall When Guardrails Collapse            | Finisher     | Medium   | Yes              | Stripping    | Falling  |
| 239 | 355118    | Two Employees Injured When Concrete Forms Collapse           | Carpenter    | Medium   | Yes              | Erection     | Collapse of structure  |
| 240 | 950622    | Employee Injured In Fall From Scaffold                       | Utility      | Medium   | Yes              | NS           | Falling  |
| 241 | 257210    | Employee Killed When Struck By Collapsing Wall               | Carpenter    | High     | Fatality         | Erection     | Block wall collapsed on employee                               |
| 242 | 950613    | Employee Injured In Fall Due To Concrete Form Collapse       | Finisher     | Medium   | Yes              | Pouring Conc | Deck form Collapse   |
| 243 | 950631    | Employee Killed In 27 Ft Fall From Concrete Forms            | C. Laborer   | High     | Fatality         | Stripping    | Fall when moving laterally, for which his lanyard was unhooked |
| 244 | 352450    | Two Employees Injured In Fall When Aerial Lift Overturns     | Metal Worker | Medium   | Yes              | Stripping    | Unexpectedly loosened form hit the aerial lift basket          |
| 245 | 1054111   | Employee Injured In Fall From Shoring Towers                 | Carpenter    | Medium   | Yes              | Stripping    | Worker was standing on structurally unsound supports           |
| 246 | 950614    | Employee Injured In Fall From Wall                           | Metal Worker | Medium   | Yes              | Erection     | Cause unspecified, worker was using full fall protection       |
| 247 | 625400    | Employee Killed In Fall From Elevation                       | -            | High     | Fatality         | Stripping    | Lost balance while pulling off a nail from the form            |
| 248 | 213400    | Employee Killed When Concrete Wall Collapses                 | -            | High     | Fatality         | Other        | Wall collapse as worker was erecting a precast panel           |
| 249 | 524200    | Employee Killed When Crushed By Formwork                     | -            | High     | Fatality         | Forming      | Form fell on employee, cutting him in half                     |
| 250 | 953220    | Employee Injured In Fall When Formwork Collapses             | Finisher     | Medium   | Yes              | Pouring Conc | Form blew out during pour                                      |
| 251 | 953220    | Employee Injured When Formwork Scaffold                      | Inspector    | Medium   | Yes              | Pouring      | Formwork scaffold collapse                                     |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity     | Cause   |
|-----|-----------|--|------------|----------|------------------|--------------|---|
|     |           | Collapses  |            |          |                  | Conc         |   |
| 252 | 854910    | Employee Killed In Collapse Of Concrete Wall And Forms     | C. Laborer | High     | Fatality         | Pouring Conc | Worker got pinnd by forms & Concrete as he was trying to brace a blowout              |
| 253 | 950623    | Employee Injured When Struck By Falling Form Work          | Supervisor | Medium   | Yes              | Stripping    | Working under unsupported formwork  |
| 254 | 551800    | Employee Killed When Struck By Falling Concrete Form       | Finisher   | High     | Fatality         | Forming      | Another form fell off a pallet and hit worker on the head                             |
| 255 | 950641    | Employee'S Head Lacerated In Fall From Concrete Wall       | Carpenter  | Medium   | No               | Erection     | Support worker was holding on to broke, causing him to fall                           |
| 256 | 950641    | Two Employees Injured When Rebar Cage Overturns            | Carpenter  | High     | Yes              | Erection     | Inadequately braced rebar cage and form overturned when released by crane             |
| 257 | 355118    | Employee Injured In Fall From Scaffold On Bridge           | C. Trades  | Medium   | No               | Stripping    | Form on worker's end came loose, causing employee to fall through/over wire guardrail |
| 258 | 454510    | Employee'S Back Injured In Fall Across Floor Opening       | Carpenter  | Low      | No               | Forming      | Stumbled and fell into opening  |
| 259 | 728900    | Employee Dies After Suffering Heart Attack                 | -          | High     | Fatality         | Stripping    | Sudden heart attack   |
| 260 | 950615    | Employee Injured In Fall From Wall                         | Supervisor | Medium   | Yes              | Erection     | Working without any fall protection system in place                                   |
| 261 | 454510    | Employee Injured When Struck By Falling Concrete Form      | C. Trades  | Medium   | No               | Erection     | Panel slipped off the bottom panel and fell on worker                                 |
| 262 | 950613    | Employee Injured In Fall When Plywood Filler Gives Way     | C. Trades  | Medium   | Yes              | Erection     | Worker stepped on unsecured plywood bridging 2 sections of deck forms                 |
| 263 | 950615    | Carpenter Fractures Ankle In Fall From Ladder              | -          | Medium   | Yes              | Erection     | Form shifted, pushing against ladder and causing worker to lose balance               |
| 264 | 418800    | Employee Killed When Struck By Falling Form                | -          | High     | Fatality         | Stripping    | Unsecured form fell on employee after he removed locking nut from tie bar             |
| 265 | 950632    | Mason Fractures Tibia When Concrete Form Brace Gives Way   | Mason      | Medium   | Yes              | Pouring Conc | Stepped on brace not designed to support that load, and fell 3.5 ft                   |
| 266 | 418800    | Employee Killed When Struck By Falling Block Wall Section  | -          | High     | Fatality         | Erection     | formwork fell on employee as he worked on forms due to crane 2 sections away          |
| 267 | 950623    | Employee'S Ankles Fractured In Fall From Scaffold Platform | -          | Medium   | Yes              | Erection     | Worker fell off an unguarded platform as he was erecting falsework                    |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity     | Cause   |
|-----|-----------|--|------------|----------|------------------|--------------|---|
| 268 | 627100    | Employee Killed When Caught Between Concrete Form And Wall   | -          | High     | Fatality         | Pouring Conc | Manhole form tipped over, asphyxiating worker under 4 yards of concrete           |
| 269 | 1032500   | Employee Dies After He Falls From Concrete Form              | -          | High     | Fatality         | Forming      | Worker fell 30ft while climbing down form as he could not tie off during descent. |
| 270 | 950632    | Employee Fractures Leg In Fall While Removing Concrete Forms | Carpenter  | Medium   | Yes              | Stripping    | Worker slipped and fell 4 feet onto a pile of forms                               |
| 271 | 355114    | Employee Breaks Hip In Fall                                  | -          | Medium   | Yes              | Stripping    | Lanyard fastening slipped/broke/came loose, causing employee to fall 25 ft        |
| 272 | 1055360   | Two Employees Injured By Falling Concrete Wall               | -          | High     | Yes              | Forming      | Form fell, crushing workers   |
| 273 | 551800    | Employee Dies From Blow To Head In 12 Ft Fall                | Supervisor | High     | Fatality         | Stripping    | Lost balance and fell from unguarded platform                                     |
| 274 | 418200    | Employee Killed In Unprotected 30 Ft Fall                    | -          | High     | Fatality         | Erection     | Worker climbed over gaurdailand worked on a 4x4 w/o fall protection               |
| 275 | 418800    | Employee Dies When Trapped By Overturned Concrete Form       | -          | High     | Fatality         | Forming      | Wind overturned form that the worker was tied off to                              |
| 276 | 1054115   | Employee Fractures Heels In Fall To Compacted Soil           | Finisher   | Medium   | Yes              | Stripping    | Fall from ht of 14.5 ft as worker lost balance. He hadn't used his harness        |
| 277 | 521700    | Employee Killed By Collapsing Concrete Form                  | -          | High     | Fatality         | Stripping    | Worker removed bolts before form carrier was in place, tunnel lining formwork     |
| 278 | 452110    | Employee Killed In Fall From Concrete Forms                  | Supervisor | High     | Fatality         | Pouring Conc | Fall from 10-12 ft height, caused by slip or stepping from 12 ft to 10 ft level   |
| 279 | 950631    | Employee Injured In Fall From Column When Lanyard Hook Slips | Carpenter  | Medium   | Yes              | Erection     | Lanyard hook slipped, causing employee to fall                                    |
| 280 | 352440    | Employee Injured By Falling Brick Wall                       | Mason      | Medium   | Yes              | Pouring Conc | Brick wall, also being used and formwork, failed and hit worker below 4 floors    |
| 281 | 453710    | Employee Injured In Fall Onto Steel Reinforcing Bar          | Carpenter  | Medium   | Yes              | Erection     | Plywood failed, causing the John clamp t which he was tied off to fail            |
| 282 | 950652    | Employee Falls 14 Ft From Elevated Whaler                    | Carpenter  | Medium   | No               | Stripping    | Welding defect on steel whaler caused whaler to detach, causing the fall          |
| 283 | 352450    | Employee Dies Of Cardiac Arrhythmia                          | Inspector  | High     | Fatality         | Erection     | Cardiac Arrhythmia  |
| 284 | 950623    | Employee'S Thumb Amputated By Skil Saw                       | Carpenter  | High     | Yes              | Assembly     | Saw blade kicked back, and worker was not using a sawhorse                        |

|     | Report ID | Event Description   | Trade      | Severity | Hospitalized/Not | Activity     | Cause   |
|-----|-----------|---|------------|----------|------------------|--------------|---|
| 285 | 523400    | Employees Injured During Fall With Formwork               | -          | Medium   | Yes              | Pouring Conc | Deck form collapse due to underdesign and overload                              |
| 286 | 950631    | Employee Injured As Falsework Collapses                   | -          | Medium   | Yes              | Pouring Conc | No cause for falsework collapse reported  |
| 287 | 950631    | Employee Killed In Falsework Collapse                     | -          | High     | Fatality         | NS           | Falsework above employee collapsed during pour                                  |
| 288 | 134000    | Eight Employees Injured As Concrete Form Collapses        | -          | Medium   | Yes              | Pouring Conc | Falsework system failed during waffle slab pour                                 |
| 289 | 950652    | Employee Falls Onto Mine Jaw Crusher From Wall            | -          | Medium   | Yes              | Pouring Conc | Lost balance and fell from top of formwork for a distance of 35 ft approx       |
| 290 | 352440    | Two Employees Injured In Fall From Concrete Form          | C. Laborer | Medium   | Yes              | Pouring Conc | Unguarded boom struck worker #1, who knocked over worker #2 and fell 6 ft       |
| 291 | 953220    | Employee Crushed And Killed During Concrete Pour          | Supervisor | High     | Fatality         | Pouring Conc | Boom section fell apart due to rust, crushing employee                          |
| 292 | 1054112   | Employee Fractures Back In 12 Ft Fall                     | Carpenter  | Medium   | Yes              | Erection     | Worker grabbed a section of rebar that came loose, causing him to fall          |
| 293 | 112300    | Employee Killed During Excavation Cave-In                 | -          | High     | Fatality         | Erection     | Excavation (25 ft high) collapse  |
| 294 | 636900    | Employee Injured When Struck By Falling Concrete Bucket   | -          | Medium   | Yes              | Pouring Conc | Bucket knocked employee off form and snapped his lanyard, causing him to fall   |
| 295 | 830500    | Employee Sprains Back Muscles In Fall                     | -          | Medium   | Yes              | Stripping    | Fall from 3 ft, cause unknown   |
| 296 | 931300    | Employee Killed When Struck By Falling Load               | -          | High     | Fatality         | Pouring Conc | crane hoist failed, dropping bucket which fell on the worker's head             |
| 297 | 626300    | Employee Killed In Fall In Elevator Shaft                 | Supervisor | High     | Fatality         | Stripping    | Formwork collapse   |
| 298 | 950641    | Employee Struck By Descending Manlift                     | Carpenter  | Medium   | No               | Erection     | Worker looked into manlift shaft for locating center of a beam and got struck   |
| 299 | 950613    | Employee Injured In Fall While Taking Down Concrete Forms | -          | Medium   | Yes              | Stripping    | Form member released before worker could hook his fall protection after descent |
| 300 | 453710    | Employee Hospitalized After Falling 60 Ft In Dam          | Carpenter  | Medium   | Yes              | Erection     | Form member moved during pour in another section, causing collapse              |
| 301 | 419700    | Employee Killed When Struck By Front End Loader           | -          | High     | Fatality         | Stripping    | Worker exited the loader but left the loader running without setting brake      |
| 302 | 551800    | Employee Sustains Multiple Injuries In Fall From Wall     | Carpenter  | Medium   | Yes              | Stripping    | Form was lifted without tagline after stripping, knocking worker off balance    |
| 303 | 522500    | Employee Killed In Fall From Concrete                     | -          | High     | Fatality         | Stripping    | Employee removed scaffold bracket that he was                                   |

|     | Report ID | Event Description   | Trade      | Severity  | Hospitalized/Not | Activity       | Cause  |
|-----|-----------|---|------------|-----------|------------------|----------------|--|
|     |           | Formwork  |            |           |                  |                | tied off to  |
| 304 | 418800    | Employee Killed In Fall From Open-Sided Building          | -          | High      | Fatality         | Stripping      | Backed out of the unguarded side of building, no mention of fall protection          |
| 305 | 950411    | Employees Killed When Struck On Head By Concrete Form     | Various    | High      | Fatality         | Transportation | Lifting plate broke, casing form to crush both employees                             |
| 306 | 751910    | Employee Struck And Killed By Falling Concrete Bucket     | C. Laborer | High      | Fatality         | Pouring Conc   | Bucket fell and hit worker, causing him to be crushed against platform               |
| 307 | 950641    | No Injuries When Bridge Support Collapses                 | -          | Near Miss | No               | NS             | Wood Falsework and steel support beams for the bridge collapsed, cause not specified |
| 308 | 625400    | Employee Dies Of Heat Stress                              | -          | High      | Fatality         | Other          | Died due to heatstroke/hyperthermia  |
| 309 | 950631    | Employee Sustains Compound Fracture Of Right Arm In Fall  | -          | Medium    | Yes              | Erection       | Lanyard was dislodged, causing fall.   |
| 310 | 111400    | Employees Bruised In Fall When Support Forms Fail         | -          | Low       | No               | Other          | Deck form collapse due to overload   |
| 311 | 950641    | Employee Injured In Fall From Cross-Stringer              | Carpenter  | Medium    | Yes              | Erection       | Piece of form fell from crane, striking unsecured employee                           |
| 312 | 950645    | Employee Injured When Struck By Falling Wooden Form       | Supervisor | Medium    | Yes              | Erection       | Worker was standing on form, causing it to fall on him                               |
| 313 | 625700    | Employee Dies When Struck In Head By Falling Conveyor     | -          | High      | Fatality         | Erection       | Conveyor was jarred, causing support to collapse and conveyor to fall                |
| 314 | 551800    | Employee Injured In 6 Ft Fall                             | C. Laborer | Medium    | Yes              | Stripping      | Lost balance and fell 6.5 ft.  |
| 315 | 950633    | Employee Injured When Struck By Crane Bucket              | Finisher   | Medium    | Yes              | Other          | Crane moved by itself, causing bucket to strike worker                               |
| 316 | 352420    | Employee Bruised In Fall From Scaffold                    | Carpenter  | Medium    | Yes              | NS             | Crane turned over due to rain, and caused worker to fall from platform               |
| 317 | 355114    | Employee Killed In Fall From Bridge Abutment              | Carpenter  | High      | Fatality         | Stripping      | Removed whaler that he was tied off to   |
| 318 | 953210    | Employee Suffers Chemical Burn On Foot From Wet Cement.   | Carpenter  | Medium    | No               | Pouring Conc   | Wet concrete got into his shoes, causing a chemical burn                             |
| 319 | 454510    | Five Employees Injured In Fall From Elevated Highway Span | Various    | Medium    | Yes              | Pouring Conc   | Friction collar failure  |
| 320 | 951510    | Employee Injured When Struck By Concrete Wall Form        | Carpenter  | High      | Yes              | Erection       | Wall form tipped over and struck Worker  |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity     | Cause  |
|-----|-----------|--|------------|----------|------------------|--------------|--|
| 321 | 215000    | Employee Killed In Fall                                  | -          | High     | Fatality         | Pouring Conc | Fall cause not specified   |
| 322 | 931300    | Employee Dies In Fall From Platform                      | -          | High     | Fatality         | Stripping    | Shoring removed by other company personnel, causing formwork to fail                   |
| 323 | 418200    | Employee Injured In Fall From Structure                  | Carpenter  | Medium   | Yes              | Erection     | Worker fell off while helping to shorten bracing                                       |
| 324 | 215300    | Employee Killed And Two Injured By Falling Concrete Form | -          | High     | Fatality         | Erection     | Crane operator's foot slipped on the brake pedal, causing form to fall                 |
| 325 | 1055340   | Two Employees Injured When Concrete Gang Form Falls      | -          | Medium   | Yes              | Erection     | Not following manufacturer's recommendations while lifting gangform                    |
| 326 | 950644    | Employee Contracts Tetanus After Stepping On Drill Bit   | -          | High     | Yes              | NS           | Worker stepped on drill bit protruding from poured concrete                            |
| 327 | 950641    | Employee Killed When Struck By Concrete Form Panel       | C. Trades  | High     | Fatality         | NS           | 1000lb unsecured form fell on worker   |
| 328 | 552651    | Employee Falls 272 Ft To His Death                       | -          | High     | Fatality         | Stripping    | Worker was signaling to crane when he stepped into a gap                               |
| 329 | 551800    | Employee Impaled After Fall From Reinforcing Steel       | Carpenter  | Medium   | Yes              | Assembly     | Worker stepped on an inadvertently cut rod and fell                                    |
| 330 | 454510    | Employee Falls Into Trench And Is Impaled By Rebar       | Carpenter  | Medium   | Yes              | Erection     | Fell into unguarded excavation   |
| 331 | 950411    | Employee Injured In Fall From Deck                       | Finisher   | Medium   | Yes              | Pouring Conc | Deck form collapse, reason unspecified   |
| 332 | 214700    | Employee Injures Eye In Floor Collapse                   | C. Laborer | Medium   | Yes              | Pouring Conc | Deck formwork collapsed and worker got concrete in his eye                             |
| 333 | 751910    | Employee Killed In Fall From Collapsing Concrete Slab    | C. Trades  | High     | Fatality         | Erection     | Precast cement slab was being erected, which fell due to hoisting mechanism separating |
| 334 | 950631    | Employee Injured In Fall Onto Construction Materials     | -          | Medium   | Yes              | Forming      | Lost footing and fell onto rebar   |
| 335 | 453710    | Two Employees Injured When Struck By Crane Boom          | C. Trades  | Medium   | Yes              | Pouring Conc | Crane tipped over, striking formwork and workers                                       |
| 336 | 111400    | Employee Killed When Struck By Steel Plate               | C. Trades  | High     | Fatality         | Erection     | Unsecured steel plate used as formwork fell on worker                                  |
| 337 | 950626    | Employee Injured In Fall From Symons Forms               | Supervisor | Medium   | Yes              | Erection     | Fell while climbing to work position   |

|     | Report ID | Event Description  | Trade      | Severity  | Hospitalized/Not | Activity     | Cause   |
|-----|-----------|--|------------|-----------|------------------|--------------|---|
| 338 | 551800    | Employee Suffocates Under Dirt After Cave-In                 | -          | High      | Fatality         | Erection     | Unsupported excavation (type C-loose sandy soil) caved in on worker |
| 339 | 1055340   | Employee Falls From Cement Form, Dies Two Months Later       | Carpenter  | High      | Fatality         | Stripping    | Not sure about cause  |
| 340 | 636900    | Employee Killed In Fall From Concrete Form                   | -          | High      | Fatality         | Pouring Conc | Lost balance after unhooking fall protection                        |
| 341 | 454510    | Employee Killed In Fall From Concrete Scaffold Bracket       | C. Laborer | High      | Fatality         | Stripping    | Fell from a ht of 8ft, cause of fall unknown                        |
| 342 | 418100    | Employee Killed In Fall Down Elevator Shaft                  | -          | High      | Fatality         | Stripping    | Slipped and fell into elevator shaft                                |
| 343 | 552700    | Employee Killed In Bridge Formwork Collapse                  | Supervisor | High      | Fatality         | Other        | Truss supporting concrete arch collapsed                            |
| 344 | 453710    | Employee'S Hand Lacerated By Nail In Falling Concrete Form   | Carpenter  | Low       | No               | Stripping    | Form fell off, hitting employees hand                               |
| 345 | 953210    | Employee Injured When Struck By Falling Beam                 | C. Laborer | Medium    | Yes              | Stripping    | Formwork system collapse, cause unknown                             |
| 346 | 1055320   | Employee'S Toe Broken In Fall From Girder                    | Supervisor | Medium    | No               | Erection     | Work platform gave way  |
| 347 | 951510    | Employee Injured In Fall With Tubular Steel Concrete Shoring | Carpenter  | Medium    | Yes              | Stripping    | Shoring system tilted and fell with employee on it                  |
| 348 | 355111    | Employee Killed In Fall Down Elevator Shaft                  | C. Trades  | High      | Fatality         | Forming      | Slip or trip, causing fall >200 ft                                  |
| 349 | 453710    | Employee Injures Knee In Trench Cave-In                      | C. Laborer | Medium    | No               | Erection     | Unsupported excavation collapse                                     |
| 350 | 521700    | Employee Killed By Falling Equipment                         | -          | High      | Fatality         | Other        | Pouring set-up collapsed on top of worker                           |
| 351 | 352440    | Employee Fractures Neck In Fall From Bridge                  | Carpenter  | High      | Yes              | Erection     | Form fell, pushing employee off the edge of bridge                  |
| 352 | 418100    | Concrete Form Work Collapsed                                 | -          | Near Miss | No               | Pouring Conc | Cause unspecified   |
| 353 | 953220    | Fall From Concrete Form                                      | Carpenter  | Medium    | Yes              | Forming      | Work platform collapse  |
| 354 | 953220    | Employee'S Abdomen Punctured In Fall Onto Rebar              | Carpenter  | Medium    | Yes              | Erection     | Lanyard disengaged, causing fall                                    |
| 355 | 626300    | One Employee Dies, Five Others Injured In Bridge Failure     | -          | High      | Fatality         | NS           | Formwork system failure, cause unspecified                          |
| 356 | 418800    | Employee Killed In Nineteen Story Fall After Losing Balance  | -          | High      | Fatality         | Stripping    | Lost balance  |
| 357 | 933300    | Employee Killed In Fall With Column                          | -          | High      | Fatality         | Erection     | Column form collapsed due to another striking it                    |

|     | Report ID | Event Description  | Trade        | Severity | Hospitalized/Not | Activity       | Cause   |
|-----|-----------|--|--------------|----------|------------------|----------------|---|
| 358 | 453710    | Employee Injured In Fall While Removing Formwork             | Carpenter    | Medium   | Yes              | Stripping      | Hook on harness failed  |
| 359 | 454510    | Iron Worker Injured In Fall From Concrete Form               | Metal Worker | Medium   | Yes              | NS             | Slipped while descending rebar  |
| 360 | 931700    | Employee Injured In Fall From Concrete Form                  | C. Trades    | Medium   | Yes              | Other          | rebar broke as employee leaned into it  |
| 361 | 112600    | Employees Injured In Floor Collapse                          | -            | Medium   | Yes              | Pouring Conc   | Deck formwork collapse, cause unspecified   |
| 362 | 352420    | Employee Injured In 13 Ft Fall From Scaffold                 | Supervisor   | Medium   | Yes              | Stripping      | Fell off unguarded scaffold   |
| 363 | 729300    | Employee Dies In Scaffold Collapse                           | -            | High     | Fatality         | Pouring Conc   | Scaffold collapse due to lateral pressure caused by concrete from failed formwork |
| 364 | 418800    | Employee Dies Of Heat Stroke                                 | -            | High     | Fatality         | Erection       | Death due to heatstroke/cancer  |
| 365 | 626300    | Six Employees Injured In Fall From Bridge Under Construction | -            | Medium   | Yes              | Pouring Conc   | Bridge formwork collapse, reason unspecified                                      |
| 366 | 355114    | Employees Are Burned While Pouring Concrete Forms            | Finisher     | Medium   | No               | Pouring Conc   | Chemical burns caused by lack of protective clothing                              |
| 367 | 627400    | Two Killed, Six Injured When Concrete Bridge Deck Collapses  | -            | High     | Fatality         | Pouring Conc   | Lack of sufficient amount of shoring, causing pan forms to collapse               |
| 368 | 352430    | Employees Injured When Concrete Deck Collapses During Pour   | Various      | Low      | No               | Pouring Conc   | Deck formwork failure, cause unspecified  |
| 369 | 626300    | Construction Worker Killed In Fall; Not Tied Off             | -            | High     | Fatality         | Erection       | Worker was at a height of 41 ft w/o fall protection                               |
| 370 | 352440    | Construction Worker Injured In Fall From Concrete Formwork   | Carpenter    | High     | Yes              | Erection       | Worker was at a height of 25 ft w/o fall protection                               |
| 371 | 454714    | Construction Worker Injured In Fall From 10 Foot High Wall   | Carpenter    | High     | Yes              | Stripping      | Worker was at the 10 ft ht w/o fall protection                                    |
| 372 | 551800    | Employee Killed In Fall From Concrete Bridge Construction    | C. Trades    | High     | Fatality         | Assembly       | Tripped and fell from unguarded wooden platform on Bridge                         |
| 373 | 931700    | Employee Killed In Fall From Temporary Work Platform         | Carpenter    | High     | Fatality         | Erection       | Plywood on scaffolding failed at a preexisting borehole, causing scaffold failure |
| 374 | 418100    | Employee Killed In Fall From High-Rise Building              | C. Laborer   | High     | Fatality         | Other          | Worker fell backwards while putting up wire guardrails                            |
| 375 | 214200    | Two Employees Killed, Two Hospitalized, By Collapsing Boom   | -            | High     | Fatality         | Transportation | Boom of crane broke, causing plywood to fall on workers                           |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity     | Cause  |
|-----|-----------|--|------------|----------|------------------|--------------|--|
| 376 | 551800    | Employee Falls From Elevation, Dies                          | Engineer   | High     | Fatality         | NS           | Worker was climbing up form w/o fall protection, lost balance and fell 15 ft             |
| 377 | 418100    | Employee Injured When Hit By Shoring                         | -          | Low      | No               | Erection     | Wind caused shoring with insufficient bracing to collapse                                |
| 378 | 352440    | 4 Construction Workers Hurt When Metal Concrete Forms Fell   | Finisher   | Medium   | Yes              | Pouring Conc | Formwork collapse due to inadequate shoring/design                                       |
| 379 | 931700    | Employee Struck By Falling Concrete Formwork Later Dies      | C. Laborer | High     | Fatality         | Other        | Formwork fell on employee, cause unspecified   |
| 380 | 215800    | Two Employees Injured In Natural Gas Explosion               | -          | Medium   | Yes              | Erection     | Gas leak caused by excavation activities caused explosion, ignition cause unknown        |
| 381 | 454713    | Construction Employee Killed When Struck By Concrete Truck   | C. Trades  | High     | Fatality         | Pouring Conc | Second Truck ran into the first truck, knocking it into forms and crushing worker        |
| 382 | 931400    | Employee Injured In Fall Onto Unprotected Rebar              | -          | High     | Yes              | Erection     | Fall cause unspecified   |
| 383 | 552700    | Two Employees Injured When Bridge Forming Collapses          | -          | Low      | No               | Pouring Conc | Formwork collapse, possibly due to revised design with lesser material                   |
| 384 | 728900    | Electric Shock - Cause Unknown                               | -          | High     | Fatality         | Pouring Conc | Possible grounding fault   |
| 385 | 454714    | Employee Fractures Leg When Caught Between Concrete Forms    | C. Trades  | Medium   | Yes              | Pouring Conc | Ties broke off, creating a pinch point for the worker's leg                              |
| 386 | 352440    | Five Employees Injured When Walkway Collapses                | Various    | Medium   | Yes              | Pouring Conc | Improper walkway installation, metal hangar missing                                      |
| 387 | 418300    | Five Employees Injured When Concrete Forms Fall              | -          | Medium   | Yes              | Pouring Conc | Supporting scaffold collapse caused forms to collapse, reason unspecified                |
| 388 | 352440    | Two Iron Workers Injured By Falling Concrete Floor Slab      | Various    | Medium   | Yes              | Other        | Precast panel fell while adjustments   |
| 389 | 830500    | Employee Killed In Fall From Bridge Pier                     | -          | High     | Fatality         | Erection     | Improperly secured lanyard came loose, causing fall                                      |
| 390 | 950411    | Construction Employee Killed In Fall From Rebar Cage         | Supervisor | High     | Fatality         | Erection     | Form fell on worker and broke safety chains, striking the worker and causing him to fall |
| 391 | 854910    | Employee'S Leg Fractured By Crane Load                       | Carpenter  | Medium   | Yes              | Erection     | load swung onto worker's leg and fell on it  |
| 392 | 1032500   | 1 Construction Worker Killed, 2 Injured When Crane Boom Fell | -          | High     | Fatality         | Stripping    | Boomline broke, causing left hand pendant line to decapitate worker                      |

|     | Report ID | Event Description  | Trade        | Severity | Hospitalized/Not | Activity       | Cause   |
|-----|-----------|--|--------------|----------|------------------|----------------|---|
| 393 | 453710    | Employee Injured In 51 Ft Fall Due To Defective Rigging      | C. Trades    | Medium   | Yes              | Transportation | Crane hook detached from form, causing it to fall along with worker             |
| 394 | 454510    | Employee Killed In Fall From Concrete Formwork               | Finisher     | High     | Fatality         | Other          | Fall from unguarded platform, w/o fall protection                               |
| 395 | 626300    | Employee Crushed When Crane Operator Dropped Form Onto Him   | -            | High     | Fatality         | Transportation | Crane Operator's field of vision was restricted and no signalperson was present |
| 396 | 854910    | Employee Injured When Struck By Falling Concrete Form        | Carpenter    | High     | Yes              | Stripping      | Brace was removed, causing form to twist and fall on worker                     |
| 397 | 626300    | Employee Killed In 24 Ft Fall From Deck Overhang             | -            | High     | Fatality         | Pouring Conc   | Fall from unsupported platform  |
| 398 | 521700    | Employee Killed When Struck In Head By Pipe                  | C. Trades    | High     | Fatality         | Other          | Pipe whipped around 9 ft and hit employee, cause unspecified                    |
| 399 | 419400    | Two Employees Injured In Fall From Collapsing Form Work      | -            | Medium   | Yes              | Stripping      | Forms fell off bridge pier, cause unspecified                                   |
| 400 | 418100    | Three Employees Injured As Stairway Collapses                | -            | Medium   | No               | Other          | Unsecured staircase collapsed, after shoring and formwork was removed           |
| 401 | 420600    | Employee Killed In 30 Ft Fall Through Floor Opening          | -            | High     | Fatality         | Stripping      | Worker fell through unguarded opening on floor, caused by inattention           |
| 402 | 352420    | Employee Crushed And Killed By Collapsing Concrete Slab      | Mason        | High     | Fatality         | NS             | Improperly supported slab collapsed   |
| 403 | 521700    | Employee Struck In Leg And Hip By Falling Steel Cylinder     | C. Laborer   | High     | Fatality         | NS             | Pipe used as form fell from crane hook, cause unknown                           |
| 404 | 420600    | One Employee Killed, Two Injured When Overloaded Crane Fails | -            | High     | Fatality         | Stripping      | Form stuck to the structure, causing crane overload                             |
| 405 | 627700    | Employee Killed When Struck By Falling Concrete Wall Panel   | -            | High     | Fatality         | Other          | Unsupported precast panel fell down, crushing worker                            |
| 406 | 854910    | Employee Injured In Fall From Stairway Compartment           | Carpenter    | Medium   | Yes              | Stripping      | Worker lost grip and fell while moving  |
| 407 | 453710    | Two Employees Injured By Falling Debris                      | Metal Worker | Medium   | Yes              | Other          | Form fell on the floor, causing debris to strike the workers on the stairs      |
| 408 | 625700    | Two Employees Killed, Five Hurt In Fall While Shoring Fails  | -            | High     | Fatality         | Pouring Conc   | Improper installation of shoring system   |
| 409 | 627700    | Employee Killed When Struck By Concrete Box Culvert          | -            | High     | Fatality         | Assembly       | Section of box culvert came loose and struck employee                           |

|     | Report ID | Event Description                                       | Trade     | Severity | Hospitalized/Not | Activity       | Cause  |
|-----|-----------|---|-----------|----------|------------------|----------------|--|
| 410 | 626600    | One Killed, One Injured By Collapsing Concrete Form     | -         | High     | Fatality         | Forming        | As bolts were removed to adjust width of form, it collapsed inwards      |
| 411 | 355114    | Seven Employees Injured When Concrete Slab Collapses    | Various   | Medium   | Yes              | Pouring Conc   | Scaffolding failure, reason unspecified                                  |
| 412 | 552700    | Employees Injured When Floor Collapses                  | Finisher  | Medium   | Yes              | Pouring Conc   | Deck form collapse, reason unspecified                                   |
| 413 | 418800    | Employee Killed By Falling Crane Boom                   | -         | High     | Fatality         | Pouring Conc   | Falling crane boom, reason unspecified                                   |
| 414 | 352440    | Employee Sustains Fracture From Fall                    | Carpenter | Medium   | Yes              | NS             | Lost balance and fell, with equipment                                    |
| 415 | 854910    | Employee Injured When Hit By Falling Piece Of Plywood   | -         | Low      | No               | Stripping      | Worker was removing shoring supports when the unsupported plywood fell   |
| 416 | 854910    | Employee'S Leg Broken When Pinned By Dirt               | Finisher  | Medium   | Yes              | Stripping      | Removal of brace caused dirt to roll down and pin wrker's leg            |
| 417 | 352440    | Four Employees Injured When Concrete Formwork Collapses | Various   | Medium   | Yes              | Pouring Conc   | Improperly braced and design formwork                                    |
| 418 | 626300    | Employee Killed In Fall While Removing Forms            | -         | High     | Fatality         | Stripping      | Worker was working at an unguarded area w/ a safety belt, but no lanyard |
| 419 | 552700    | Struck By Falling Steel Form                            | Carpenter | High     | Yes              | Erection       | No bracing and loose supports  |
| 420 | 521400    | Employee'S Leg Fractured By Falling Crane Boom          | -         | Medium   | Yes              | Pouring Conc   | Bolt sheared off, causing boom to fall on employee                       |
| 421 | 418300    | Employee Killed When Struck By Falling Formwork         | -         | High     | Fatality         | Other          | Worker started work thinking stripping was over                          |
| 422 | 625400    | Employee Killed In Fall From Bridge                     | -         | High     | Fatality         | Erection       | Lack of training and fall protection                                     |
| 423 | 316700    | Employee Killed In Fall From Wall                       | -         | High     | Fatality         | Pouring Conc   | An attempt to pass the concrete hose knocked worker off balance          |
| 424 | 854910    | Employee Fractures Shoulder In Fall From Concrete Form  | Carpenter | Medium   | Yes              | Forming        | Fell though unguarded hole   |
| 425 | 112300    | One Employee Killed, Two Injured When Floor Collapses   | -         | High     | Fatality         | Pouring Conc   | Formwork collapse, reason unspecified                                    |
| 426 | 950411    | Employee Injured When Crane Tipped Over                 | -         | Low      | No               | Transportation | Crane was used beyond capacity   |
| 427 | 625400    | Eight Injured When Floor Collapses During Construction  | -         | Medium   | Yes              | Pouring Conc   | Inadequate lateral support for the joists/shoring                        |

|     | Report ID | Event Description  | Trade      | Severity | Hospitalized/Not | Activity     | Cause   |
|-----|-----------|--|------------|----------|------------------|--------------|---|
| 428 | 352420    | Fall From Elevation By Employee Who Landed On A Piece Of Reb | Supervisor | High     | Yes              | Erection     | Uncapped rebar, no mention of fall protection                                     |
| 429 | 418800    | One Killed, Seven Injured, When Formwork System Fails        | -          | High     | Fatality         | Pouring Conc | Inadequately designed formwork system, w/o adequate diagonal and lateral bracing  |
| 430 | 854910    | Employee Injured In Fall While Removing Wall Forms           | C. Trades  | Medium   | Yes              | Stripping    | The 2x4 to which his lanyard was hooked to swung out when the bracket was removed |
| 431 | 950411    | Employee Injured In 28 Ft Fall Through Floor Opening         | -          | High     | Yes              | Stripping    | Worker fell through unguarded opening trying to prevent form from falling through |
| 432 | 418800    | Employee Injured When Struck By Crane Boom                   | -          | Medium   | Yes              | Pouring Conc | Manufacturer's instructions disregarded and crane overloaded                      |
| 433 | 830300    | One Employee Killed, Two Injured, When Building Collapses    | -          | High     | Fatality         | Erection     | Adjacent building collapsed, reason unspecified                                   |
| 434 | 953220    | Employee Killed In Fall From Scaffold Platform               | Finisher   | High     | Fatality         | Pouring Conc | Worker jumped onto a slick, slippery and unguarded Scaffold platform              |
| 435 | 420600    | Employee Killed In 104 Ft Fall From Concrete Form            | -          | High     | Fatality         | Assembly     | Worker fell off an aluminum purlin, no fall protection was used                   |
| 436 | 626300    | One Employee Killed, One Injured When Struck By Falling Form | -          | High     | Fatality         | Stripping    | Form broke loose during attempts to release it, causing it to fall                |
| 437 | 854910    | Two Employees Injured When Struck By Scaffold, One Falls     | Carpenter  | Low      | No               | Erection     | Mobile scaffold tipped due to drain hole and fell                                 |
| 438 | 854910    | Employee Injured In Fall From Formwork                       | Carpenter  | Medium   | Yes              | Erection     | A piece of formwork on which worker was standing slipped                          |

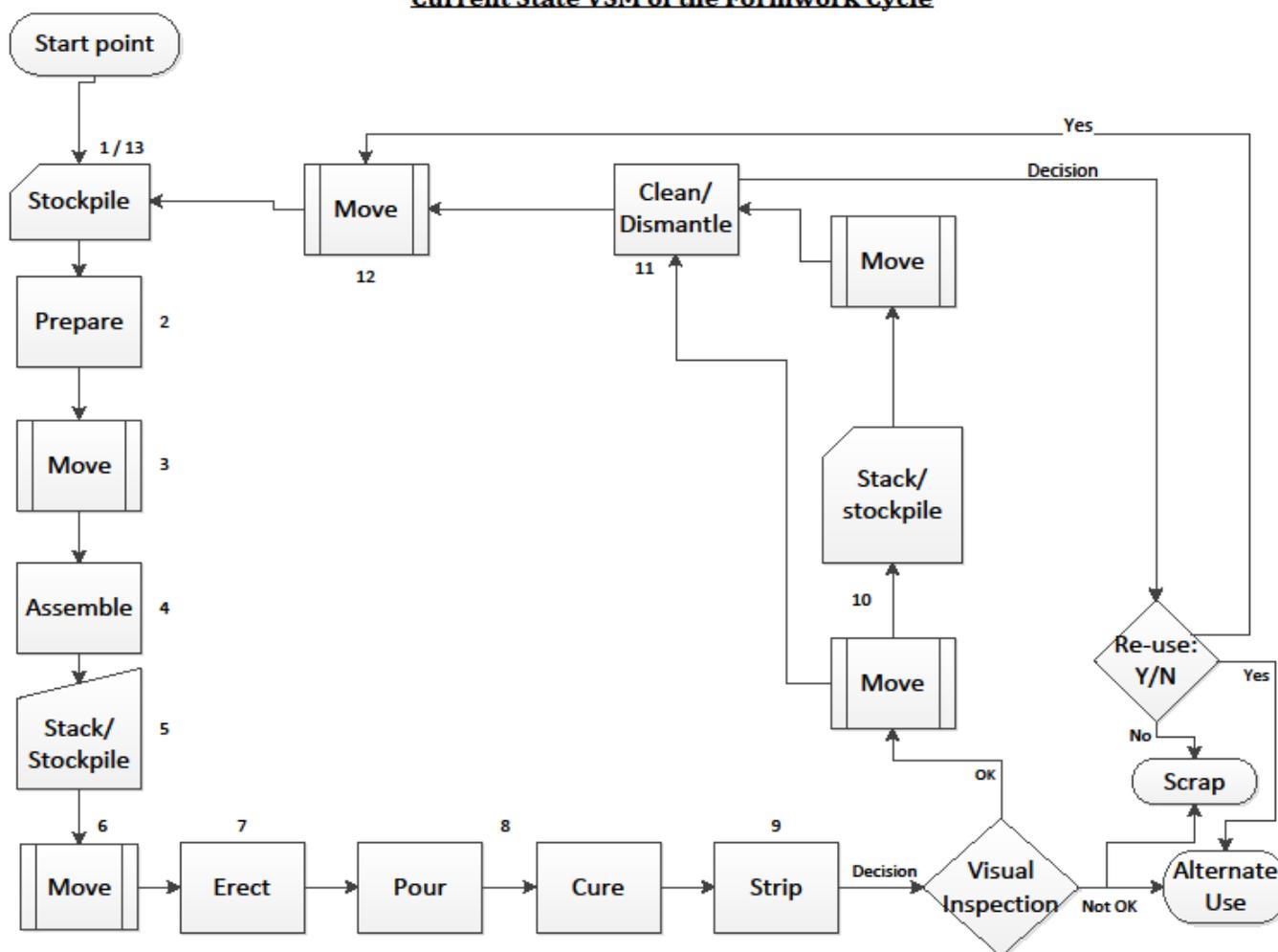
## APPENDIX – III A: SAFETY RISK SURVEY

### Safety Survey

The purpose of this study is to assess the safety risk associated with different activities during one use cycle of formwork. **Please note that the information you provide will be kept completely confidential, and is only used to assess potential hazards that could cause harm.**

This questionnaire focuses on the various steps that constitute one formwork cycle by identifying major activities as per the diagram below. **The fields that require your response have been highlighted.**

**Current State VSM of the Formwork Cycle**



**Steps for completing the survey:**

1. Verify that the listed activities are commonly performed when using formwork. If applicable, make any notes about modification or changes in the activities in the spaces provided at the bottom of the table. Please note any special factors for any activity that either increase or decrease risk of worker injury.
2. Each project may have its own formwork cycle, which may not contain all the steps shown/listed. If so, please indicate that the activity is not performed by putting a zero (0) in the “Activity Exposure” field.
3. For the “Activity Exposure” fields, please indicate the percentage of time spent on each activity. The different percentage values may add up to more than 100%, if work is done simultaneously.
4. For the “Injury Severity Level” fields, using your experience and judgment, indicate, for a single worker or work crew, “how frequently an injury occurs at each severity level while performing each construction activity” using the following frequency scale for filling in your responses:

| Frequency Scale: Average amount of time between incidents per worker |            |          |          |         |        |          |         |        |       |      |
|--|------------|----------|----------|---------|--------|----------|---------|--------|-------|------|
| Impossible   | Negligible | 50 years | 10 years | 5 years | 1 year | 6 months | 1 month | 1 week | 1 day | 1 hr |
| 0  | 1          | 2        | 3        | 4       | 5      | 6        | 7       | 8      | 9     | 10   |

The definitions of the severity levels are given as follows:

| Severity               | Description**  |
|------------------------|--|
| <b>Near Miss</b>       | No work time impact (Incident does not result in harm to the worker)                                       |
| <b>Low Severity</b>    | Less than one day missed work time (Incident results in pain, discomfort, or requires first aid treatment) |
| <b>Medium Severity</b> | More than one day missed work time (Incident results in lost work time or hospitalization)                 |
| <b>High Severity</b>   | Worker does not return to work ever (Incident results in permanent disability or death)                    |

**NOTE:** \*\*An incident refers to a worker getting injured while working on that specific activity, *i.e.*, direct interface with the design element during all phases of its construction.

**A completed sample with explanatory notes is provided for your reference.**

**Demographic Information:**

|  |  |
|--|--|
| <b>Your Position/Title</b>                 |  |
| <b>Name of Organization/Employer</b>       |  |
| <b>Years of construction experience</b>    |  |
| <b>Type(s) of work experience/Trade(s)</b> |  |

Activity: **Stockpile/Prepare by cutting into sizes**

0 is never, 10 is very frequent!

| ACT. NO. | ACTIVITY EXPOSURE* (%) | ACTIVITIES  | ACTIVITY DESCRIPTION  | POSSIBLE FREQUENCY OF INJURY (Frequency of injury on a scale of 0 – 10) |              |                 |               |
|----------|------------------------|-------------|---|---|--------------|-----------------|---------------|
|          |                        |             |   | Near Miss   | Low Severity | Medium Severity | High Severity |
| 1        | 20                     | Moving      | Unloading and carrying plywood/wood/other form components from trucks to stockpile on site  | 7   | 5            | 4               | 2             |
| 2        | 40                     | Preparation | Cutting plywood/2x's into the necessary sizes and shapes required to construct a formwork panel using a handsaw, saw horses, etc. | 9   | 7            | 5               | 3             |

**NOTE:** \*Total of all activity exposures may add up to more than 100%

A "near miss" while moving occurs every month for a rating of "7"

Occurrence of a "high severity" injury (disablement or death) during preparation is reasonable, for a rating of "3"

A "medium severity" injury (more than one day missed work) while moving occurs every 5 years for a rating of "4", while for preparation a "medium severity" injury can happen every 1 year with a rating of "5." A lower rating is safer.

Factors that **increase** risk of injury: no sawhorses, formwork not banded together

Factors that **decrease** risk of injury: using a worktable, unloading with a forklift, maintaining safe distance from load

Table to fill up:

| ACT. NO. | ACTIVITY EXPOSURE * (%) | ACTIVITIES                | ACTIVITY DESCRIPTION  | POSSIBLE FREQUENCY OF INJURY<br>(Frequency of injury on a scale of 0 – 10)<br>*Important- Put in frequency values for all 4 severity levels |              |                 |               |
|----------|-------------------------|---------------------------|---|---|--------------|-----------------|---------------|
|          |                         |                           |   | Near Miss   | Low Severity | Medium Severity | High Severity |
| 1        |                         | Stockpile                 | Unloading and carrying plywood/wood/other form components from trucks to stockpile on site  |   |              |                 |               |
| 2        |                         | Preparation               | Cutting plywood/2x's, etc. into the necessary sizes and shapes required to construct a formwork panel using a handsaw, etc.                                 |   |              |                 |               |
| 3        |                         | Moving (Optional)         | Carrying components to a work spot, where forms can be assembled  |   |              |                 |               |
| 4        |                         | Assembling forms          | Assembling a formwork panel using the prepared components, nails, nail gun, clamps, brackets, etc.  |   |              |                 |               |
| 5        |                         | Stacking Prepared forms   | Stacking assembled panels manually or with a forklift until they can be placed.   |   |              |                 |               |
| 6        |                         | Moving                    | Carrying assembled panels to the spot where they are to be erected and used, possibly at a height requiring fall protection.                                |   |              |                 |               |
| 7        |                         | Erection or Placing Forms | Process of putting up the forms, installing snap ties, stakes, shoring, rebar, Falsework, etc., possibly at a height requiring fall protection.             |   |              |                 |               |
| 8        |                         | Pouring Concrete/ Curing  | Pouring concrete, compacting it using vibration (or any other means), and letting the placed concrete cure, possibly at a height requiring fall protection. |   |              |                 |               |

|    |  |                                   |  |  |  |  |  |
|----|--|-----------------------------------|--|--|--|--|--|
| 9  |  | Stripping forms                   | Removal of forms and supporting falsework after the required curing time, possibly at a height requiring fall protection.  |  |  |  |  |
| 10 |  | Move forms                        | Moving the stripped formwork panels to a stockpile/work area after stripping.  |  |  |  |  |
| 11 |  | Dismantling/<br>cleaning forms    | Replacing damaged parts of the panel or dismantling the panels to its components, cleaning concrete or other debris from the forms, oiling forms, and repairing the surface of the forms if necessary. |  |  |  |  |
| 12 |  | Move form<br>components/<br>forms | Move the formwork panels/ formwork components in order to stockpile them or use them again in the next formwork use cycle.   |  |  |  |  |
| 13 |  | Stack/Stockpile<br>forms          | Stack up formwork panels or components manually or by using a forklift.  |  |  |  |  |

