Activity and Phylogenetic Diversity of Bacterial Cells with High and Low Nucleic Acid Content and Electron Transport System Activity in an Upwelling Ecosystem

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We evaluated whether bacteria with higher cell-specific nucleic acid content (HNA) or an active electron transport system, i.e., positive for reduction of 5-cyano-2,3-ditolyl tetrazolium chloride (CTC), were responsible for the bulk of bacterioplankton metabolic activity. We also examined whether the phylogenetic diversity of HNA and CTC-positive cells differed from the diversity of Bacteria with low nucleic acid content (LNA). Bacterial assemblages were sampled both in eutrophic shelf waters and in mesotrophic offshore waters in the Oregon coastal upwelling region. Cytometrically sorted HNA, LNA, and CTC-positive cells were assayed for their cell-specific [3H]leucine incorporation rates. Phylogenetic diversity in sorted non-radioactively labeled samples was assayed using denaturing gradient gel electrophoresis (DGGE) of PCR-amplified 16S rRNA genes. Cell-specific rates of leucine incorporation of HNA and CTC-positive cells were on average only slightly greater than the cell-specific rates of LNA cells. HNA cells accounted for most bacterioplankton substrate incorporation due to high abundances, while the low abundances of CTC-positive cells resulted in only a small contribution by these cells to total bacterial activity. The proportion of the total bacterial leucine incorporation attributable to LNA cells was higher in offshore regions than in shelf waters. Sequence data obtained from DGGE bands showed broadly similar phylogenetic diversity across HNA, LNA, and CTC-positive cells, with between-sample and between-region variability in the distribution of phylotypes. Our results suggest that LNA bacteria are not substantially different from HNA bacteria in either cell-specific rates of substrate incorporation or phylogenetic composition and that they can be significant contributors to bacterial metabolism in the sea.

Marine bacteria are responsible for most respiration of organic matter in the sea and play important roles in marine biogeochemical cycles (16, 36, 37). Understanding how bacterial activity varies in time and space is thus vital to understanding the functioning of marine ecosystems. Recent studies support the idea that marine bacterial cells are not uniformly active; rather, subsets of the bacterial assemblage appear to be more or less active at any one time (9, 12, 67). Flow cytometric analysis of marine bacterioplankton routinely shows the presence of two major clusters of bacterial cells based on cell-specific nucleic acid content: high nucleic acid content (HNA) and low-nucleic-acid-content (LNA) cells (22, 29, 39). HNA cells have been proposed to be active cells and LNA cells to be inactive or dead cells (23, 29, 39) or cells with lower cell-specific metabolic activity than HNA cells (67, 77).

The relationship between the incorporation rate of radioactively labeled substrates and the cell-specific nucleic acid content has been examined by flow cytometric sorting of HNA and LNA cells (3, 35, 58, 59, 77). For both in situ samples and samples from a mesocosm enriched with nitrogen and phosphorus, HNA cells have been found to be responsible for a larger fraction of bacterial leucine incorporation than were LNA cells (35, 58, 59). However, in a study in the Celtic Sea, Zubkov et al. (77) reported that when incorporation rates of 35S-methionine were scaled to cell-specific protein content, LNA cells had biomass-specific incorporation rates higher than those found for HNA cells in surface waters, although not in pycnocline or deeper waters.

The relative activity of bacterial cells has also been assessed using the redox dye 5-cyano-2,3-ditolyl tetrazolium chloride (CTC) (55, 68). The abundance of CTC-positive cells has been reported to be positively correlated with whole-water respiration rates (66) and with volumetric incorporation rates of [3H]leucine or [3H]thymidine (13, 61). CTC reduction has been hypothesized to indicate the most highly metabolically active cells in a bacterial assemblage (48, 61). However, this does not necessarily imply that CTC-positive cells are dominant in terms of biosynthetic activity, since CTC reduction is an indicator of respiration. If balanced growth is not occurring, the number of cells reducing CTC may not correlate with community or cell-specific leucine or thymidine incorporation rates. For example, Servais et al. (57) sorted CTC-positive cells preincubated with [3H]leucine and found that CTC-positive cells contributed <40% of the total bacterial substrate incorporation in two eutrophic coastal marine systems in which the percentages of CTC-positive cells were higher than typically found in open-ocean habitats.

The idea that HNA, LNA, and CTC-positive bacteria may be phylogenetically, as well as metabolically, variable compared to the aggregate bacterial assemblage has been explored in a few studies. Differences in the phylogenetic diversities of HNA and LNA cells have been reported for North Sea bacterioplankton (75, 77), although samples from the Mediterranean Sea did not reveal phylogenetic differences based on
cell-specific nucleic acid content (58). Investigations of the phylogenetic diversity of CTC-positive bacteria from coastal Mediterranean seawater indicated that most of the bacterial phylotypes in the whole-seawater community were also present in the CTC-positive subgroup of cells (2, 4). However, the diversity of Bacteria in these studies may have been biased by the addition of yeast extract added in order to obtain sufficient CTC-positive cells for genetic analysis.