**NOTE: \*Total of all activity exposures may add up to more than 100%**

Factors that **increase** risk of injury: \_\_\_\_\_

\_\_\_\_\_

Factors that **decrease** risk of injury: \_\_\_\_\_

\_\_\_\_\_

**APPENDIX – III B: SUMMARY OF REPOSSES FROM SAFETY RISK SURVEY**

**For activities 1 to 7:**

| Color code  | Activity Exposure |   |   |   |   | Near Miss | Low Severity   |   |   |   |    | Medium Severity      |   |   |   |    | High Severity       |   |   |   |    |                            |   |   |   |    |           |   |   |    |    |                           |   |   |   |    |   |   |   |   |
|-------------|-------------------|---|---|---|---|-----------|----------------|---|---|---|----|----------------------|---|---|---|----|---------------------|---|---|---|----|----------------------------|---|---|---|----|-----------|---|---|----|----|---------------------------|---|---|---|----|---|---|---|---|
|             | 1. Stockpile      |   |   |   |   |           | 2. Preparation |   |   |   |    | 3. Moving (Optional) |   |   |   |    | 4. Assembling Forms |   |   |   |    | 5. Stacking Prepared Forms |   |   |   |    | 6. Moving |   |   |    |    | 7. Erection/Placing Forms |   |   |   |    |   |   |   |   |
| Survey # 1  | 5                 | 2 | 6 | 2 | 2 | 15        | 2              | 8 | 3 | 2 | 10 | 2                    | 8 | 3 | 2 | 10 | 2                   | 8 | 3 | 2 | 5  | 2                          | 8 | 3 | 2 | 10 | 2         | 8 | 3 | 2  | 15 | 2                         | 8 | 3 | 2 | 15 | 2 | 8 | 3 | 2 |
| Survey # 2  | 5                 | 6 | 4 | 3 | 1 | 20        | 2              | 2 | 2 | 1 | 10 | 4                    | 3 | 3 | 2 | 30 | 6                   | 4 | 3 | 1 | 10 | 3                          | 4 | 2 | 1 | 15 | 3         | 4 | 2 | 1  | 20 | 5                         | 3 | 2 | 1 | 20 | 5 | 3 | 2 | 1 |
| Survey # 3  | 20                | 6 | 4 | 3 | 1 | 30        | 5              | 4 | 3 | 0 | 20 | 7                    | 5 | 4 | 2 | 25 | 3                   | 2 | 1 | 0 | 25 | 7                          | 3 | 3 | 0 | 15 | 5         | 4 | 3 | 1  | 30 | 7                         | 5 | 3 | 2 | 30 | 7 | 5 | 3 | 2 |
| Survey # 4  | 15                | 7 | 7 | 2 | 2 | 40        | 9              | 8 | 3 | 2 | 50 | 9                    | 9 | 2 | 2 | 50 | 8                   | 7 | 4 | 3 | 10 | 4                          | 3 | 2 | 1 | 5  | 3         | 2 | 2 | 1  | 40 | 4                         | 3 | 3 | 2 | 40 | 4 | 3 | 3 | 2 |
| Survey # 5  | 20                | 7 | 6 | 5 | 5 | 50        | 6              | 5 | 5 | 4 | 60 | 7                    | 6 | 5 | 5 | 70 | 7                   | 6 | 5 | 5 | 10 | 6                          | 6 | 5 | 5 | 15 | 7         | 6 | 6 | 5  | 50 | 8                         | 7 | 6 | 5 | 50 | 8 | 7 | 6 | 5 |
| Survey # 6  | 15                | 2 | 2 | 2 | 1 | 40        | 3              | 4 | 2 | 2 | 30 | 6                    | 5 | 3 | 2 | 45 | 4                   | 4 | 3 | 2 | 10 | 4                          | 3 | 3 | 2 | 25 | 6         | 5 | 4 | 3  | 25 | 7                         | 6 | 4 | 4 | 25 | 7 | 6 | 4 | 4 |
| Survey # 7  | 10                | 4 | 3 | 2 | 1 | 15        | 5              | 4 | 4 | 2 | 20 | 7                    | 5 | 3 | 1 | 30 | 6                   | 3 | 2 | 1 | 30 | 8                          | 7 | 3 | 1 | 15 | 7         | 5 | 4 | 2  | 40 | 5                         | 3 | 3 | 1 | 40 | 5 | 3 | 3 | 1 |
| Survey # 8  | 20                | 7 | 6 | 5 | 3 | 80        | 6              | 5 | 5 | 3 | 70 | 5                    | 4 | 3 | 2 | 50 | 7                   | 5 | 4 | 3 | 40 | 6                          | 5 | 4 | 3 | 20 | 6         | 5 | 4 | 3  | 50 | 6                         | 4 | 3 | 3 | 50 | 6 | 4 | 3 | 3 |
| Survey # 9  | 30                | 7 | 6 | 5 | 0 | 50        | 7              | 6 | 5 | 3 | 20 | 7                    | 6 | 5 | 0 | 50 | 7                   | 6 | 5 | 3 | 20 | 7                          | 6 | 5 | 0 | 20 | 7         | 6 | 5 | 2  | 40 | 7                         | 6 | 5 | 3 | 40 | 7 | 6 | 5 | 3 |
| Survey # 10 | 5                 | 1 | 0 | 0 | 0 | 10        | 4              | 2 | 0 | 0 | 15 | 4                    | 3 | 2 | 1 | 20 | 3                   | 2 | 1 | 0 | 15 | 3                          | 1 | 0 | 0 | 20 | 3         | 3 | 2 | 0  | 15 | 3                         | 2 | 1 | 0 | 15 | 3 | 2 | 1 | 0 |
| Survey # 11 | 15                | 6 | 4 | 3 | 1 | 15        | 7              | 4 | 1 | 0 | 30 | 5                    | 3 | 2 | 0 | 15 | 6                   | 4 | 2 | 0 | 20 | 3                          | 1 | 1 | 0 | 30 | 5         | 1 | 1 | 0  | 50 | 8                         | 6 | 5 | 3 | 50 | 8 | 6 | 5 | 3 |
| Survey # 12 | 10                | 7 | 5 | 3 | 1 | 10        | 7              | 5 | 3 | 1 | 10 | 9                    | 9 | 9 | 9 | 20 | 7                   | 5 | 3 | 1 | 5  | 9                          | 9 | 9 | 9 | 5  | 9         | 9 | 9 | 10 | 20 | 8                         | 6 | 4 | 2 | 20 | 8 | 6 | 4 | 2 |
| Survey # 13 | 10                | 6 | 5 | 2 | 1 | 20        | 6              | 5 | 2 | 1 | 5  | 6                    | 5 | 2 | 1 | 5  | 6                   | 5 | 2 | 1 | 5  | 0                          | 0 | 0 | 0 | 20 | 6         | 6 | 6 | 2  | 20 | 6                         | 6 | 6 | 6 | 20 | 6 | 6 | 6 | 6 |
| Survey # 14 | 5                 | 5 | 4 | 3 | 2 | 20        | 8              | 5 | 4 | 1 | 5  | 3                    | 4 | 3 | 1 | 20 | 5                   | 5 | 4 | 3 | 5  | 3                          | 3 | 2 | 1 | 10 | 4         | 3 | 4 | 3  | 20 | 6                         | 7 | 6 | 2 | 20 | 6 | 7 | 6 | 2 |
| Survey # 15 | 15                | 5 | 4 | 2 | 0 | 15        | 2              | 2 | 5 | 7 | 10 | 3                    | 4 | 7 | 2 | 25 | 4                   | 4 | 5 | 8 | 10 | 4                          | 5 | 4 | 6 | 10 | 2         | 2 | 2 | 3  | 15 | 5                         | 5 | 2 | 7 | 15 | 5 | 5 | 2 | 7 |
| Survey # 16 | 5                 | 8 | 0 | 0 | 0 | 7         | 0              | 2 | 0 | 0 | 4  | 0                    | 2 | 0 | 0 | 4  | 0                   | 0 | 0 | 0 | 5  | 0                          | 0 | 0 | 0 | 10 | 4         | 2 | 0 | 0  | 10 | 6                         | 2 | 0 | 0 | 10 | 6 | 2 | 0 | 0 |
| Survey # 17 | 4                 | 3 | 2 | 1 | 0 | 4         | 5              | 4 | 3 | 1 | 4  | 3                    | 2 | 1 | 0 | 20 | 7                   | 6 | 5 | 1 | 4  | 3                          | 2 | 1 | 0 | 4  | 4         | 3 | 2 | 1  | 4  | 4                         | 3 | 2 | 1 | 4  | 4 | 3 | 2 | 1 |

| Color code  | Activity Exposure |   |   |   |   |                |   |   |   |   | Near Miss            | Low Severity |   |   |   |                     | Medium Severity |   |   |   |                            | High Severity |   |   |   |           |   |   |   |   |                           |   |   |   |   |
|-------------|-------------------|---|---|---|---|----------------|---|---|---|---|----------------------|--------------|---|---|---|---------------------|-----------------|---|---|---|----------------------------|---------------|---|---|---|-----------|---|---|---|---|---------------------------|---|---|---|---|
| Activity    | 1. Stockpile      |   |   |   |   | 2. Preparation |   |   |   |   | 3. Moving (Optional) |              |   |   |   | 4. Assembling Forms |                 |   |   |   | 5. Stacking Prepared Forms |               |   |   |   | 6. Moving |   |   |   |   | 7. Erection/Placing Forms |   |   |   |   |
| Survey # 18 | 3                 | 3 | 1 | 1 | 1 | 8              | 8 | 5 | 4 | 2 | 1                    | 3            | 1 | 1 | 1 | 18                  | 6               | 4 | 5 | 1 | 3                          | 5             | 2 | 1 | 1 | 1         | 3 | 1 | 1 | 1 | 19                        | 8 | 6 | 5 | 3 |
| Survey # 19 | 10                | 7 | 5 | 3 | 1 | 30             | 9 | 6 | 3 | 2 | 50                   | 5            | 5 | 5 | 5 | 25                  | 7               | 7 | 8 | 8 | 25                         | 9             | 7 | 7 | 6 | 25        | 8 | 8 | 8 | 9 | 25                        | 9 | 8 | 7 | 7 |
| Survey # 20 | 10                | 3 | 3 | 7 | 5 | 20             | 8 | 7 | 8 | 8 | 0                    | 0            | 0 | 0 | 0 | 20                  | 3               | 4 | 5 | 5 | 5                          | 4             | 4 | 5 | 3 | 10        | 7 | 4 | 7 | 7 | 30                        | 7 | 7 | 8 | 9 |
| Survey # 21 | 10                | 4 | 1 | 2 | 0 | 10             | 6 | 2 | 1 | 0 | 20                   | 5            | 3 | 1 | 1 | 10                  | 4               | 1 | 0 | 0 | 0                          | 0             | 0 | 0 | 0 | 10        | 2 | 1 | 0 | 0 | 10                        | 3 | 2 | 2 | 1 |
| Survey # 22 | 10                | 6 | 7 | 4 | 3 | 25             | 7 | 5 | 3 | 3 | 15                   | 3            | 2 | 1 | 1 | 20                  | 3               | 2 | 2 | 2 | 10                         | 2             | 1 | 1 | 1 | 25        | 4 | 3 | 2 | 2 | 40                        | 5 | 4 | 3 | 2 |
| Survey # 23 | 10                | 3 | 6 | 1 | 1 | 10             | 2 | 5 | 1 | 1 | 0                    | 1            | 6 | 1 | 1 | 10                  | 1               | 1 | 1 | 1 | 20                         | 1             | 2 | 4 | 3 | 50        | 2 | 3 | 0 | 0 | 50                        | 3 | 3 | 6 | 3 |
| Survey # 24 | 10                | 0 | 1 | 0 | 0 | 40             | 3 | 7 | 1 | 1 | 30                   | 2            | 3 | 3 | 1 | 75                  | 8               | 3 | 5 | 6 | 5                          | 2             | 4 | 1 | 0 | 50        | 2 | 4 | 2 | 3 | 60                        | 5 | 5 | 1 | 3 |
| Survey # 25 | 20                | 8 | 5 | 4 | 2 | 20             | 8 | 6 | 5 | 2 | 10                   | 7            | 3 | 2 | 1 | 30                  | 8               | 3 | 2 | 1 | 20                         | 8             | 3 | 2 | 1 | 5         | 8 | 3 | 2 | 1 | 50                        | 8 | 3 | 2 | 1 |
| Survey # 26 | 0                 | 7 | 4 | 3 | 4 | 80             | 9 | 9 | 4 | 6 | 60                   | 5            | 3 | 6 | 2 | 80                  | 6               | 7 | 1 | 8 | 60                         | 4             | 6 | 2 | 4 | 90        | 3 | 5 | 5 | 6 | 10                        | 4 | 4 | 6 | 5 |
| Survey # 27 | 20                | 6 | 4 | 4 | 3 | 60             | 7 | 6 | 6 | 3 | 70                   | 5            | 6 | 3 | 3 | 50                  | 7               | 7 | 4 | 3 | 5                          | 3             | 3 | 2 | 2 | 5         | 3 | 3 | 3 | 3 | 60                        | 7 | 6 | 6 | 6 |
| Survey # 28 | 0                 | 1 | 2 | 1 | 1 | 0              | 5 | 6 | 6 | 3 | 10                   | 4            | 5 | 4 | 3 | 40                  | 3               | 3 | 3 | 3 | 0                          | 4             | 3 | 4 | 4 | 0         | 5 | 4 | 4 | 3 | 90                        | 6 | 5 | 5 | 3 |
| Survey # 29 | 10                | 3 | 3 | 2 | 1 | 50             | 4 | 4 | 3 | 1 | 30                   | 5            | 5 | 5 | 2 | 50                  | 5               | 5 | 4 | 3 | 50                         | 3             | 3 | 3 | 1 | 20        | 6 | 5 | 3 | 2 | 20                        | 6 | 5 | 3 | 2 |
| Survey # 30 | 20                | 7 | 6 | 5 | 4 | 40             | 6 | 3 | 3 | 3 | 10                   | 6            | 3 | 3 | 3 | 20                  | 3               | 3 | 3 | 3 | 20                         | 4             | 4 | 4 | 4 | 5         | 5 | 4 | 4 | 3 | 80                        | 5 | 4 | 4 | 3 |
| Survey # 31 | 10                | 6 | 4 | 3 | 3 | 35             | 5 | 5 | 3 | 3 | 0                    | 4            | 3 | 3 | 3 | 15                  | 6               | 5 | 3 | 3 | 20                         | 6             | 5 | 4 | 3 | 35        | 5 | 5 | 3 | 3 | 65                        | 6 | 6 | 4 | 3 |
| Survey # 32 | 10                | 7 | 5 | 4 | 6 | 20             | 8 | 7 | 6 | 5 | 5                    | 6            | 5 | 5 | 5 | 50                  | 7               | 6 | 6 | 4 | 5                          | 4             | 5 | 5 | 5 | 5         | 4 | 6 | 5 | 6 | 10                        | 6 | 5 | 5 | 5 |

**For activities 8 to 13:**

| Color code  | Activity Exposure          |   |   |   |    | Near Miss          |    |   |   |    | Low Severity   |   |   |   |    | Medium Severity                 |   |   |   |    | High Severity                   |   |   |   |    |                            |   |   |   |    |
|-------------|----------------------------|---|---|---|----|--------------------|----|---|---|----|----------------|---|---|---|----|---------------------------------|---|---|---|----|---------------------------------|---|---|---|----|----------------------------|---|---|---|----|
| Activity    | 8. Pouring Concrete/Curing |   |   |   |    | 9. Stripping Forms |    |   |   |    | 10. Move forms |   |   |   |    | 11. Dismantling/ Cleaning Forms |   |   |   |    | 12. Move forms/ Form Components |   |   |   |    | 13. Stack/ Stockpile Forms |   |   |   |    |
| Survey # 1  | 10                         | 2 | 8 | 3 | 2  | 15                 | 2  | 8 | 6 | 2  | 10             | 2 | 8 | 6 | 2  | 10                              | 2 | 6 | 6 | 2  | 10                              | 2 | 5 | 4 | 2  | 10                         | 2 | 6 | 5 | 2  |
| Survey # 2  | 5                          | 3 | 3 | 2 | 1  | 15                 | 7  | 5 | 2 | 1  | 10             | 7 | 3 | 2 | 1  | 10                              | 6 | 5 | 2 | 1  | 10                              | 6 | 5 | 2 | 1  | 10                         | 7 | 5 | 2 | 1  |
| Survey # 3  | 15                         | 7 | 5 | 3 | 2  | 20                 | 5  | 3 | 2 | 1  | 15             | 7 | 4 | 3 | 1  | 15                              | 7 | 5 | 3 | 1  | 20                              | 7 | 5 | 3 | 1  | 20                         | 6 | 4 | 3 | 1  |
| Survey # 4  | 10                         | 2 | 1 | 1 | 1  | 30                 | 9  | 8 | 3 | 2  | 25             | 5 | 4 | 1 | 1  | 10                              | 2 | 1 | 1 | 1  | 10                              | 2 | 1 | 1 | 1  | 5                          | 2 | 2 | 1 | 1  |
| Survey # 5  | 30                         | 8 | 5 | 6 | 7  | 30                 | 7  | 6 | 5 | 5  | 10             | 7 | 6 | 5 | 4  | 10                              | 7 | 6 | 4 | 3  | 30                              | 8 | 7 | 5 | 4  | 20                         | 8 | 7 | 6 | 5  |
| Survey # 6  | 60                         | 5 | 5 | 3 | 2  | 25                 | 3  | 3 | 2 | 1  | 25             | 4 | 4 | 3 | 1  | 30                              | 4 | 4 | 2 | 1  | 30                              | 4 | 4 | 3 | 1  | 25                         | 4 | 4 | 3 | 1  |
| Survey # 7  | 5                          | 3 | 2 | 1 | 0  | 25                 | 6  | 4 | 1 | 0  | 15             | 5 | 3 | 2 | 1  | 15                              | 4 | 1 | 1 | 0  | 10                              | 3 | 2 | 1 | 0  | 15                         | 6 | 3 | 4 | 1  |
| Survey # 8  | 40                         | 5 | 4 | 3 | 3  | 40                 | 5  | 5 | 4 | 3  | 20             | 5 | 4 | 3 | 3  | 30                              | 4 | 3 | 1 | 1  | 40                              | 5 | 4 | 3 | 3  | 40                         | 4 | 4 | 2 | 2  |
| Survey # 9  | 10                         | 7 | 6 | 5 | 3  | 30                 | 7  | 6 | 5 | 3  | 20             | 7 | 6 | 5 | 0  | 10                              | 7 | 6 | 5 | 0  | 20                              | 7 | 6 | 5 | 0  | 10                         | 7 | 6 | 5 | 0  |
| Survey # 10 | 15                         | 3 | 1 | 1 | 0  | 15                 | 4  | 4 | 2 | 1  | 15             | 4 | 3 | 2 | 0  | 10                              | 2 | 2 | 1 | 0  | 10                              | 2 | 2 | 1 | 0  | 10                         | 4 | 2 | 0 | 0  |
| Survey # 11 | 20                         | 1 | 1 | 0 | 0  | 20                 | 10 | 9 | 8 | 7  | 5              | 5 | 3 | 2 | 0  | 20                              | 7 | 3 | 2 | 1  | 30                              | 5 | 1 | 1 | 0  | 30                         | 3 | 1 | 1 | 0  |
| Survey # 12 | 20                         | 9 | 9 | 9 | 10 | 10                 | 9  | 9 | 9 | 10 | 5              | 9 | 9 | 9 | 10 | 5                               | 8 | 9 | 9 | 10 | 5                               | 8 | 9 | 9 | 10 | 10                         | 9 | 9 | 9 | 10 |
| Survey # 13 | 10                         | 0 | 0 | 0 | 0  | 1                  | 0  | 0 | 0 | 0  | 1              | 0 | 0 | 0 | 0  | 1                               | 0 | 0 | 0 | 0  | 1                               | 0 | 0 | 0 | 0  | 1                          | 0 | 0 | 0 | 0  |
| Survey # 14 | 5                          | 2 | 2 | 2 | 1  | 15                 | 6  | 6 | 5 | 2  | 5              | 3 | 2 | 2 | 1  | 5                               | 4 | 2 | 2 | 1  | 10                              | 4 | 3 | 2 | 1  | 15                         | 5 | 5 | 4 | 3  |
| Survey # 15 | 10                         | 4 | 4 | 4 | 4  | 15                 | 5  | 3 | 4 | 5  | 20             | 4 | 3 | 2 | 6  | 15                              | 3 | 5 | 1 | 4  | 20                              | 2 | 3 | 3 | 5  | 20                         | 3 | 4 | 4 | 6  |
| Survey # 16 | 5                          | 2 | 2 | 0 | 0  | 10                 | 2  | 0 | 0 | 0  | 5              | 4 | 0 | 0 | 0  | 3                               | 1 | 0 | 0 | 0  | 8                               | 2 | 0 | 0 | 0  | 5                          | 2 | 1 | 0 | 0  |
| Survey # 17 | 10                         | 5 | 4 | 3 | 1  | 10                 | 5  | 4 | 3 | 1  | 4              | 3 | 2 | 1 | 0  | 4                               | 3 | 2 | 1 | 0  | 4                               | 3 | 2 | 1 | 0  | 4                          | 3 | 2 | 1 | 0  |
| Survey # 18 | 3                          | 6 | 4 | 3 | 2  | 3                  | 7  | 5 | 3 | 1  | 1              | 3 | 1 | 1 | 1  | 3                               | 6 | 5 | 4 | 2  | 1                               | 6 | 5 | 4 | 2  | 3                          | 5 | 4 | 3 | 2  |
| Survey # 19 | 50                         | 9 | 7 | 6 | 5  | 25                 | 9  | 7 | 5 | 3  | 25             | 8 | 5 | 5 | 5  | 25                              | 8 | 6 | 5 | 5  | 25                              | 9 | 9 | 5 | 5  | 25                         | 9 | 8 | 5 | 5  |
| Survey # 20 | 16                         | 3 | 3 | 5 | 6  | 0                  | 7  | 7 | 8 | 9  | 0              | 6 | 6 | 7 | 7  | 0                               | 3 | 4 | 4 | 5  | 0                               | 4 | 4 | 5 | 5  | 0                          | 3 | 3 | 4 | 4  |

| Color code  | Activity Exposure          |   |   |   |   | Near Miss          |   |   |   |   | Low Severity   |   |   |   |   | Medium Severity                 |   |   |   |   | High Severity                   |   |   |   |   |                            |   |   |   |   |
|-------------|----------------------------|---|---|---|---|--------------------|---|---|---|---|----------------|---|---|---|---|---------------------------------|---|---|---|---|---------------------------------|---|---|---|---|----------------------------|---|---|---|---|
| Activity    | 8. Pouring Concrete/Curing |   |   |   |   | 9. Stripping Forms |   |   |   |   | 10. Move forms |   |   |   |   | 11. Dismantling/ Cleaning Forms |   |   |   |   | 12. Move forms/ Form Components |   |   |   |   | 13. Stack/ Stockpile Forms |   |   |   |   |
| Survey # 21 | 20                         | 9 | 3 | 1 | 1 | 25                 | 5 | 4 | 4 | 1 | 5              | 5 | 2 | 1 | 0 | 5                               | 7 | 3 | 0 | 2 | 5                               | 8 | 3 | 1 | 1 | 10                         | 4 | 1 | 1 | 1 |
| Survey # 22 | 20                         | 3 | 2 | 2 | 2 | 20                 | 5 | 4 | 2 | 2 | 10             | 2 | 1 | 1 | 1 | 15                              | 1 | 1 | 1 | 1 | 10                              | 2 | 2 | 1 | 1 | 10                         | 2 | 2 | 1 | 1 |
| Survey # 23 | 10                         | 1 | 1 | 1 | 1 | 40                 | 6 | 5 | 4 | 3 | 30             | 1 | 3 | 2 | 2 | 0                               | 2 | 3 | 4 | 3 | 10                              | 1 | 3 | 1 | 2 | 10                         | 1 | 3 | 3 | 2 |
| Survey # 24 | 10                         | 4 | 4 | 2 | 3 | 30                 | 5 | 2 | 2 | 1 | 20             | 4 | 1 | 1 | 1 | 5                               | 1 | 1 | 1 | 1 | 5                               | 2 | 1 | 1 | 1 | 5                          | 2 | 2 | 1 | 1 |
| Survey # 25 | 20                         | 5 | 2 | 2 | 2 | 40                 | 8 | 5 | 4 | 2 | 10             | 5 | 2 | 2 | 2 | 5                               | 5 | 2 | 2 | 2 | 10                              | 5 | 2 | 2 | 2 | 20                         | 5 | 2 | 2 | 2 |
| Survey # 26 | 20                         | 3 | 4 | 7 | 7 | 100                | 4 | 7 | 5 | 8 | 80             | 5 | 4 | 6 | 6 | 100                             | 3 | 4 | 7 | 7 | 80                              | 4 | 8 | 6 | 8 | 80                         | 5 | 6 | 6 | 4 |
| Survey # 27 | 15                         | 4 | 4 | 5 | 4 | 20                 | 6 | 5 | 4 | 4 | 5              | 6 | 6 | 4 | 4 | 20                              | 3 | 3 | 2 | 2 | 10                              | 4 | 4 | 5 | 6 | 30                         | 6 | 6 | 7 | 7 |
| Survey # 28 | 10                         | 4 | 3 | 3 | 3 | 90                 | 1 | 1 | 1 | 2 | 10             | 1 | 2 | 2 | 1 | 90                              | 3 | 3 | 3 | 1 | 10                              | 2 | 1 | 1 | 1 | 0                          | 2 | 2 | 2 | 1 |
| Survey # 29 | 0                          | 0 | 0 | 0 | 0 | 40                 | 7 | 6 | 5 | 5 | 30             | 5 | 4 | 3 | 3 | 10                              | 4 | 3 | 2 | 1 | 30                              | 4 | 3 | 2 | 1 | 10                         | 4 | 3 | 2 | 1 |
| Survey # 30 | 5                          | 3 | 3 | 3 | 3 | 20                 | 5 | 4 | 3 | 3 | 10             | 3 | 3 | 3 | 3 | 5                               | 3 | 3 | 3 | 3 | 5                               | 3 | 3 | 3 | 3 | 5                          | 3 | 3 | 3 | 3 |
| Survey # 31 | 65                         | 5 | 6 | 4 | 3 | 40                 | 6 | 5 | 5 | 4 | 30             | 4 | 3 | 3 | 3 | 15                              | 6 | 6 | 4 | 3 | 20                              | 5 | 4 | 4 | 3 | 20                         | 6 | 4 | 4 | 3 |
| Survey # 32 | 10                         | 7 | 6 | 6 | 5 | 40                 | 7 | 6 | 4 | 5 | 5              | 5 | 4 | 4 | 4 | 40                              | 5 | 6 | 7 | 6 | 20                              | 5 | 7 | 4 | 6 | 15                         | 5 | 6 | 6 | 7 |

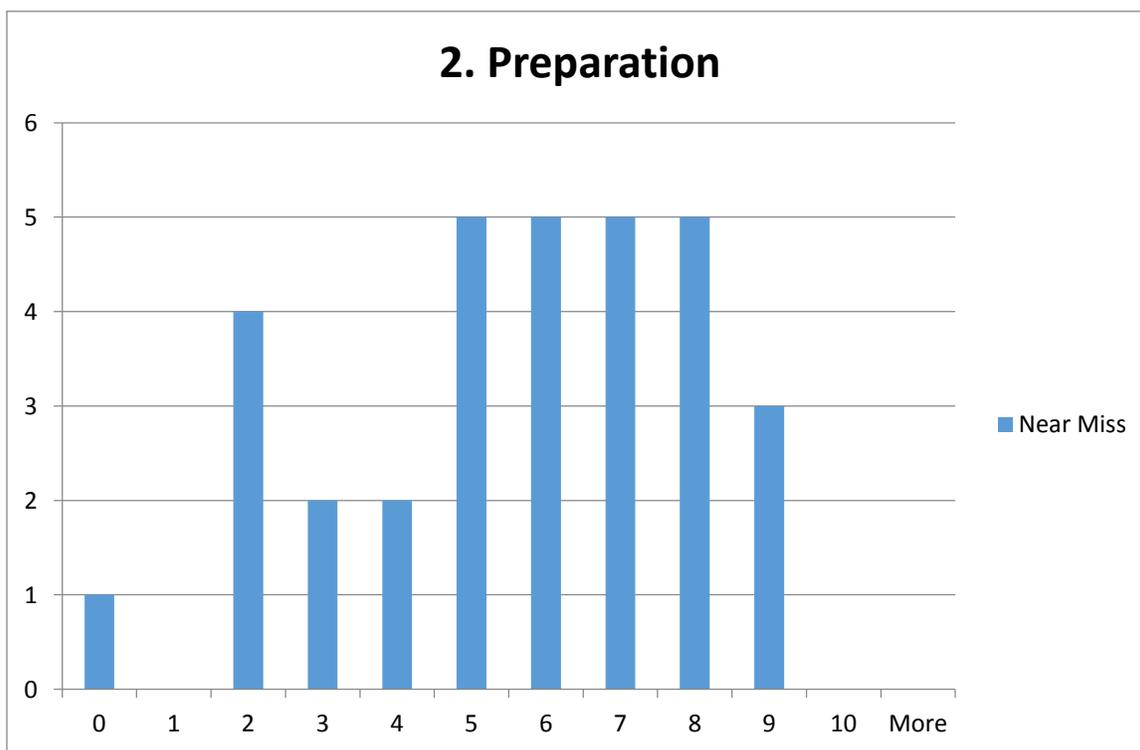
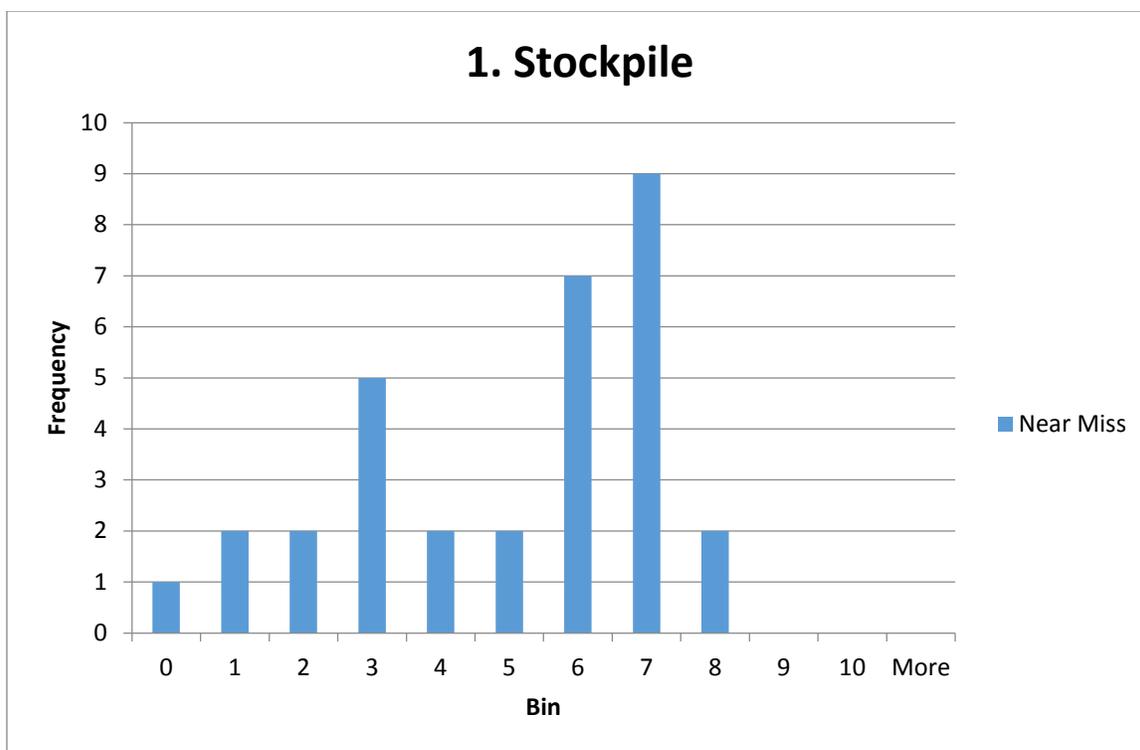
**Answers to the Open ended questions, and demographics:**

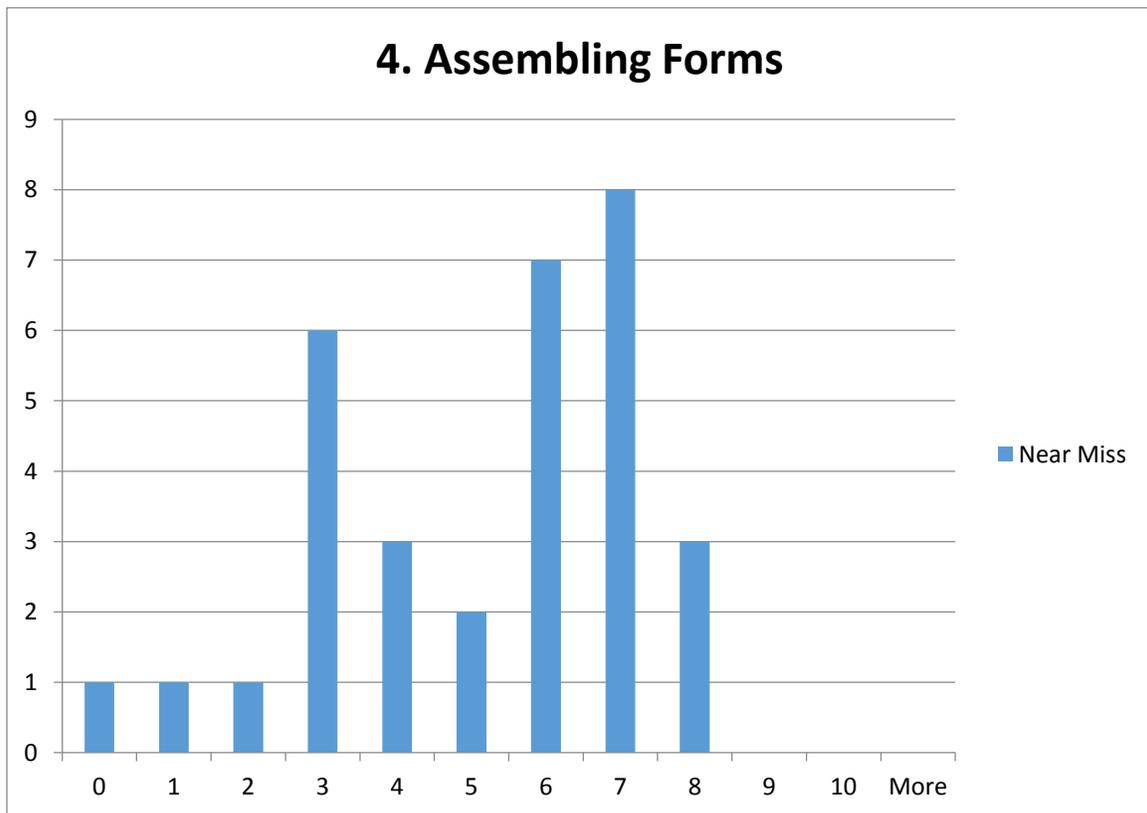
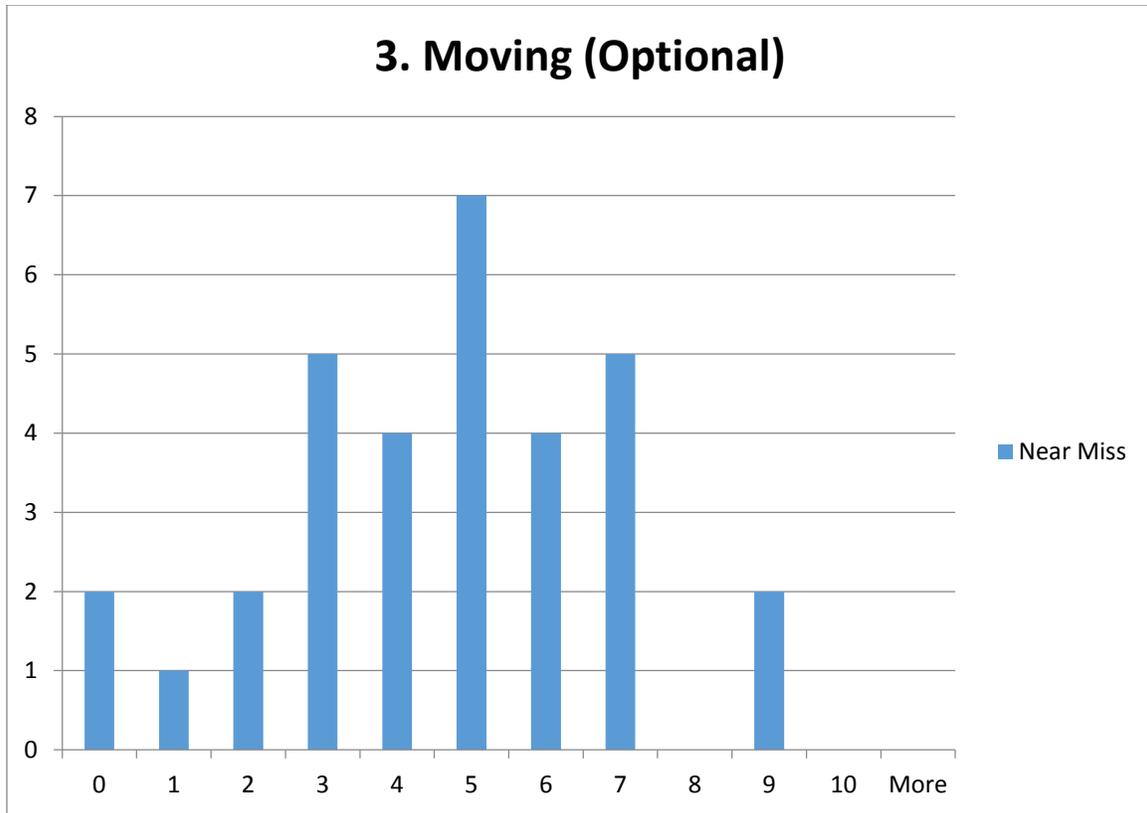
| Survey # | Position/ Title | Years of Construction Experience | Type(s) of Work Experience/ Trade(s) | Factors that <u>increase</u> risk of injury  | Factors that <u>decrease</u> risk of injury                                     |
|----------|-----------------|----------------------------------|--------------------------------------|--|---|
| 1        | Carpenter       | 10                               | -                                    | weather, morale, housekeeping, schedule, faulty tools/equipment, material handling | Communication, weather, morale, housekeeping, having the right tool for the job |

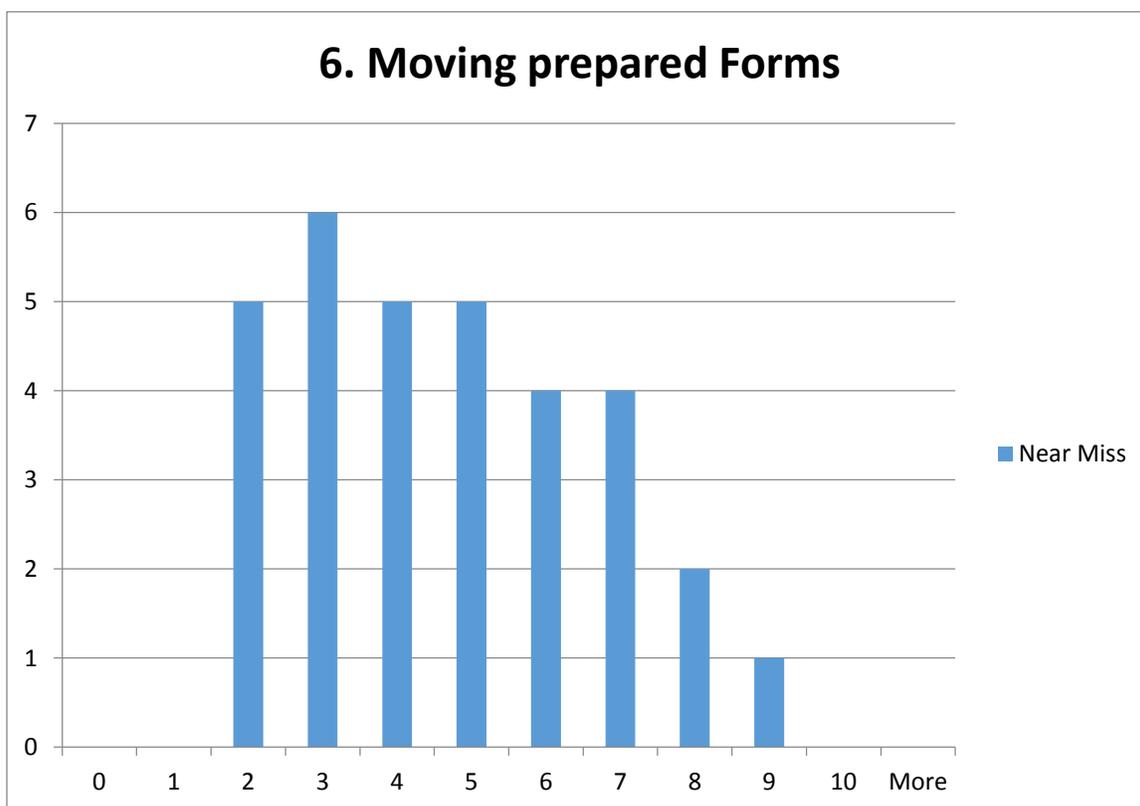
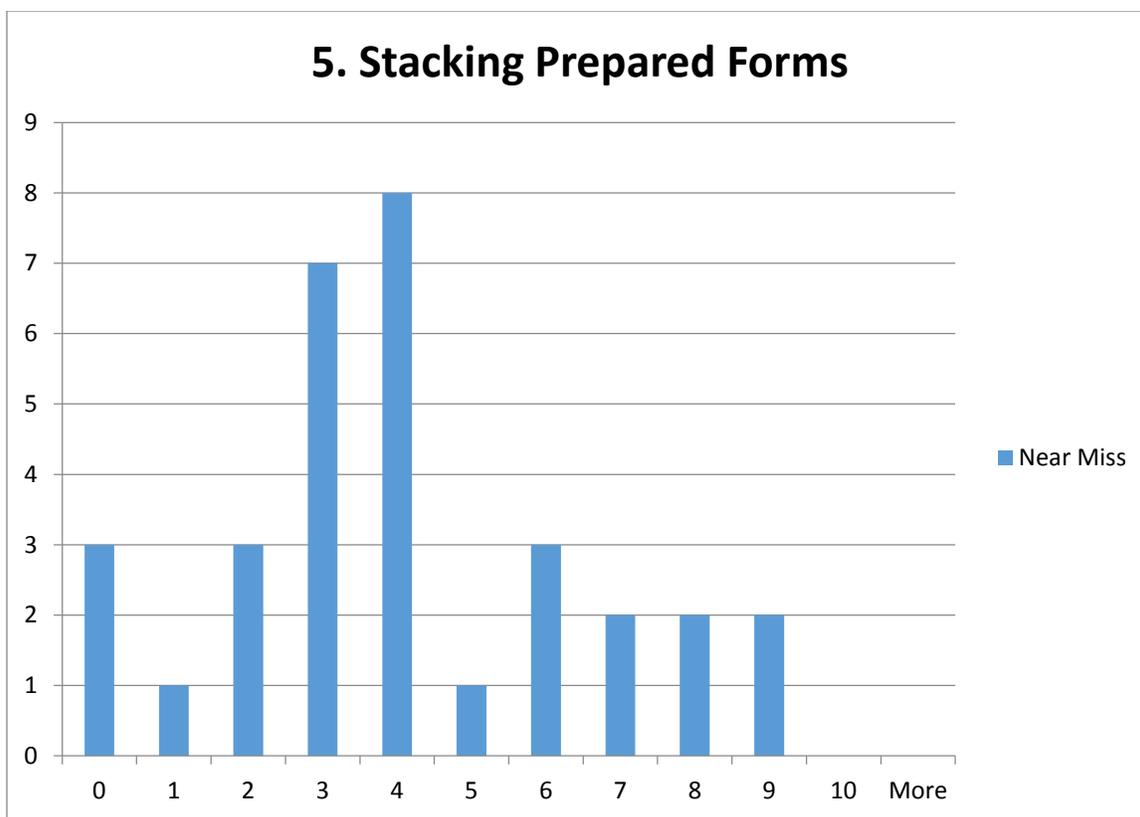
| Survey # | Position/ Title           | Years of Construction Experience | Type(s) of Work Experience/ Trade(s) | Factors that <u>increase</u> risk of injury  | Factors that <u>decrease</u> risk of injury  |
|----------|---------------------------|----------------------------------|--------------------------------------|--|--|
| 2        | Carpenter/<br>Foreman     | 24                               | Carpenter                            | Weather  | Communication, Experience, Skill Level   |
| 3        | Carpenter/<br>Supervisor  | 30+                              | -                                    | Bad Weather, Lack of Space/ Size of Site   | Equipment to move forms to location, Good game plan, crew that wrks together (team work)                     |
| 4        | Carpenter                 | 15+                              | Heavy Construction                   | Weather- snow, heat, weekend, after work activities  | Proper training, Pre-planning, getting our head in the task  |
| 5        | Journeyman<br>Carpenter   | 8                                | Carpentry/ Concrete Forming          | weather, lack of proper tools or assembly components, elevation of work, not using safety gear | Equipment (Forklifts, boom lifts, cranes etc.) proper access to where working and use of proper safety gear  |
| 6        | Carpenter<br>Apprentice   | 3                                | -                                    | Weather conditions, tight schedule, equipment failure  | Pre-planning, All equipment needed are available (Forklift, Crane etc.)                                      |
| 7        | Carpenter<br>Journeyman   | 20                               | -                                    | Weather conditions, not trained (e.g. forklift training)                                       | Nice weather, proper equipment and training for workers  |
| 8        | Project<br>Superintendant | 20                               | Carpentry/ Heavy<br>Concrete         | Schedule pressure, wearing proper PPE, proper stretching                                       | Proper lifting techniques, Being aware of your surroundings, proper gloves, safety glasses, not being rushed |
| 9        | Superintendant            | 15                               | Carpentry                            | Lack of experience, not paying attention, complacency  | Safety Meetings, awareness, knowledge, experience  |
| 10       | -                         | -                                | -                                    | -  | -  |
| 11       | Apprentice                | 3                                | Concrete, Roof<br>Framing            | Lack of preparation, unskilled, incorrect tools and supplies                                   | Preparation, Skilled labor, Correct tools and supplies   |
| 12       | Apprentice-3              | 17                               | 16 residential, 1<br>Commercial      | Weather  | Safety Meeting, Proper PPE & Tools   |
| 13       | Carpenter Foreman         | 6                                | Carpentry/ Exterior.,<br>Interior    | Drinking on the job/ drugs   | A good night's sleep   |
| 14       | Carpenter                 | 24                               | Residential<br>Remodel, Concrete     | Inattention, Hurrying, tight schedule  | Planning, Pretask  |

| Survey # | Position/ Title         | Years of Construction Experience | Type(s) of Work Experience/ Trade(s)              | Factors that <u>increase</u> risk of injury   | Factors that <u>decrease</u> risk of injury                                 |
|----------|-------------------------|----------------------------------|---|---|---|
|          |                         |                                  | Forms   |   |   |
| 15       | Carpenter               | 15                               | Concrete forms, Clean room, data centers, Schools | Being Tired, Complacency, inattention, poor workplace safety plan   | Situational Awareness   |
| 16       | Foreman                 | 29.5                             | Framing/ Concrete Formwork                        | Not alert, inexperience   | Pre-task planning, well trained personnel, discussion of hazards beforehand |
| 17       | -                       | 27                               | Concrete, Framing, Finishing                      | No safety program, messy jobsite, debris on the ground, mud, broken tools, lack of concentration, organization and planning | #1 Safety, Clean Job Site, area prepped- gravel flat, no mud                |
| 18       | Carpenter               | 41                               | Filts (?), Metal Framing                          | Moving unsecured/loose stacks on Forklifts, Swinging large forms into place   | Limiting men to 1-2 jobs after lining out safety concerns                   |
| 19       | Carpenter               | 23                               | Framing, Concrete forms, Finish Carpentry         | Not using Proper lifting techniques   | Stretch and Flex using lifting techniques                                   |
| 20       | Business representative | 36                               | Carpenter   | Moving, setting, and stripping of large forms   | Planning  |
| 21       | Safety Co-ordinator     | 27                               | Carpenter   | Others do not pay attention to me   | -   |
| 22       | -                       | 42                               | Carpenter Superintendent                          | Complacency, unaware of what is going on around us, repetition  | Staying Alert   |
| 23       | Journeyman Carpenter    | 7                                | Concrete formwork                                 | Awareness of Environment  | Pro-task  |
| 24       | Carpenter               | 20                               | Excavation, Pipe, Conc. Raming, Structural Steel  | -   | -   |

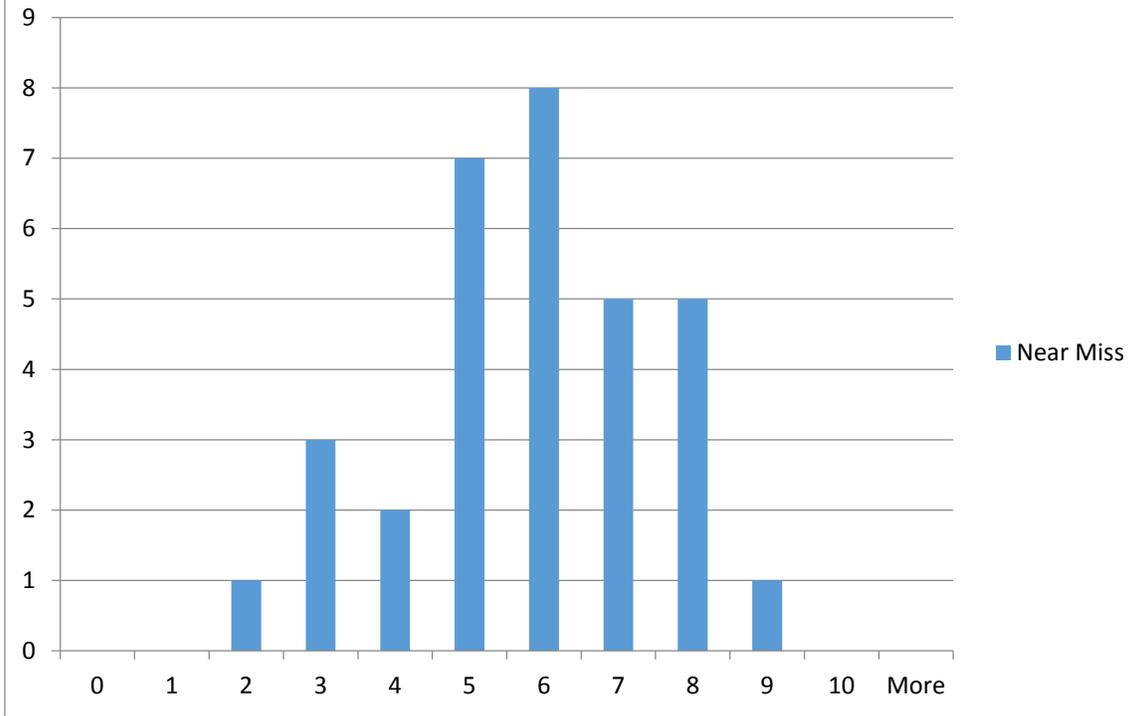
| Survey # | Position/ Title      | Years of Construction Experience | Type(s) of Work Experience/ Trade(s)       | Factors that <u>increase</u> risk of injury                       | Factors that <u>decrease</u> risk of injury   |
|----------|----------------------|----------------------------------|--|---|---|
| 25       | Journeyman Carpenter | 10+                              | General Construction- Footings to Shingles | Lack of Sleep, Drugs & alcohol, inattention                       | Sleep, stay sober, no stress, clear mind  |
| 26       | Lead carpenter       | 8                                | Carpenter                                  | -   | -   |
| 27       | Journeyman Carpenter | 10                               | All phases of Construction                 | Lifting too big for one person, no communication with co-workers  | Team work and pre-task  |
| 28       | Apprentice           | 4 months                         | -  | -   | -   |
| 29       | Carpenter            | 15                               | Concrete formwork, other misc              | Heights, weight of material                                       | Well-planned, clean site, materials delivered on time and proper quantities               |
| 30       | Journeyman Carpenter | 11                               | -  | -   | -   |
| 31       | Lead carpenter       | 11                               | Structural Concrete                        | Rain, wind, inexperience, being in a hurry, lack of communication | Proper skills, readily available information and communication, proper tools and material |
| 32       | Carpenter            | 40                               | Carpenter, Operator                        | Not Paying attention  | Know your surroundings  |

**APPENDIX – III C: HISTOGRAMS TO IDENTIFY APPROPRIATE PDF****Histograms for Near Miss:**

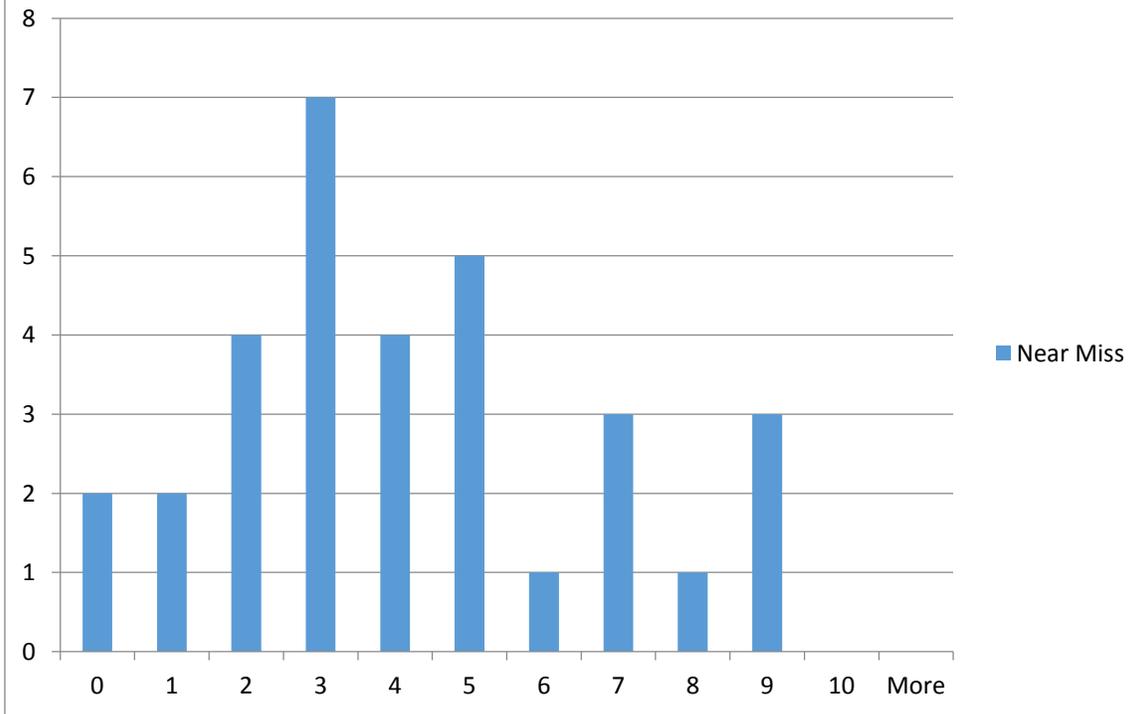


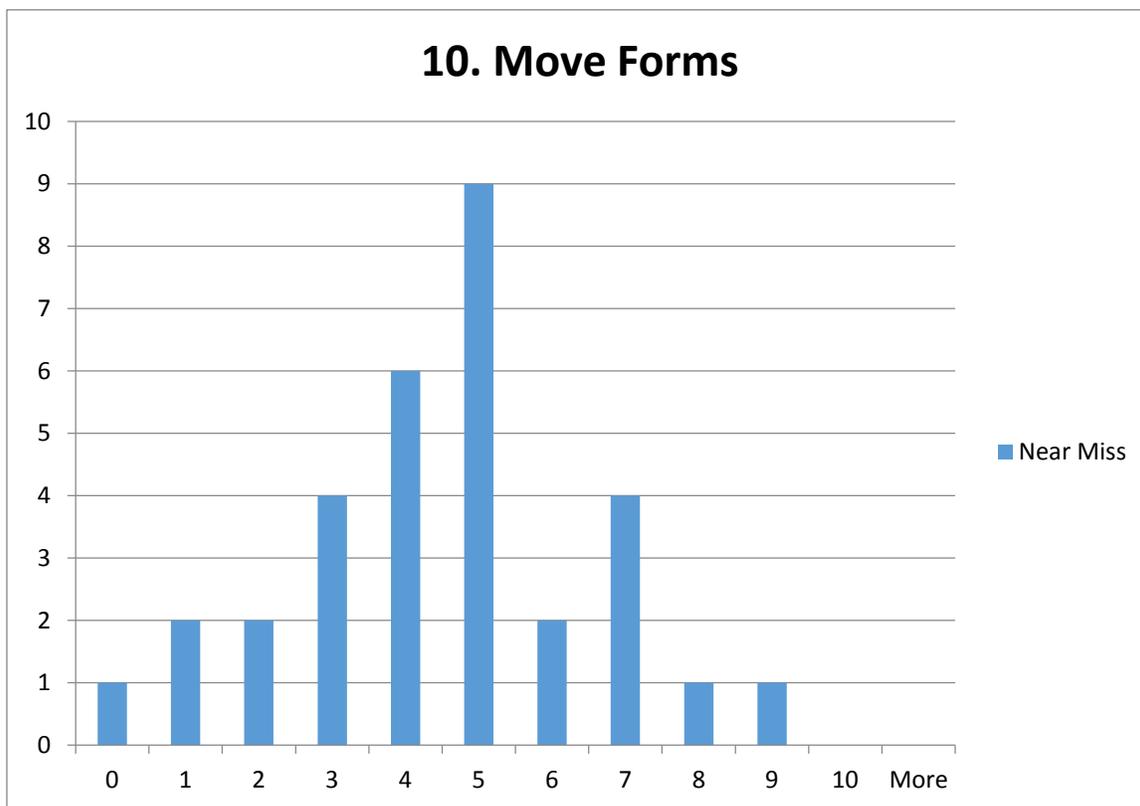
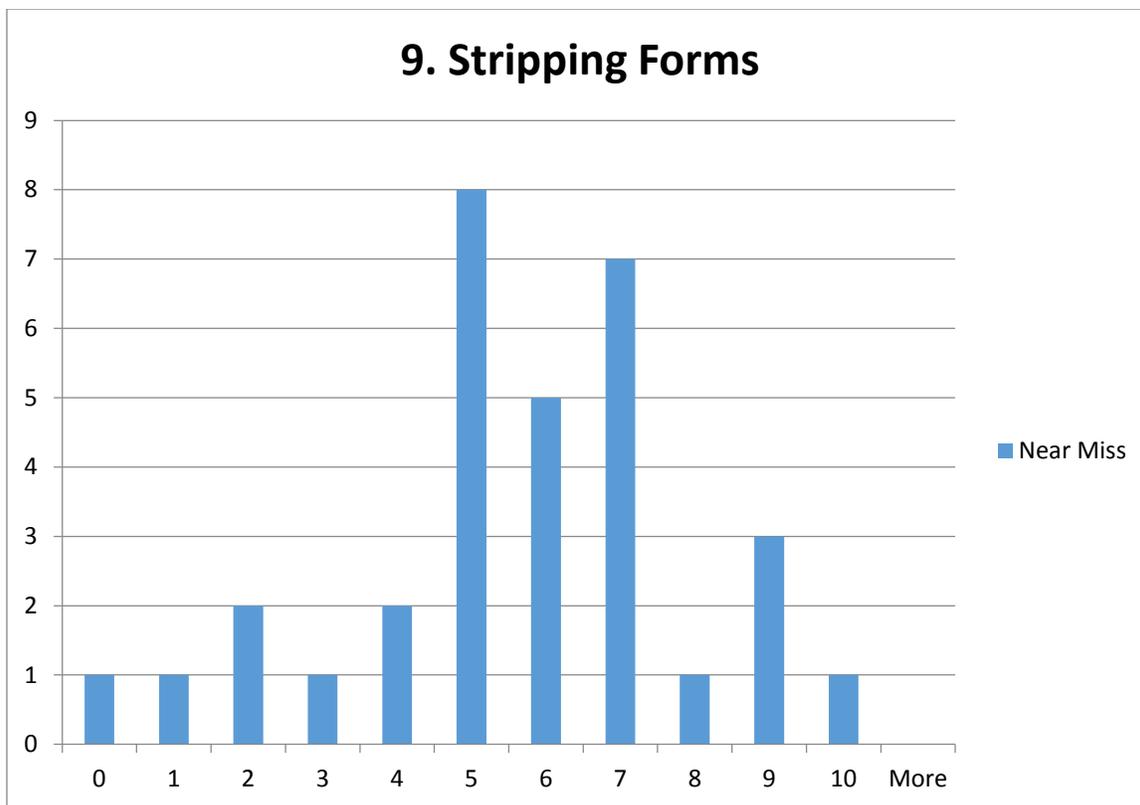


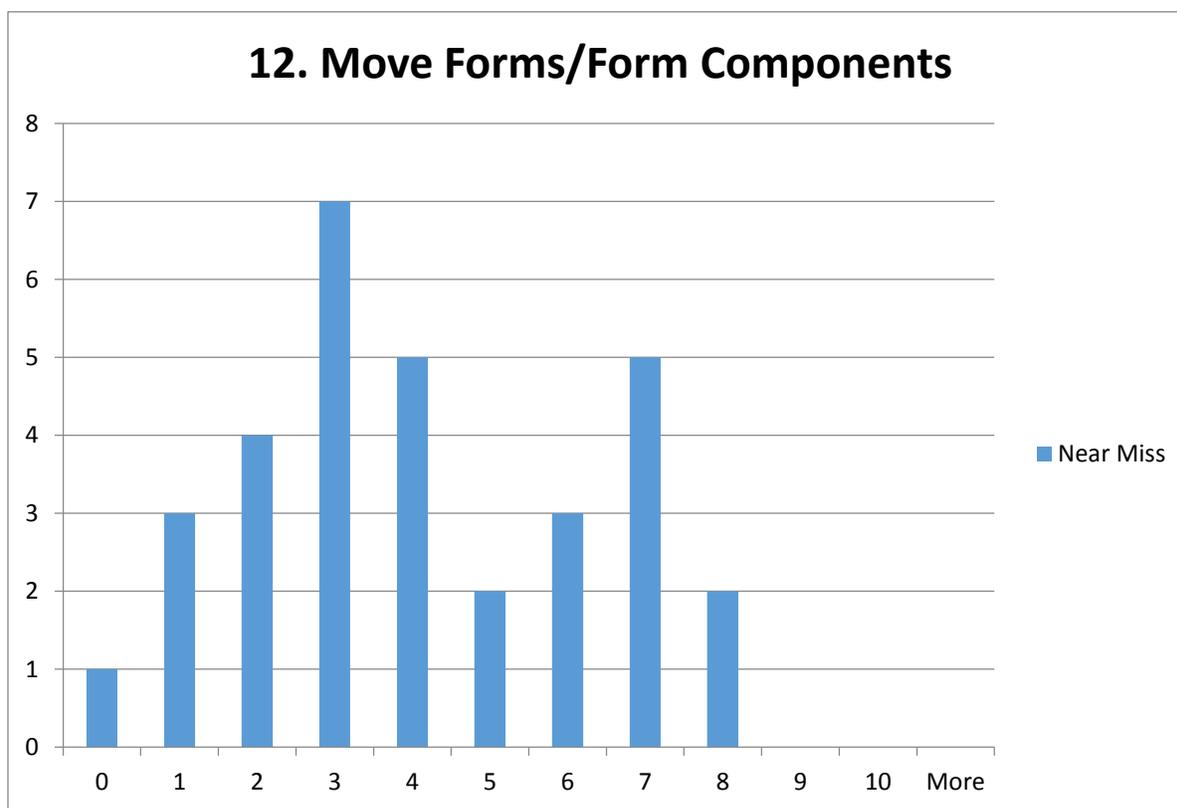
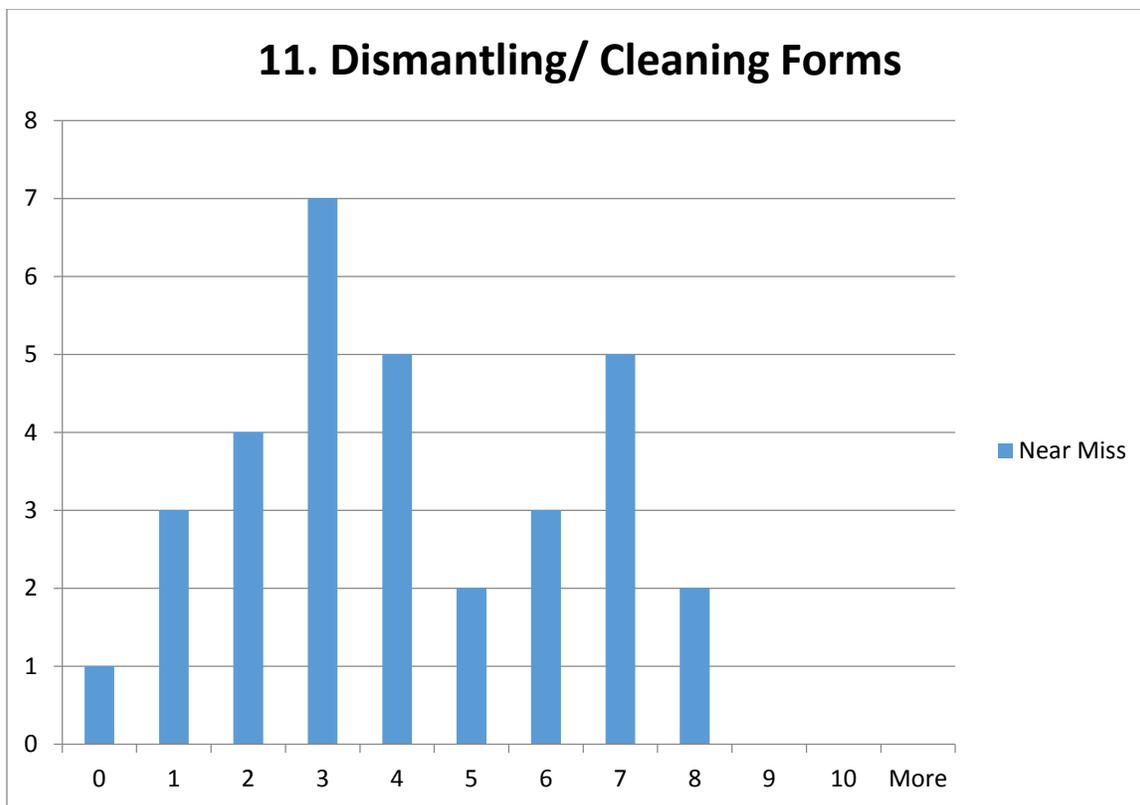
### 7. Erecting/Placing Forms

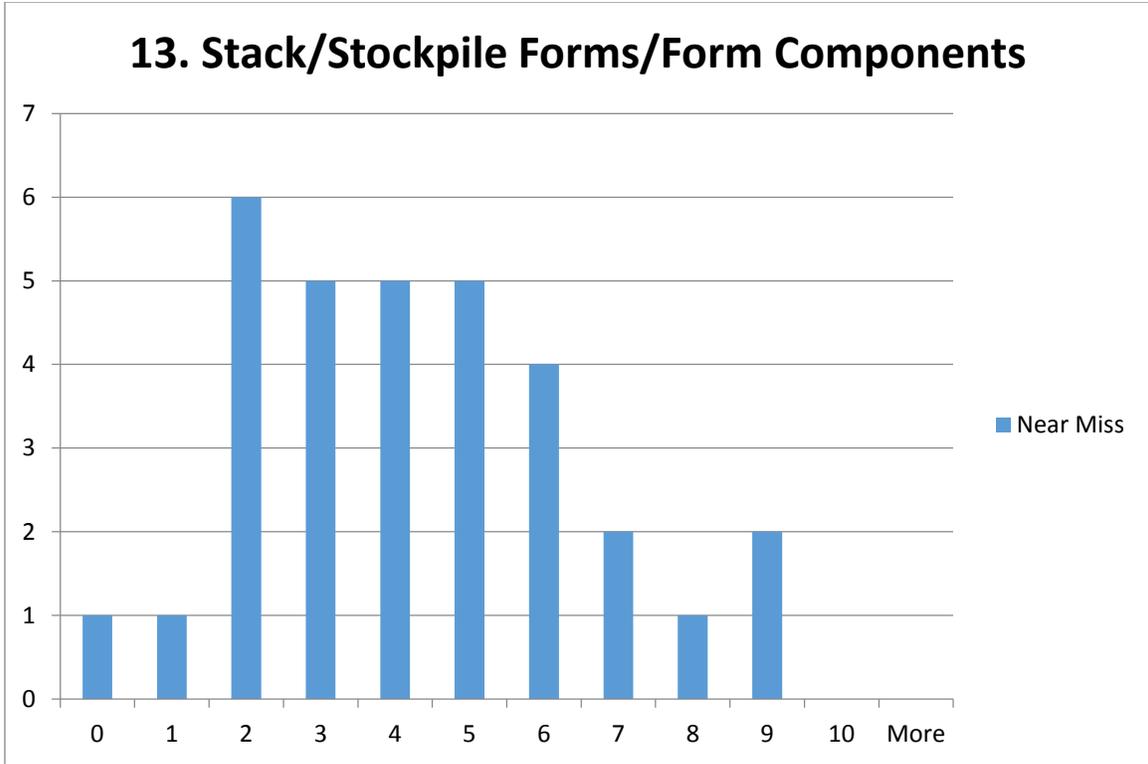


### 8. Pouring/ Curing Concrete

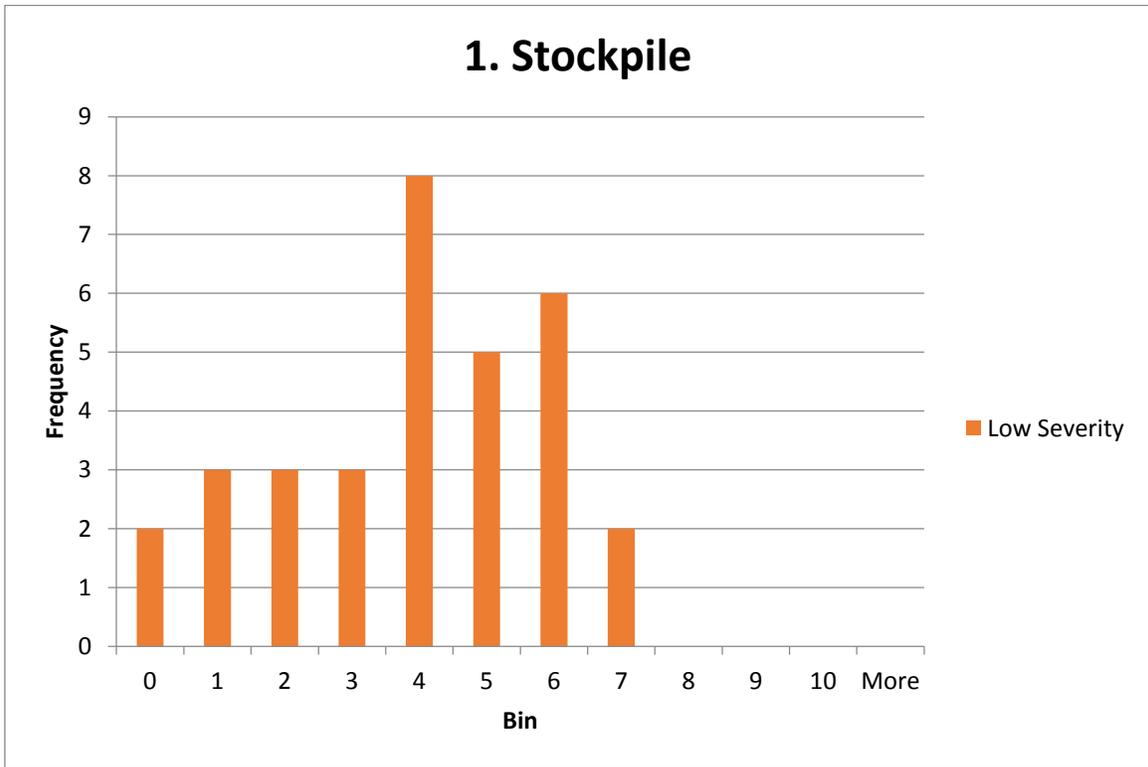


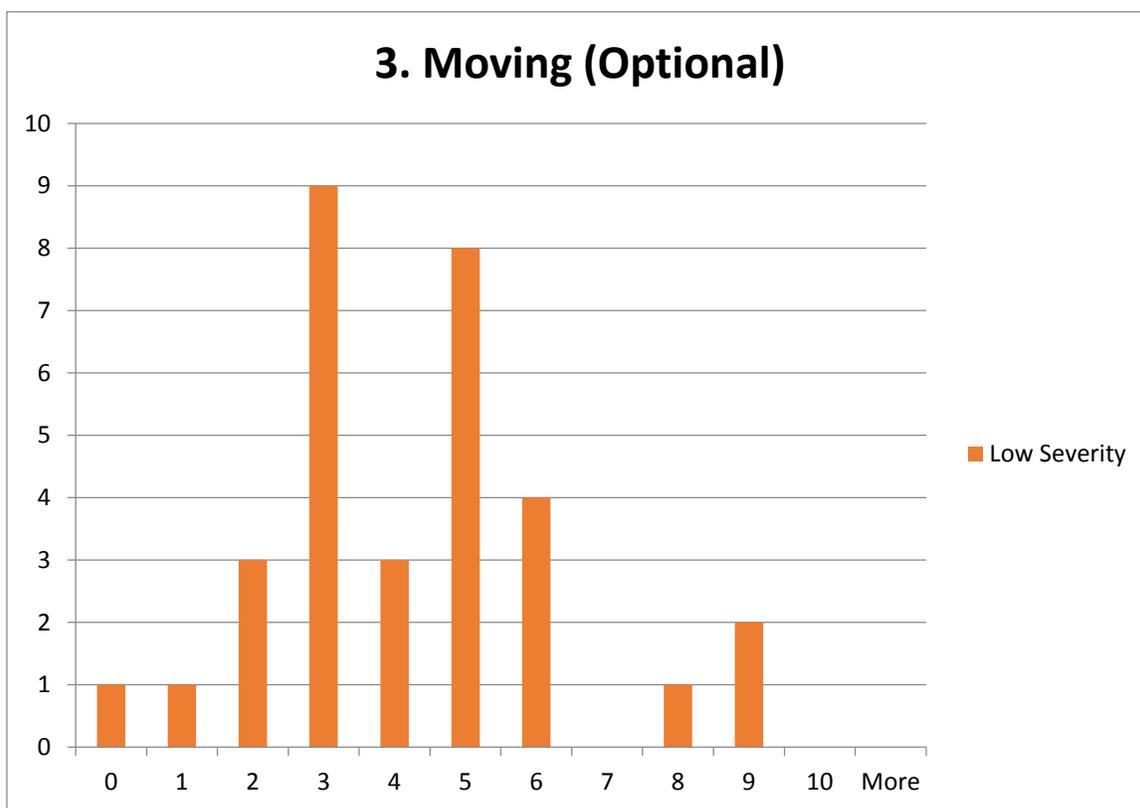
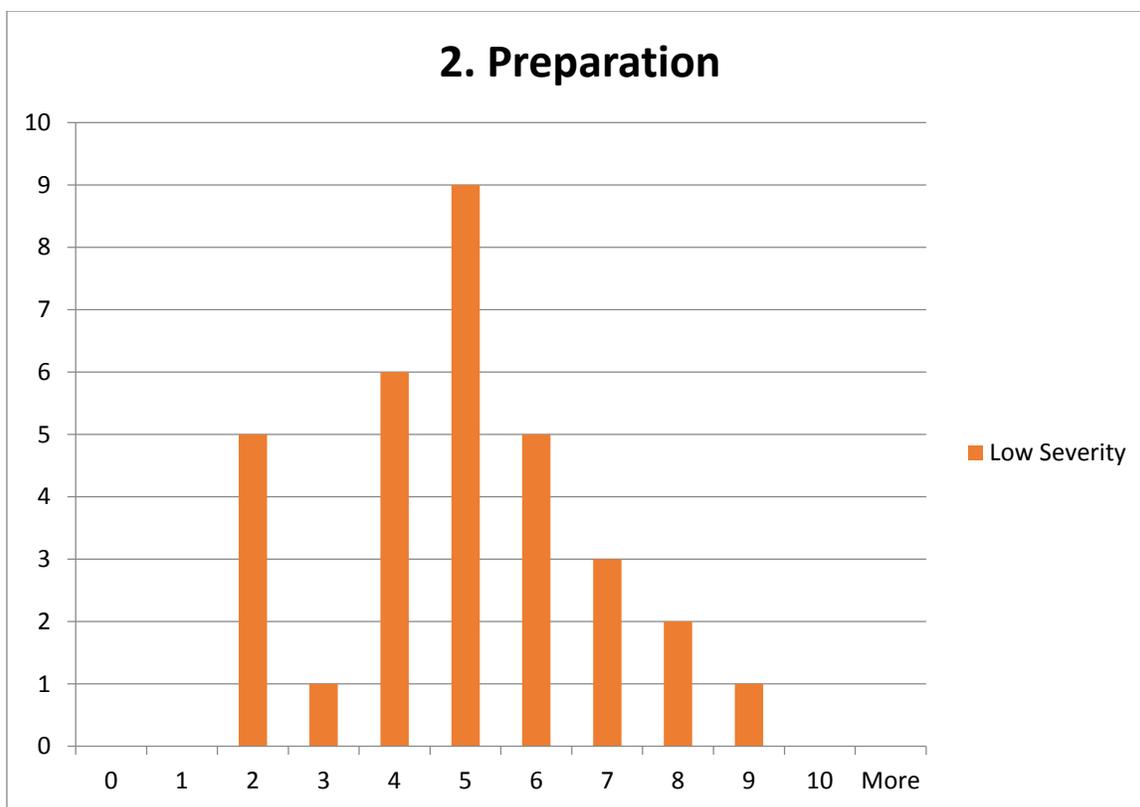


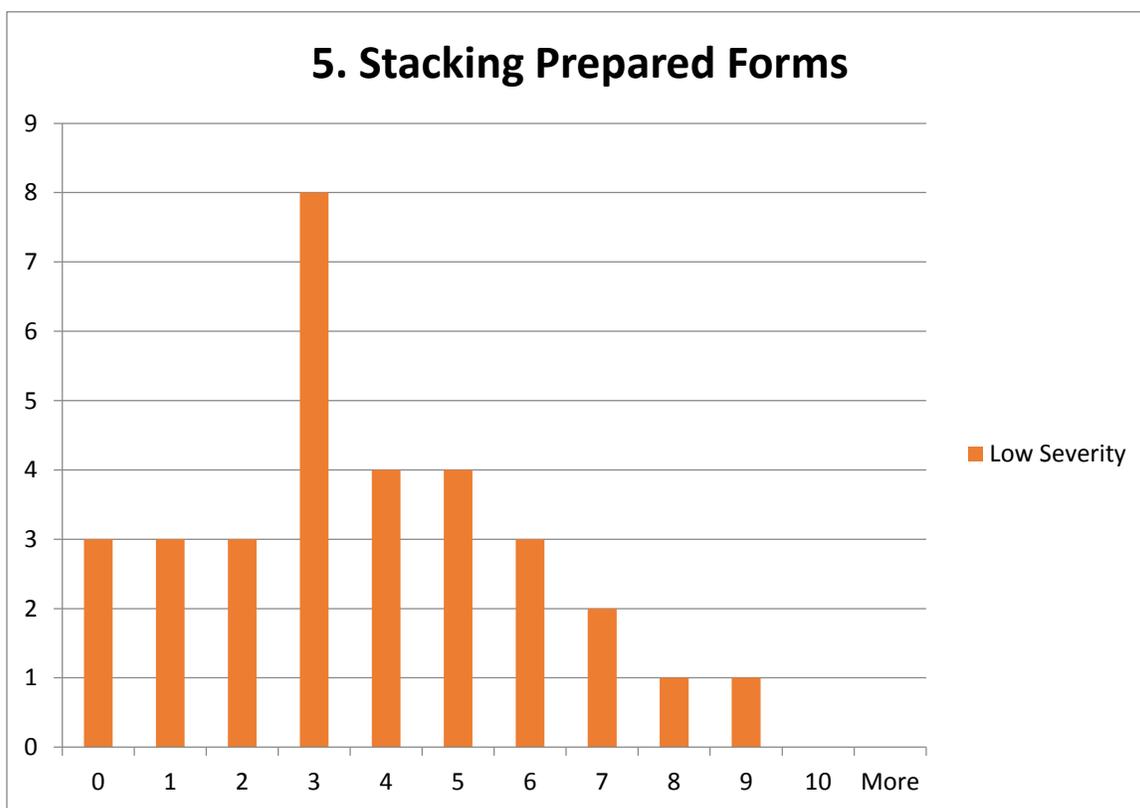
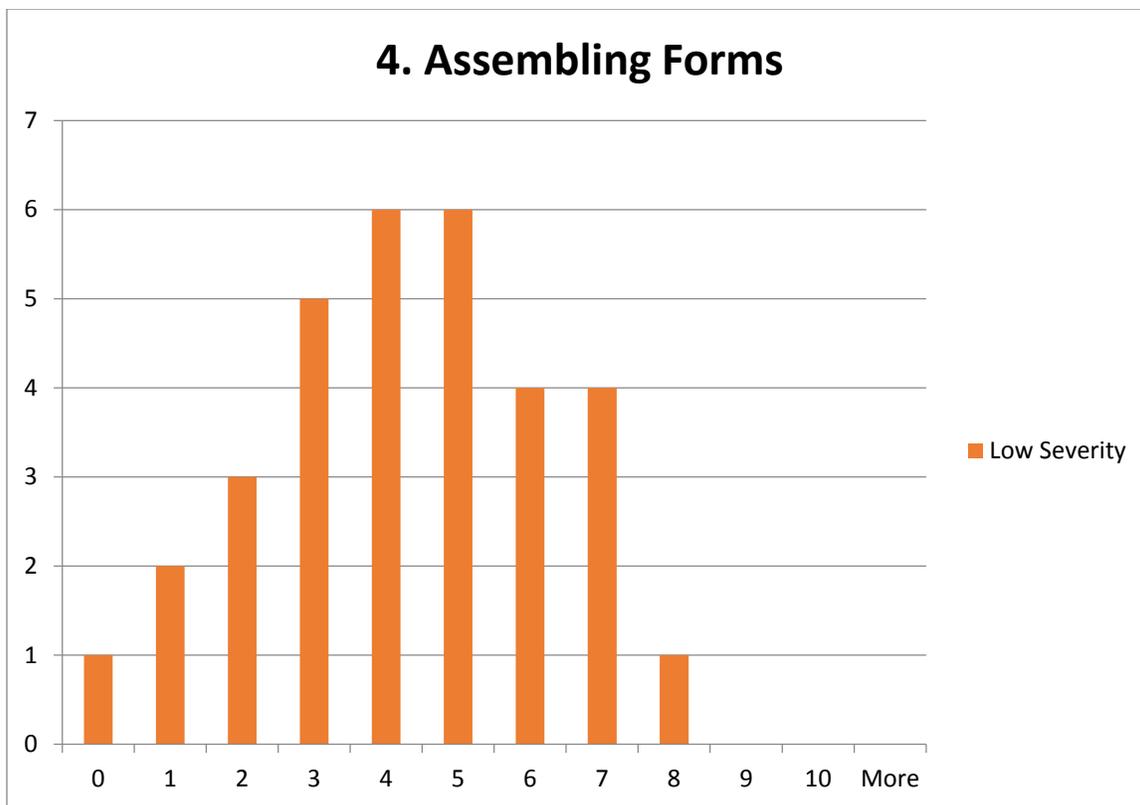


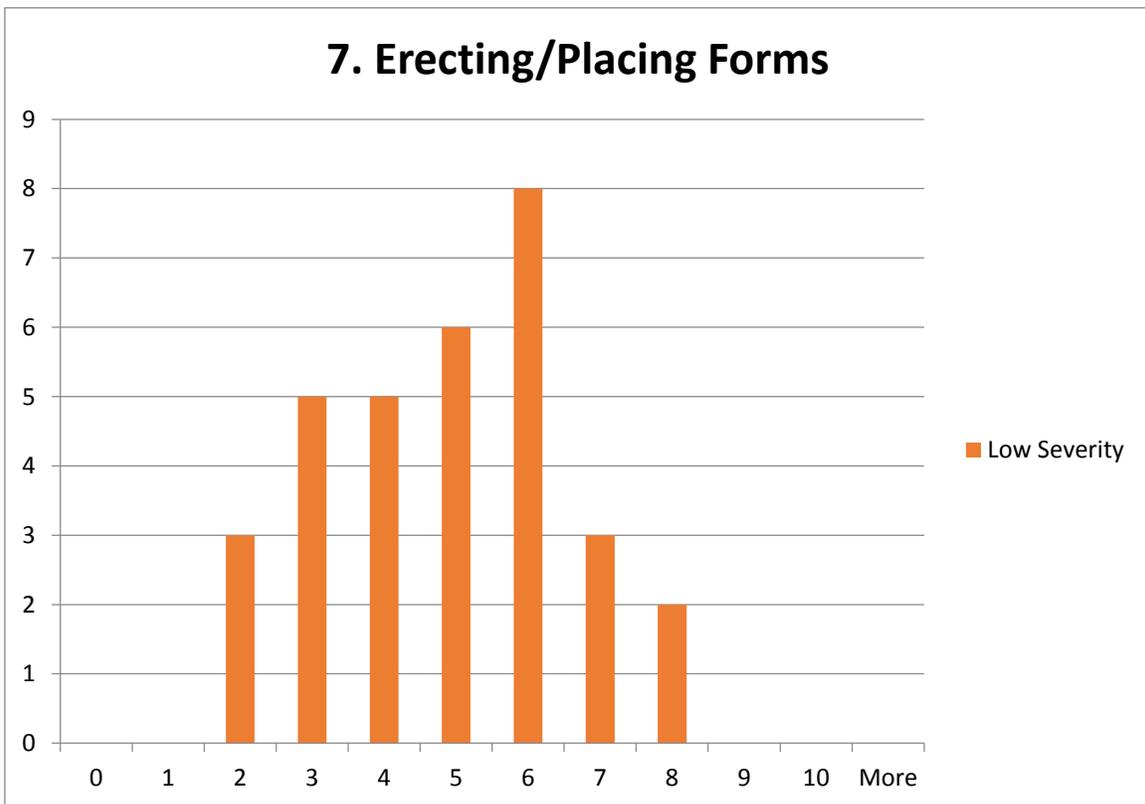
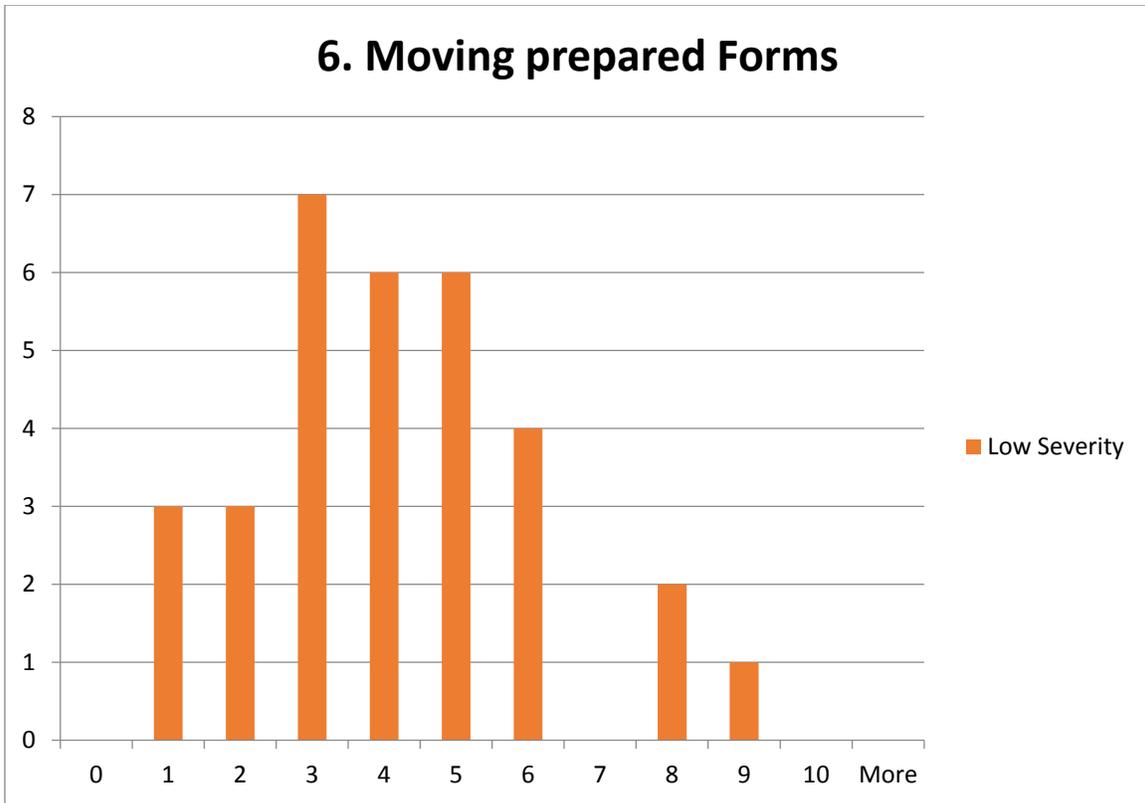


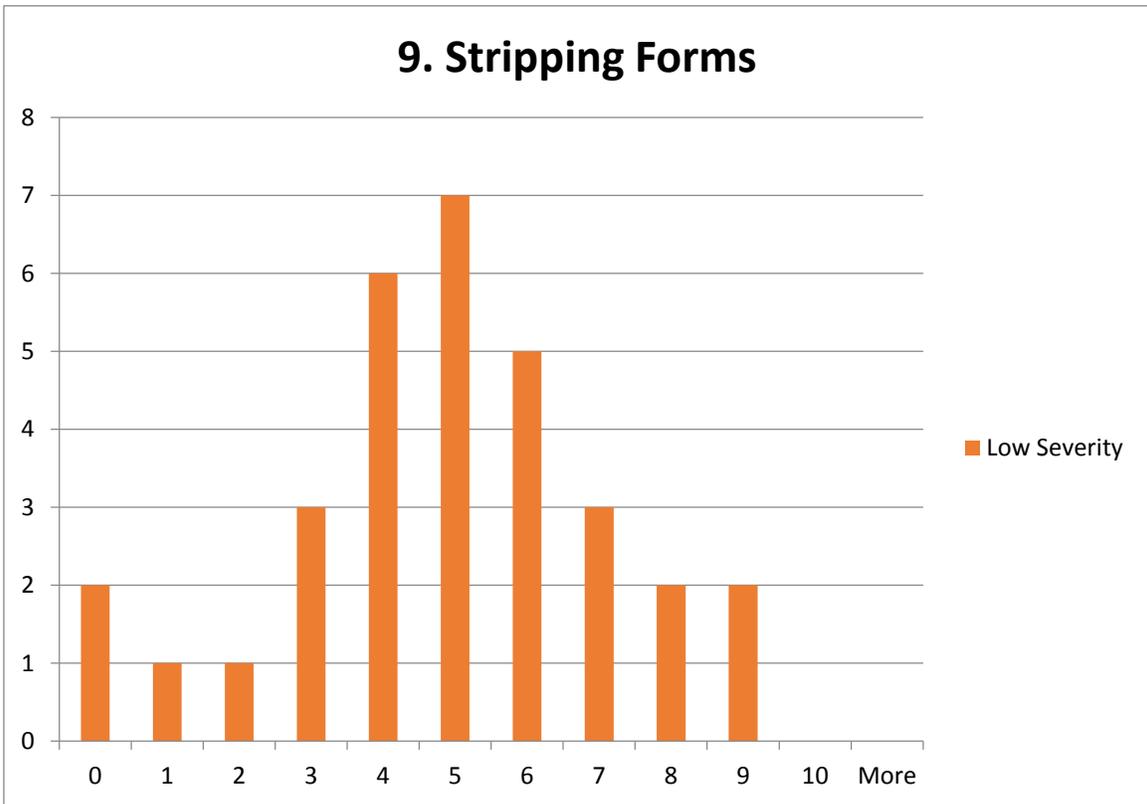
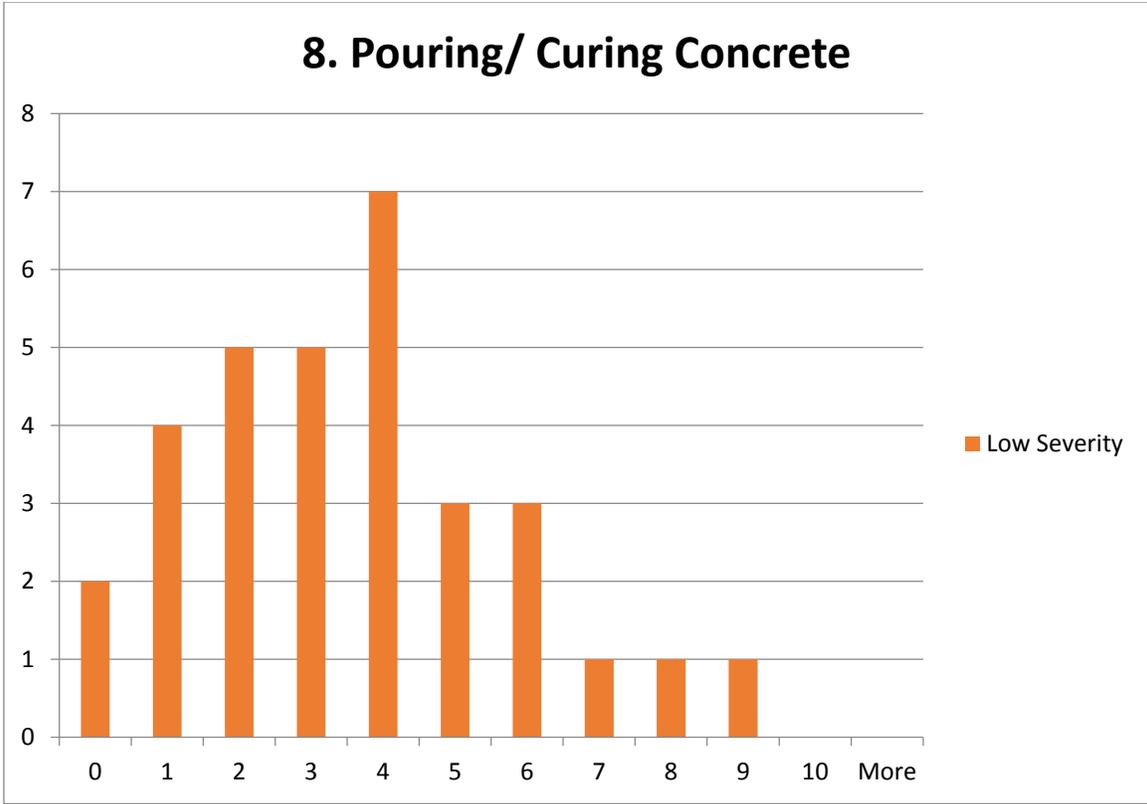
**Histograms for Low Severity:**

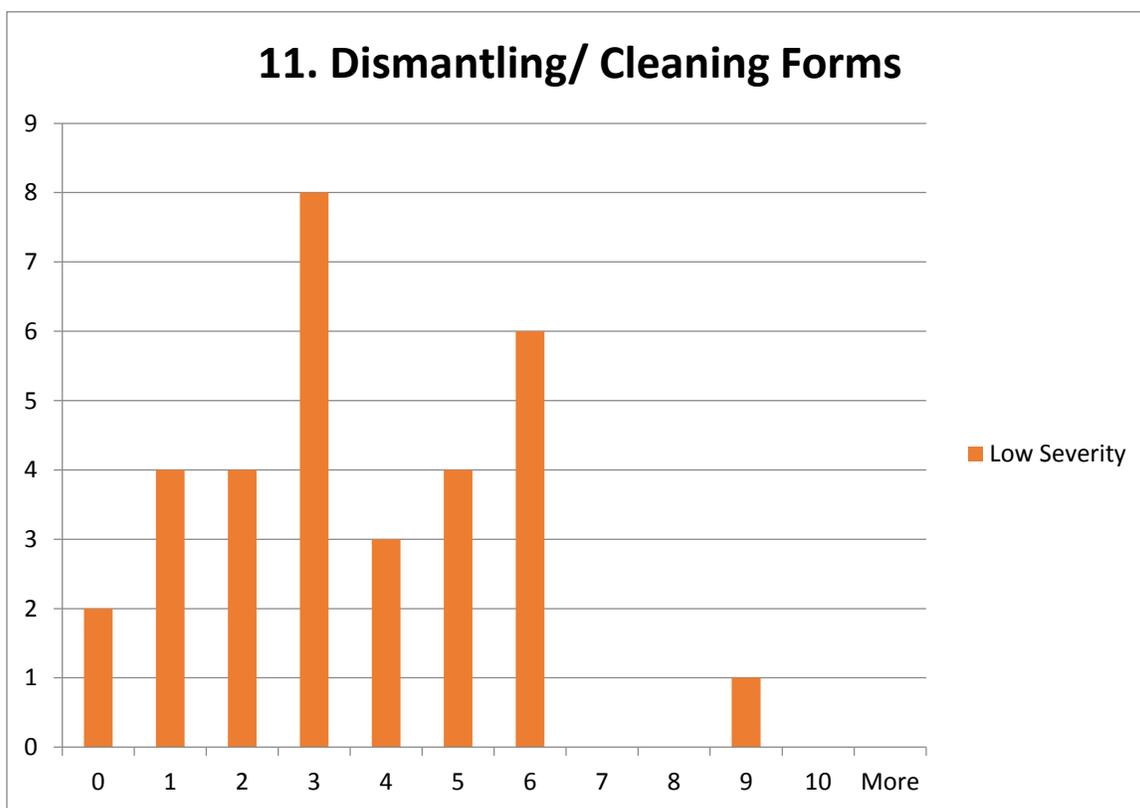
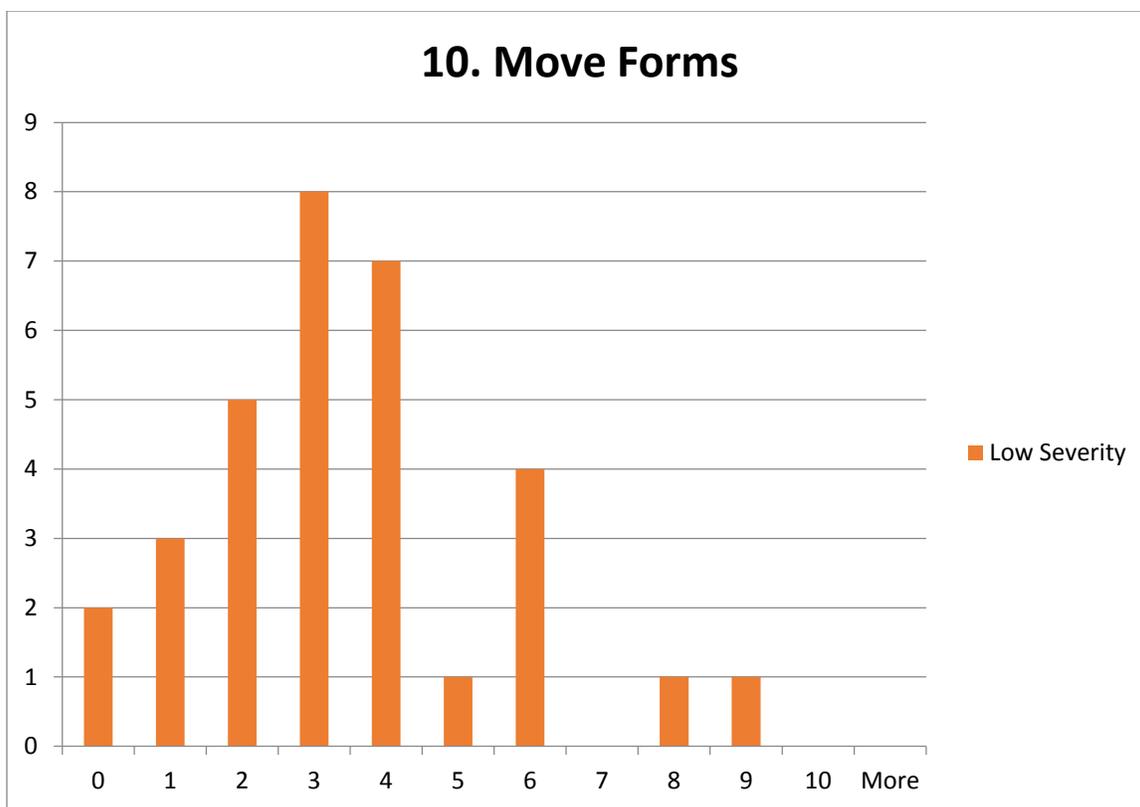




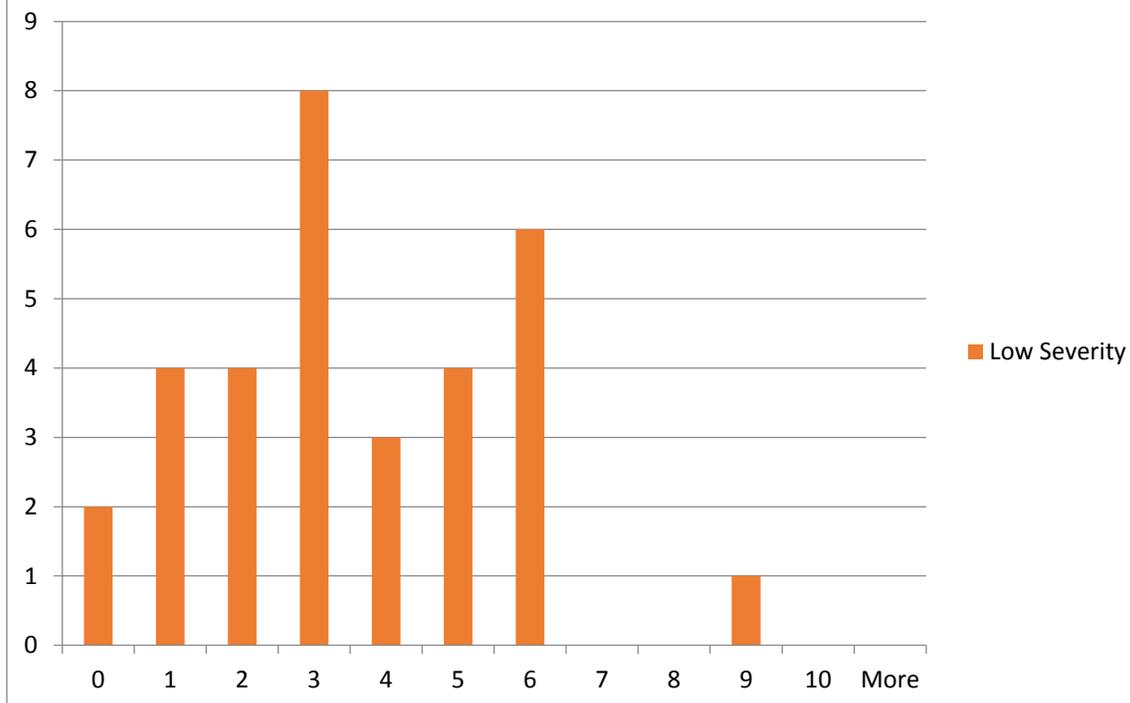




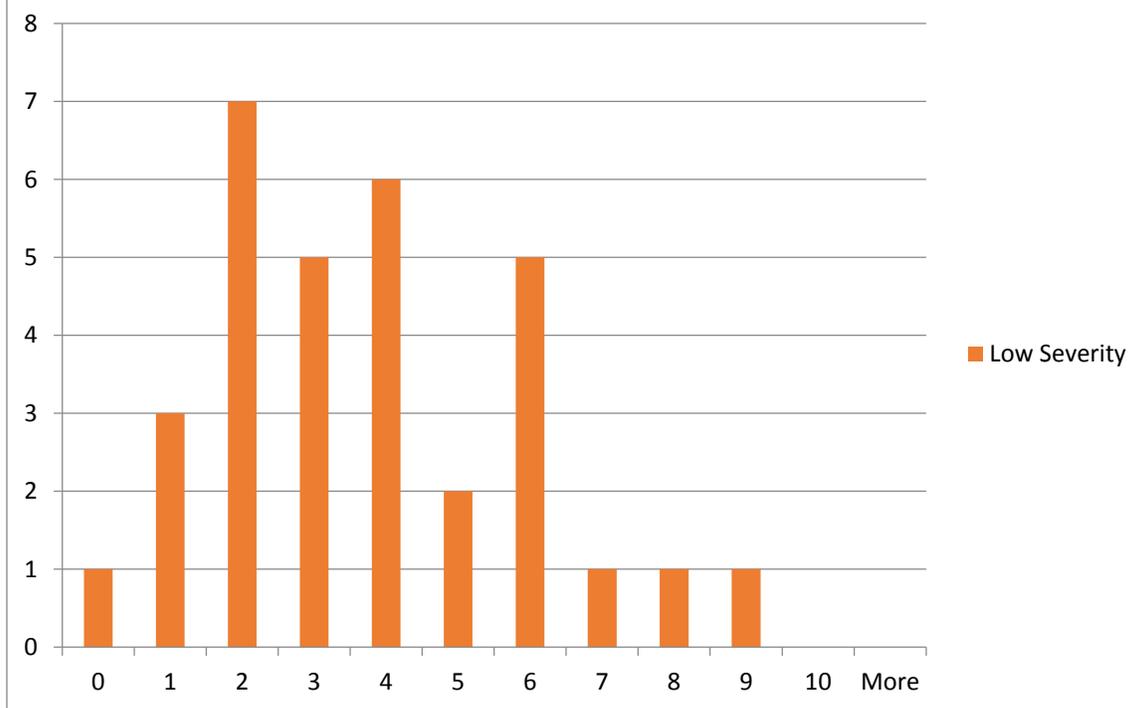




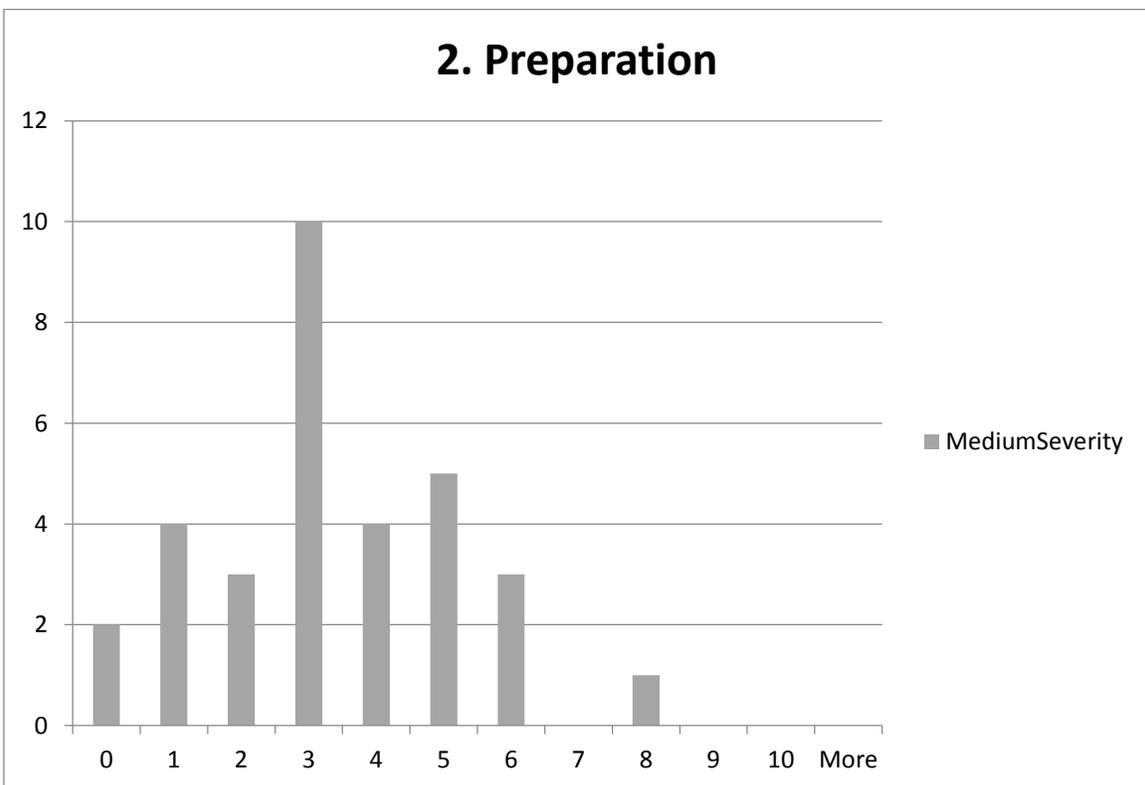
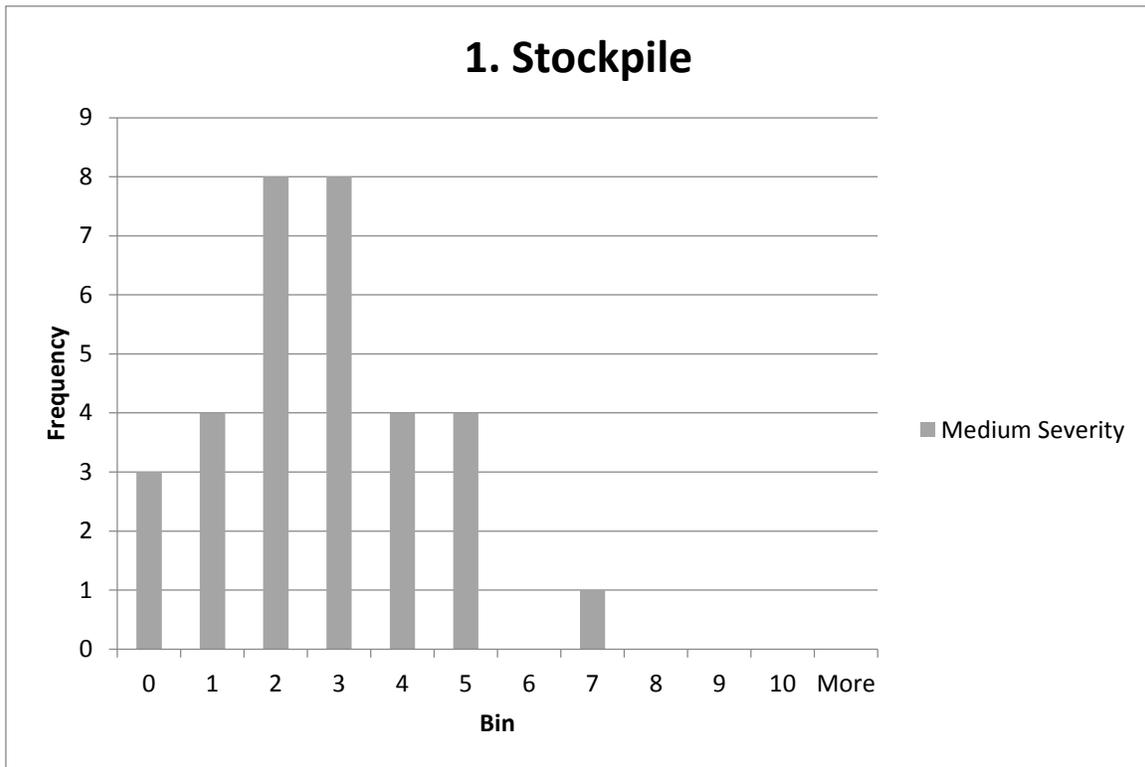
## 12. Move Forms/Form Components

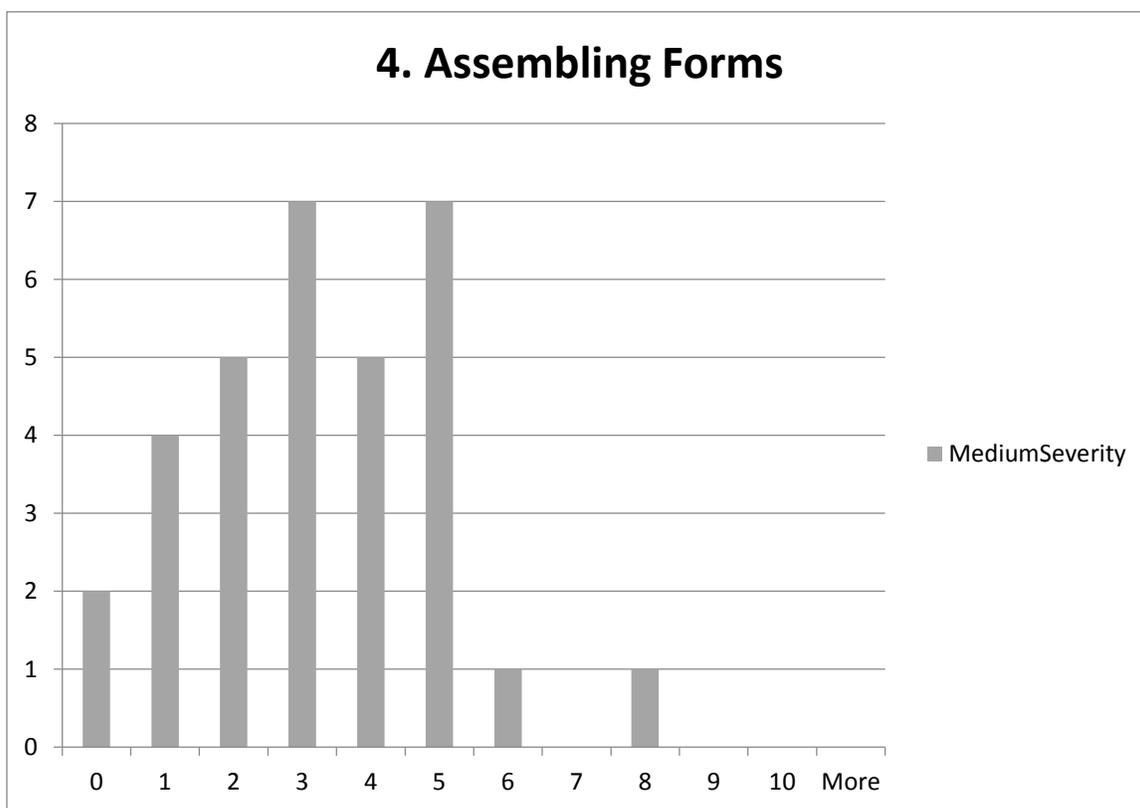
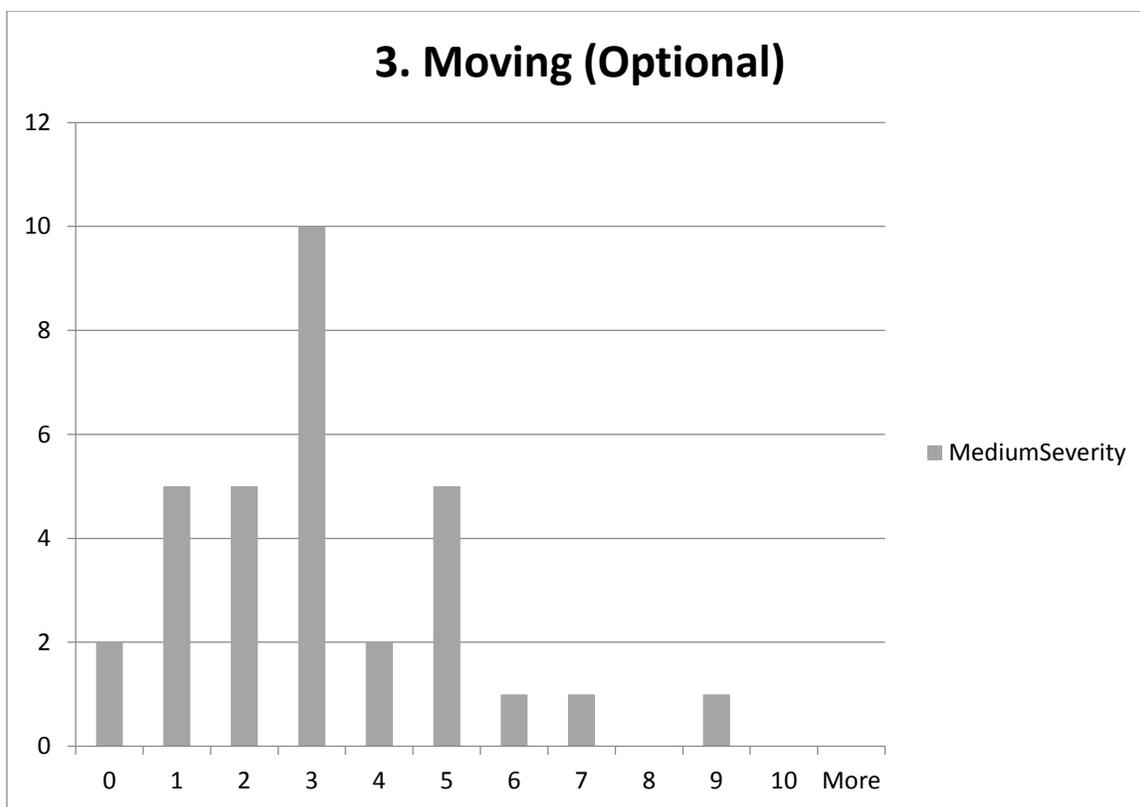


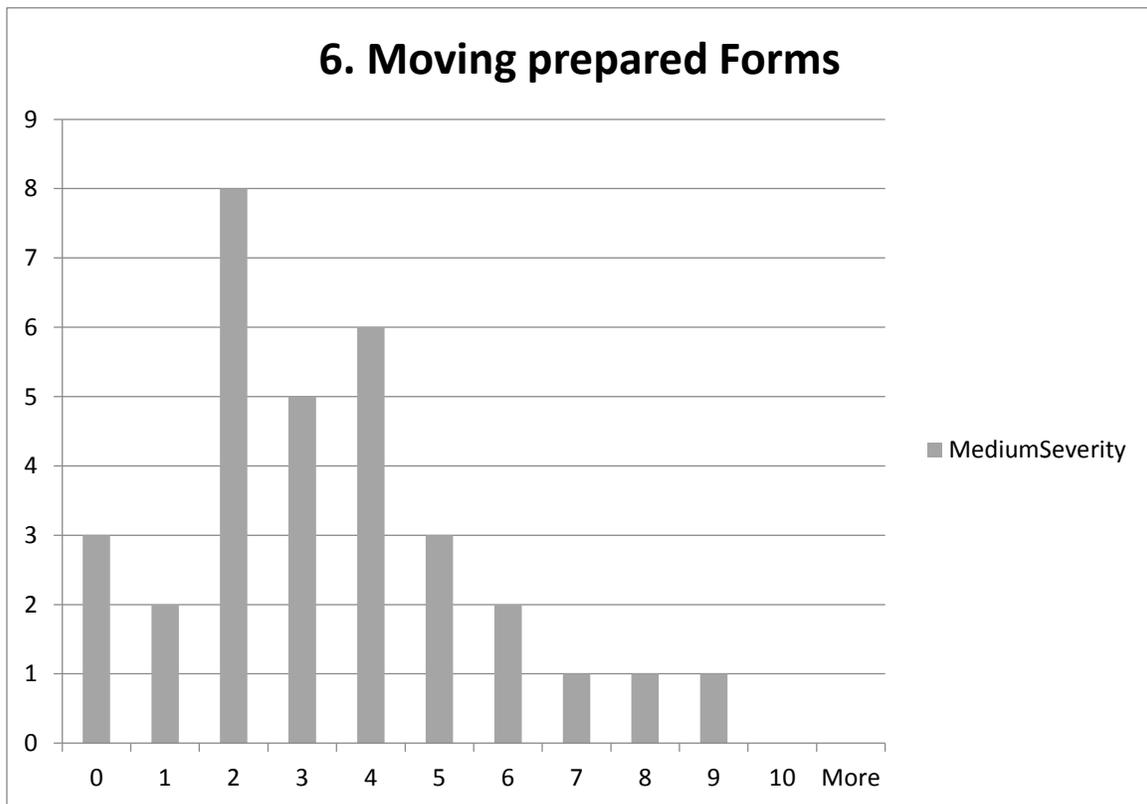
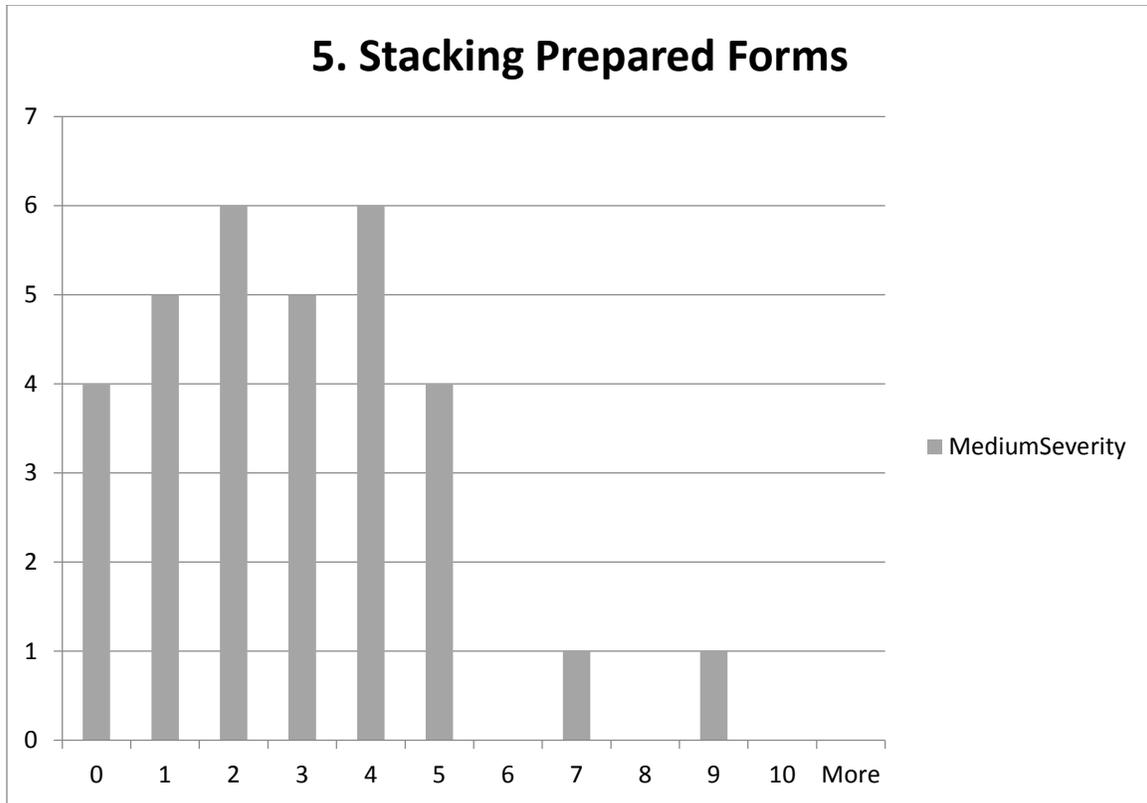
## 13. Stack/Stockpile Forms/Form Components

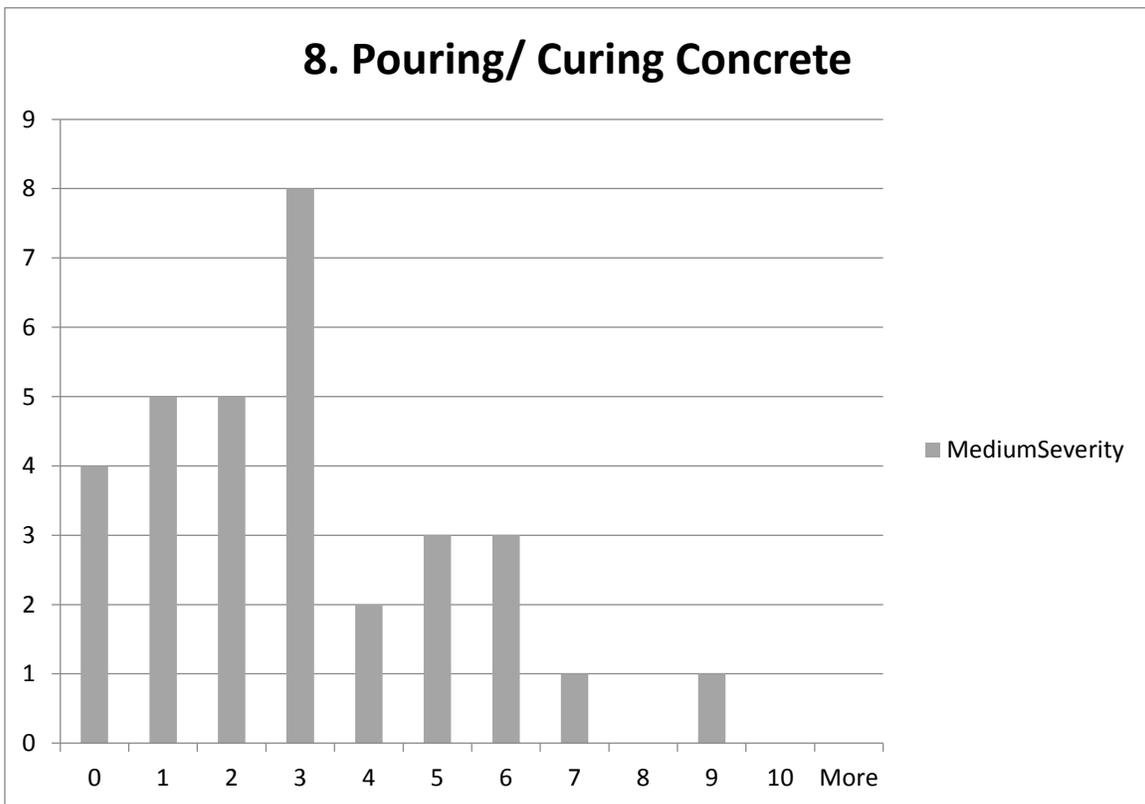
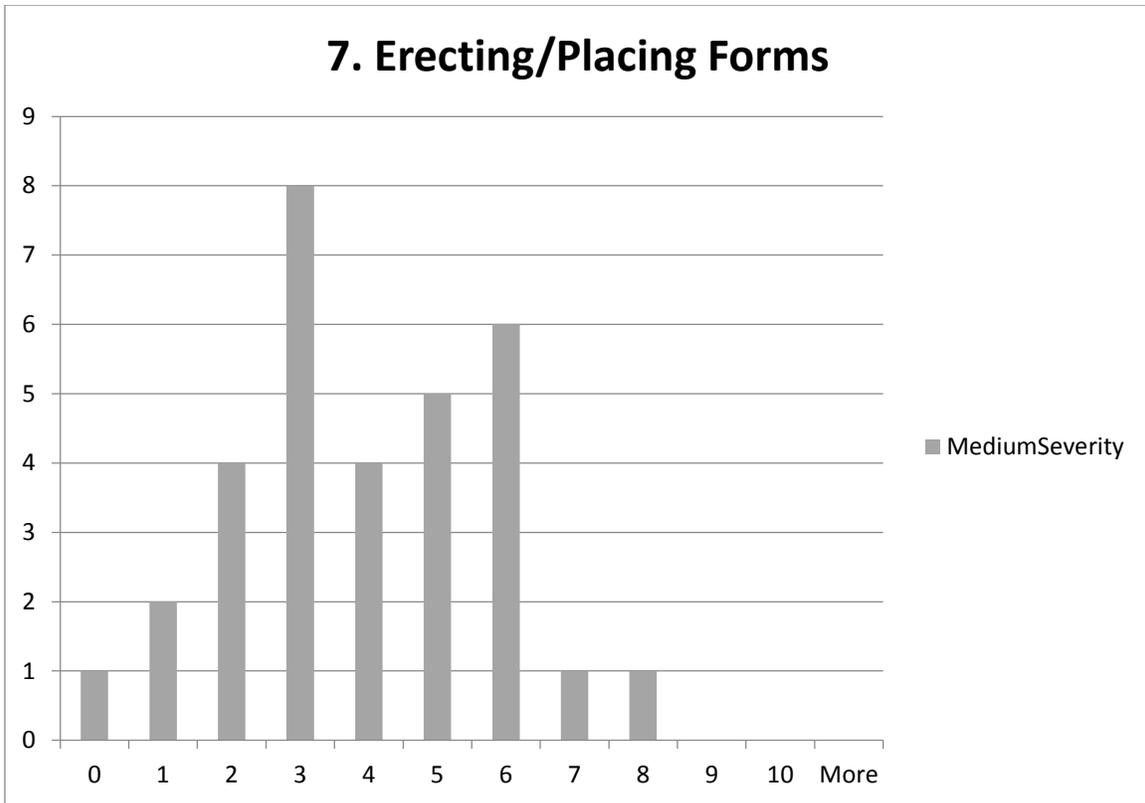


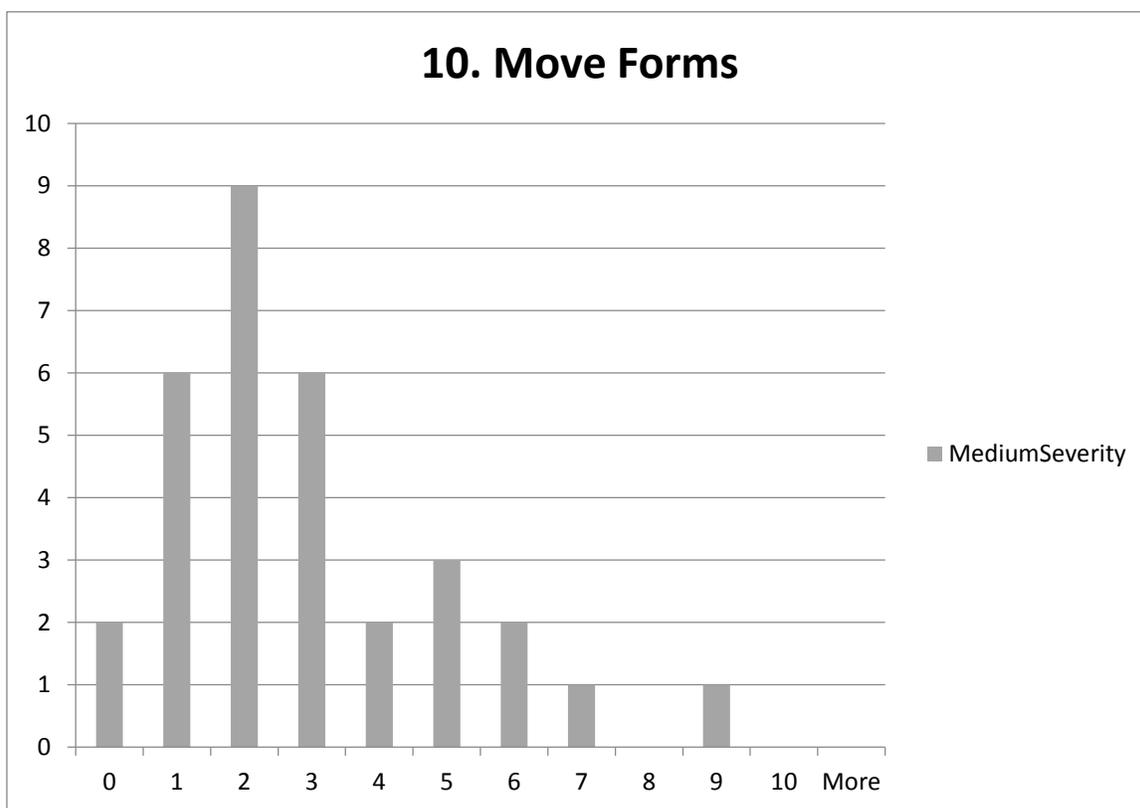
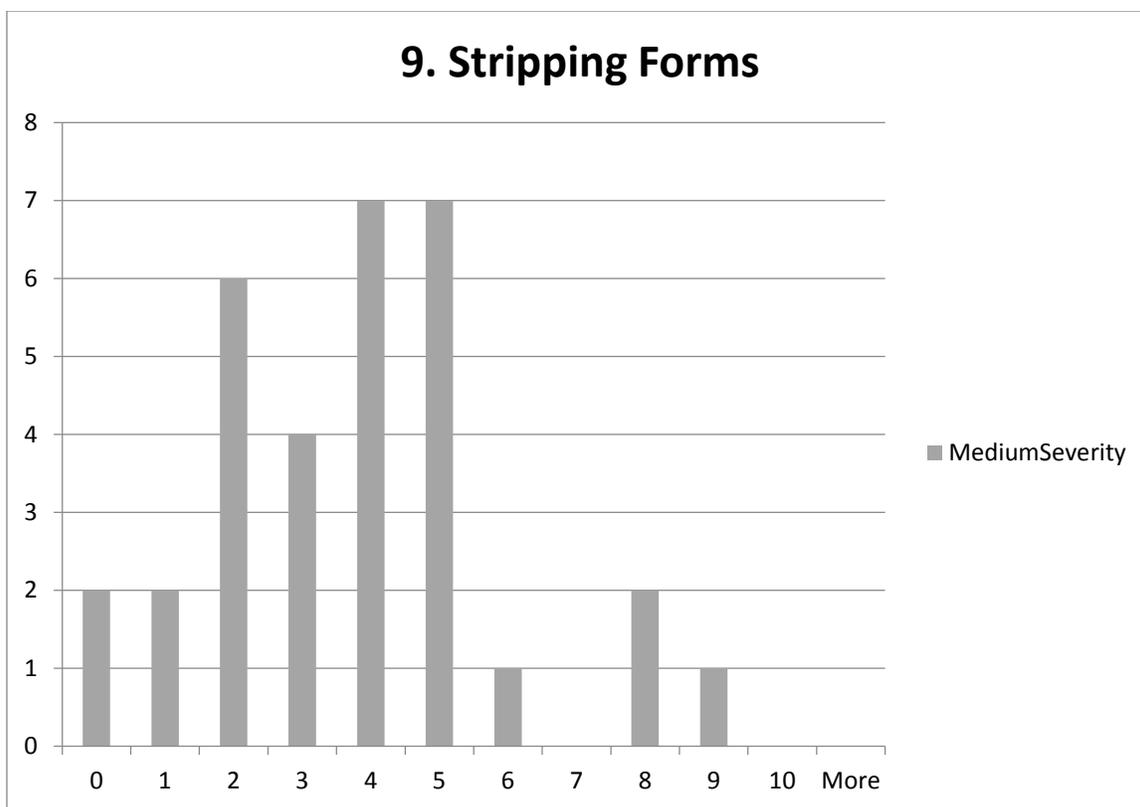
## Histograms for Medium Severity:



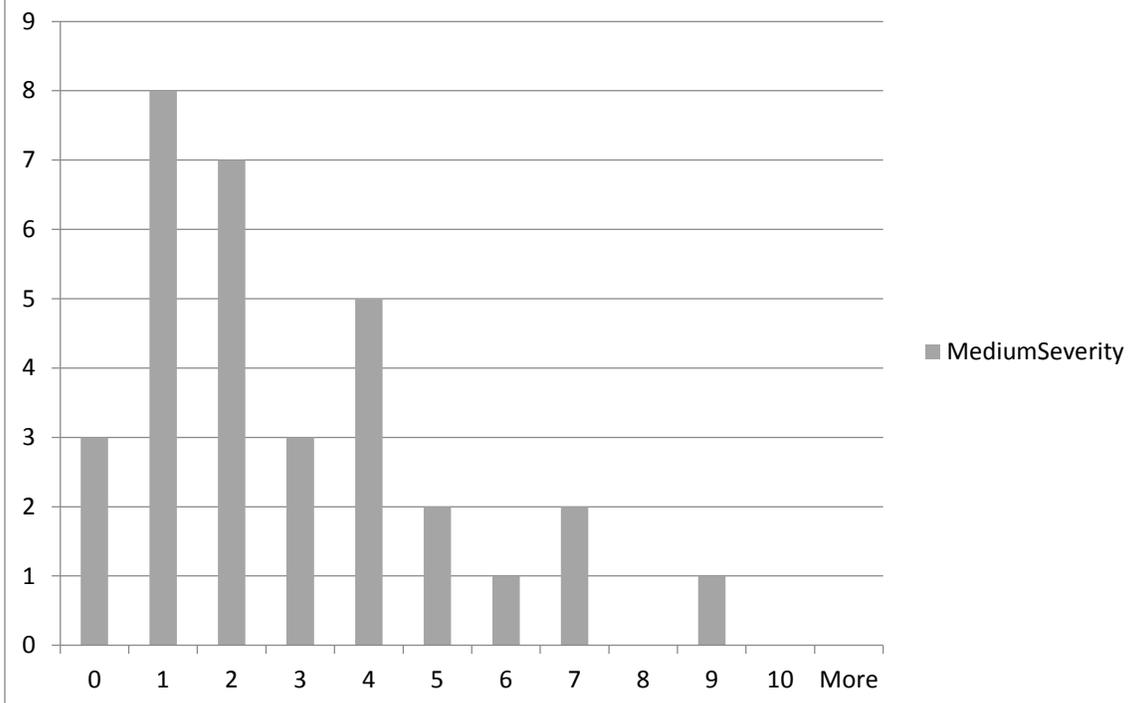




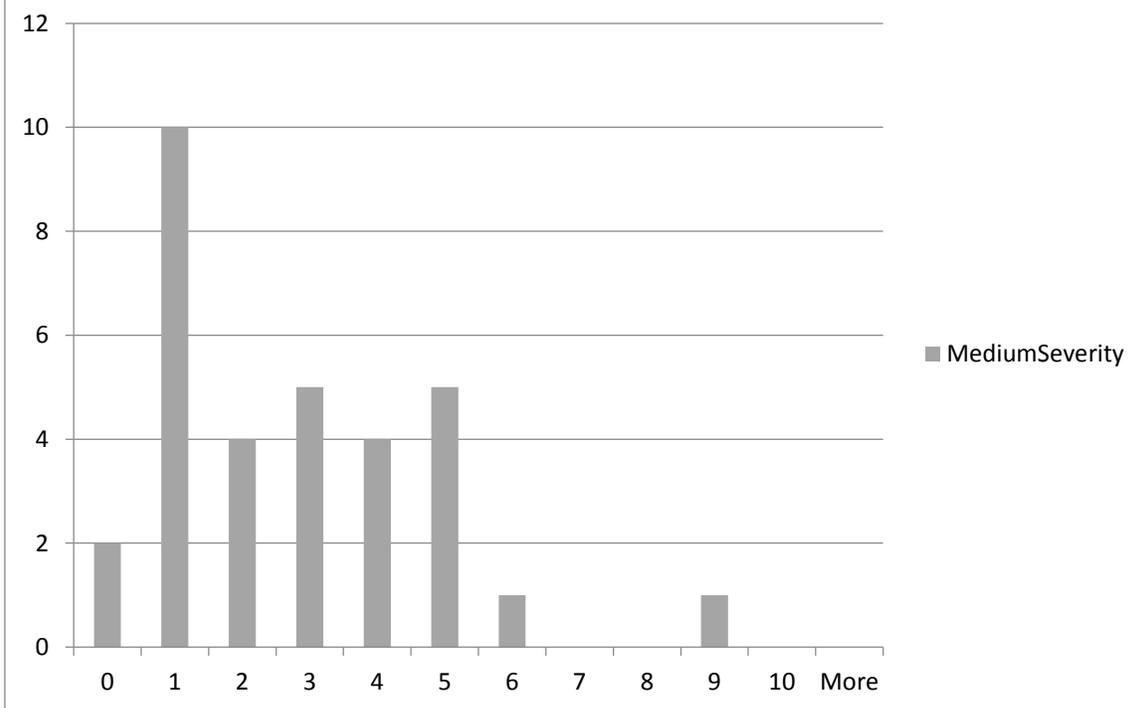


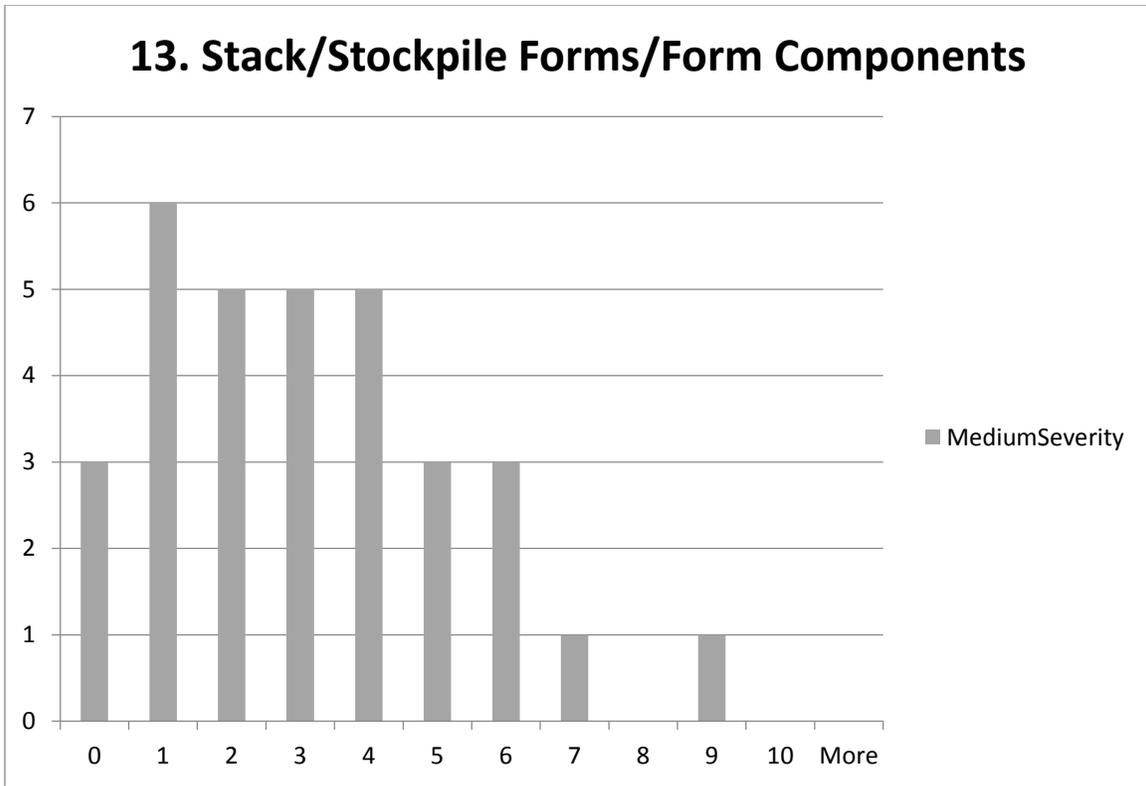


### 11. Dismantling/ Cleaning Forms

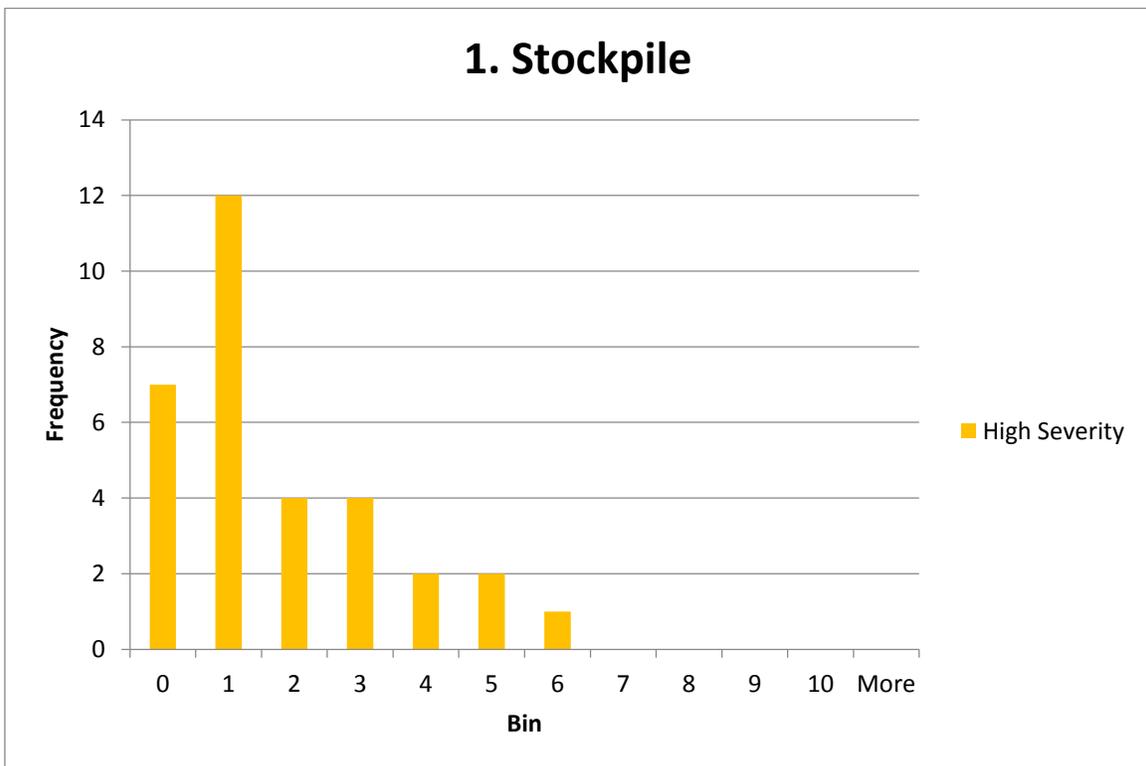


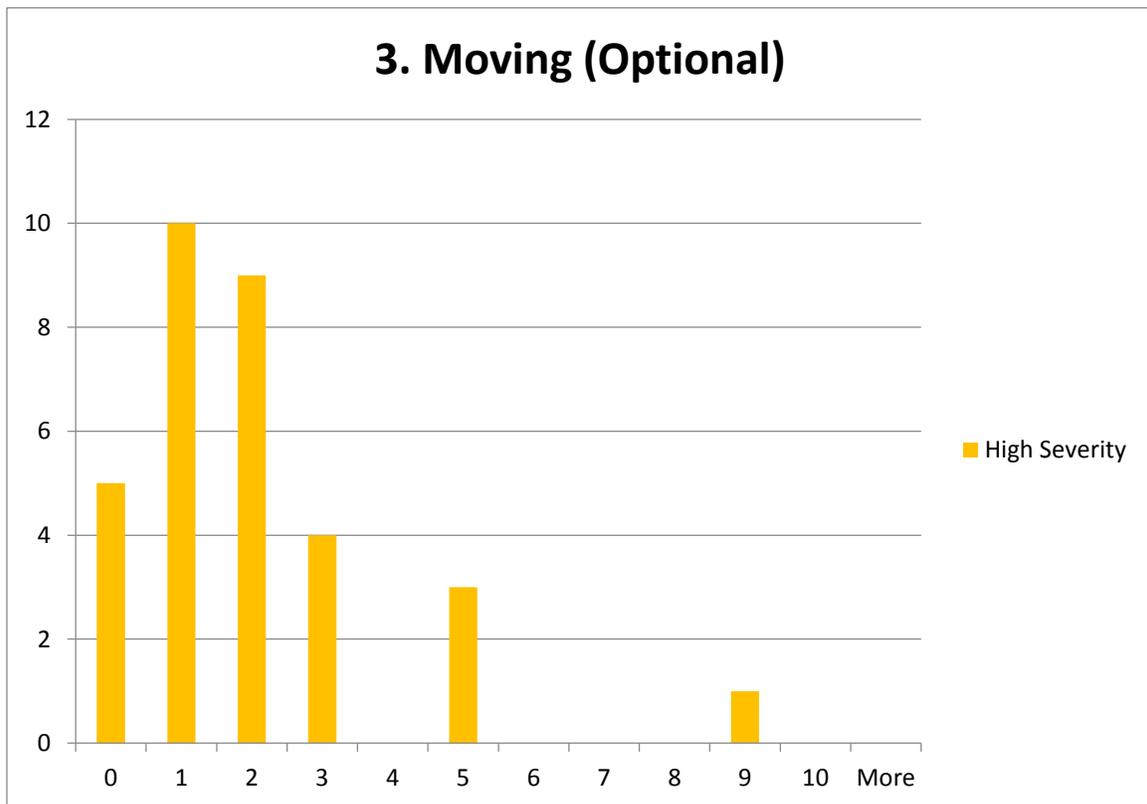
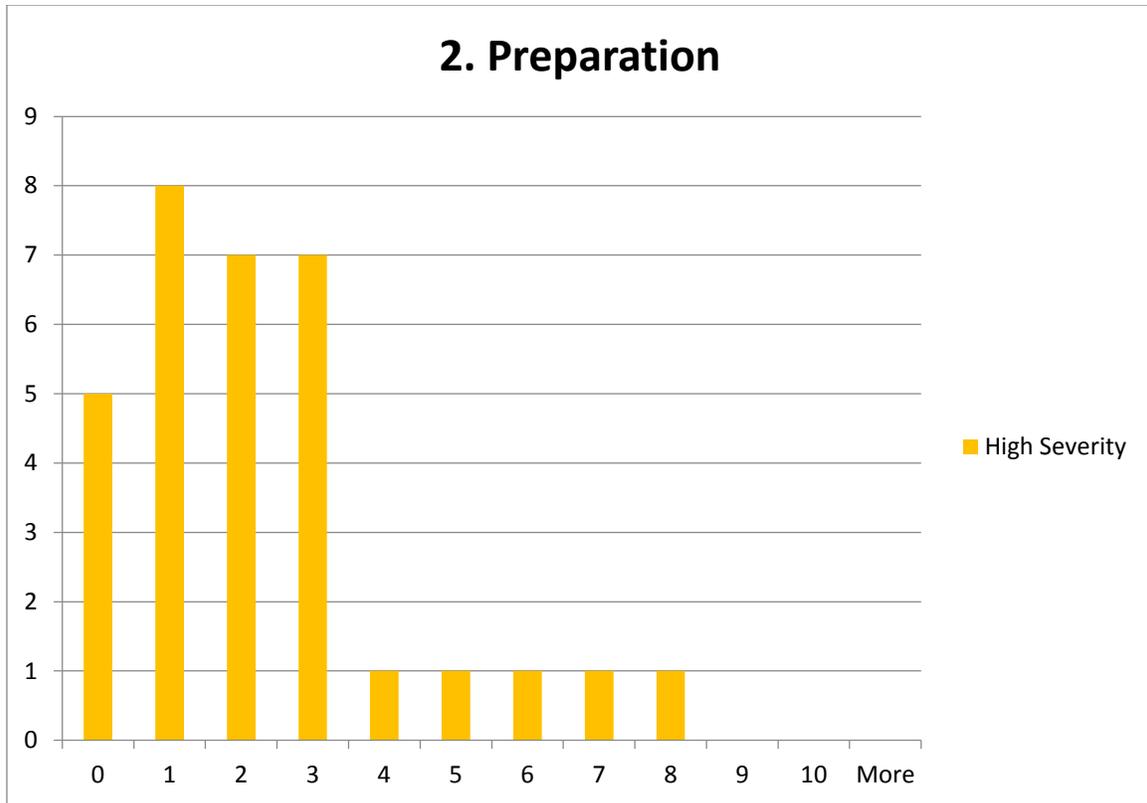
### 12. Move Forms/Form Components

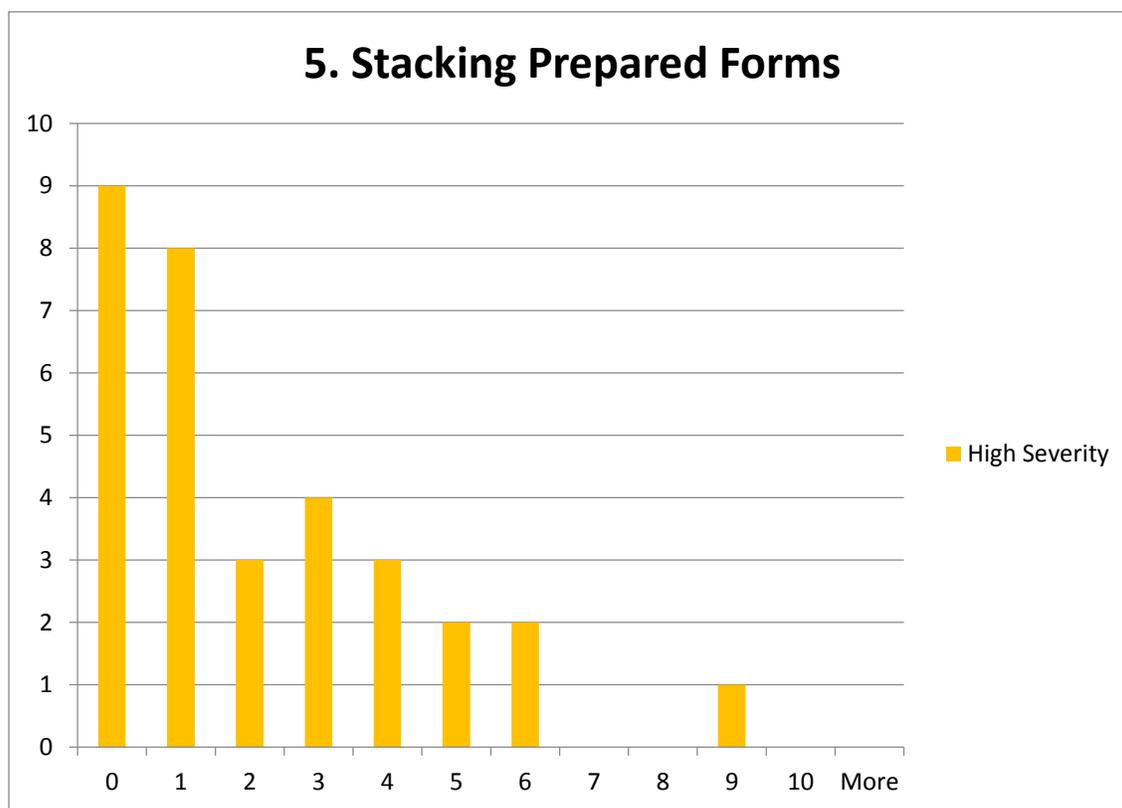
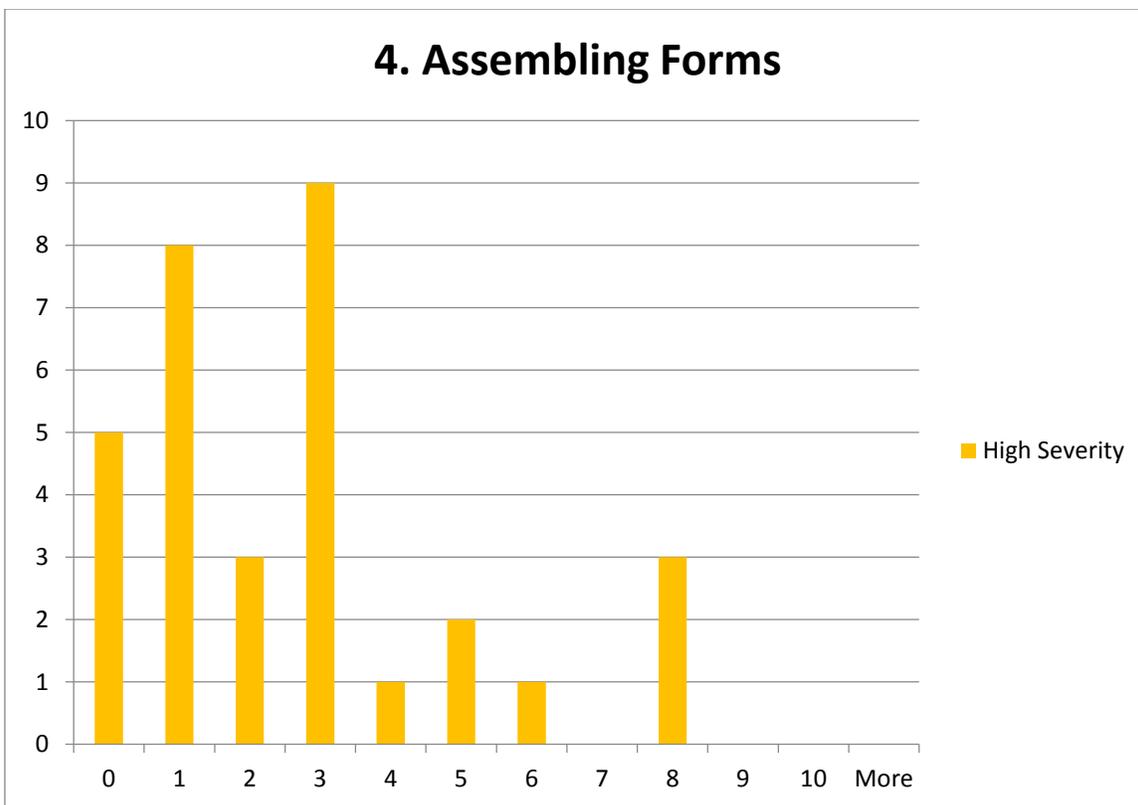


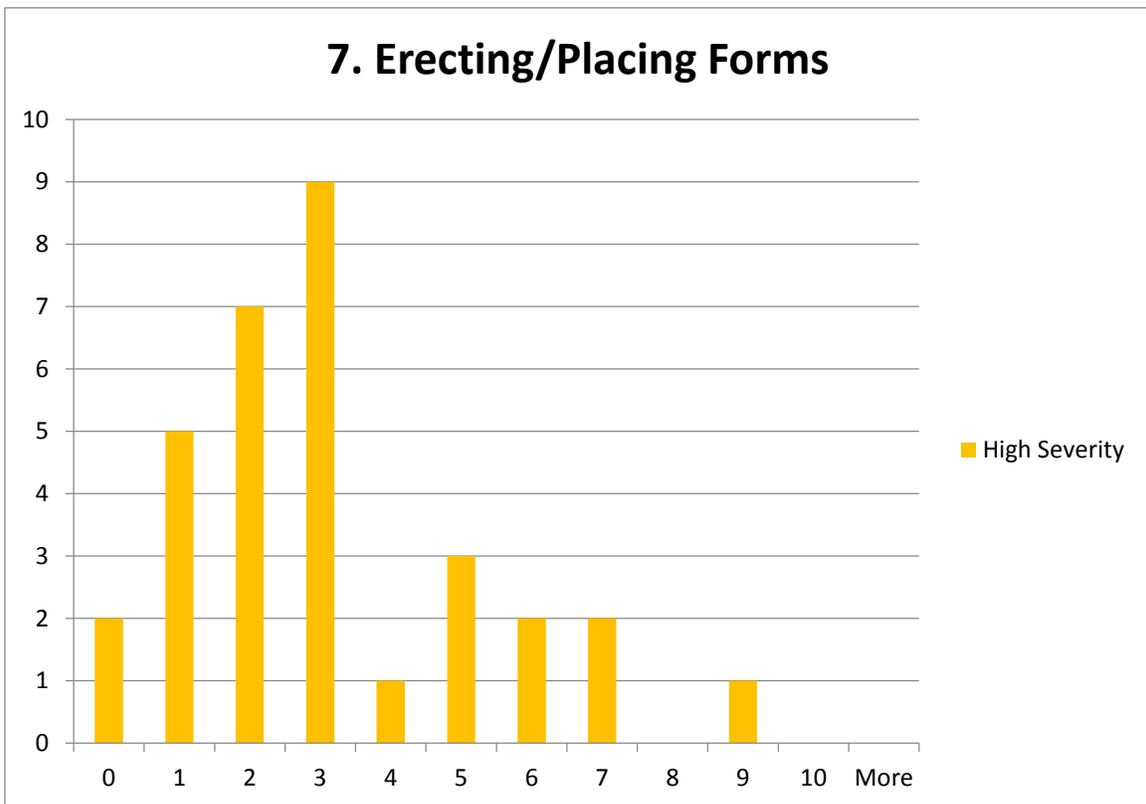
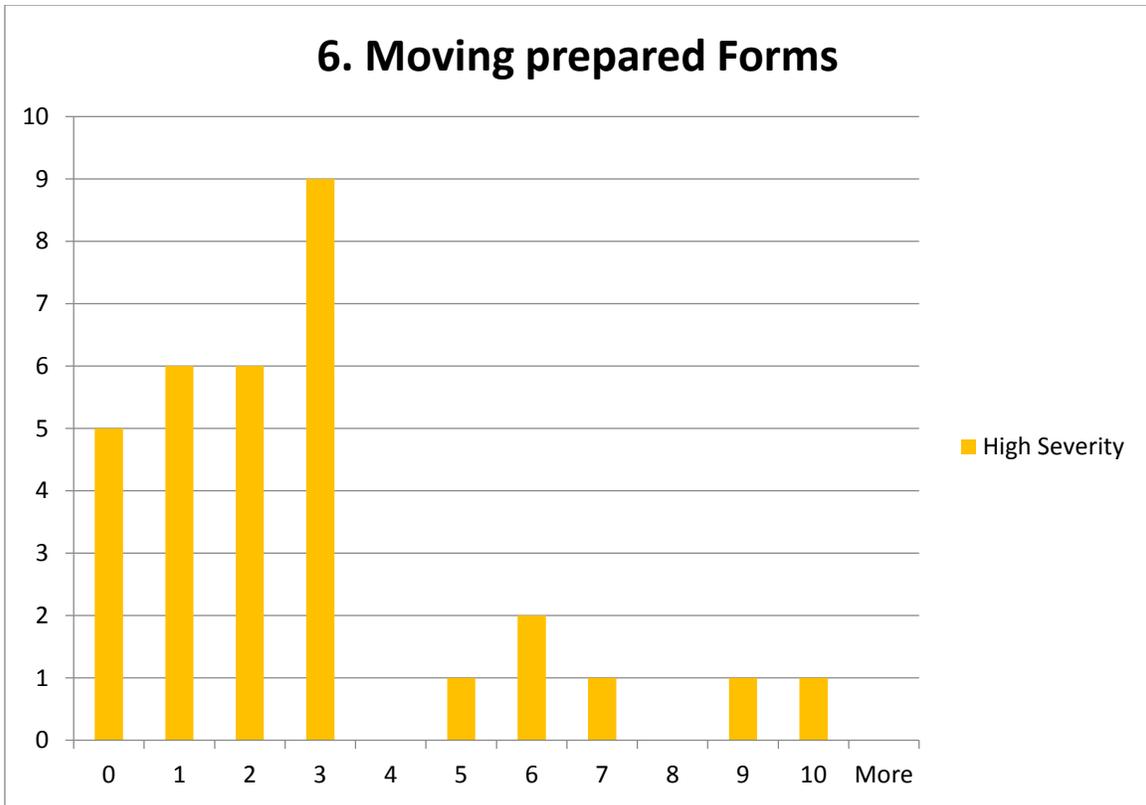


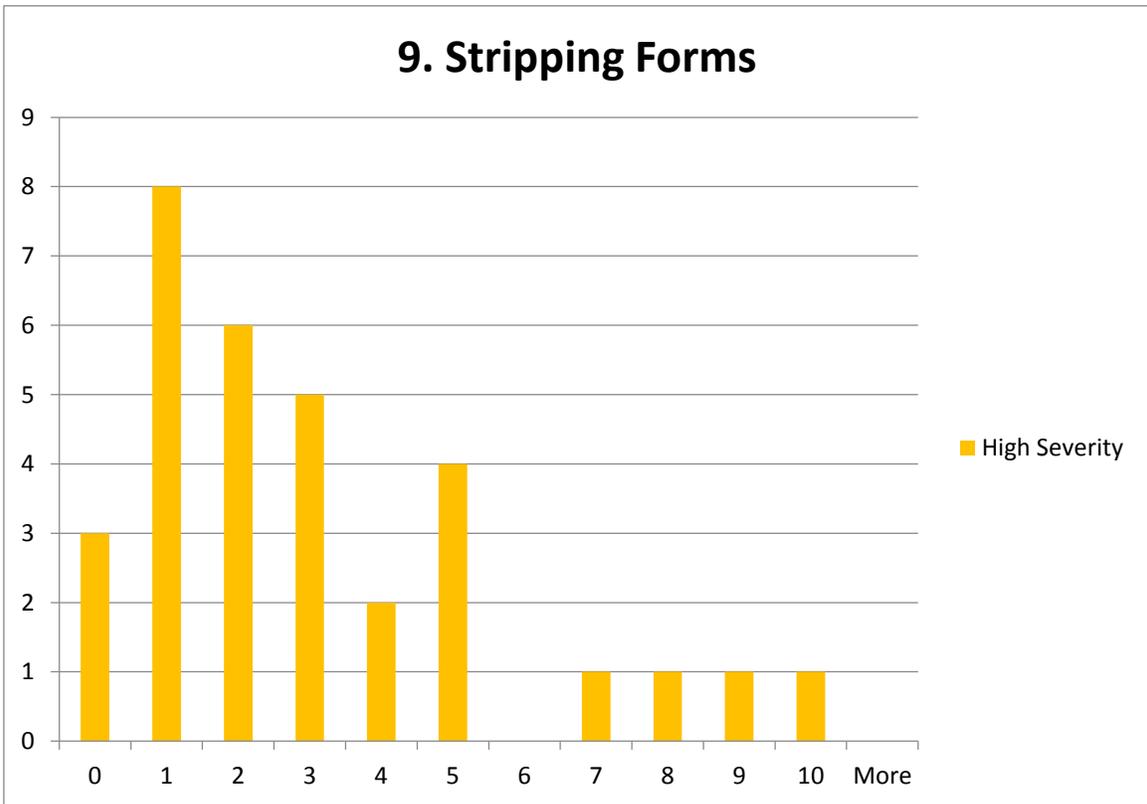
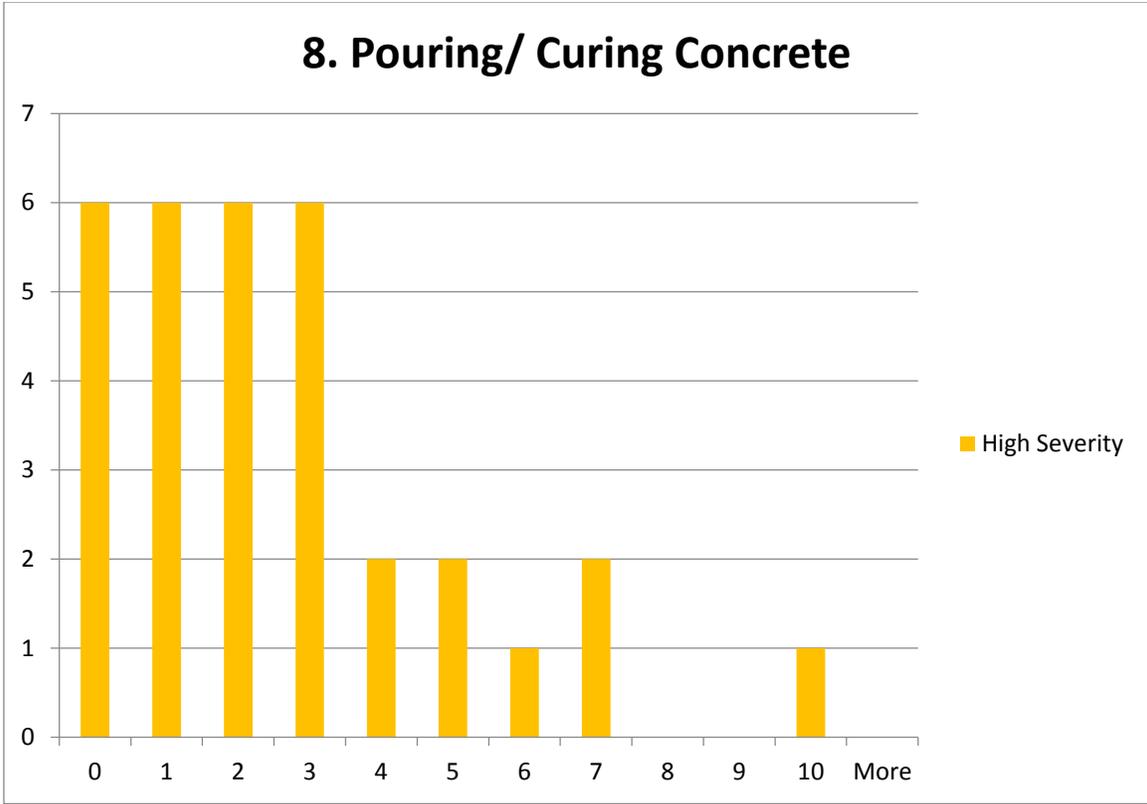
**Histograms for High Severity:**

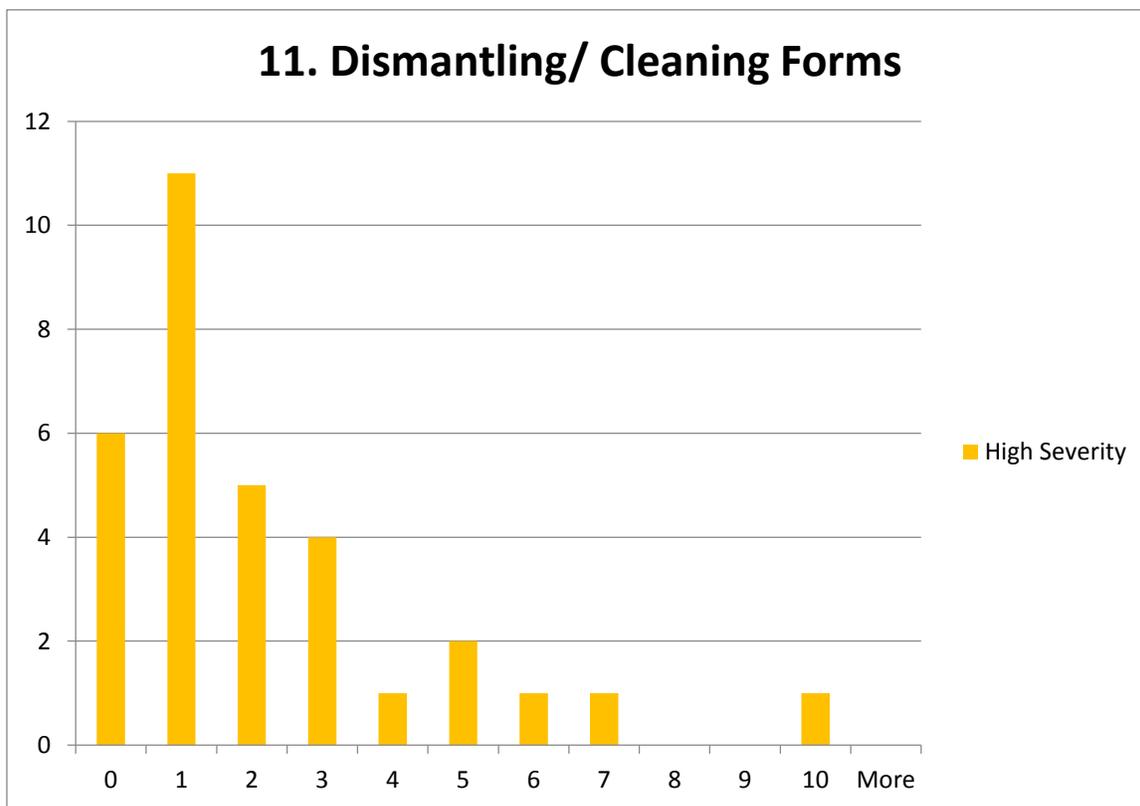
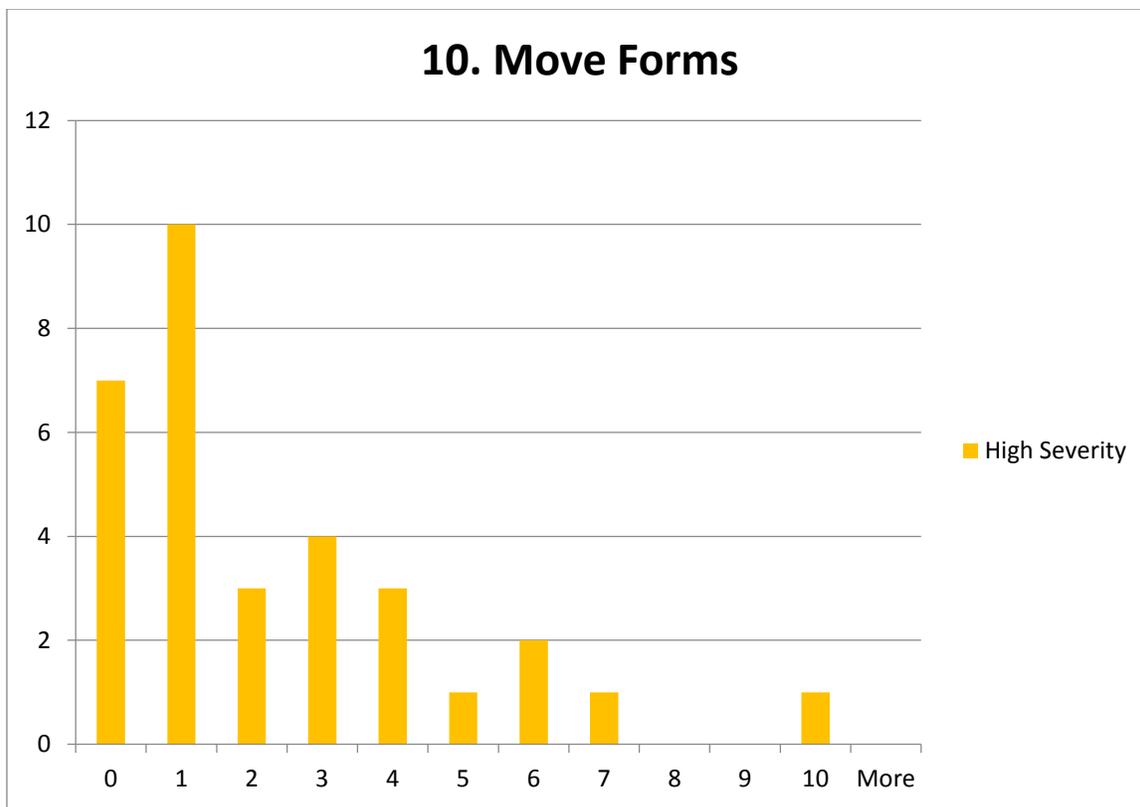




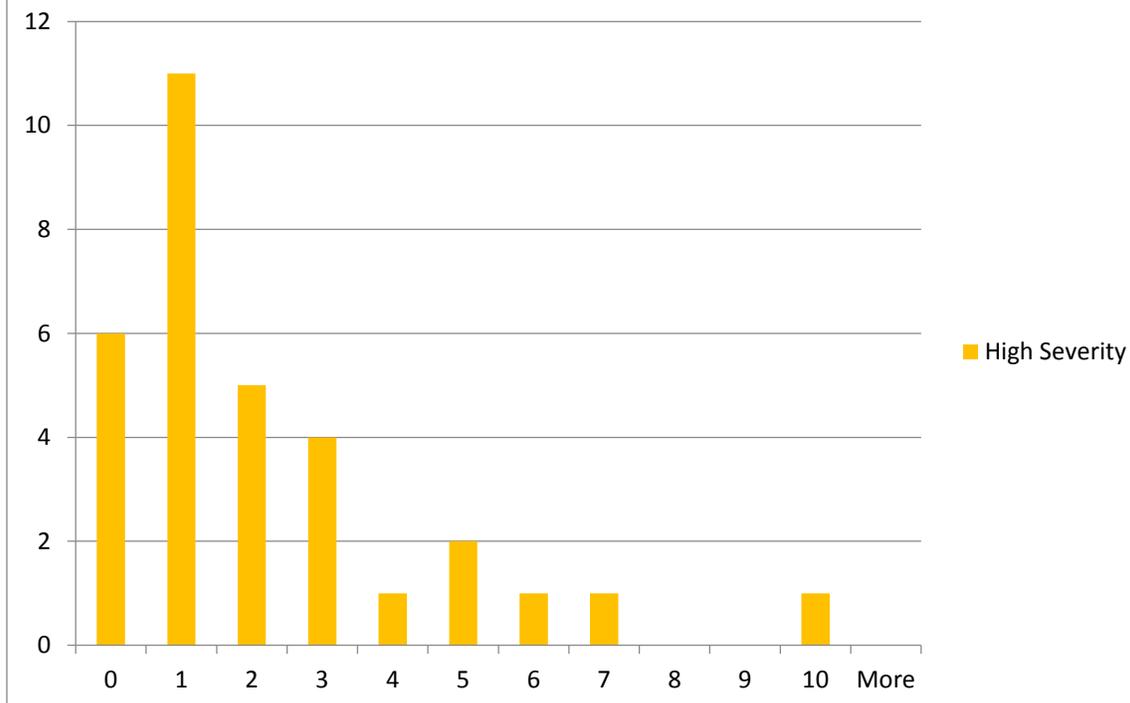




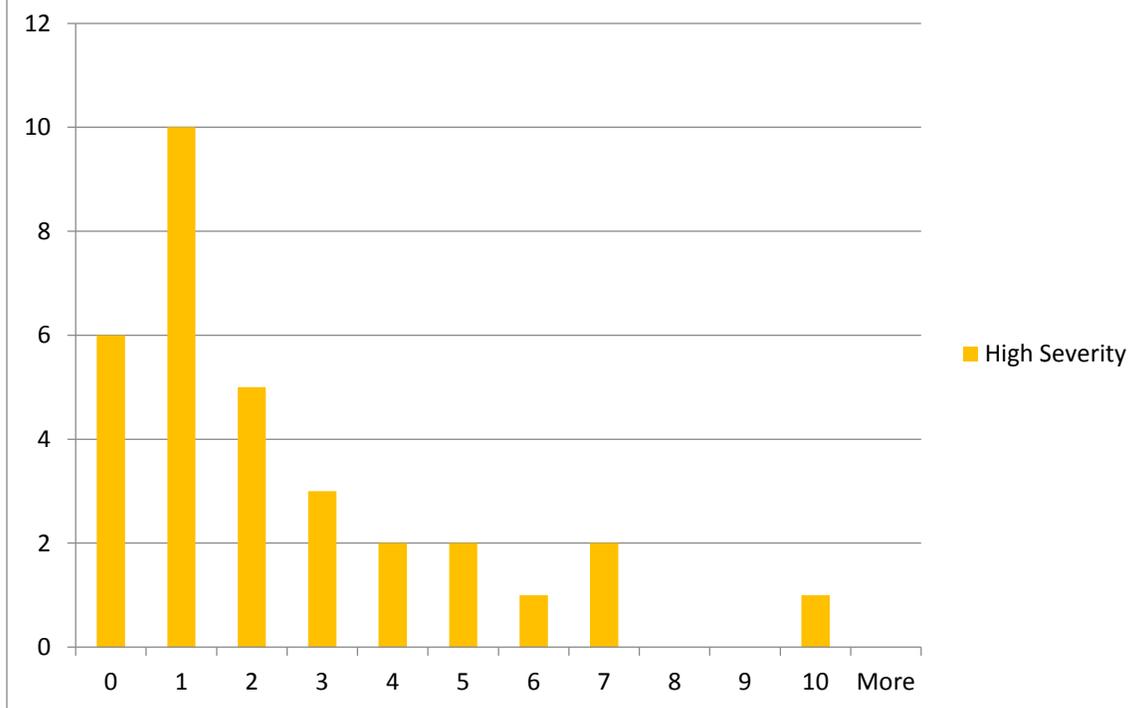




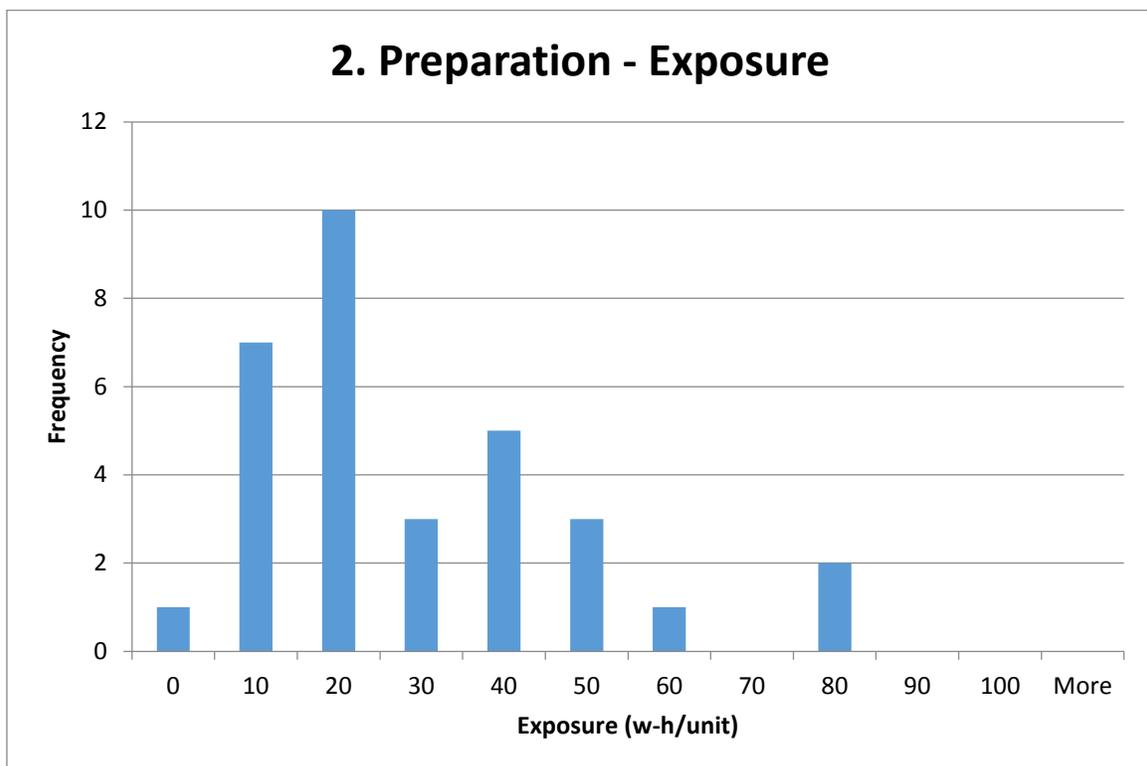
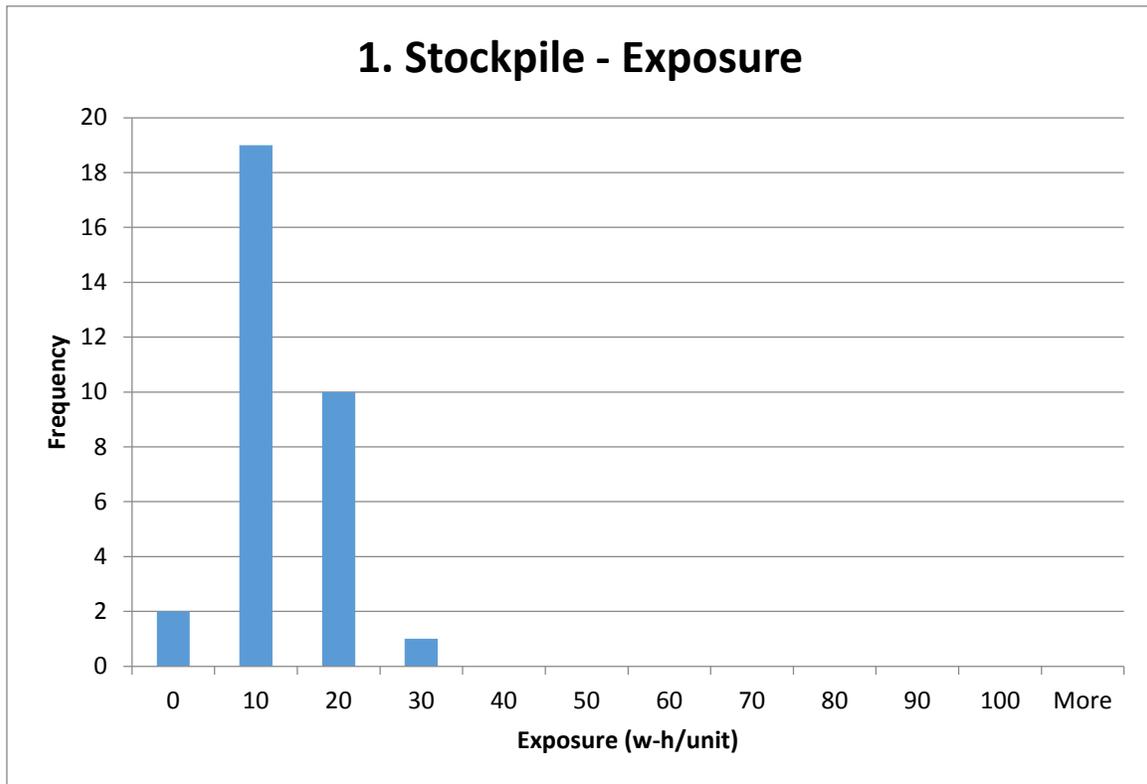
## 12. Move Forms/Form Components

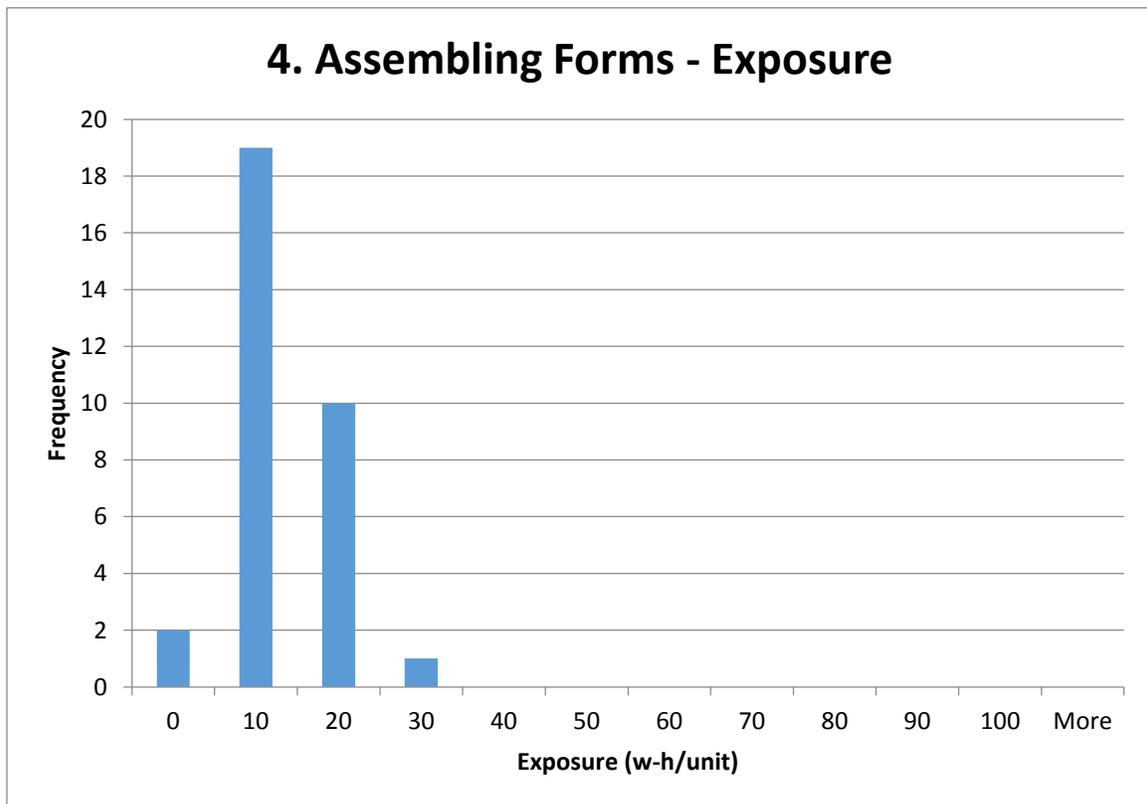
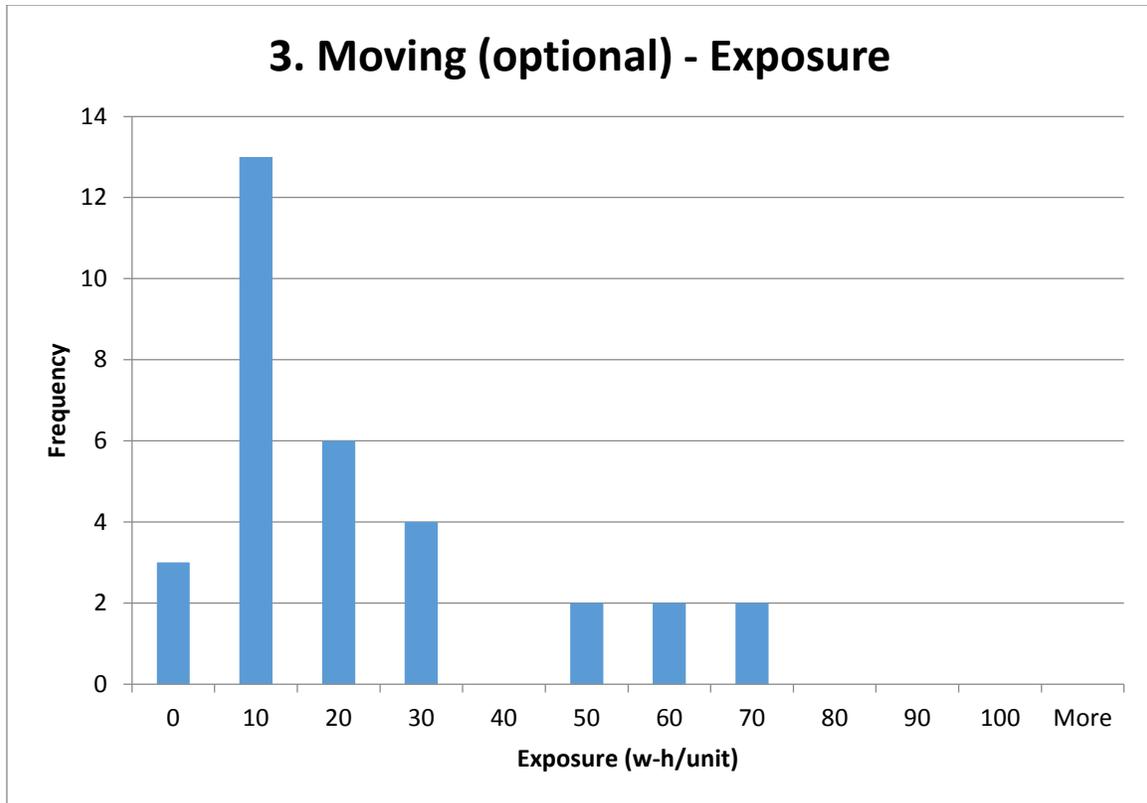


## 13. Stack/Stockpile Forms/Form Components

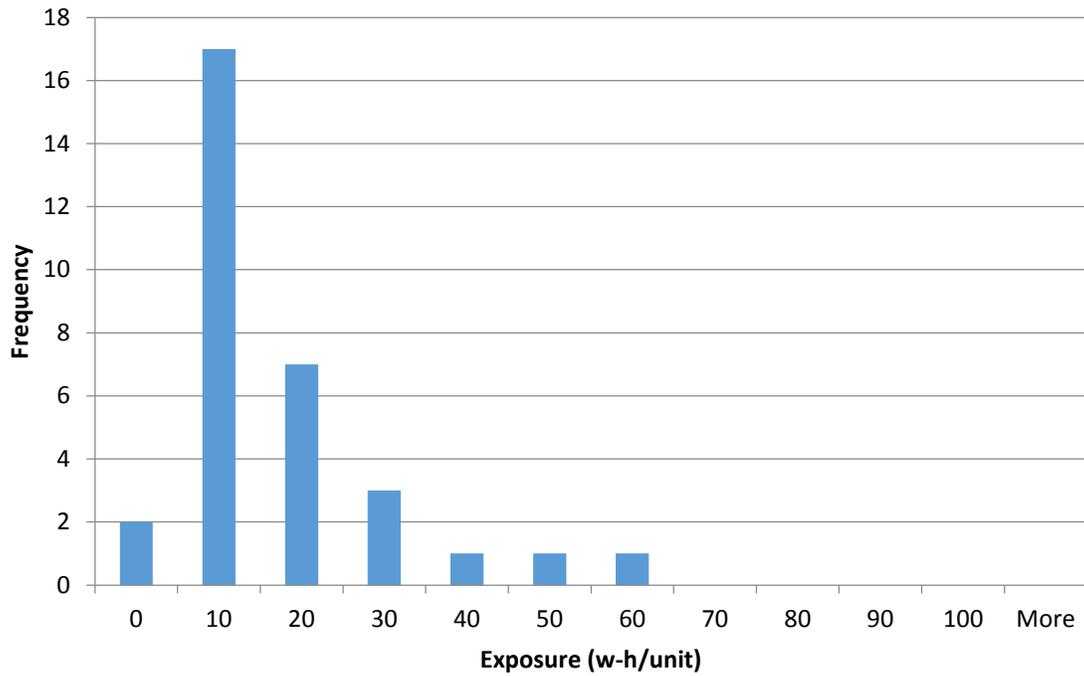


## Histograms for Activity Exposure:

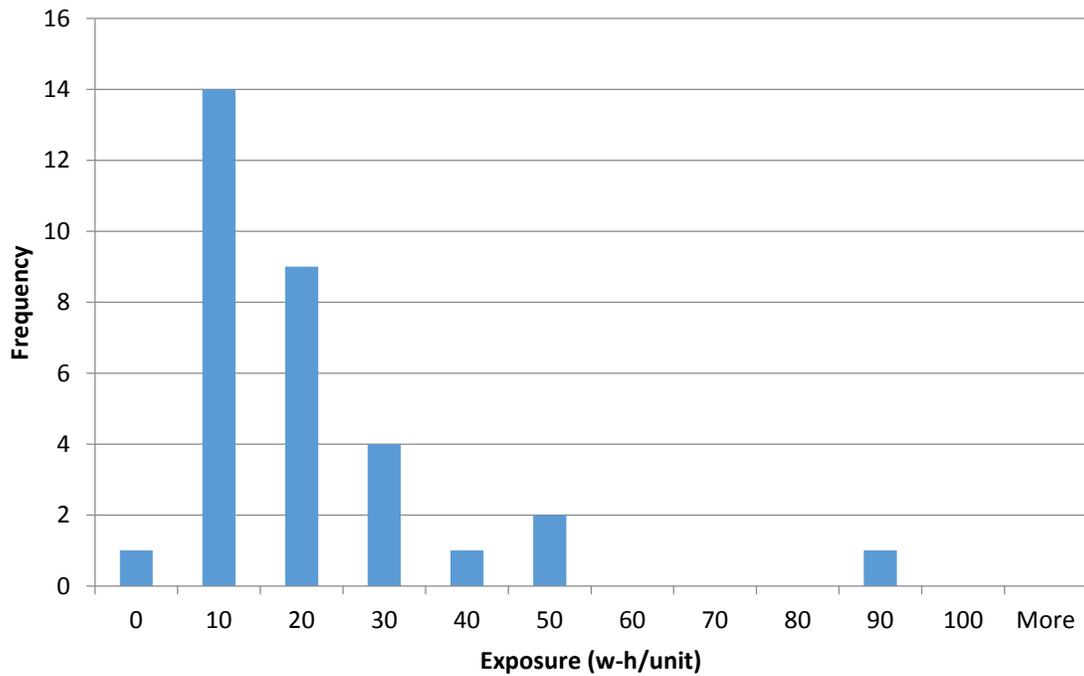




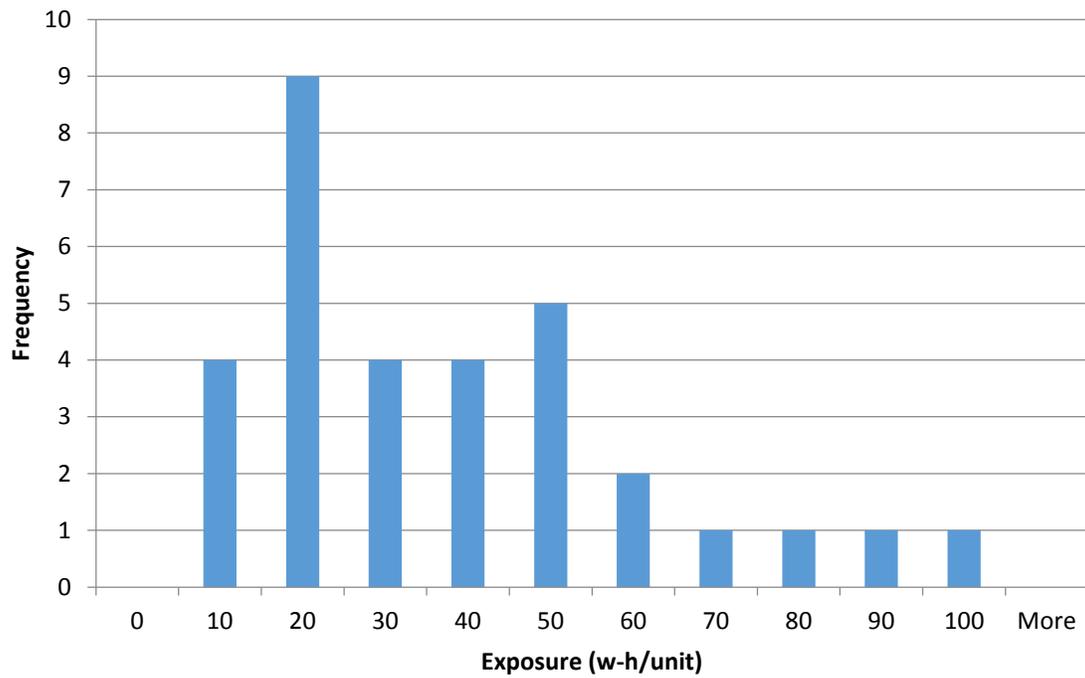
### 5. Stacking Prepared Forms - Exposure



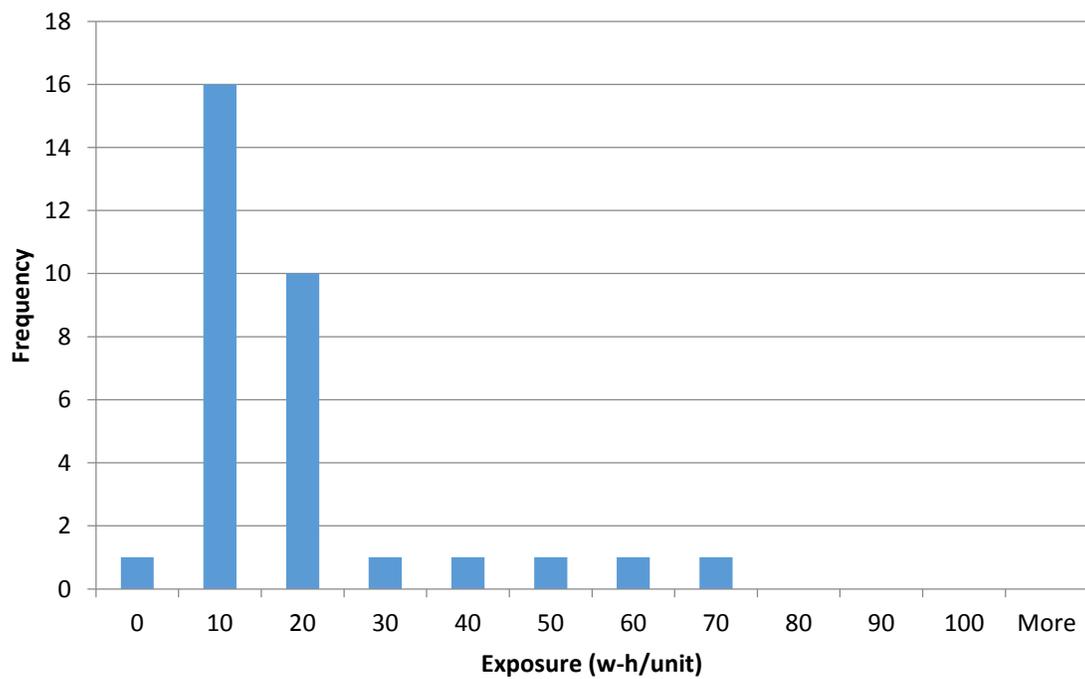
### 6. Moving Prepared Forms - Exposure

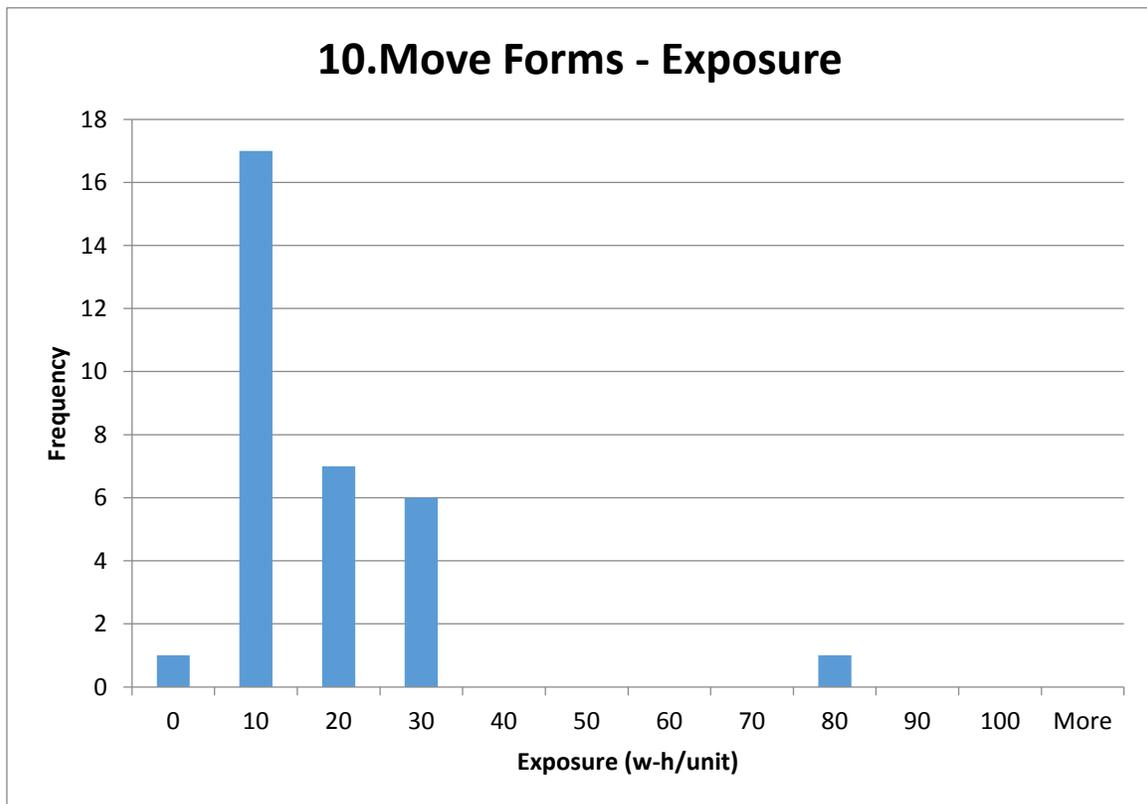
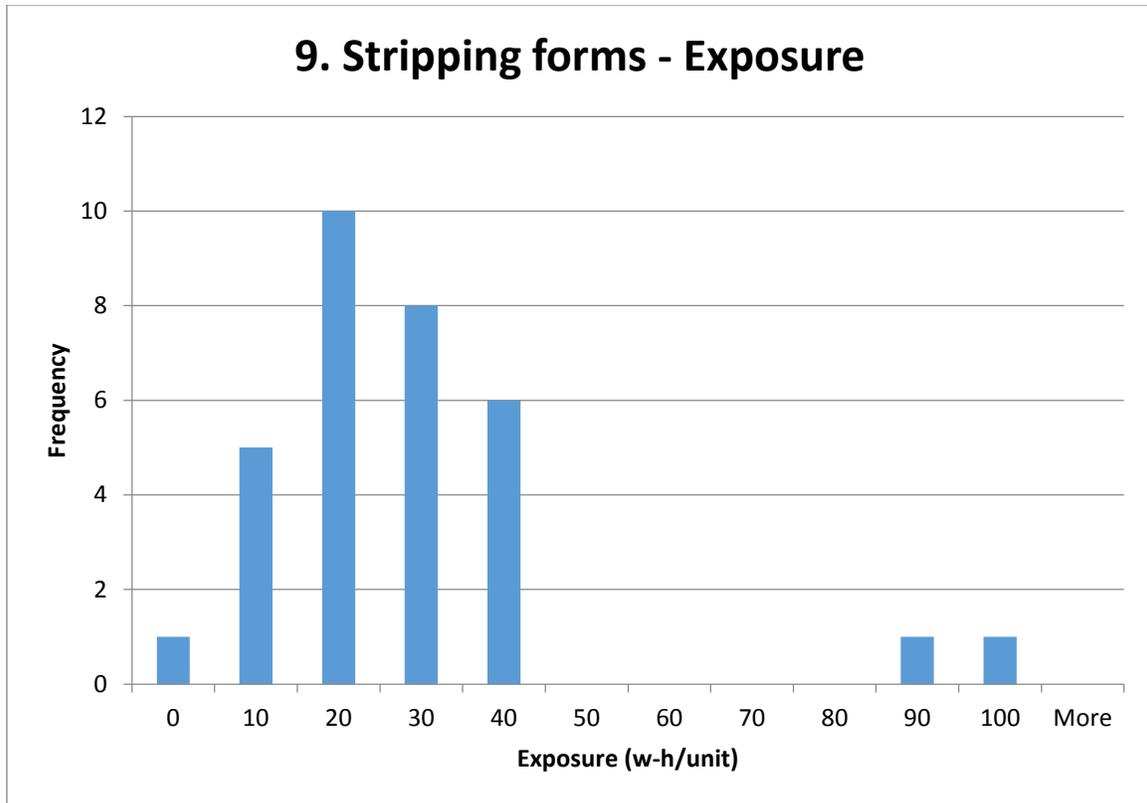


### 7. Erecting/ Placing Forms - Exposure

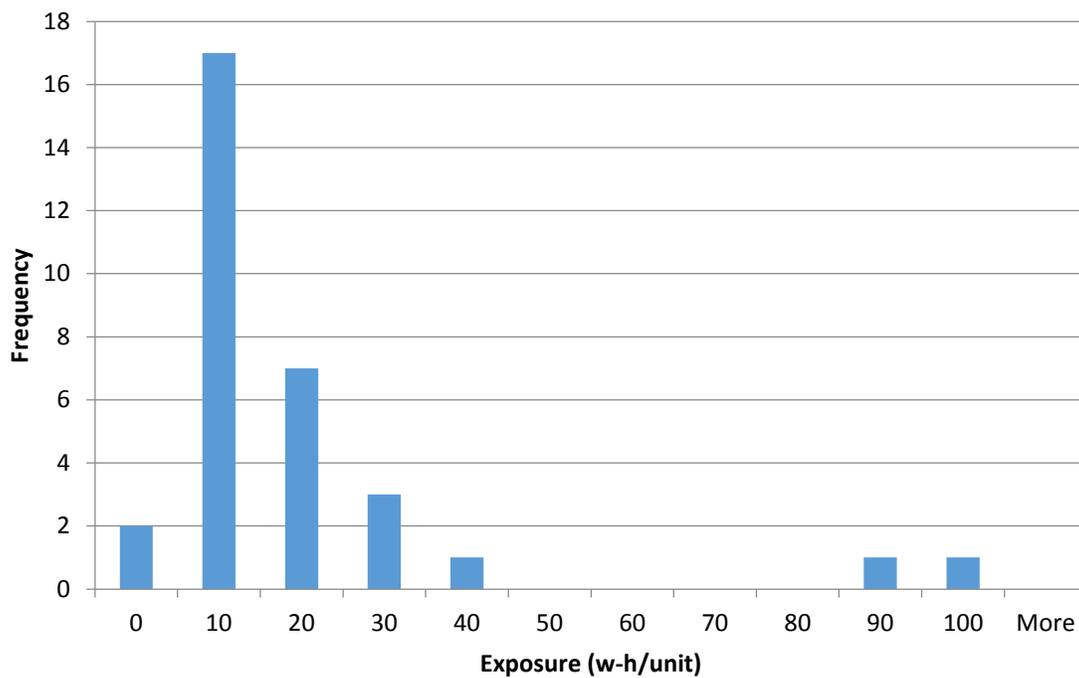


### 8. Pouring/ Curing Concrete - Exposure

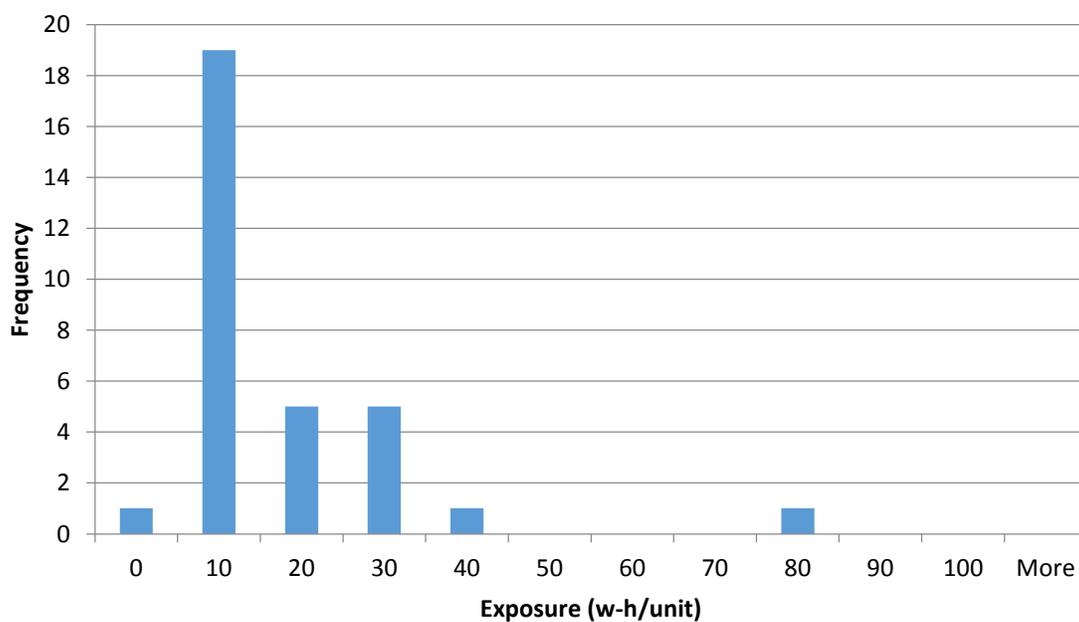


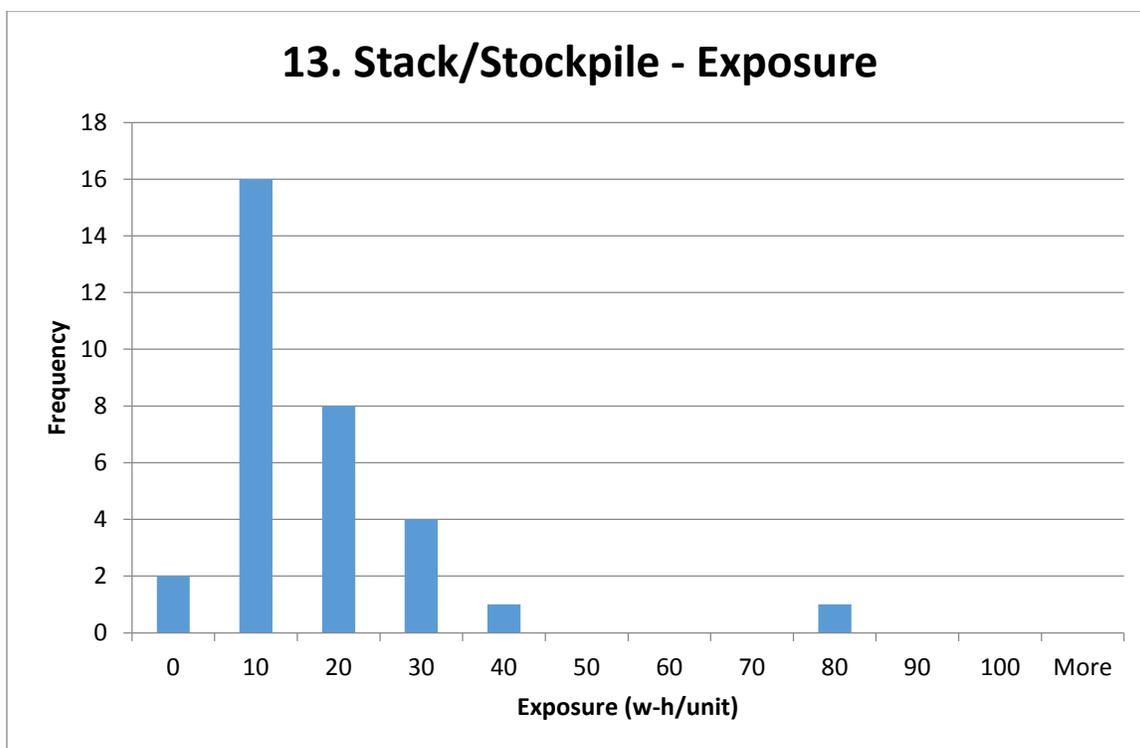


### 11. Dismantling/Cleaning forms - Exposure



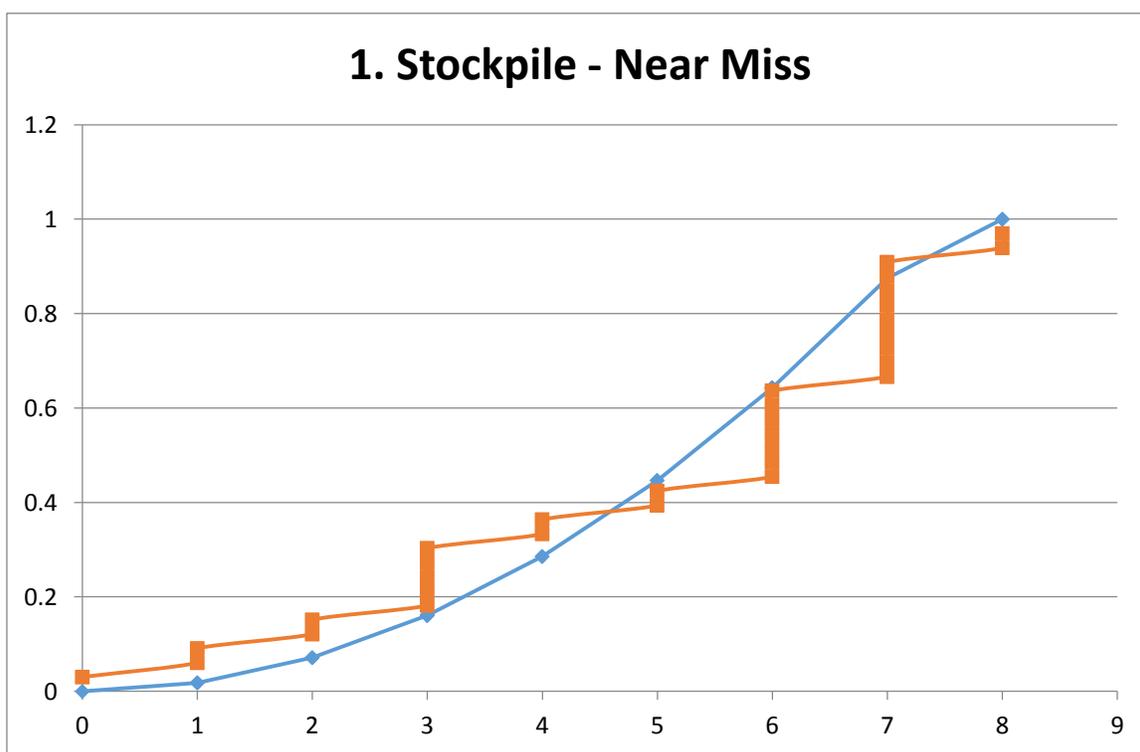
### 12. Move forms/ form components - Exposure



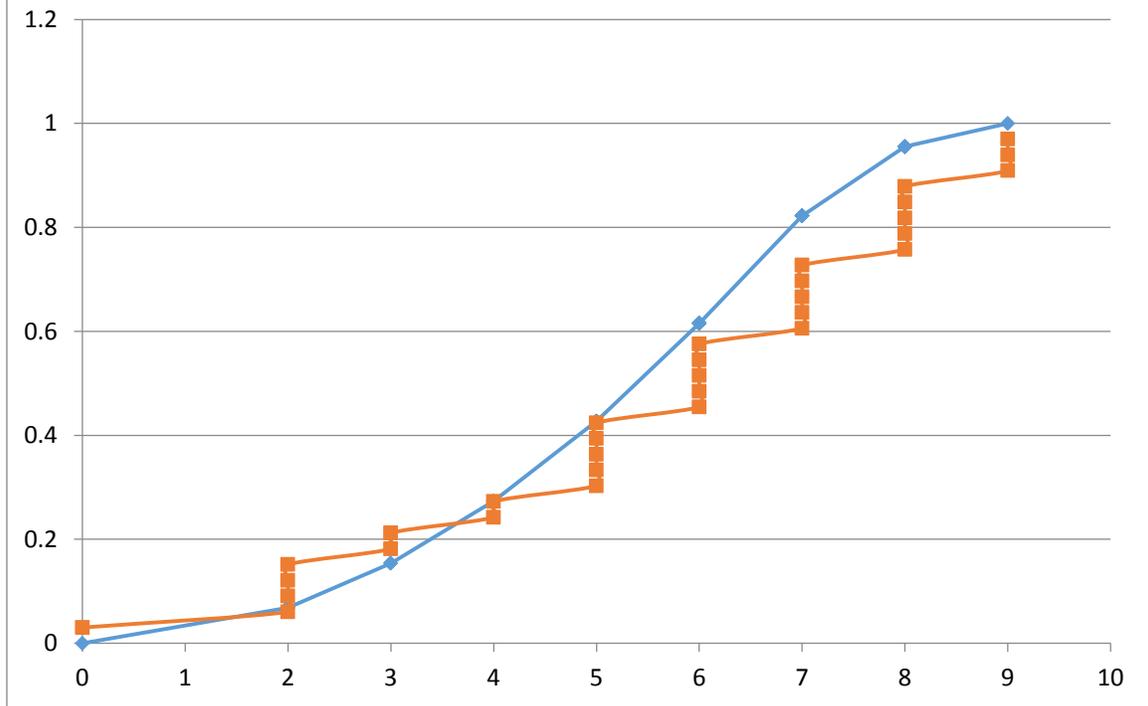


## APPENDIX – III D: CDF PLOTS

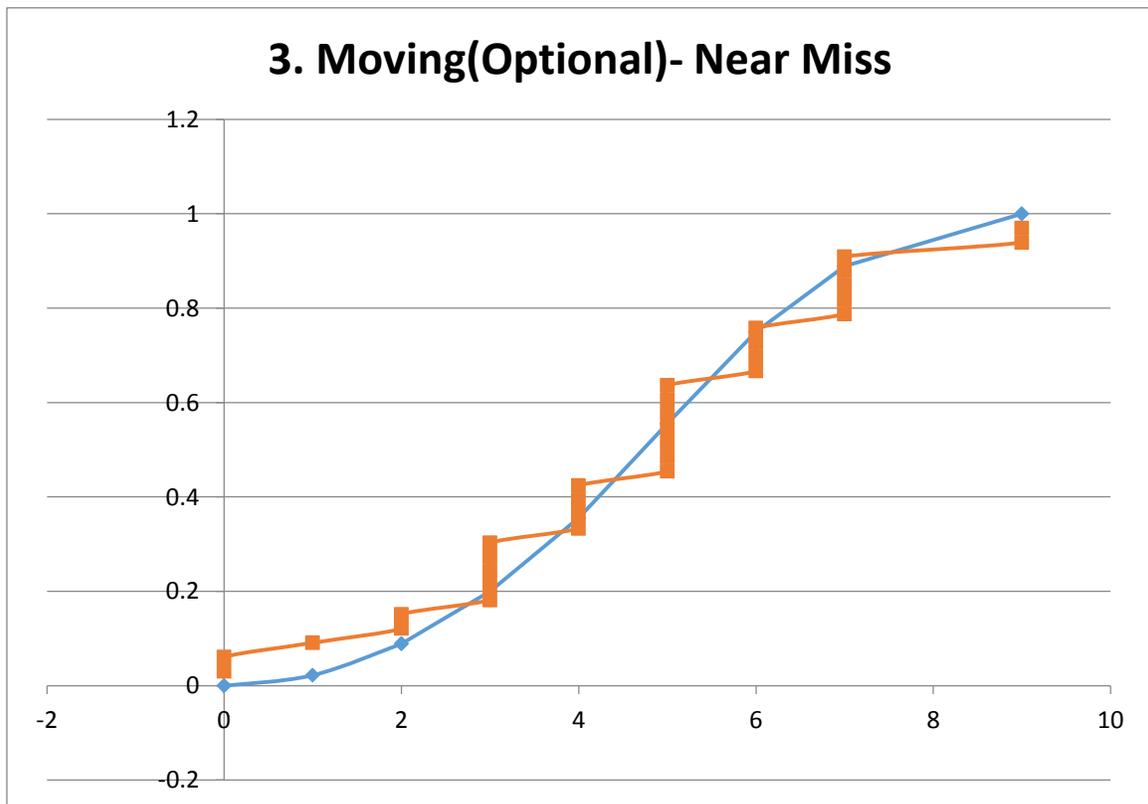
### CDF Plots for Near Miss: Triangular distribution



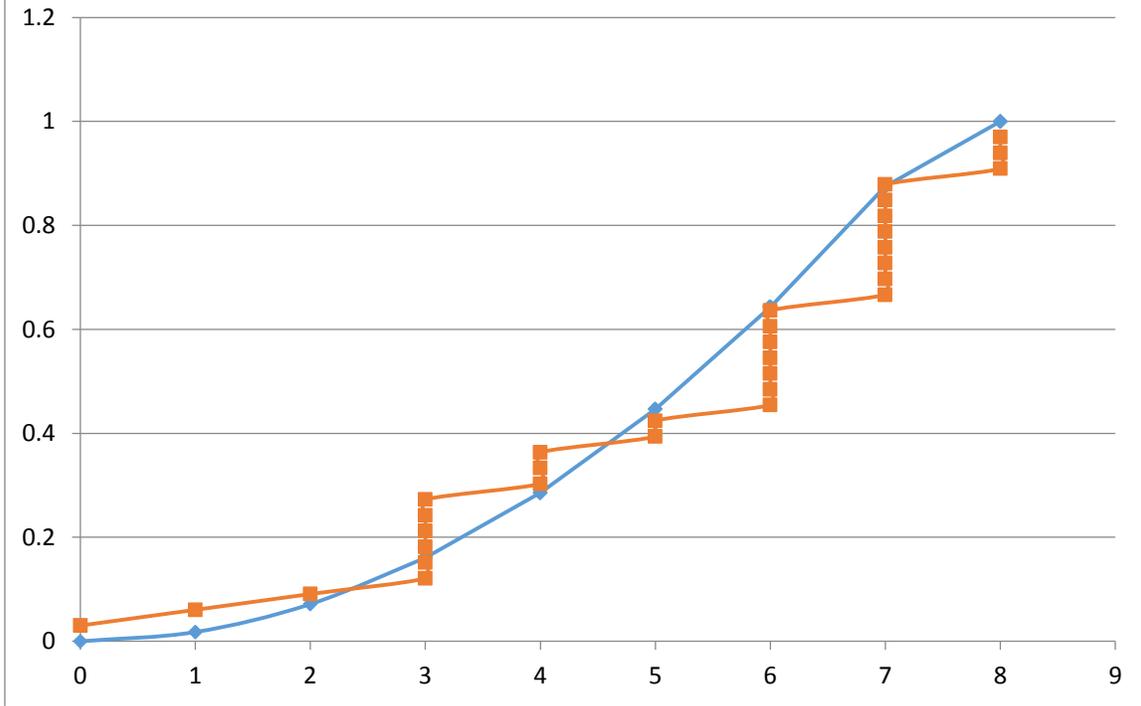
## 2. Preparation- Near Miss



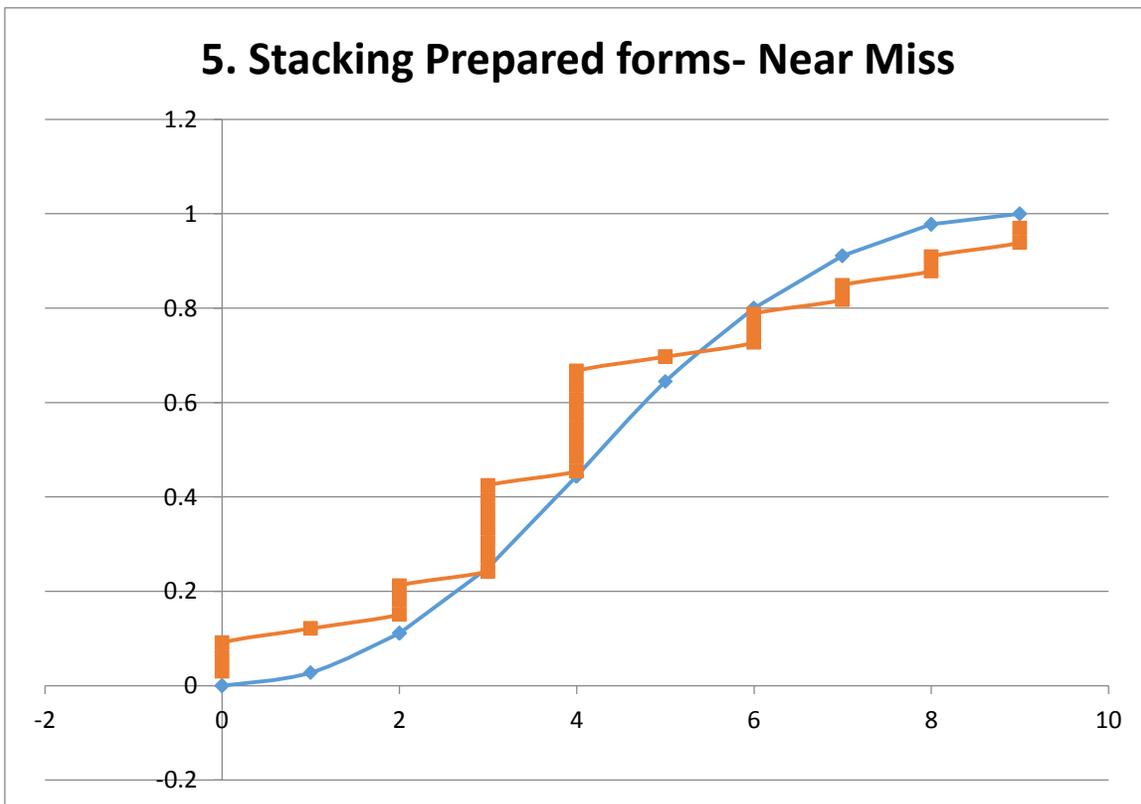
## 3. Moving(Optional)- Near Miss

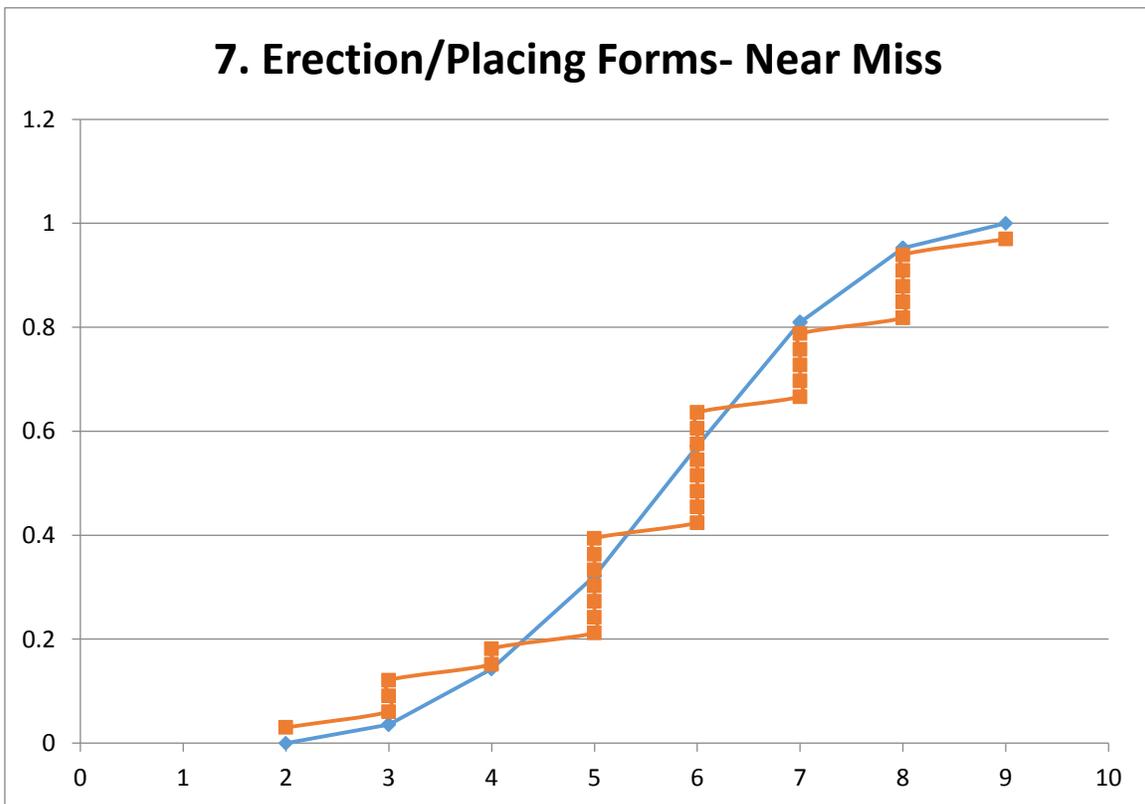
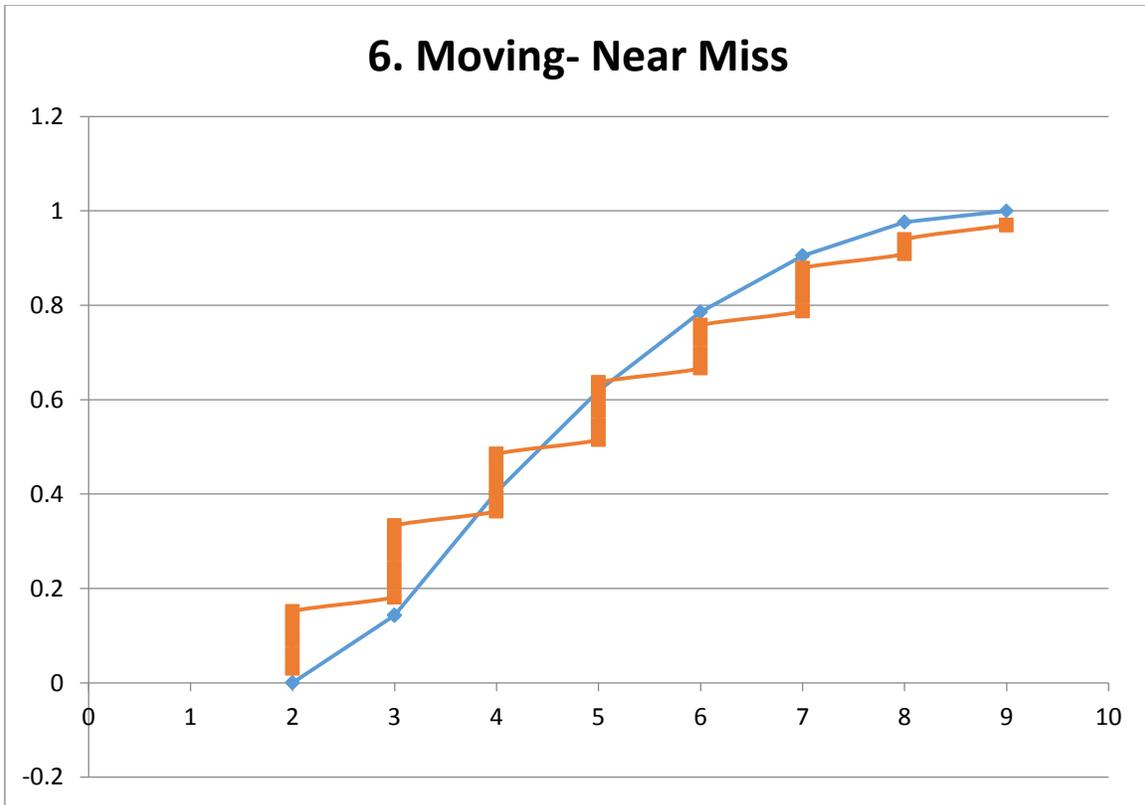


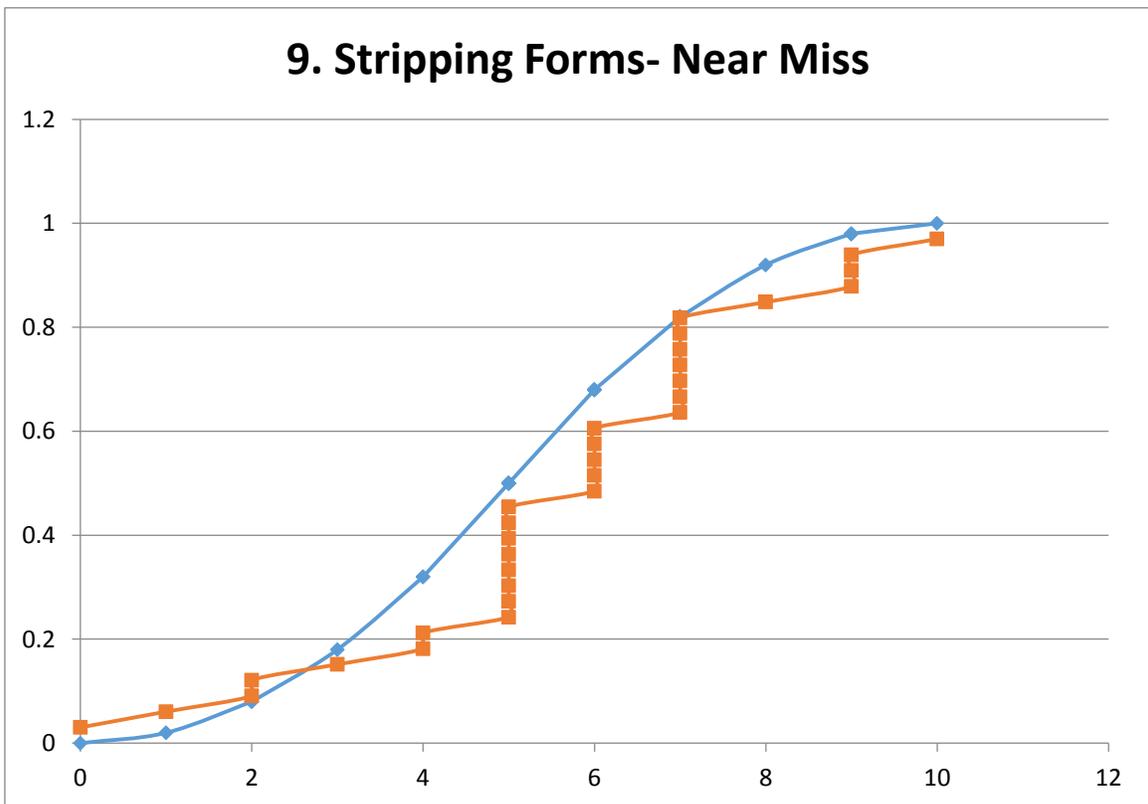
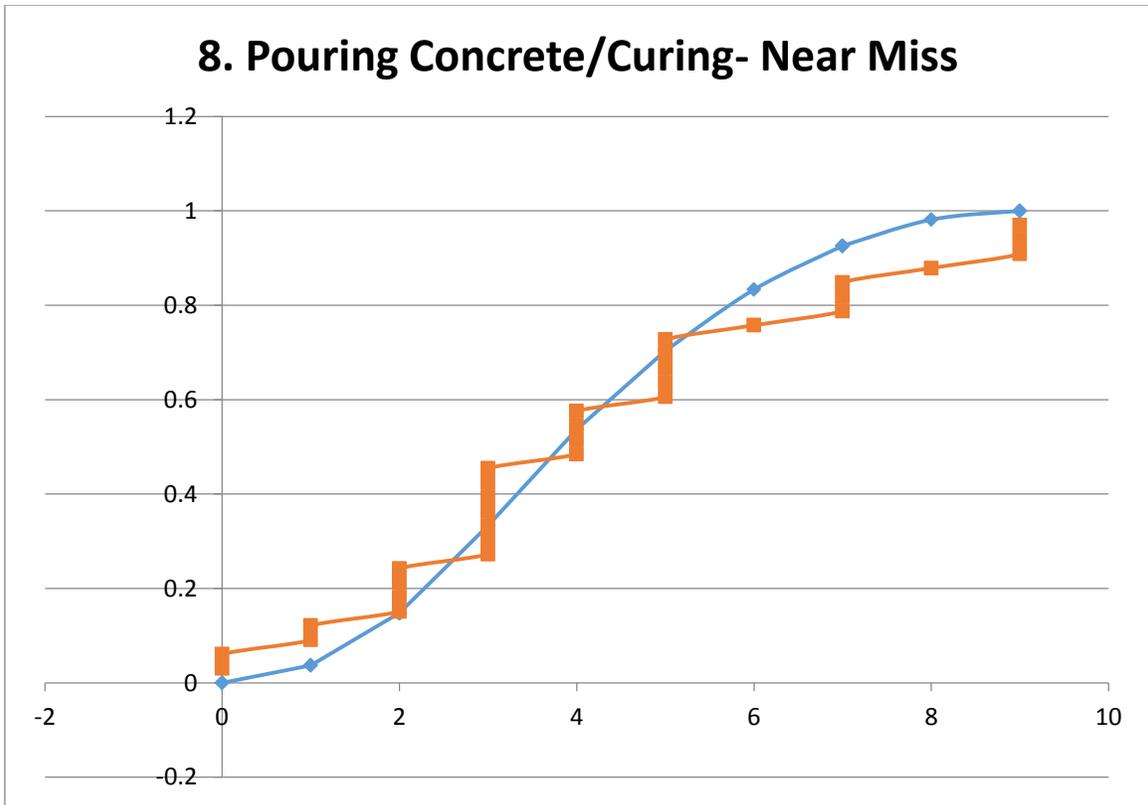
### 4. Assembling Forms - Near Miss

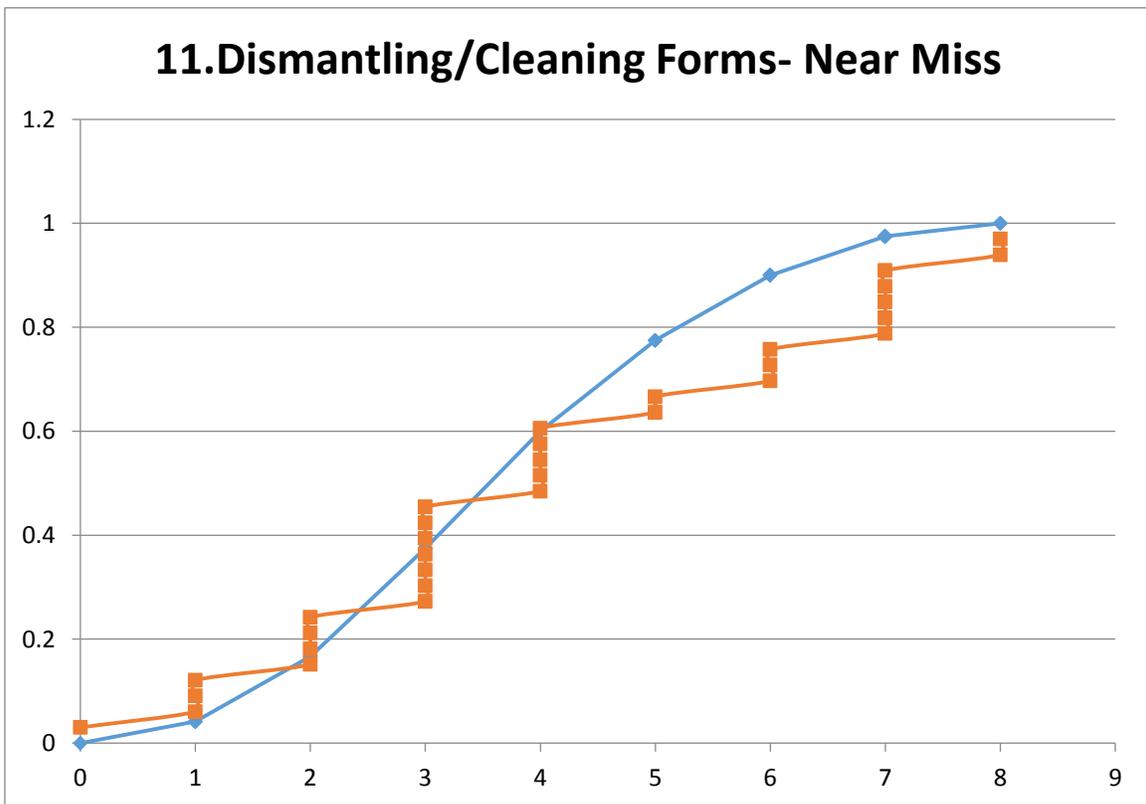
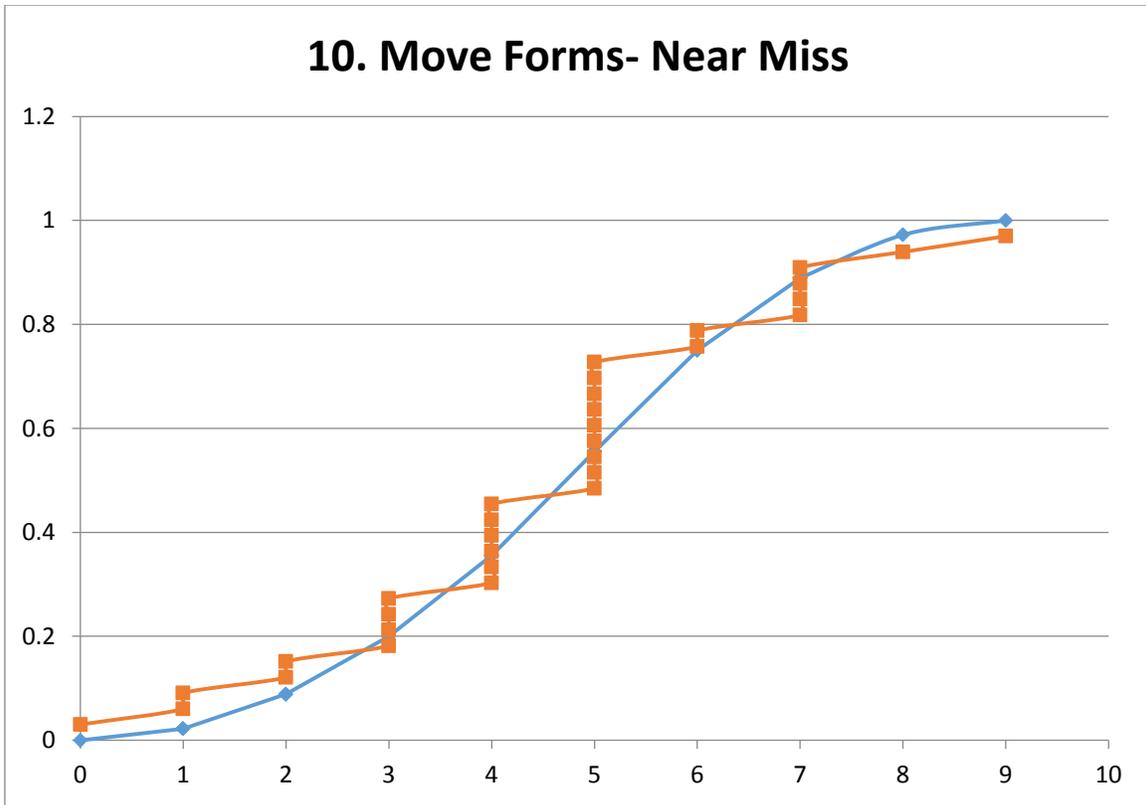


### 5. Stacking Prepared forms- Near Miss

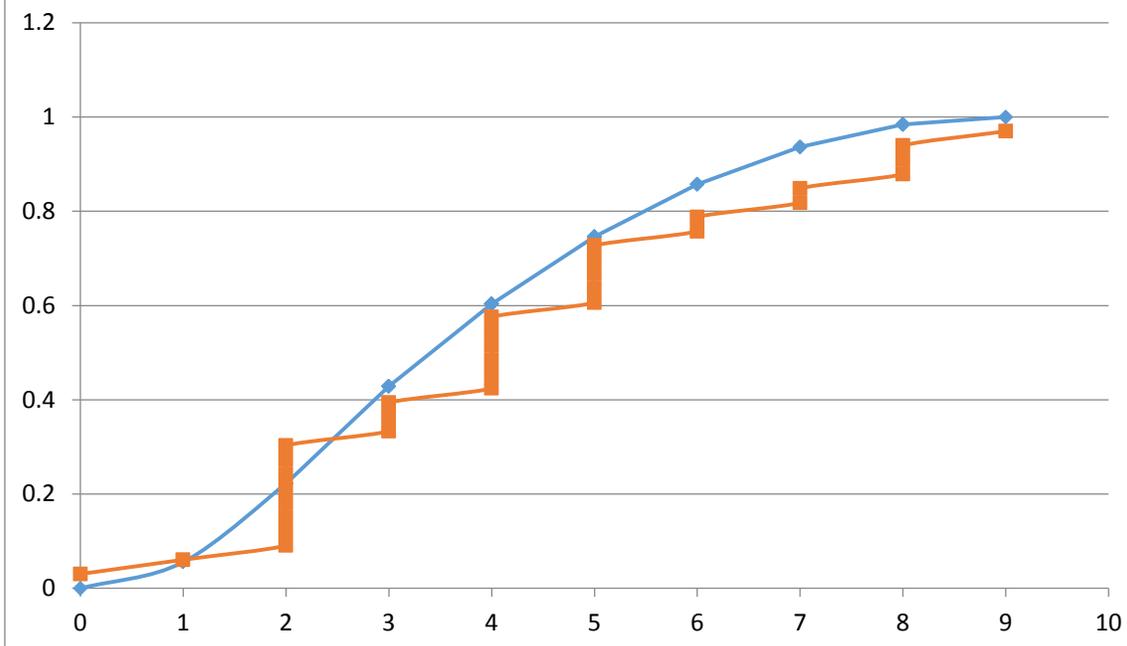




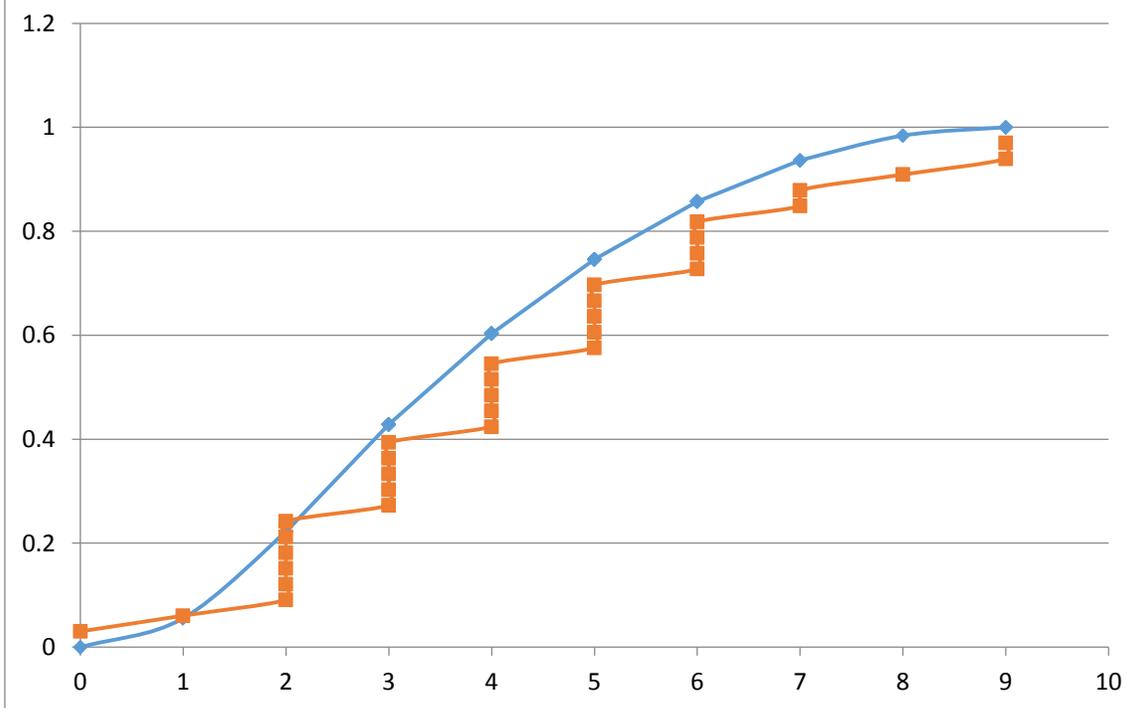




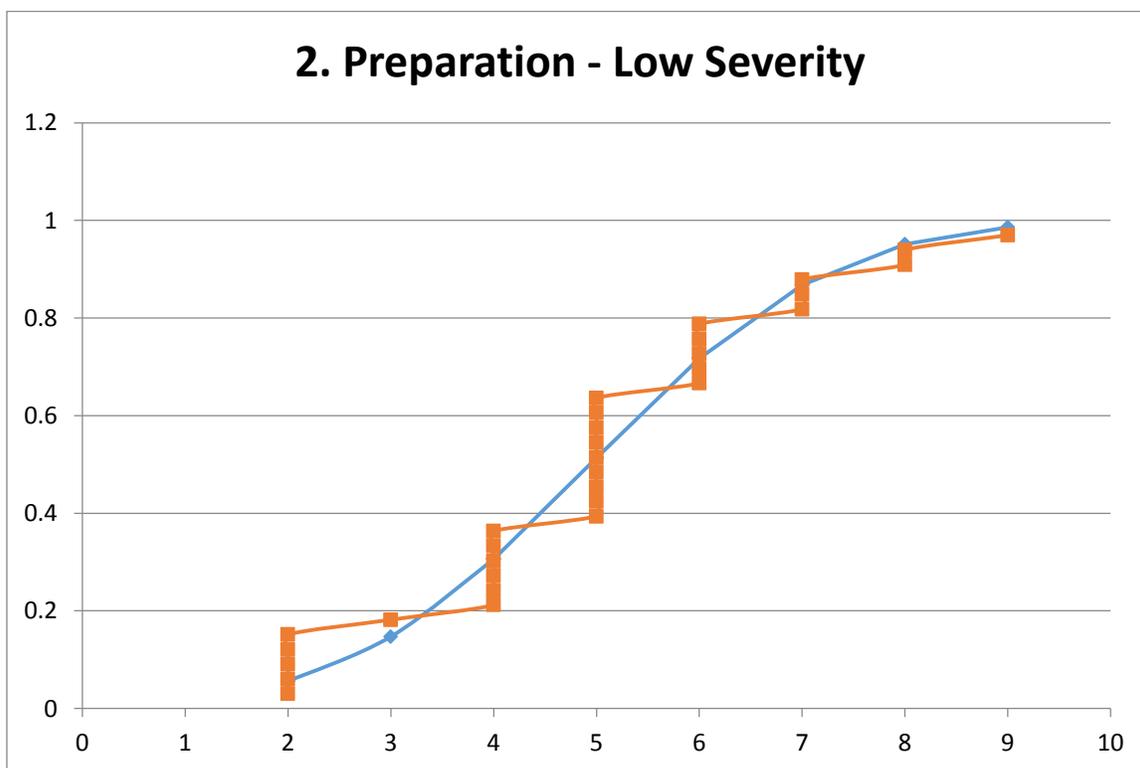
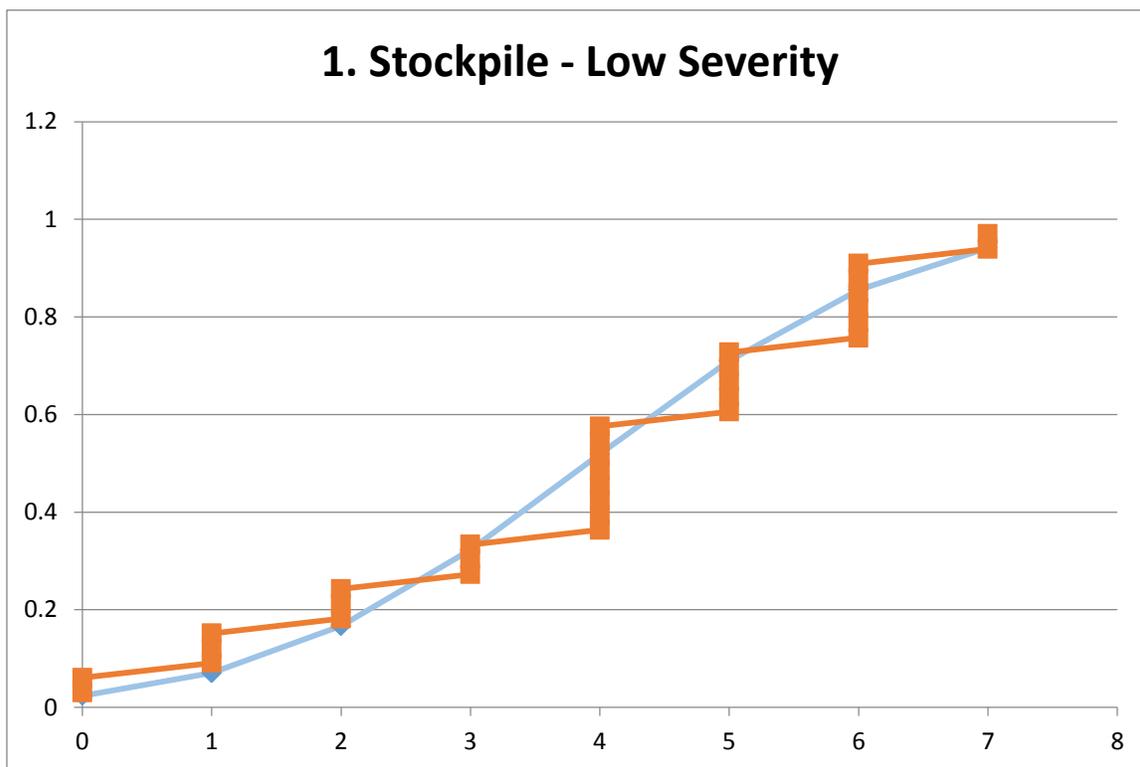
## 12. Move forms/ Form Components - Near Miss



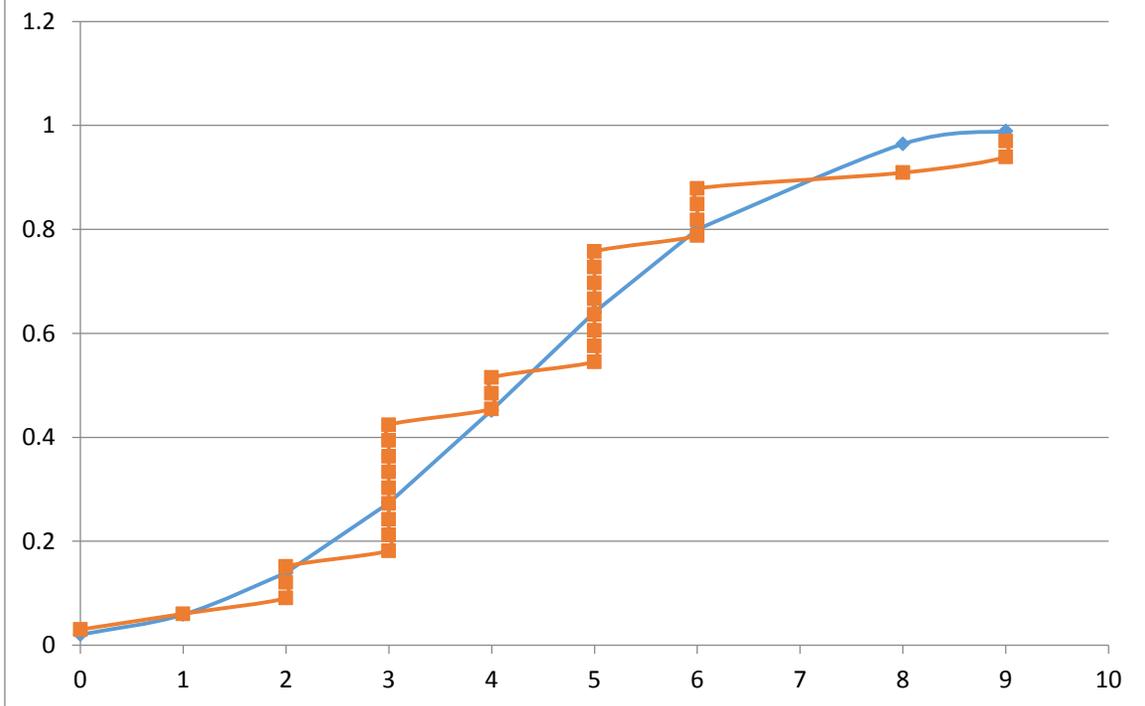
## 13. Stack/Stocpile Forms - Near Miss



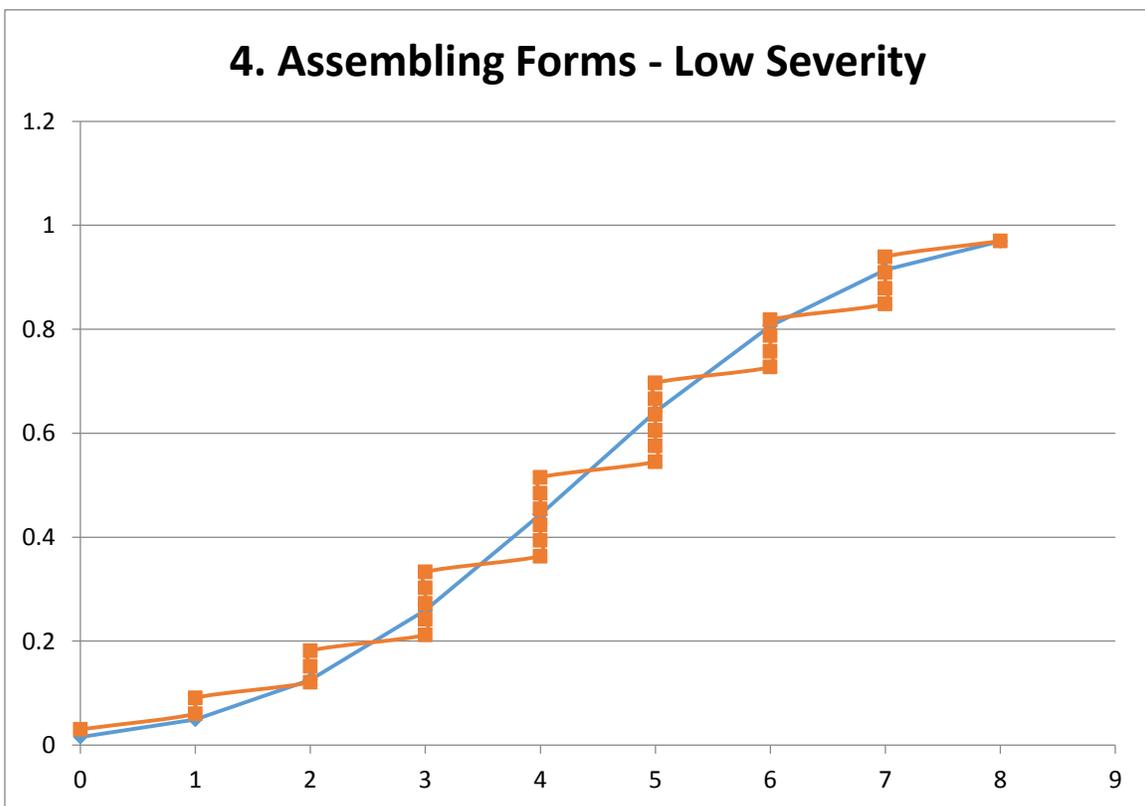
## CDF Plots for Low Severity: Normal distribution

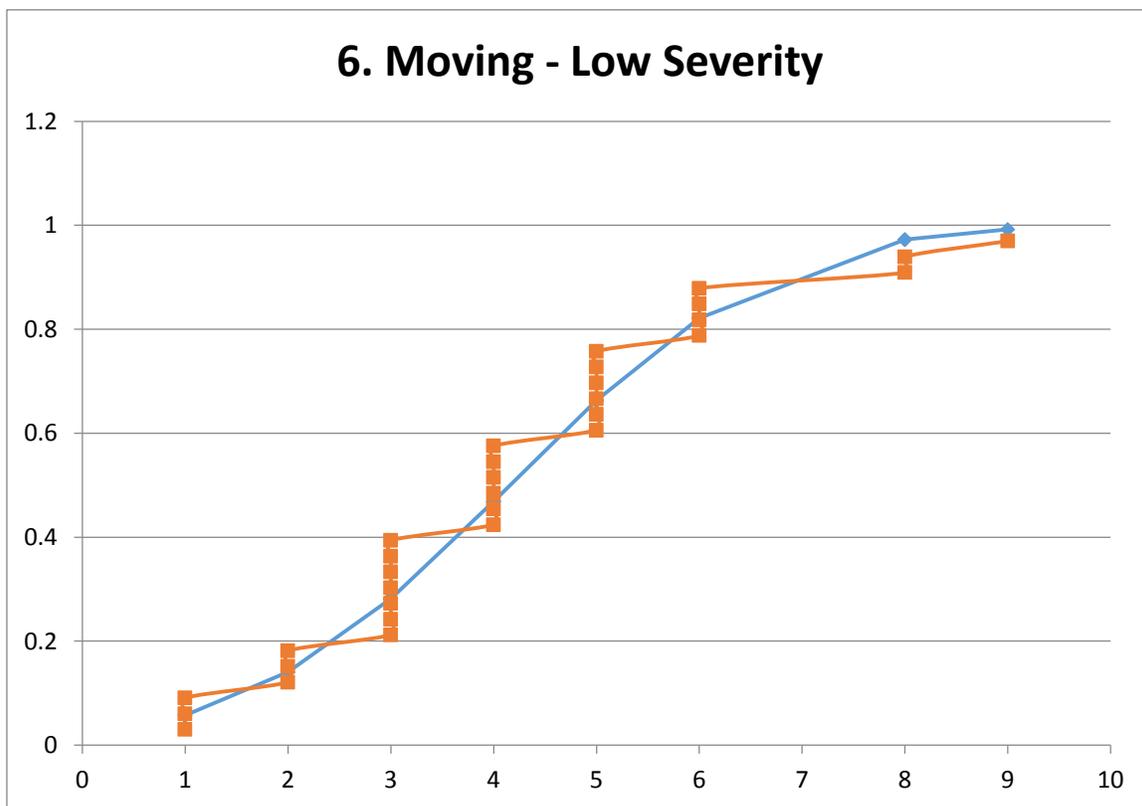
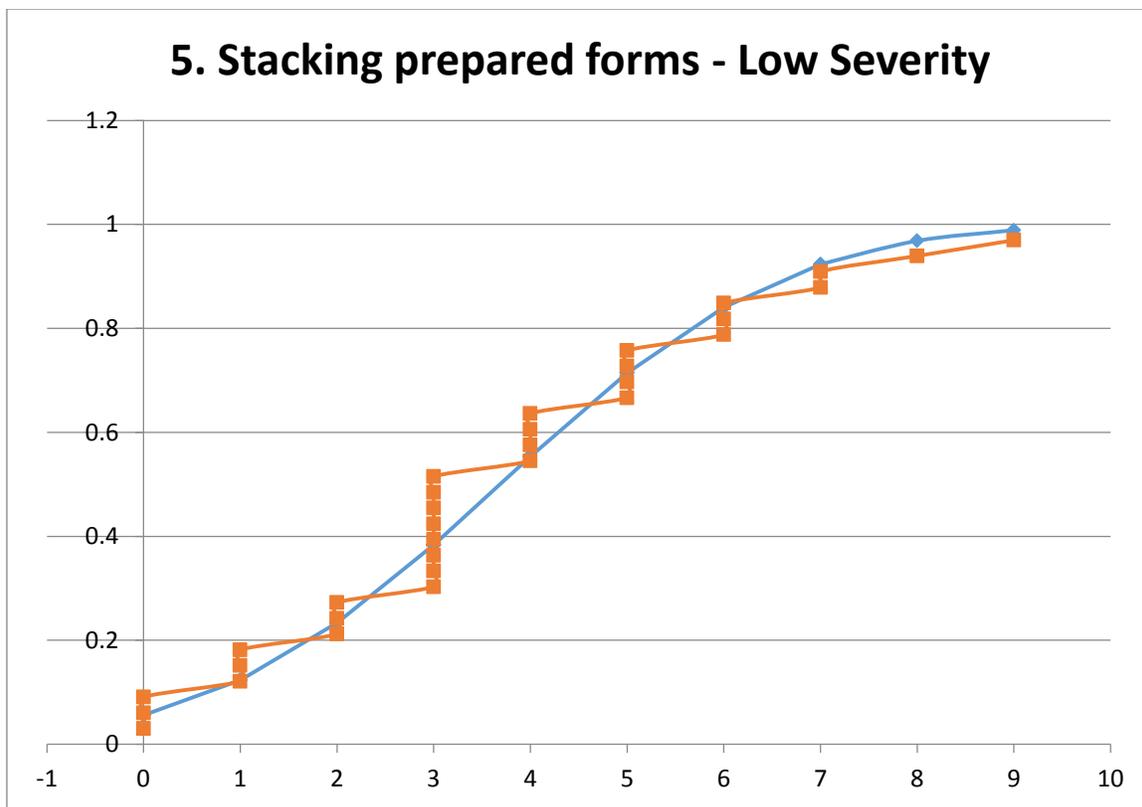


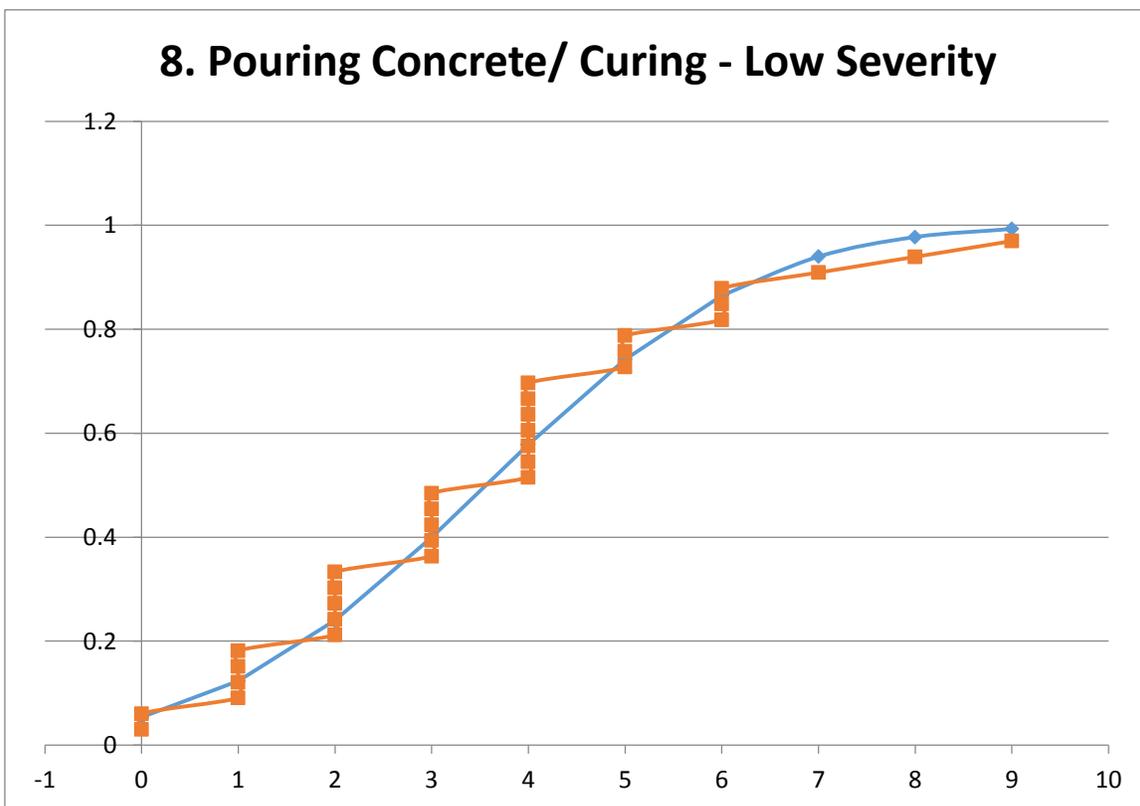
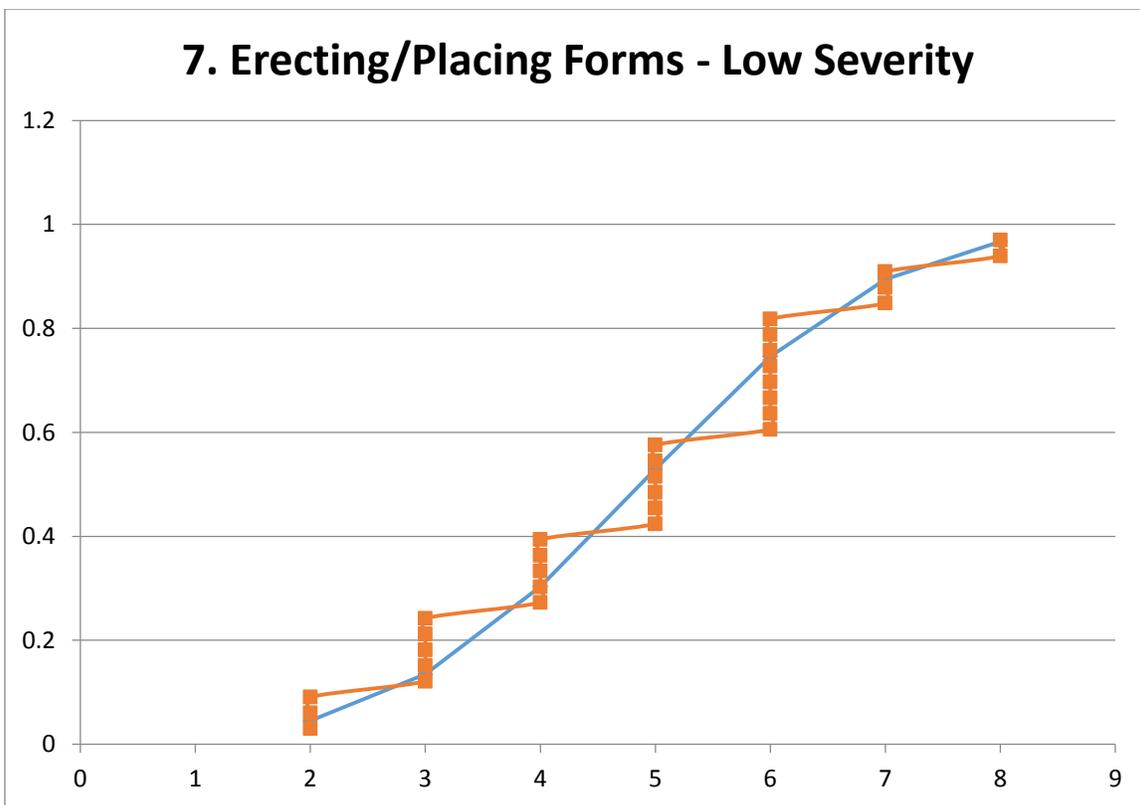
### 3. Moving (Optional) - Low Severity



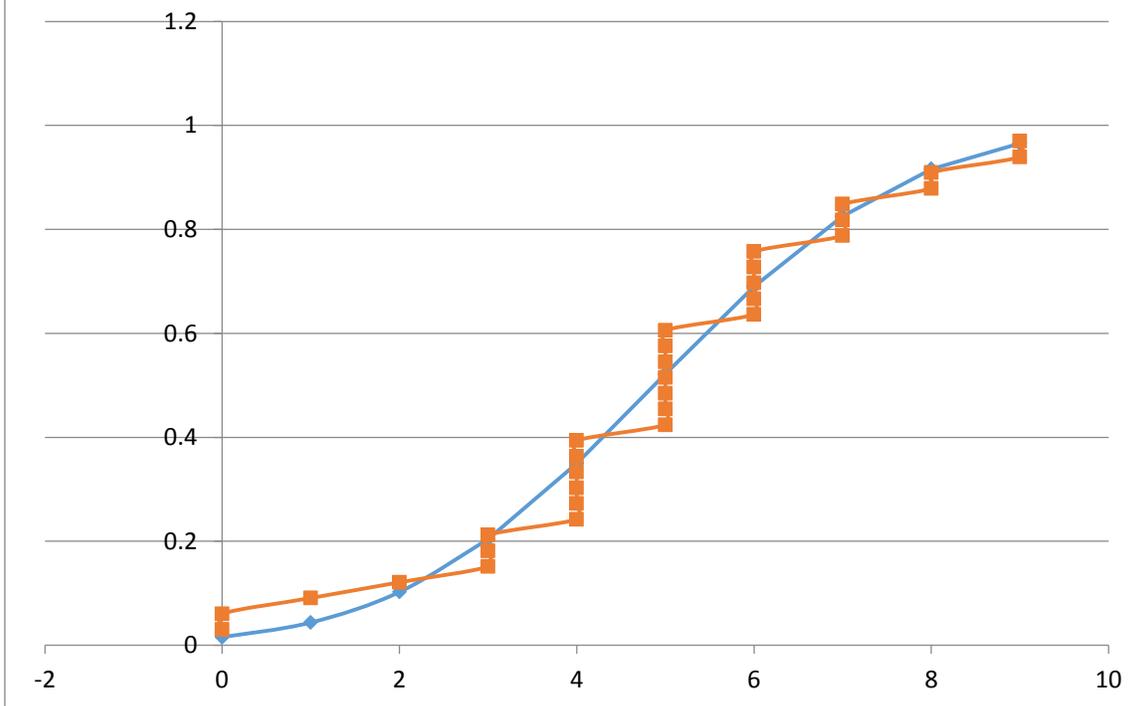
### 4. Assembling Forms - Low Severity



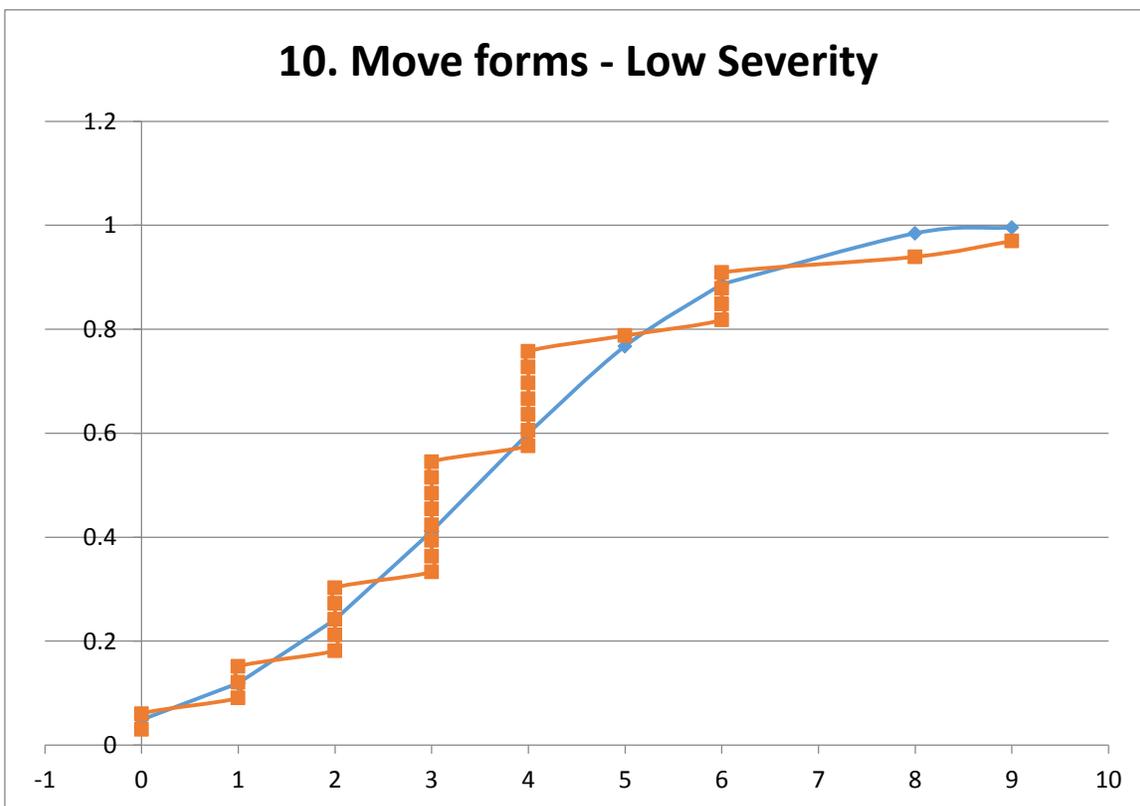




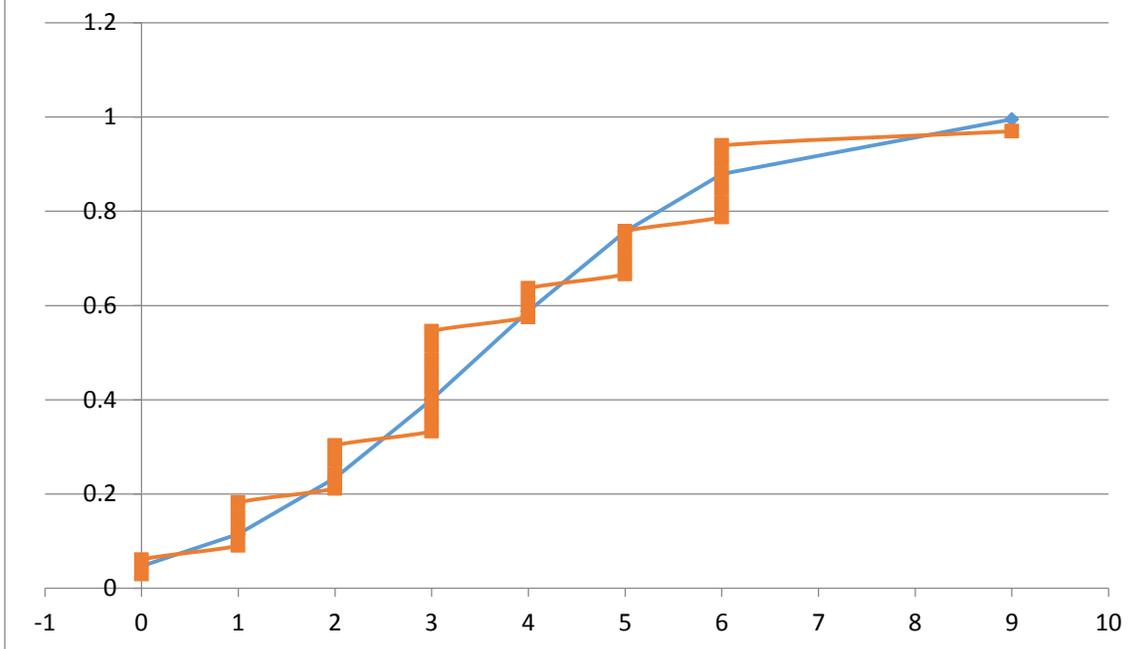
### 9. Stripping Forms - Low Severity



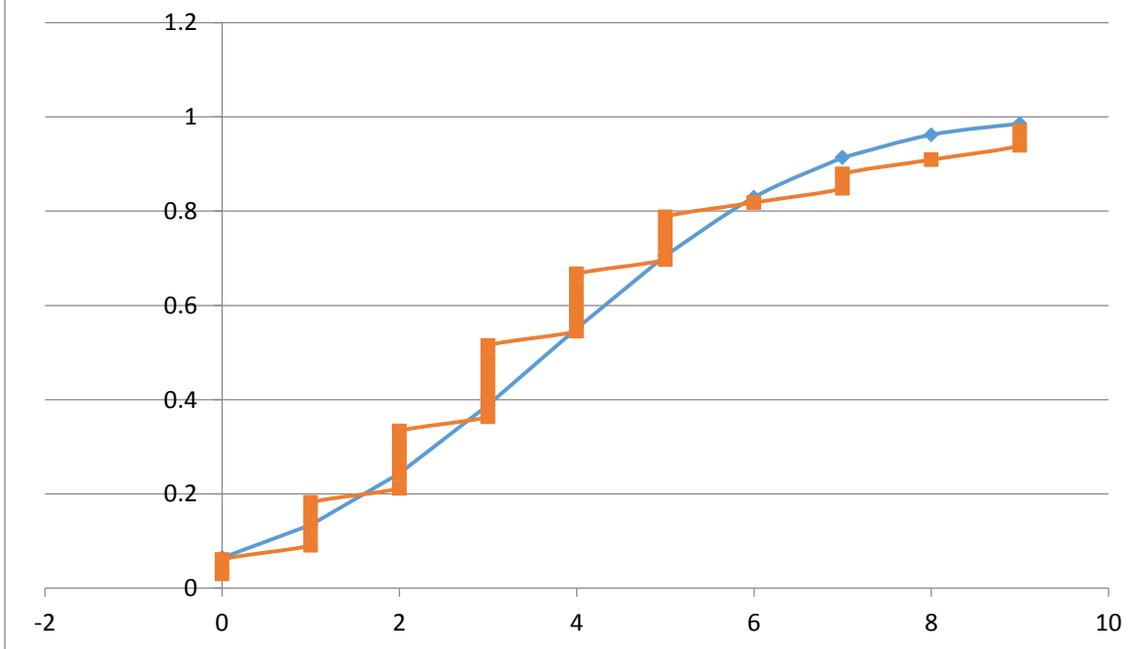
### 10. Move forms - Low Severity

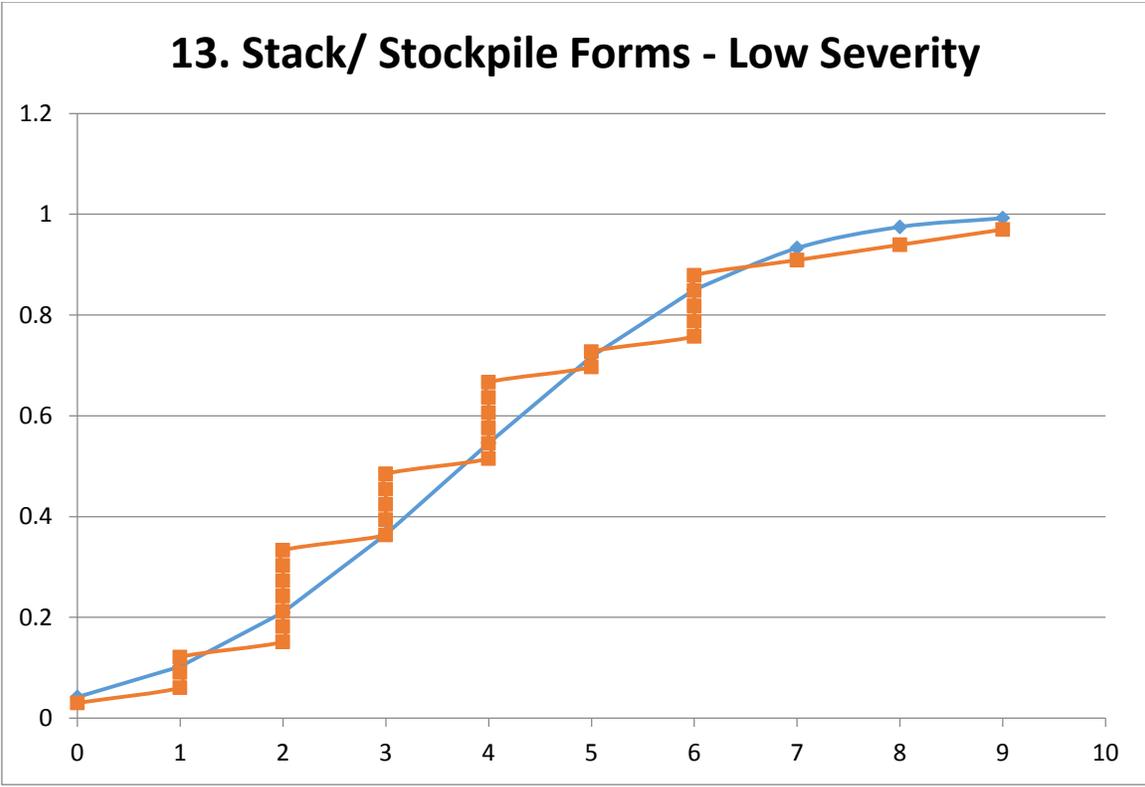


### 11. Dismantling/ Cleaning Forms - Low Severity

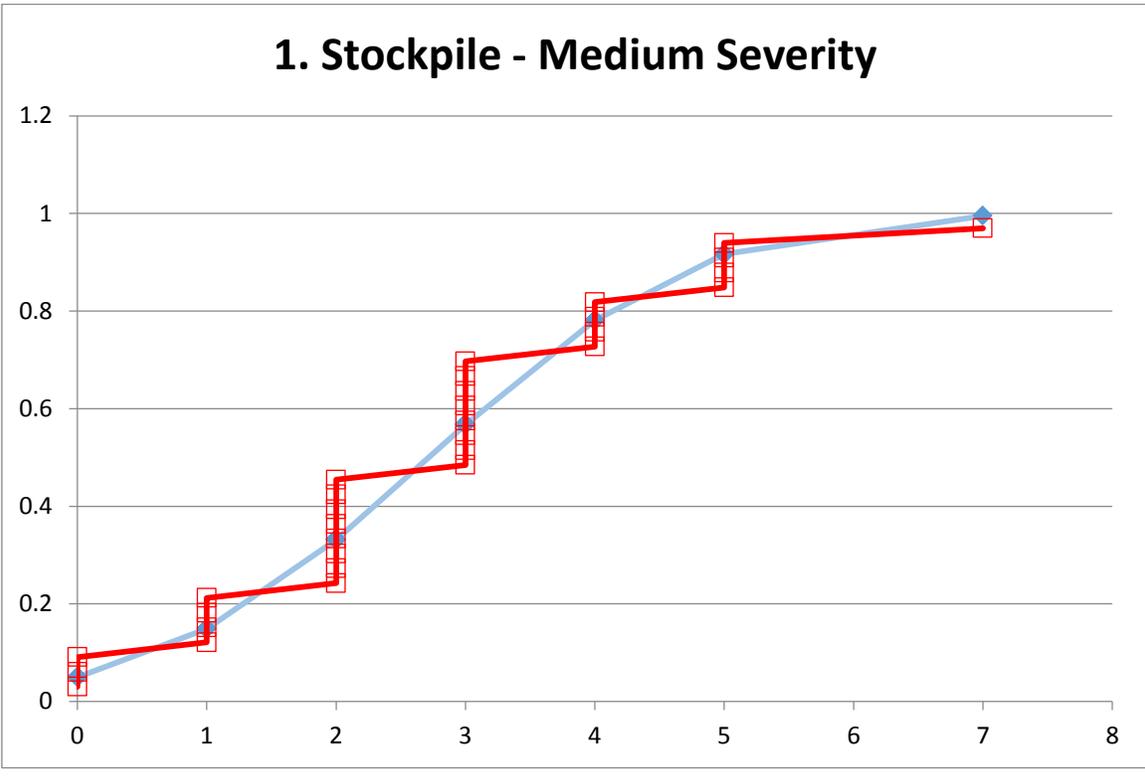


### 12. Move Forms/ Form Components - Low Severity

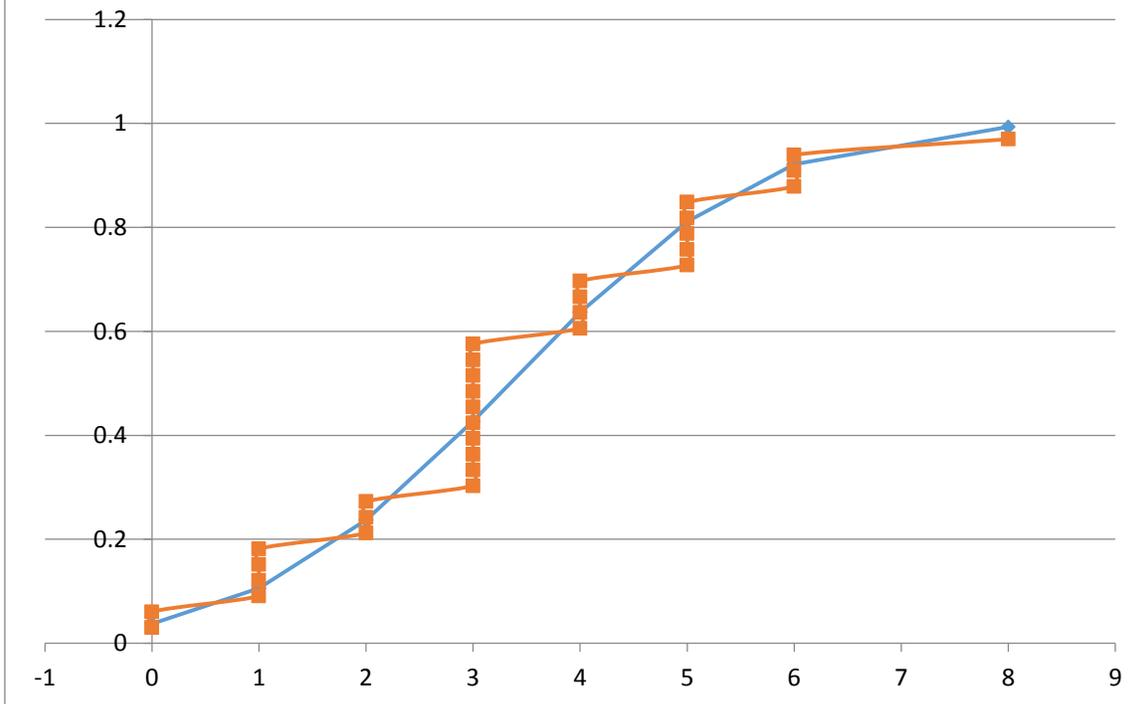




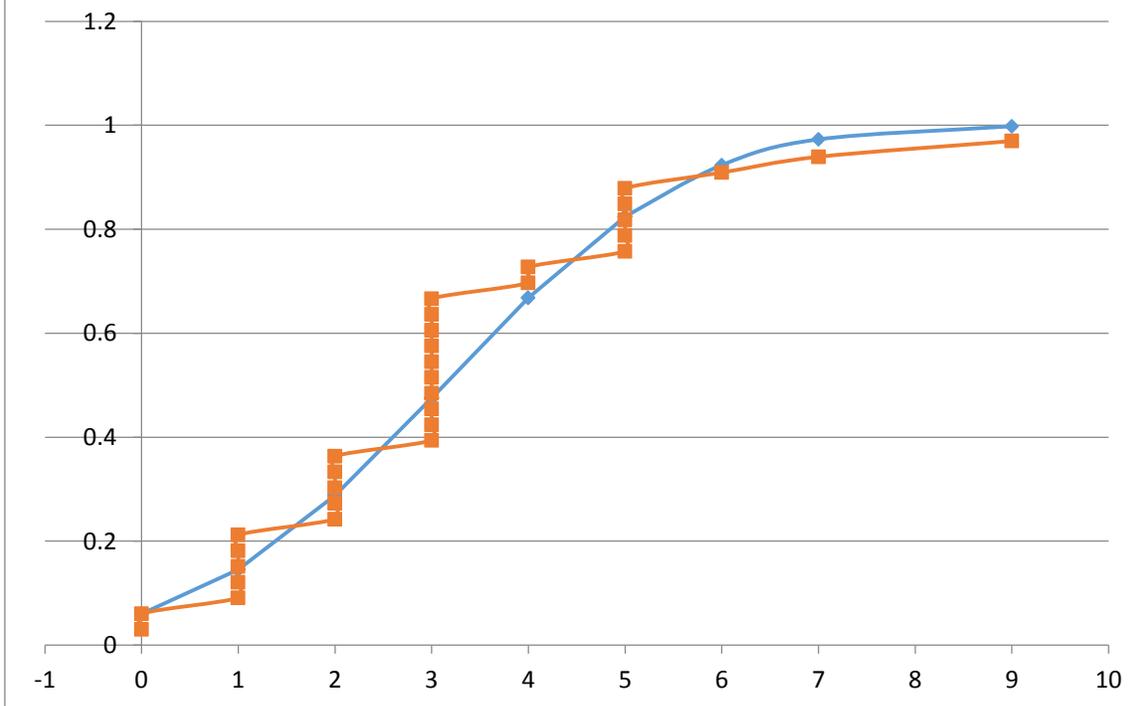
### CDF Plots for Medium Severity: Normal distribution



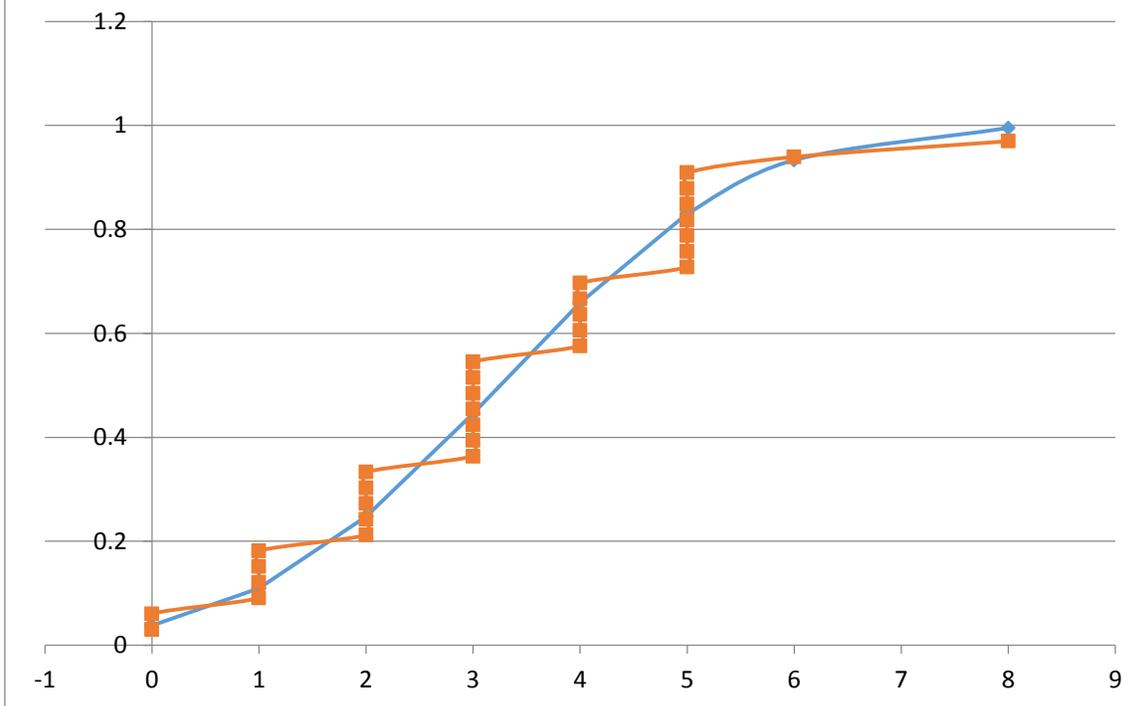
## 2. Preparation - Medium Severity



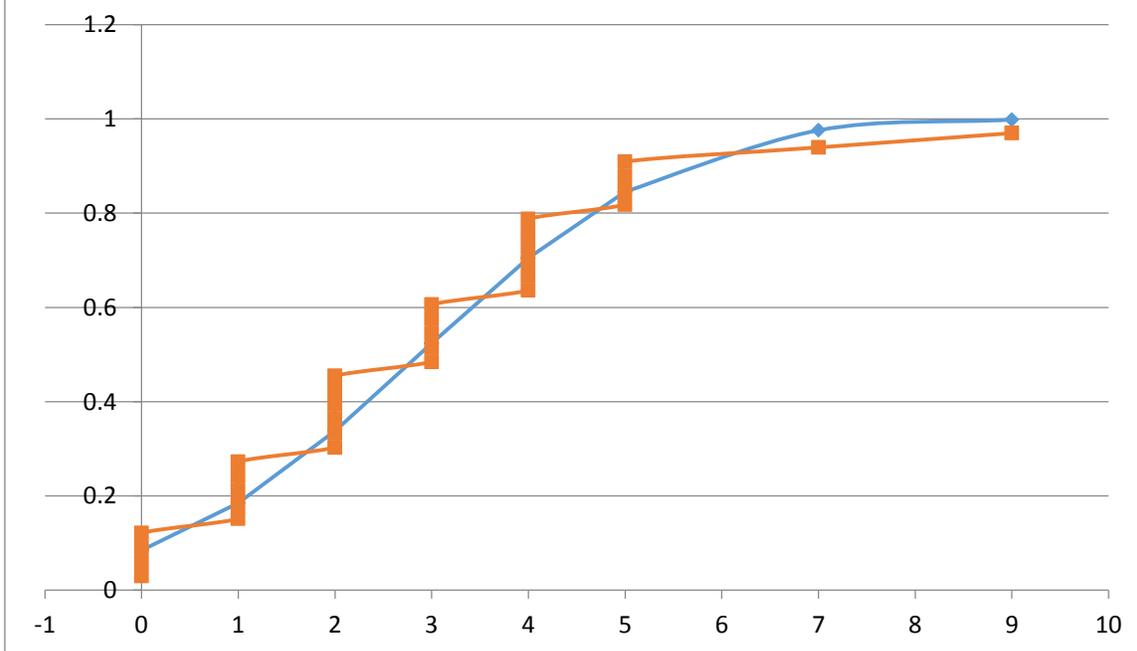
## 3. Moving (Optional) - Medium Severity

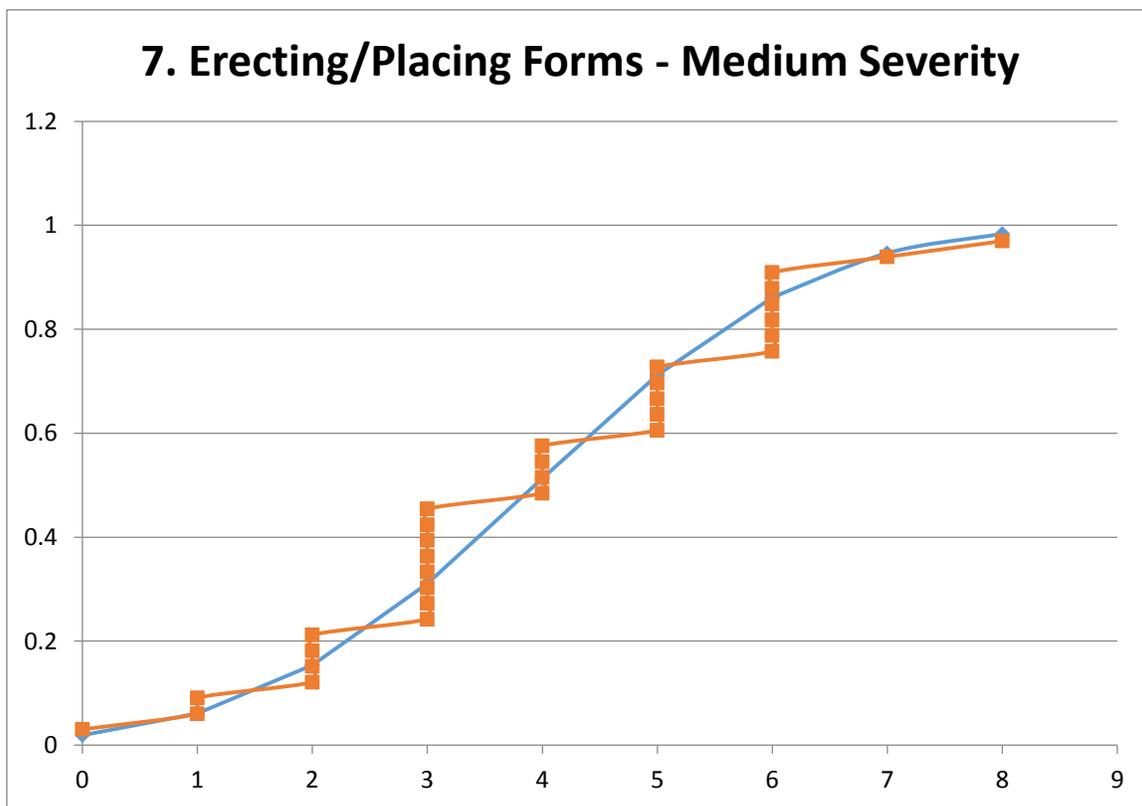
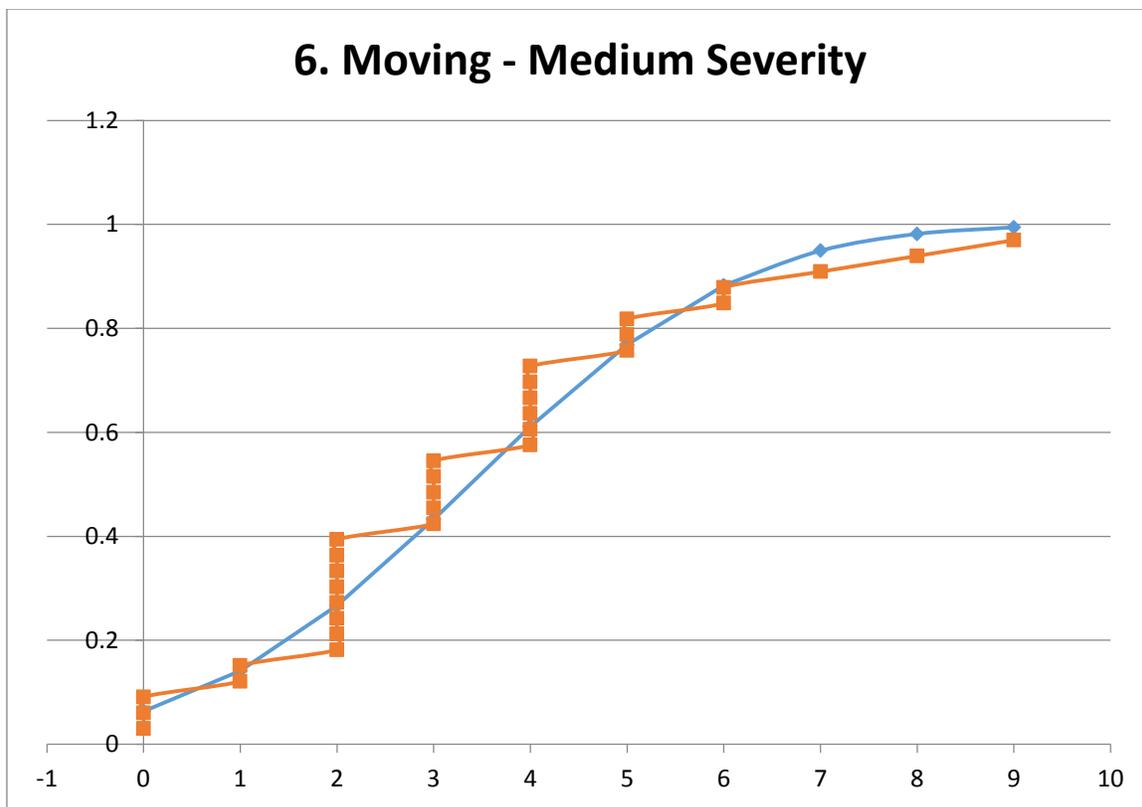


#### 4. Assembling Forms - Medium Severity

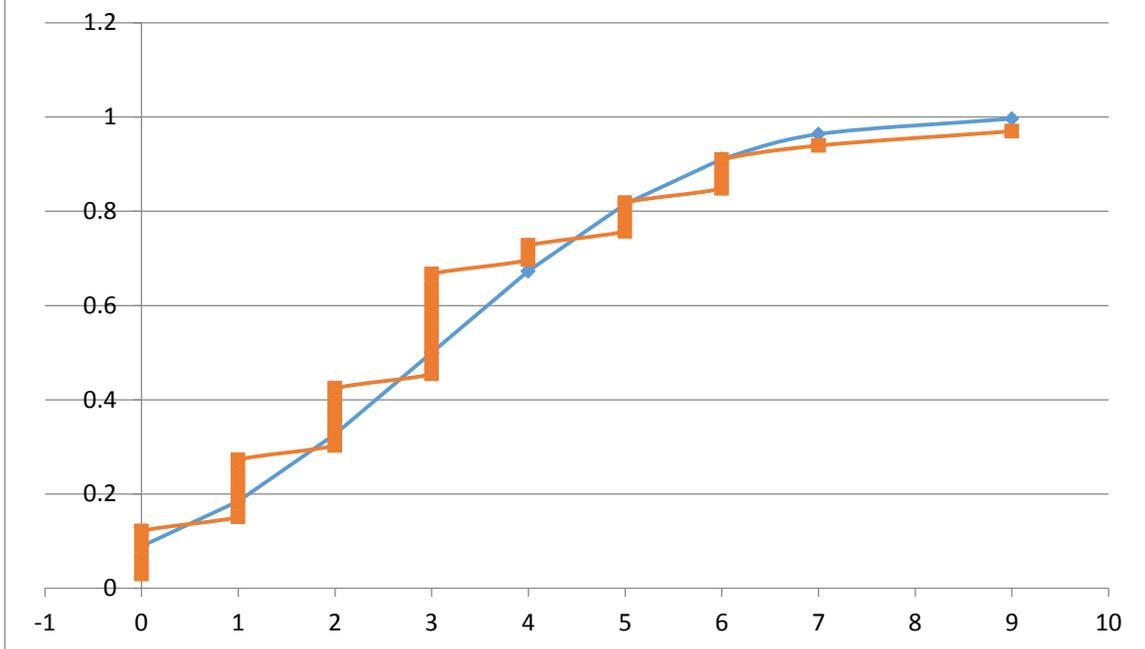


#### 5. Stacking prepared forms - Medium Severity

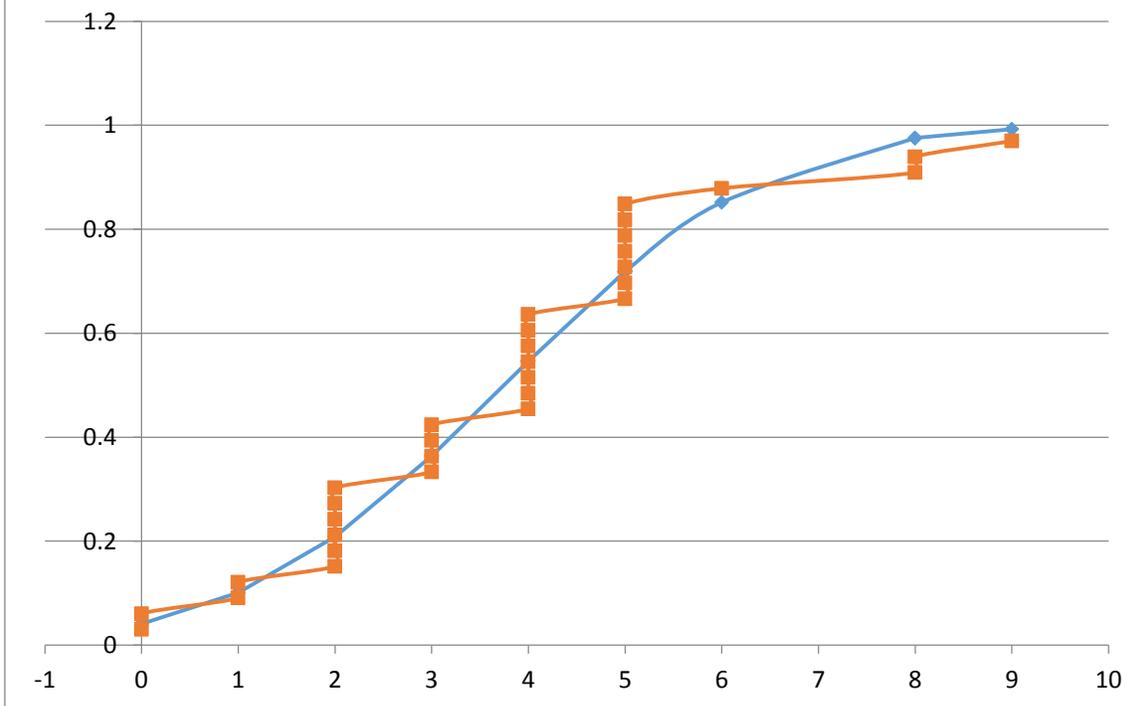


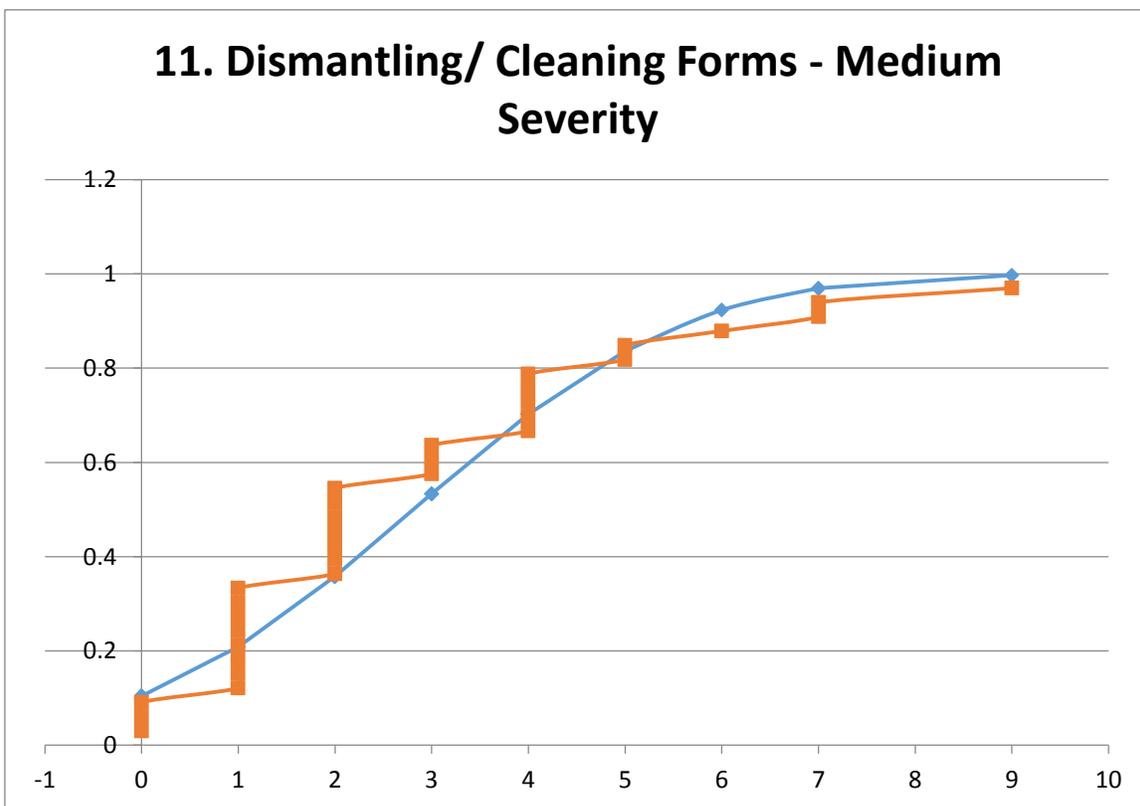
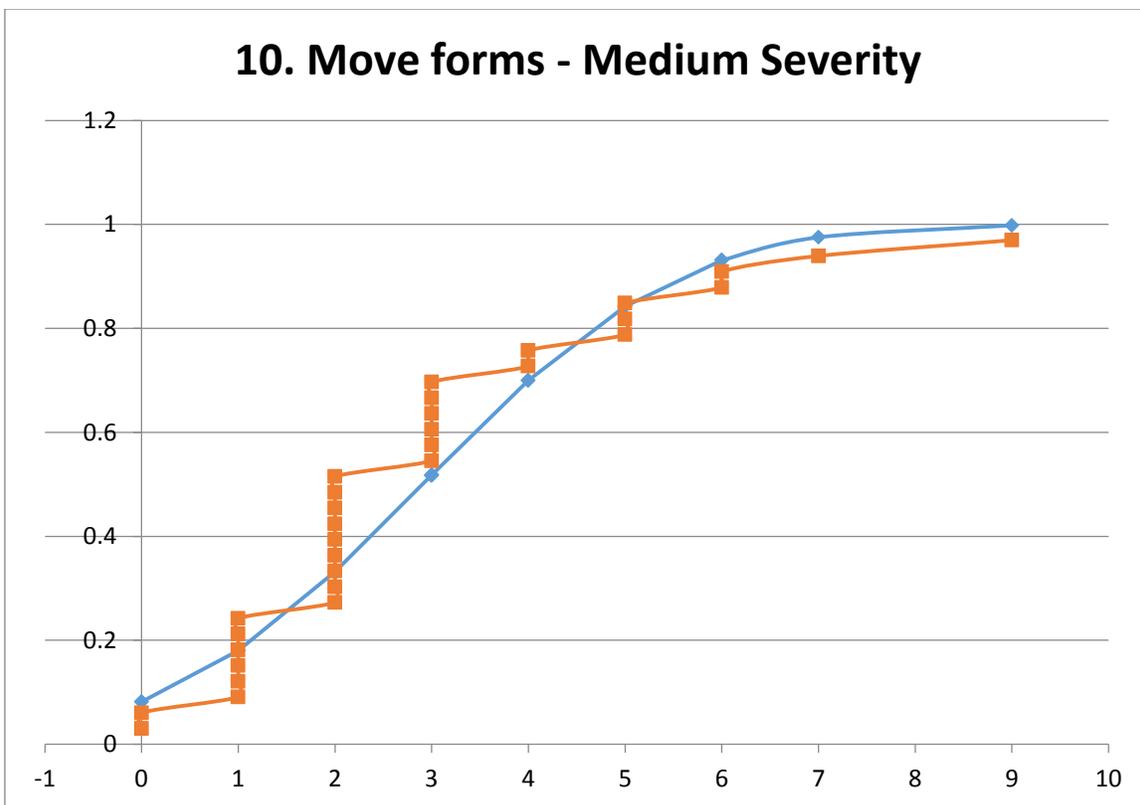


### 8. Pouring Concrete/ Curing - Medium Severity

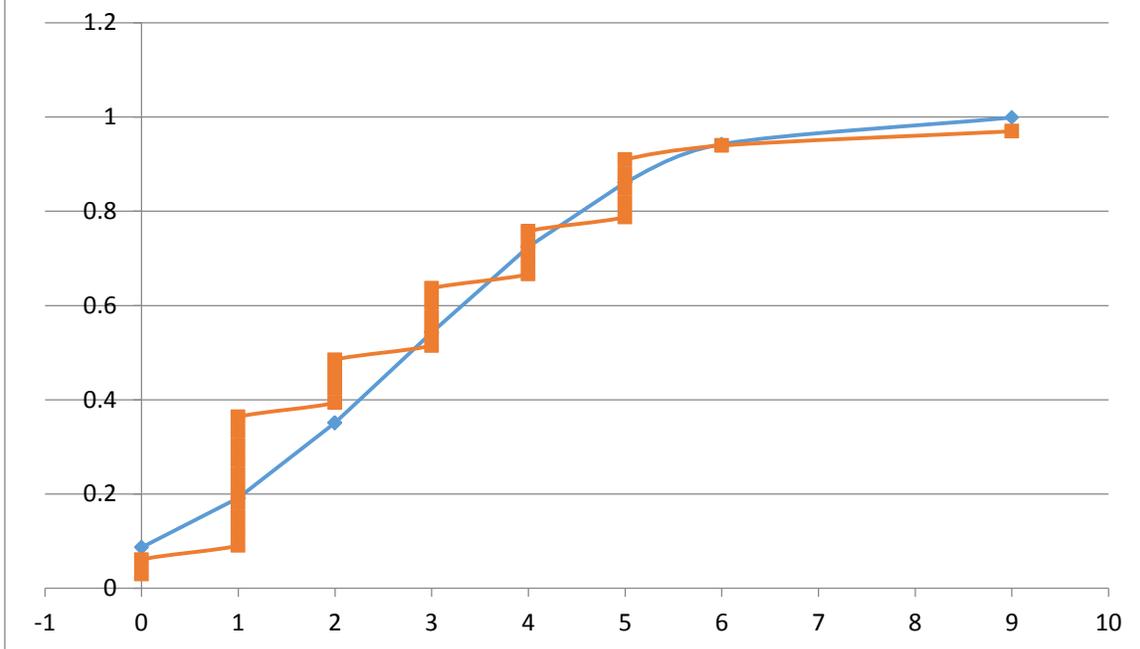


### 9. Stripping Forms - Medium Severity

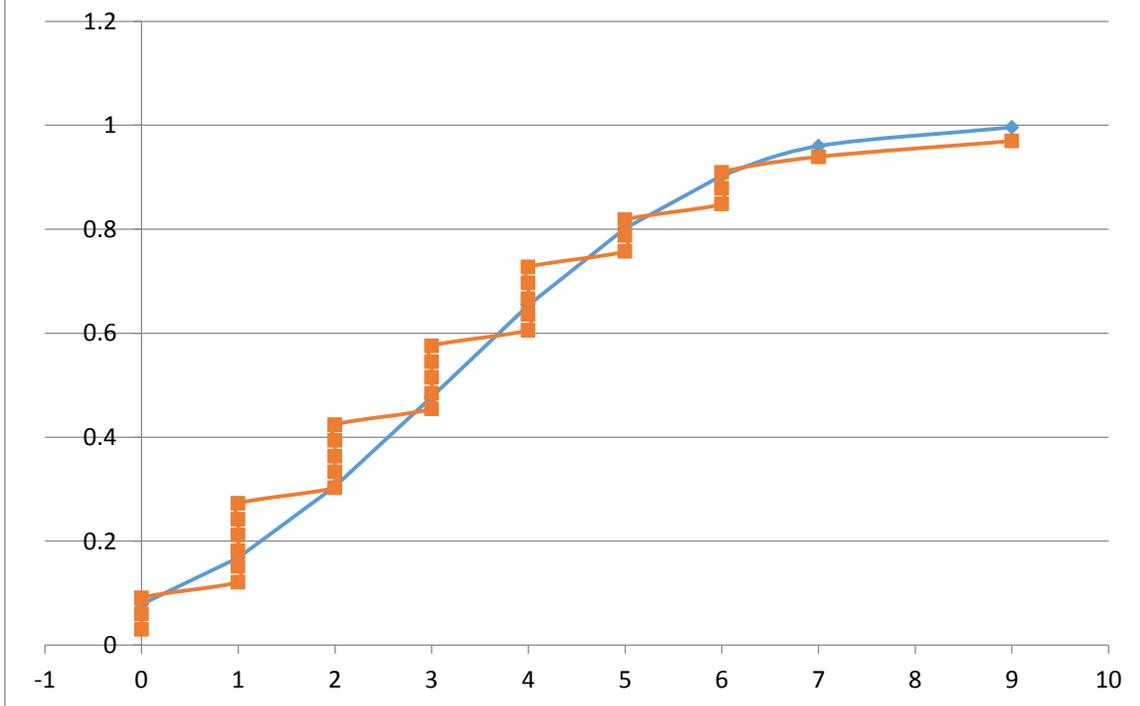




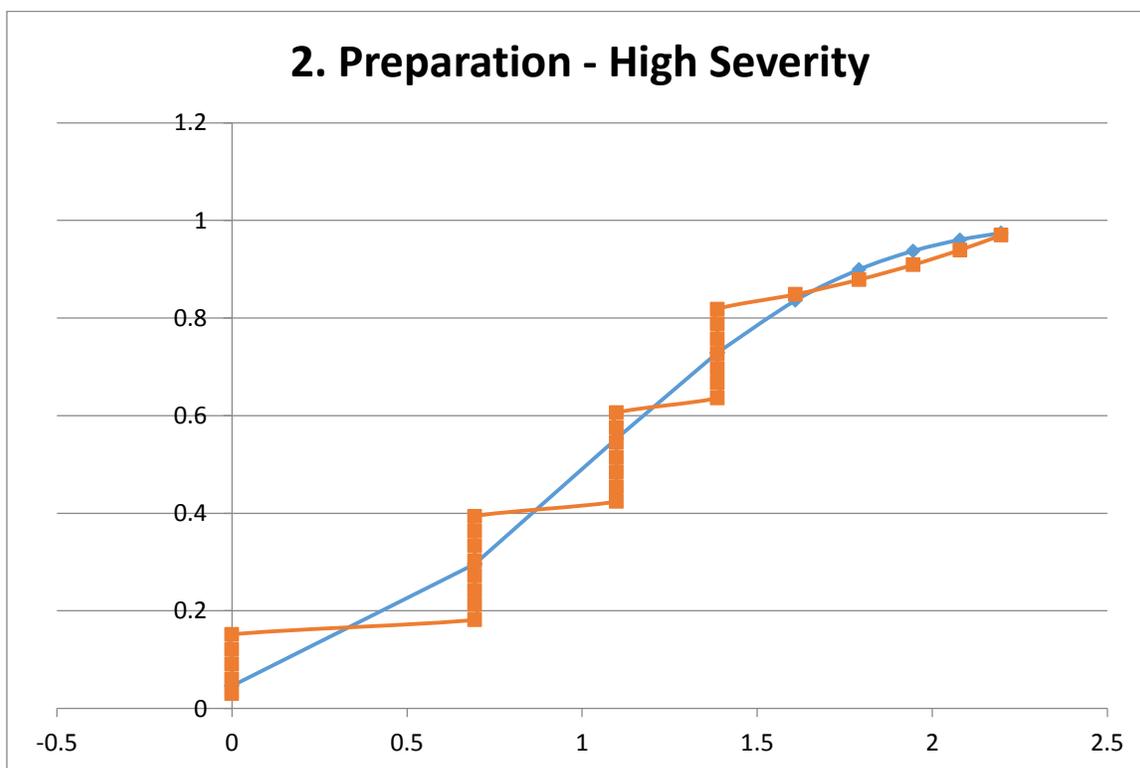
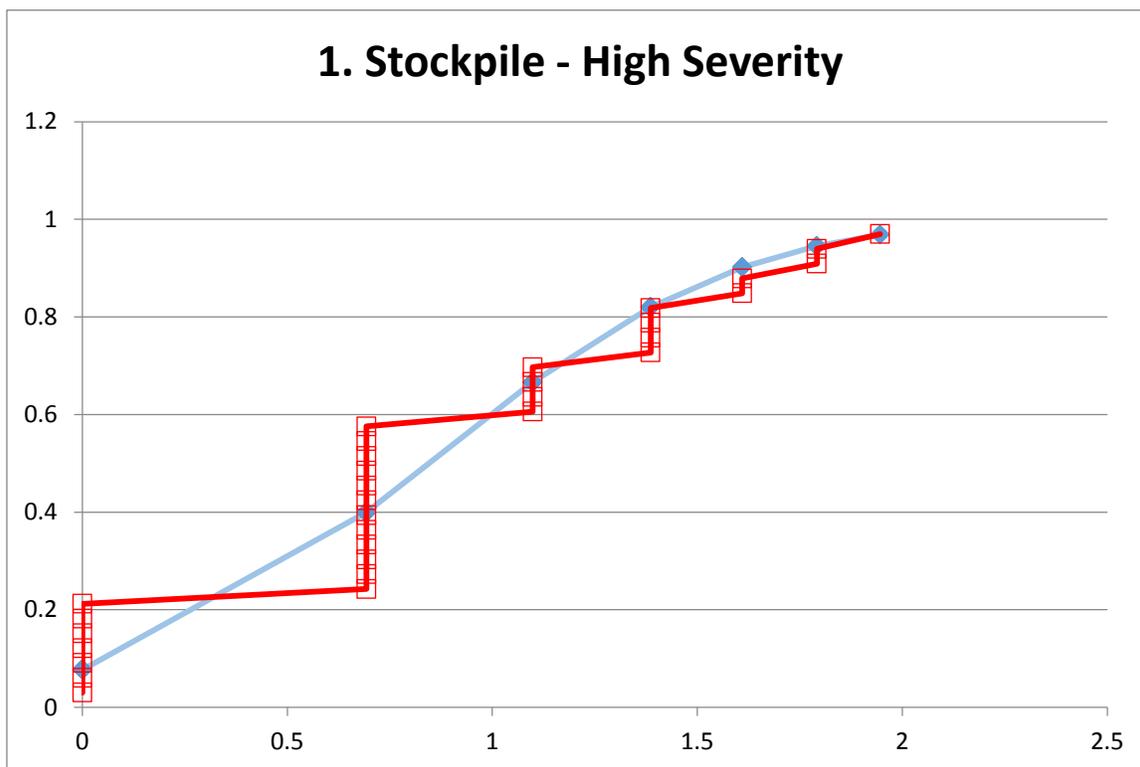
## 12. Move Forms/ Form Components - Medium Severity



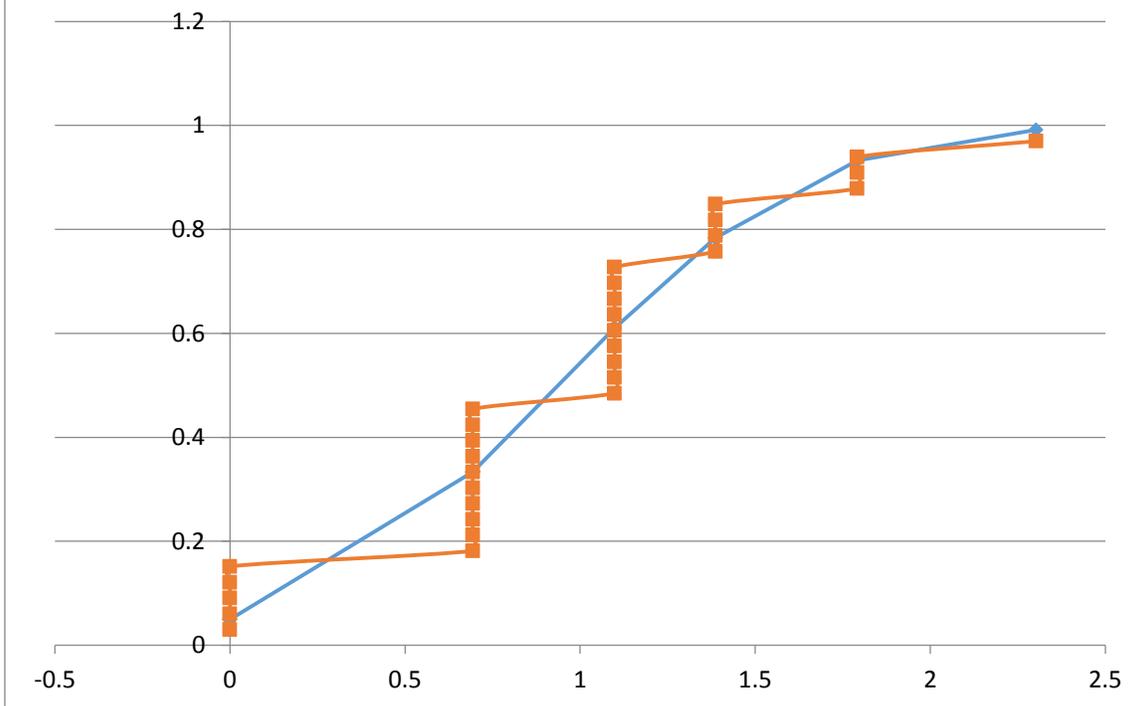
## 13. Stack/ Stockpile Forms - Medium Severity



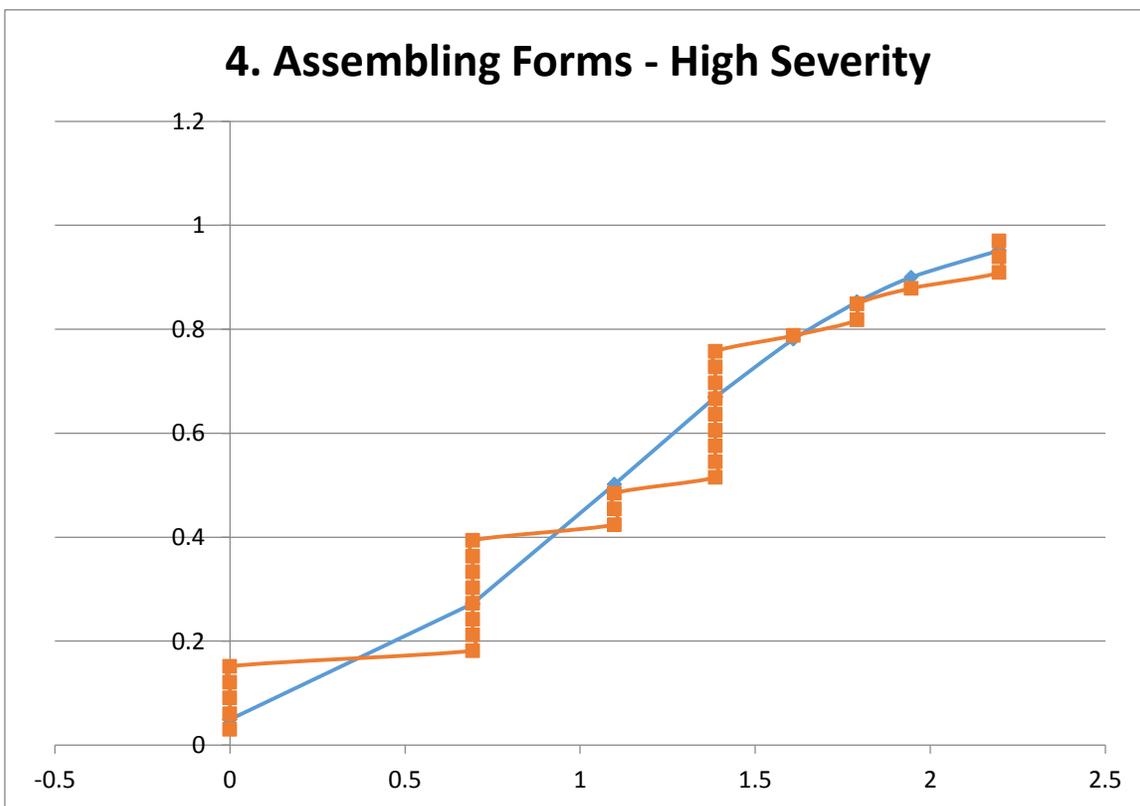
## CDF Plots for High Severity: Lognormal distribution



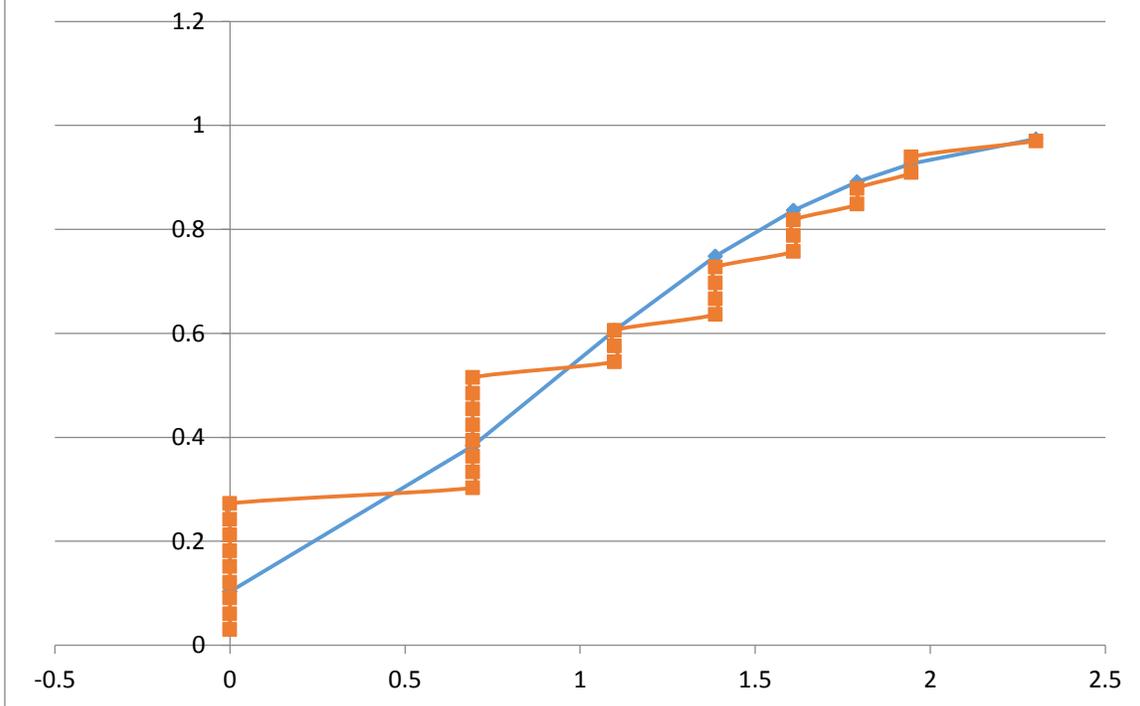
### 3. Moving (Optional) - High Severity



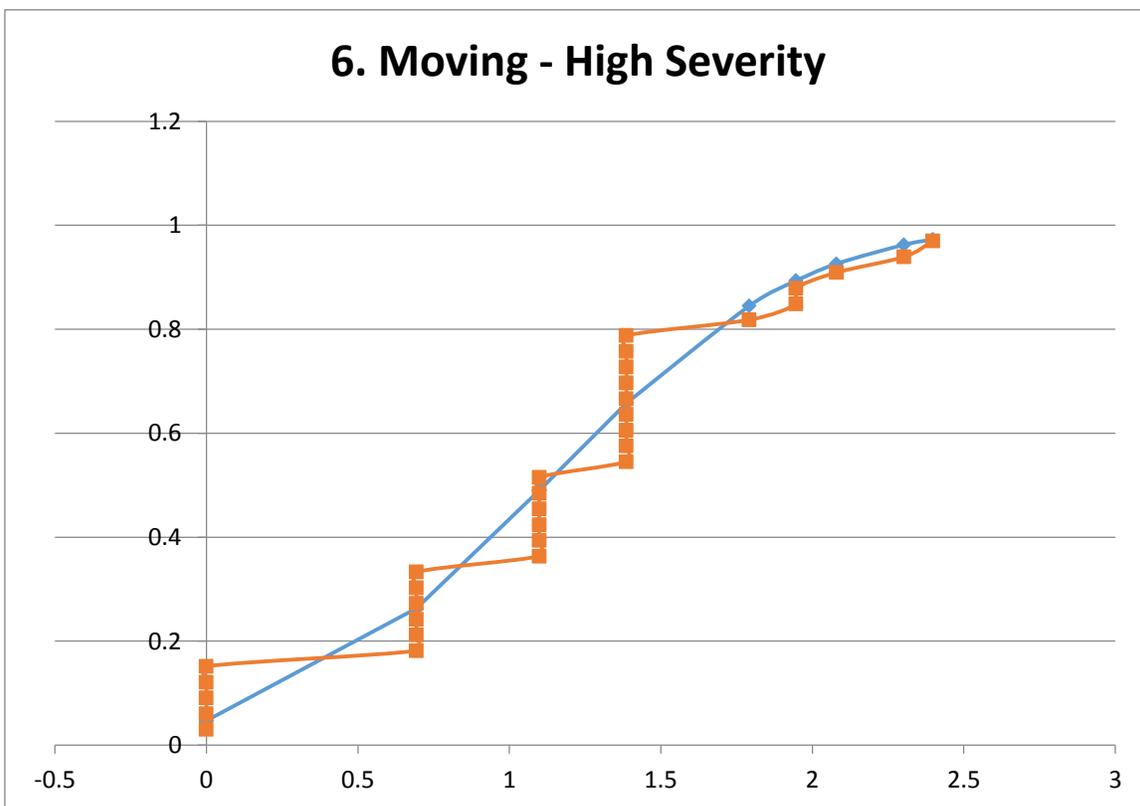
### 4. Assembling Forms - High Severity



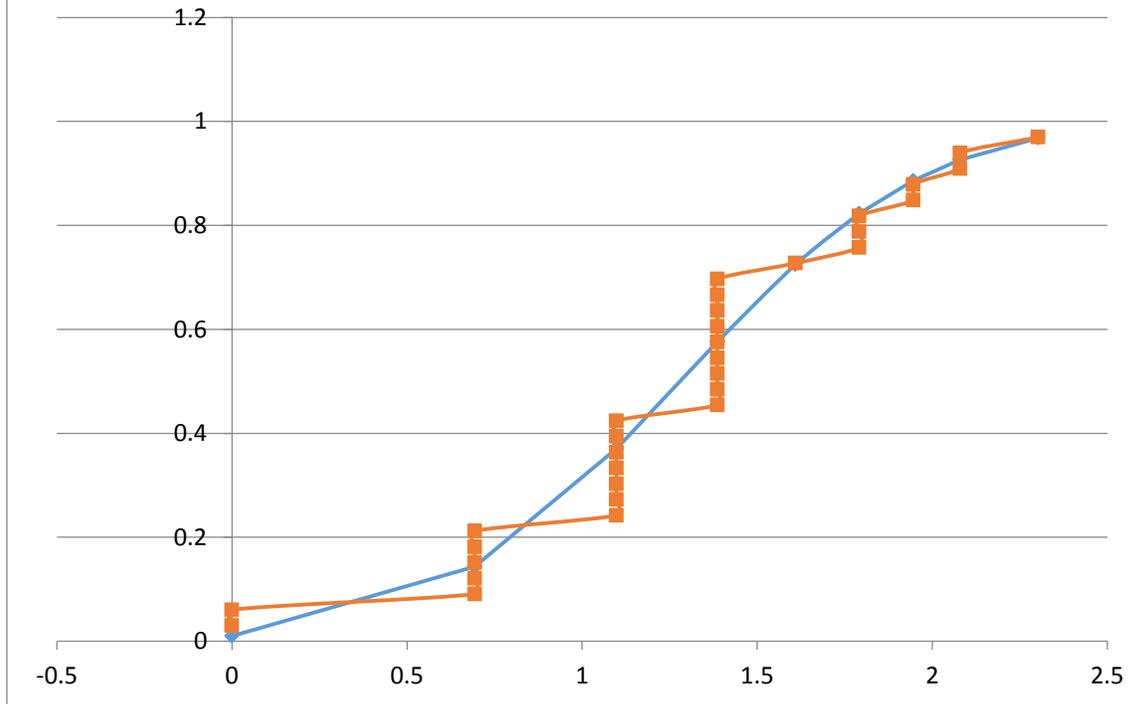
### 5. Stacking prepared forms - High Severity



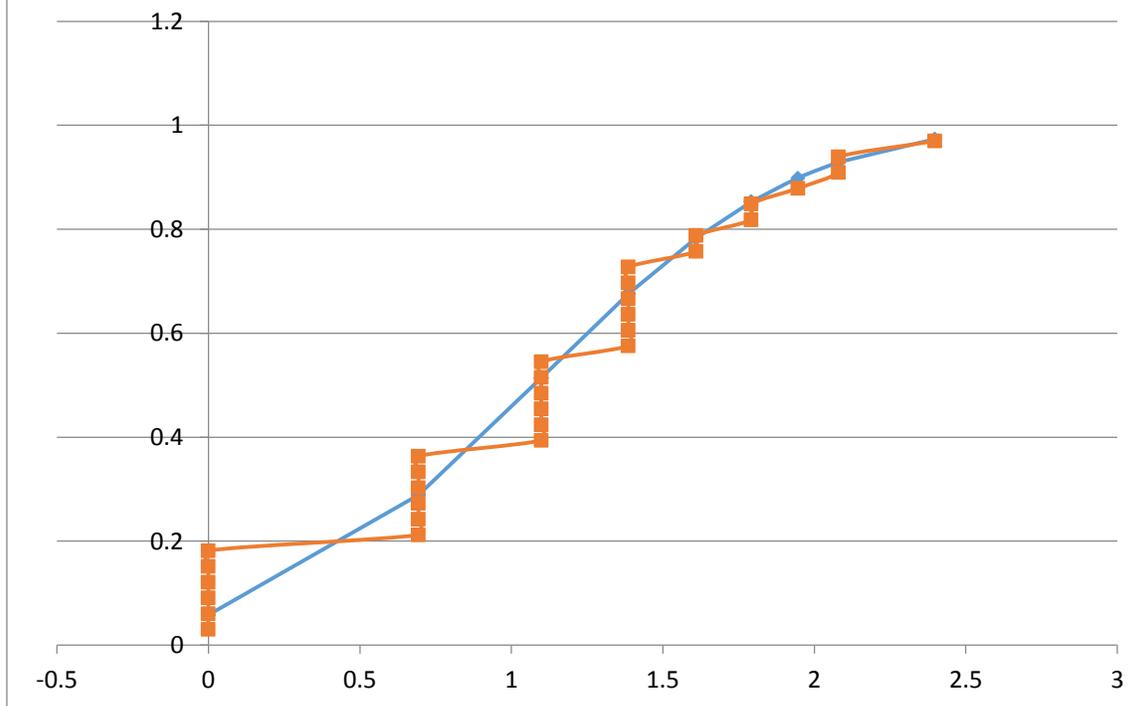
### 6. Moving - High Severity

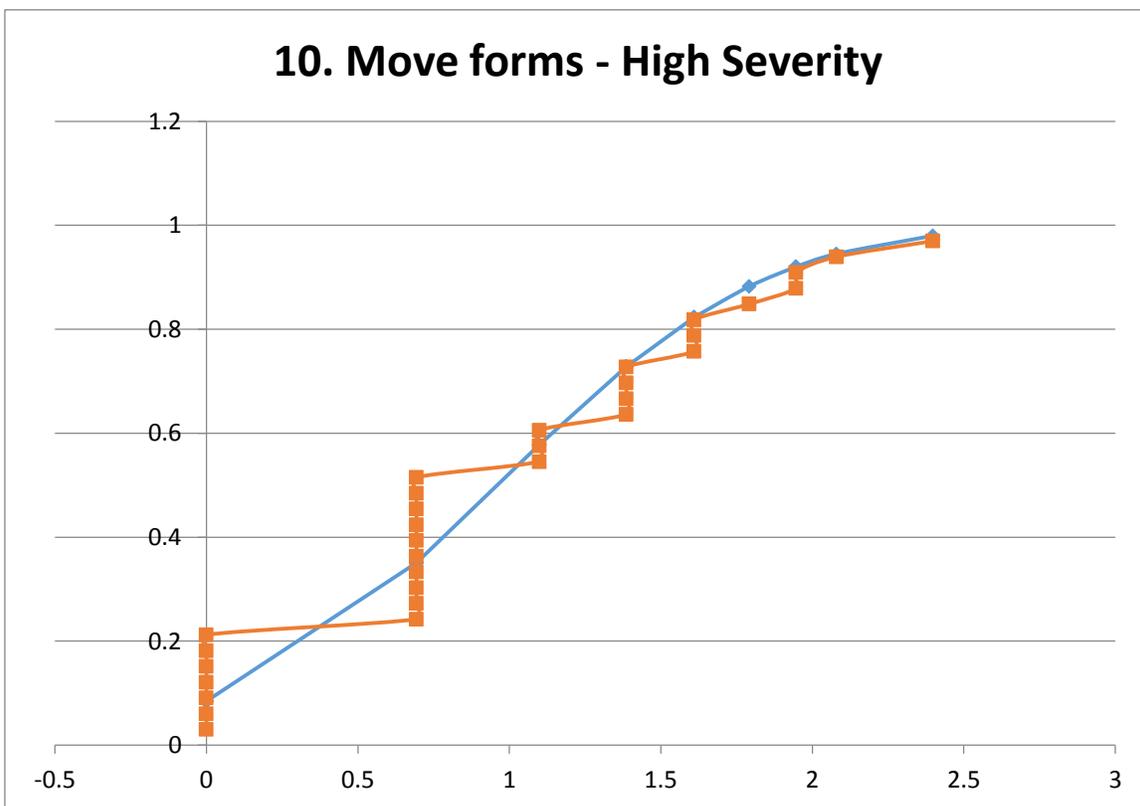
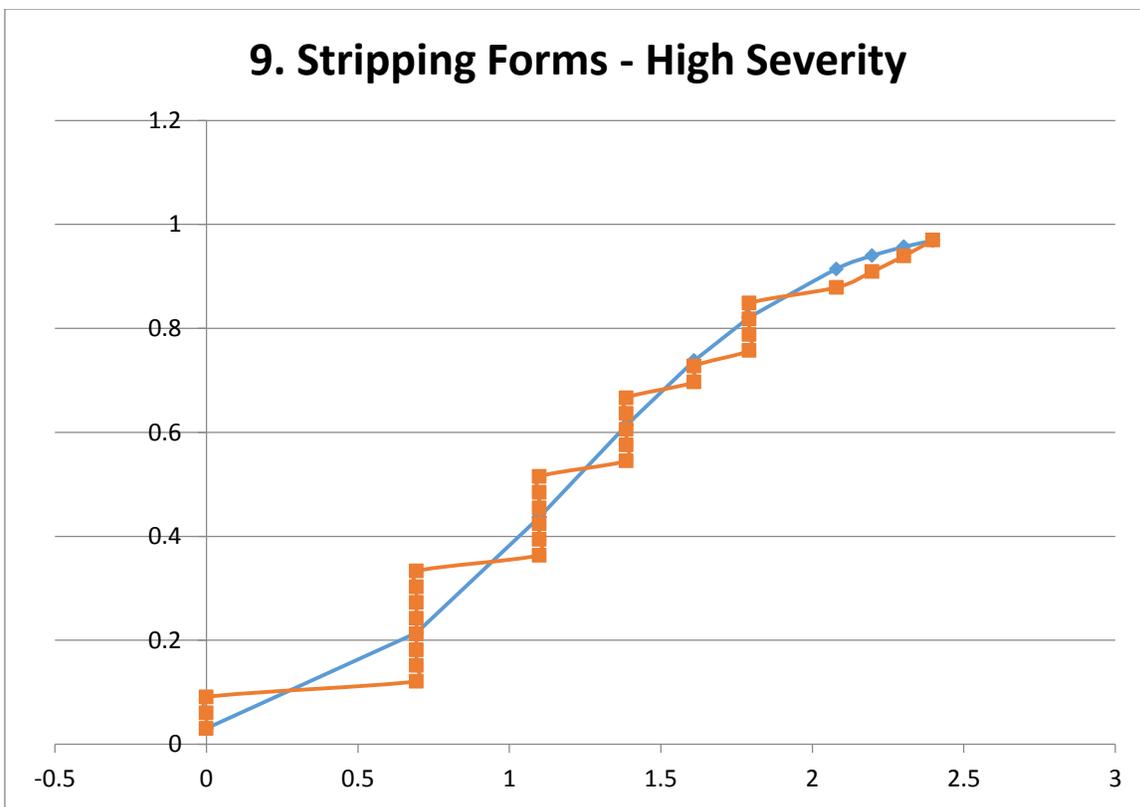


### 7. Erecting/Placing Forms - High Severity

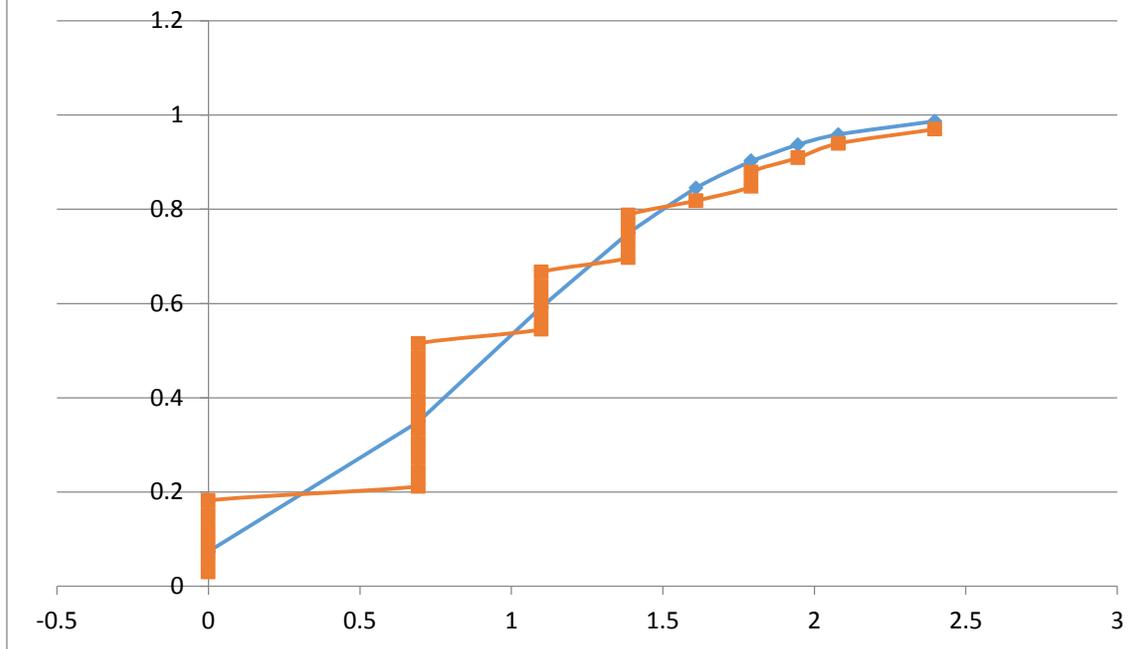


### 8. Pouring Concrete/ Curing - High Severity

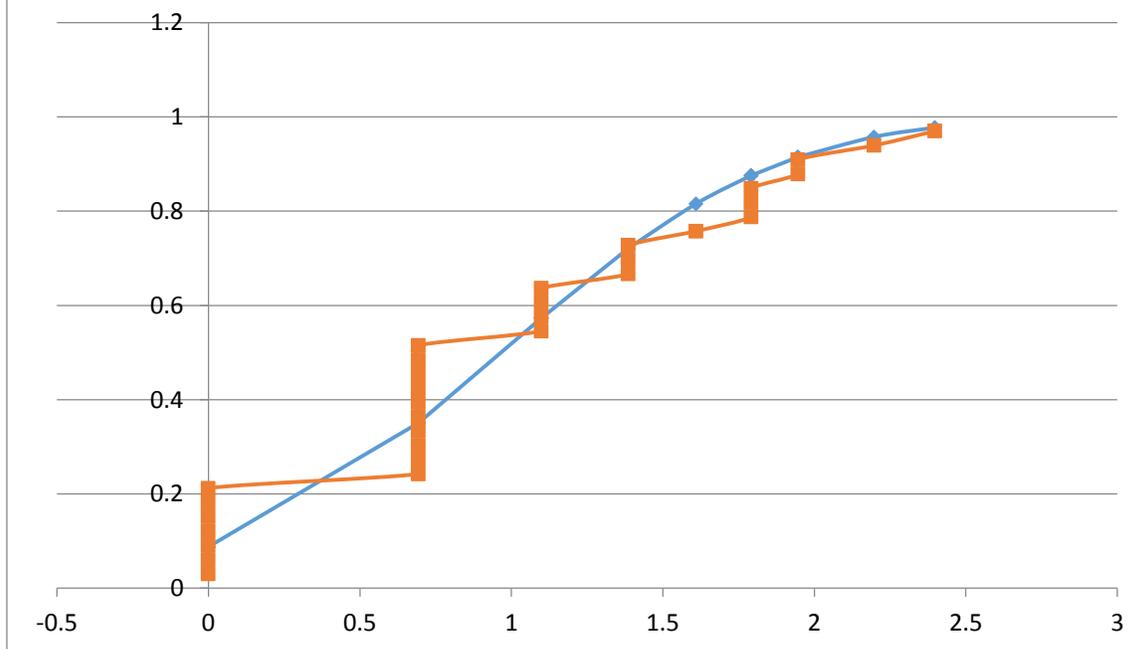


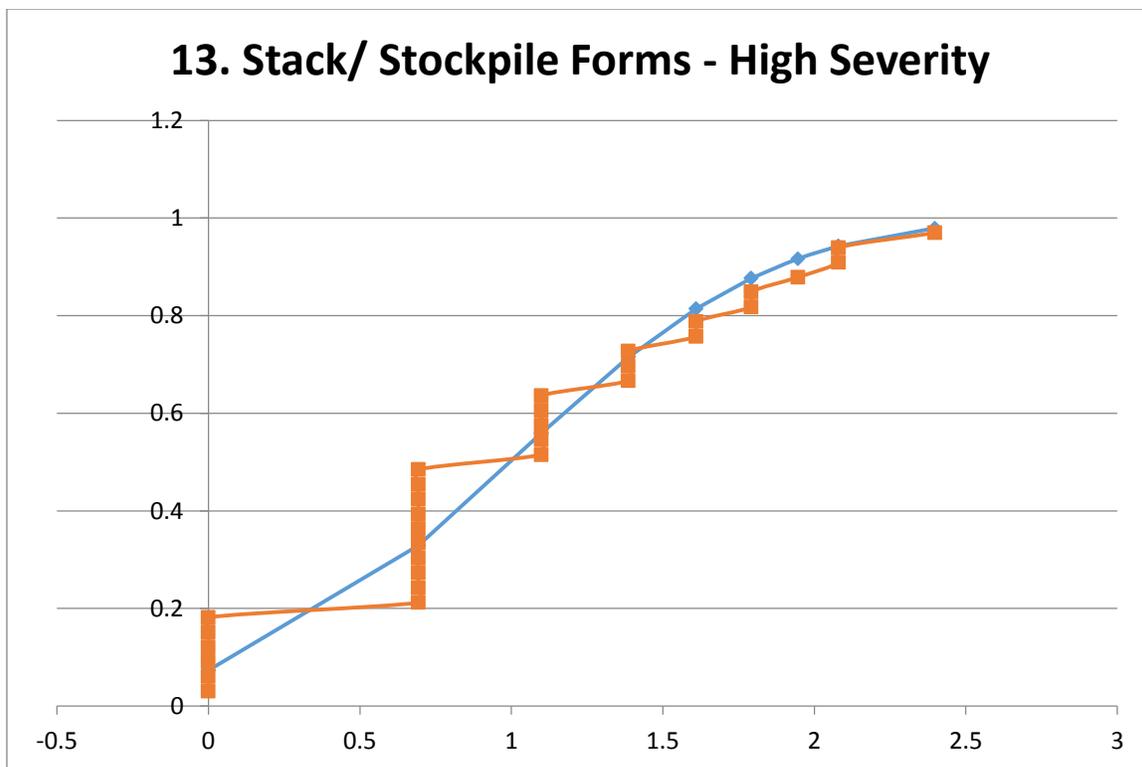


### 11. Dismantling/ Cleaning Forms - High Severity

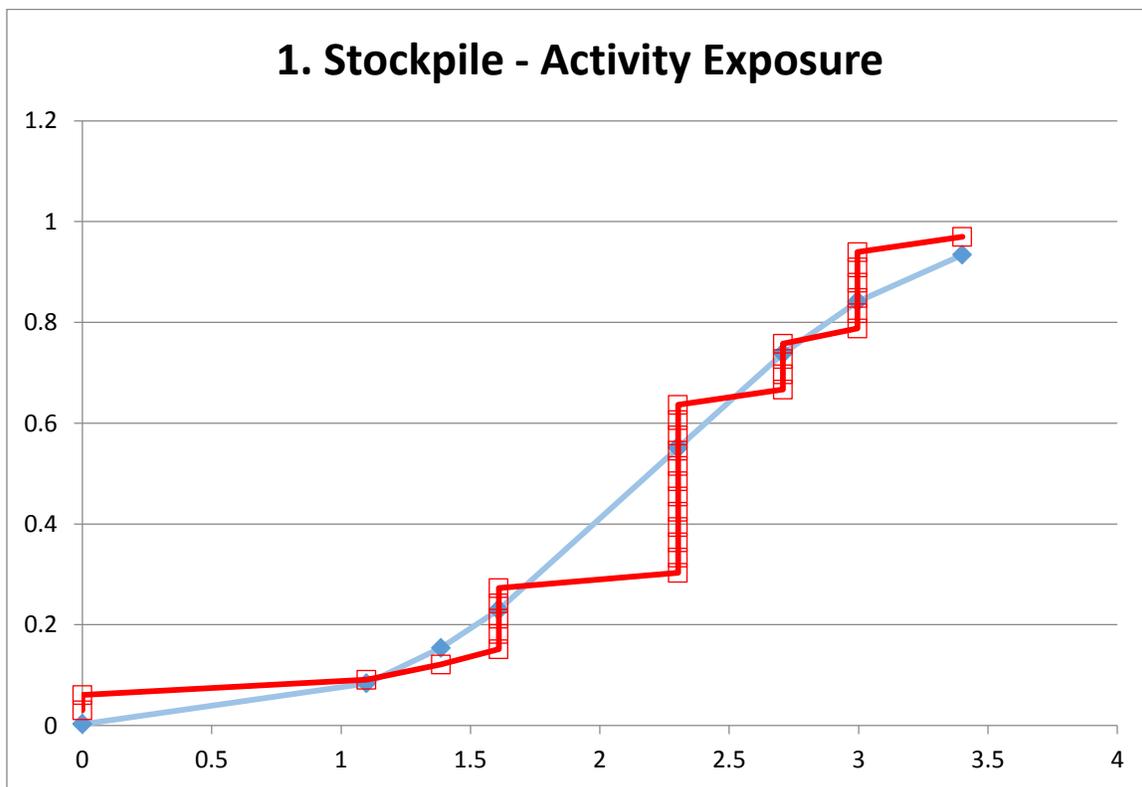


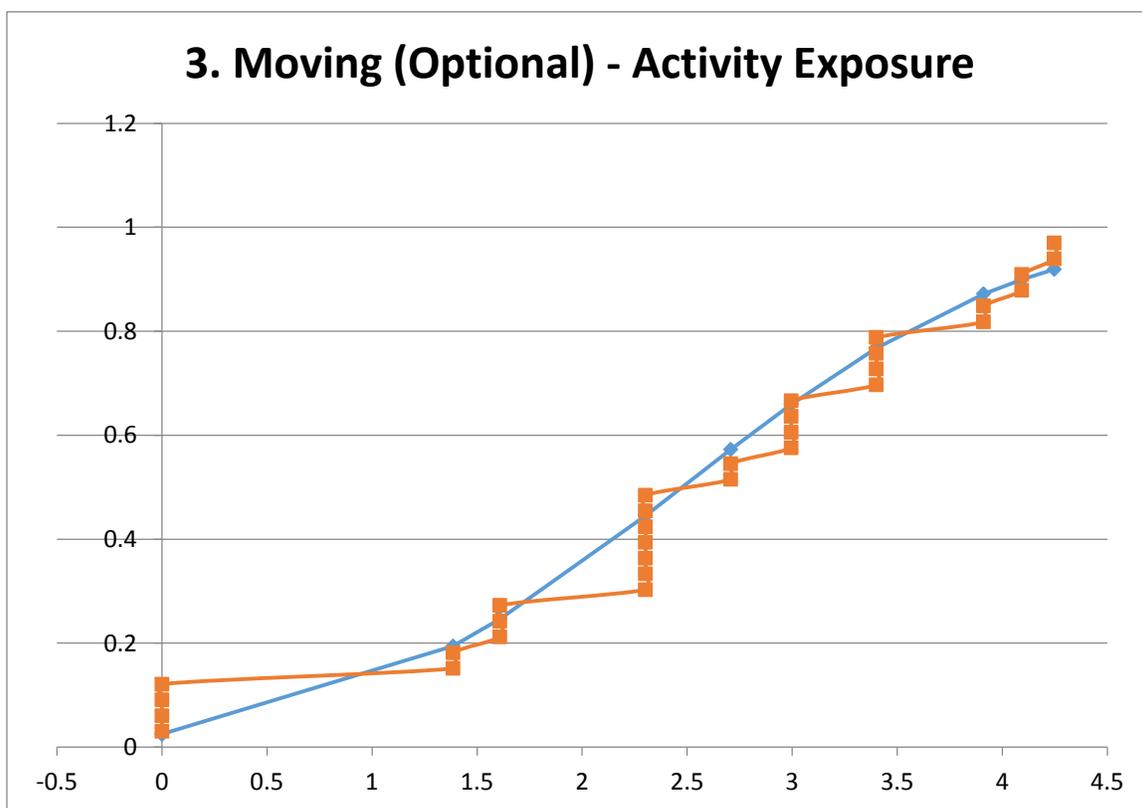
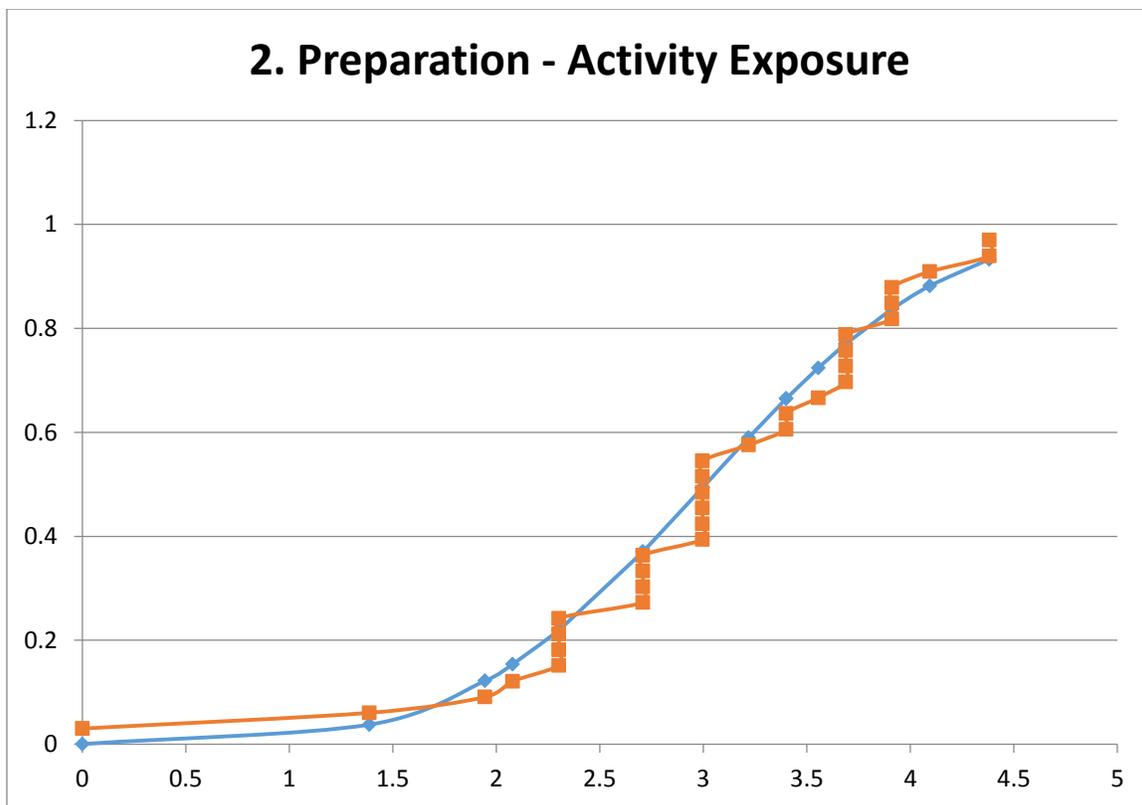
### 12. Move Forms/ Form Components - High Severity



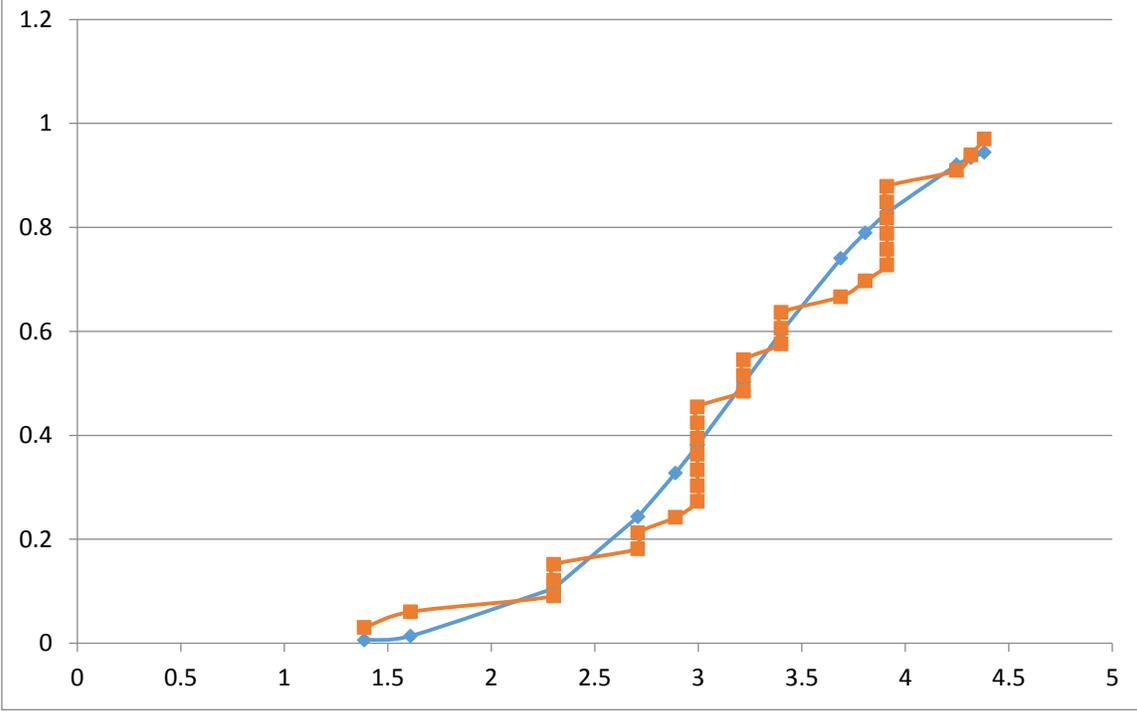


**CDF Plots for Activity Exposure: Lognormal distribution**

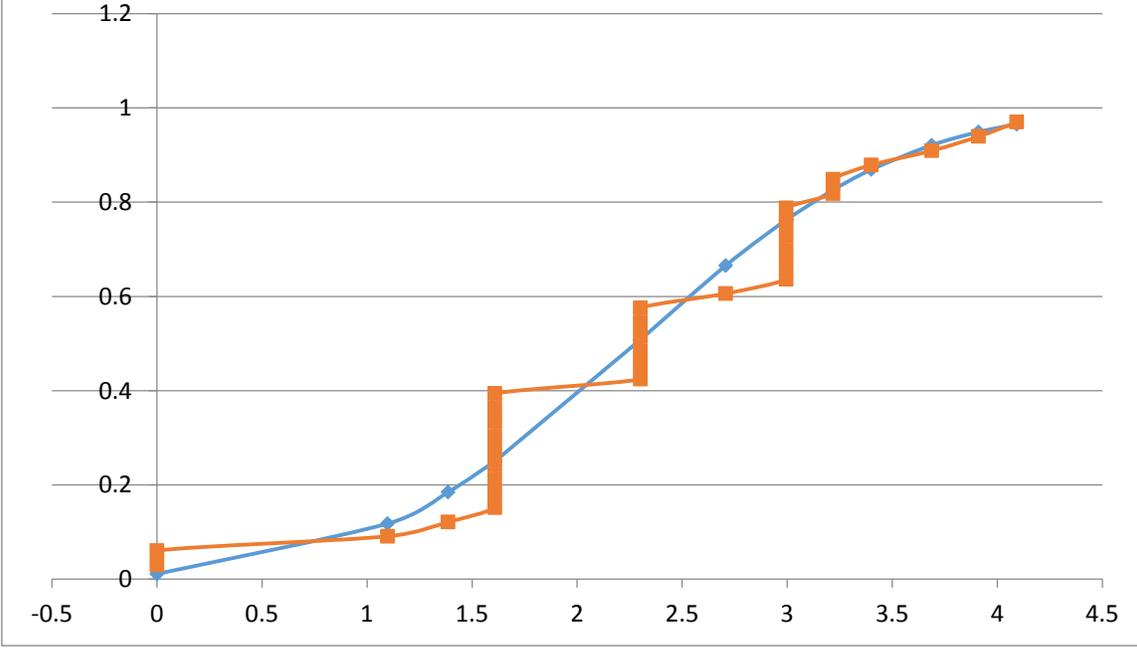




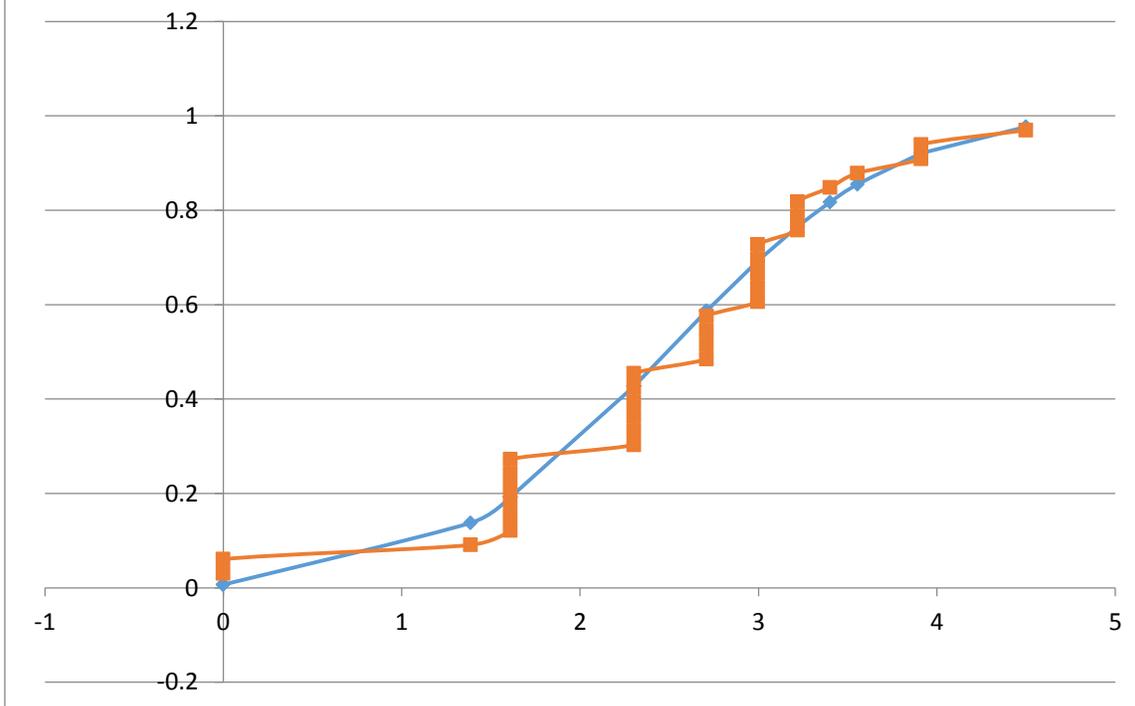
### 4. Assembling Forms - Activity Exposure



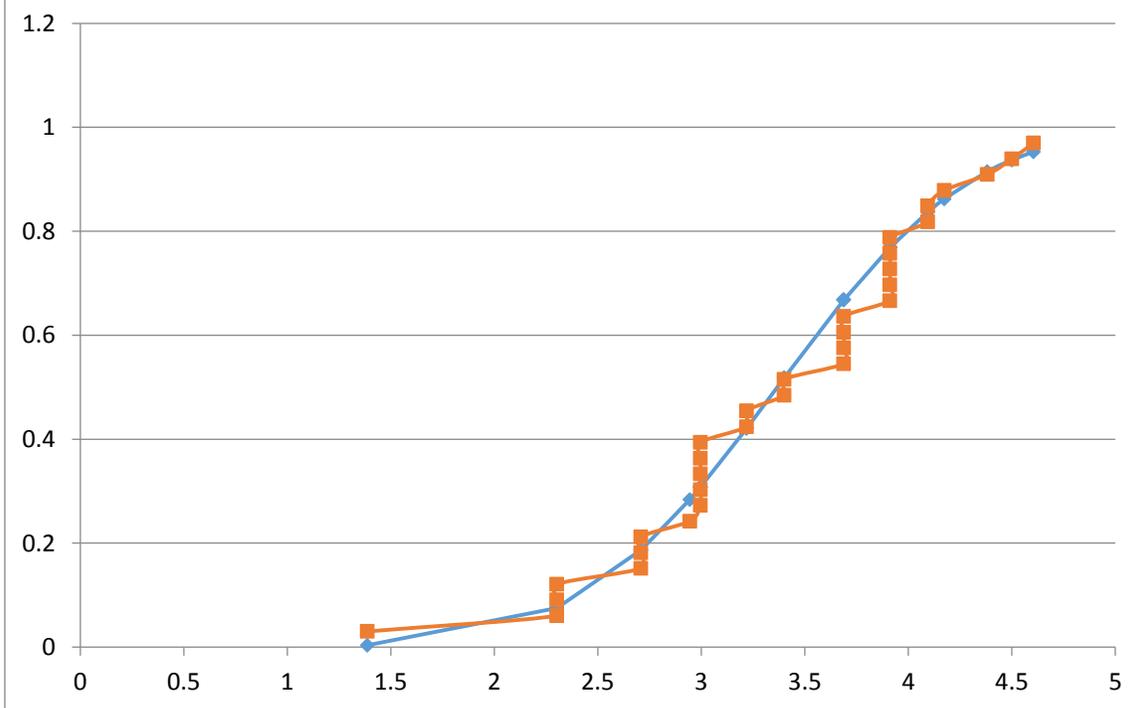
### 5. Stacking prepared forms - Activity Exposure



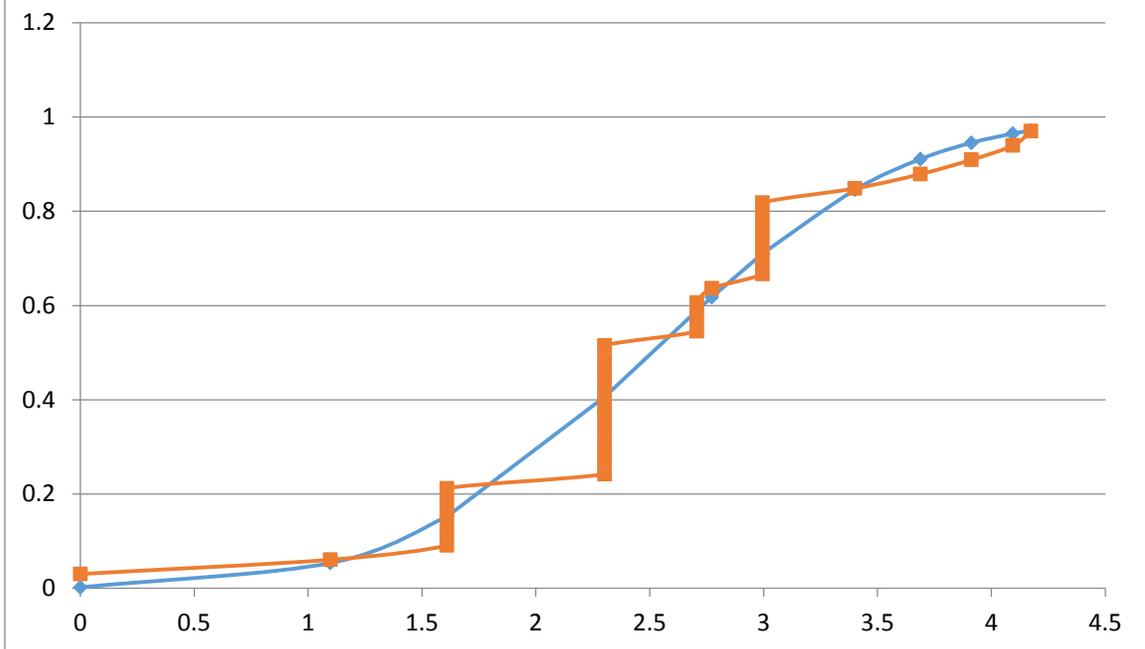
## 6. Moving - Activity Exposure



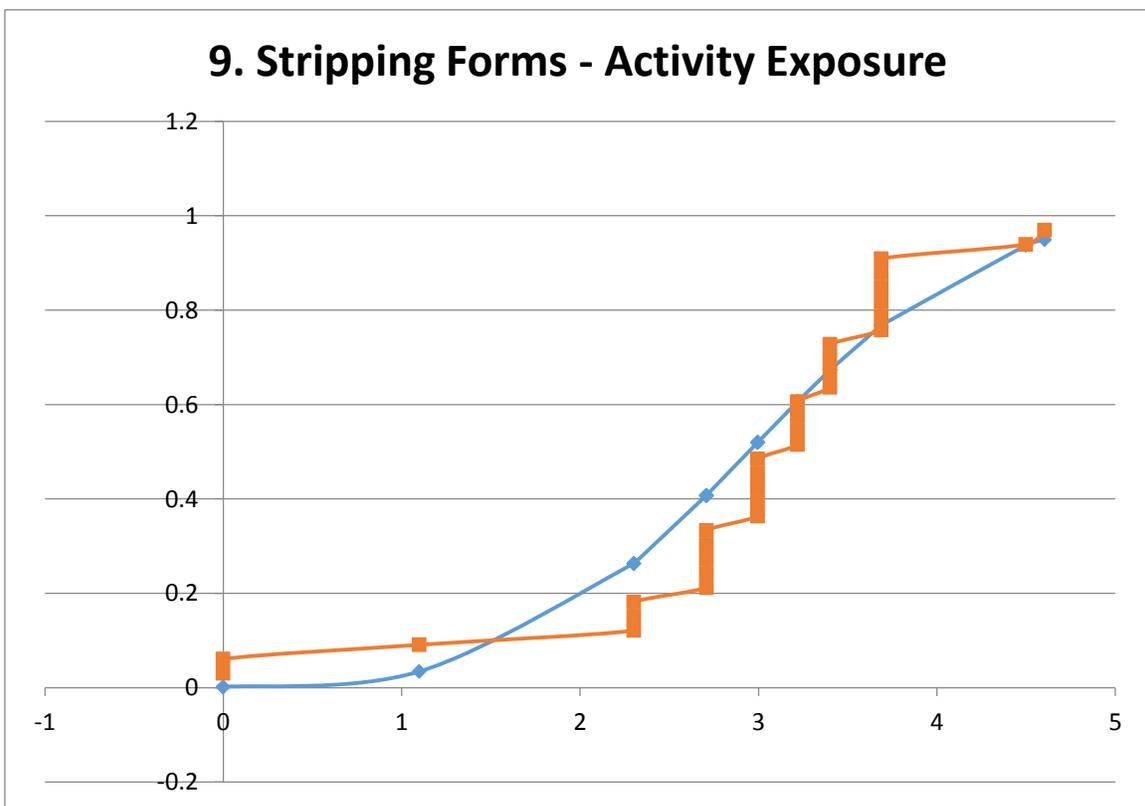
## 7. Erecting/Placing Forms - Activity Exposure



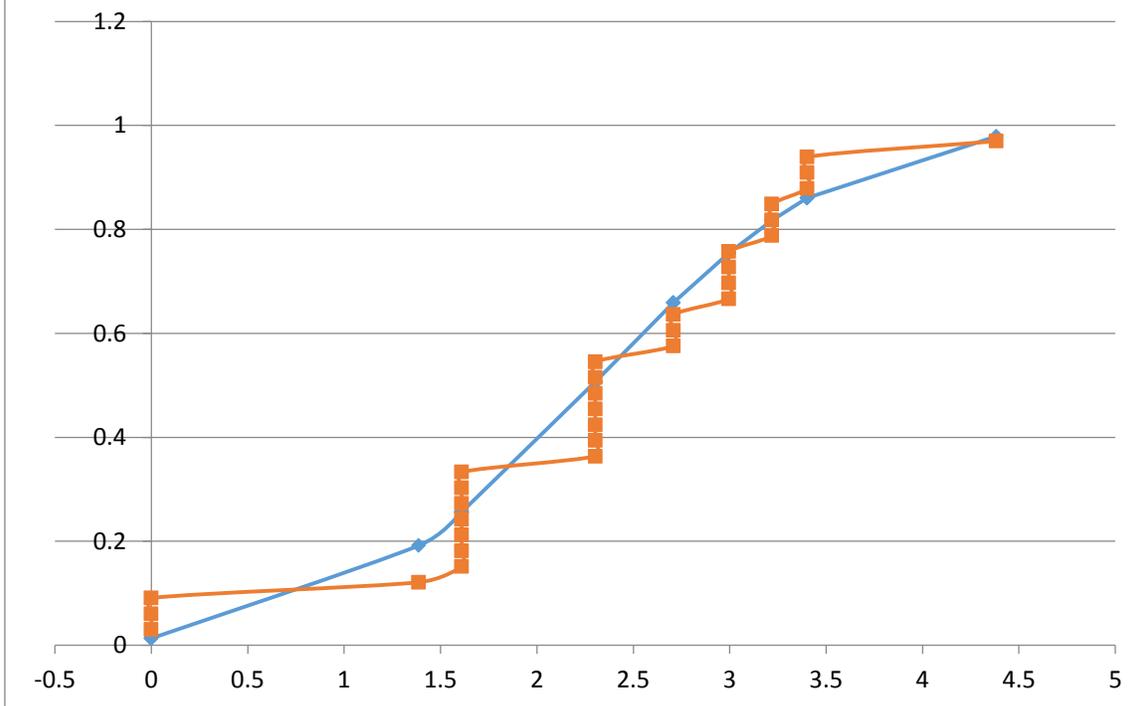
### 8. Pouring Concrete/ Curing - Activity Exposure



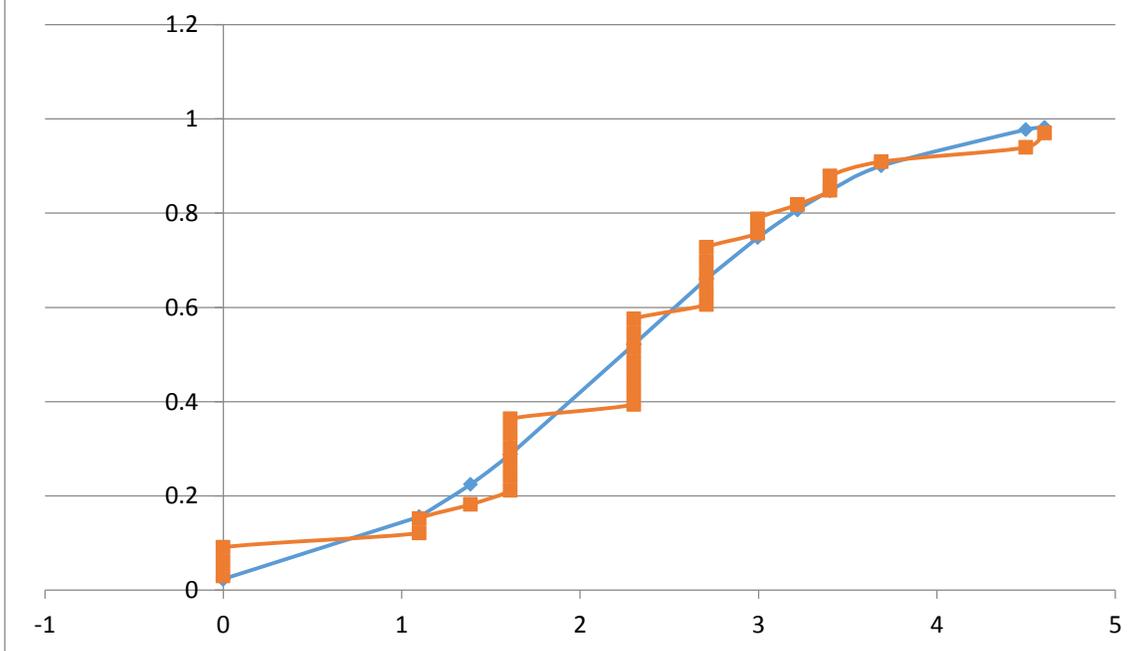
### 9. Stripping Forms - Activity Exposure



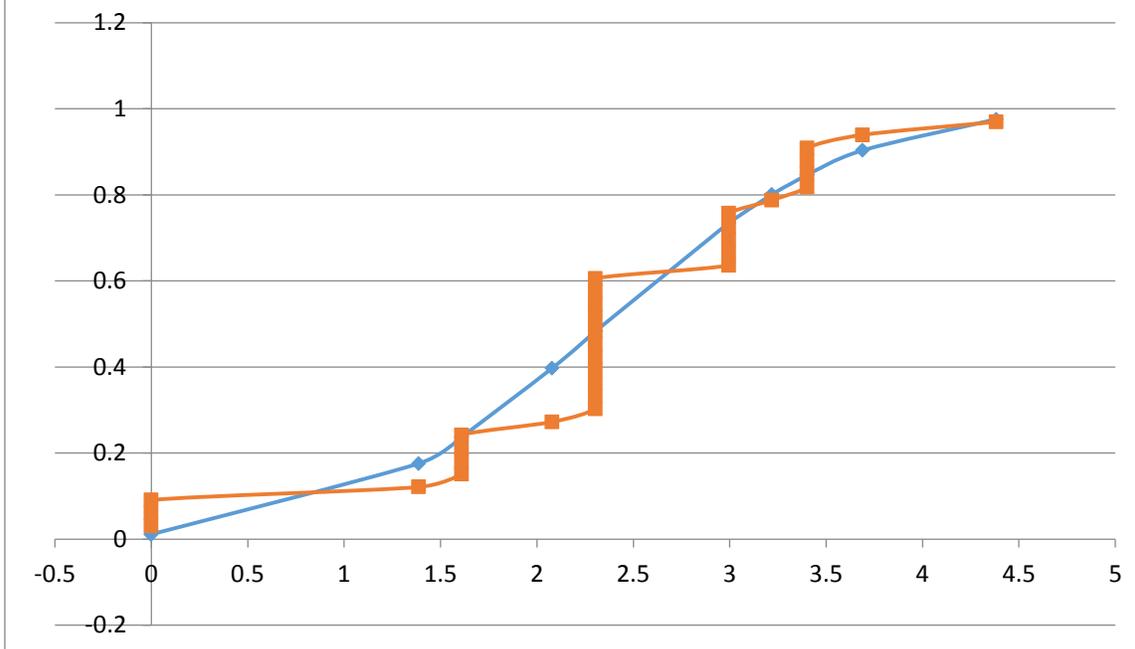
### 10. Move forms - Activity Exposure



### 11. Dismantling/ Cleaning Forms - Activity Exposure



## 12. Move Forms/ Form Components - Activity Exposure



## 13. Stack/ Stockpile Forms - Activity Exposure