Since the California Current System provides a wide range of environmental conditions, with pelagic ecosystems ranging from highly eutrophic to oligotrophic (8, 28, 52), it is an excellent region in which to examine variability in the cell-specific metabolic activity and diversity of bacterioplankton in relation to the trophic state. Bacterial leucine incorporation rates in these waters have been shown to vary over 3 orders of magnitude, with rates decreasing from upwelling shelf surface waters to subsurface depths and with increasing distance from shore (62). In this study, we examined both the cell-specific activity and the phylogenetic diversity of heterotrophic bacteria in seawater samples collected off the Oregon coast in late April/early May 2002. Samples from three stations, shelf, slope, and open basin, were sorted to examine the variability in cell-specific leucine incorporation and to determine the phylogenetic diversity of cells in the domain Bacteria within sorted populations of HNA, LNA, and CTC-positive cells. Our null hypothesis was that the three flow cytometrically defined groups would contain similar diversities of Bacteria. Most of the bacterial phylotypes identified in this study were present in both the HNA and LNA assemblages and had, at times, an active electron transport system (i.e., they were able to reduce CTC). CTC-positive cells had high cell-specific rates of leucine incorporation but insufficient abundances to account for more than, on average, 7 to 14% of the total bacterial activity. Although the HNA cells were responsible for the bulk of bacterioplankton leucine incorporation rates in all three regions, LNA cells contributed a larger proportion of total activity at the open-basin station, at the edge of the North Pacific gyre.

**MATERIALS AND METHODS**

**Sample collection and oceanographic parameters.** Samples were collected using General Oceanics 5-liter niskin bottles mounted on a rosette equipped with a SeaBird SBE 911+ CTD, a SeaTech fluorometer, a Biospherical PAR sensor, and a SeaTech 25-cm transmissometer. Three stations in different hydrographic regimes were chosen along the Newport hydrographic line extending westward from Newport, Oregon: a shelf station 9 km from shore (bottom depth, 60 m) (NH5; 44.65°N, 124.18°W), a slope station 65 km from shore (bottom depth, 450 m) (NH35; 44.65°N, 124.38°W), and a basin station above the abyssal plain 249 km from shore (bottom depth, 2,900 m) (NH127; 44.65°N, 127.1°W) (Fig. 1).

**Environmental parameters.** Chlorophyll concentration was used as a proxy for phytoplankton biomass. Discrete water samples were filtered through GF/F filters and kept frozen at −80°C for up to 1 month before being processed. Ninety percent high-performance liquid chromatography grade acetone was
added to the filters and allowed to extract overnight at ~20°C. Chlorophyll a and pheopigment concentrations were determined using a Turner Designs 10-AU fluorometer (69). Samples for nutrient analysis were stored frozen (~20°C) in 60-ml high-density polyethylene bottles. The analyses for phosphate, nitrate plus nitrite (N+), nitrite, and silicic acid (silicate) were performed using a hybrid Technicon AutoAnalyzerII and Alpkem RFA300 system following protocols modified from Gordon et al. (26). Nitrate concentrations were determined by subtracting nitrite from the N+ N value. The estimated precision for each element was as follows: PO₄, ±0.008 μM; N+ N, ±0.15 μM; NO₂, ±0.01 μM; and silicic acid, ±0.3 μM.

Whole-seawater volumetric leucine incorporation rates. Incorporation rates of [3H]leucine by bacterioplankton present in whole-seawater samples were assayed following the protocol of Smith and Azam (65). Four 1.5-ml aliquots of each water sample were pipetted into copolymer microcentrifuge tubes made by Eppendorf containing [3H]leucine (Perkin-Elmer Life Science Products; specific activity, 170 Ci mmol⁻¹) to yield a 20 nM final concentration. One of the four aliquots served as a killed control, with 5% (final concentration) trichloroacetic acid (TCA) immediately added to the tube. The aliquots were incubated for 1 hour in the dark at the in situ water temperature (5.1 to 11.3°C). The samples were then killed with 5% TCA (final concentration) and stored frozen at ~20°C. The samples were returned to shore and processed no more than 3 weeks after the sampling date. Prior experiments with radioactively labeled samples frozen for up to 1 month showed no difference in leucine incorporation rates compared to unfrozen samples processed immediately (data not shown). Activity was determined using a Wallac 1141 liquid scintillation counter. The activity of the killed control was subtracted from the values for the three live aliquots.

Flow cytometric analysis of bacteria. A Becton-Dickinson FACSCalibur flow cytometer was used for all cell enumeration and cell sorting. For enumeration and sorting for molecular analysis, water samples in 3-ml aliquots were fixed with 0.2% (wt/vol) paraformaldehyde (final concentration), stored in the dark for at least 10 min at room temperature to harden the cells, and quick frozen in liquid nitrogen. The samples were then stored at ~80°C until sample processing was done on shore.

To identify cells with an active electron transport system, water samples were incubated with CTC (Poly sciences, Warrington, PA) immediately following collection. Three-milliliter subsamples were incubated with 2.5 mM CTC (final concentration) for 1 hour in the dark at 10°C. Previous studies off the Oregon coast had shown no difference in flow cytometric detection and incorporation of 2.5 mM CTC compared to 5 mM CTC (data not shown), confirming the finding of Servais et al. (57), who also used 2.5 mM CTC in their study. Furthermore, maximum CTC-positive cell counts were reached with 60-min incubations (data not shown). Water samples used to determine rates of cell-specific leucine incorporation were incubated immediately after collection with 40 nM of [3H]leucine (specific activity, 170 Ci mmol⁻¹) in the dark for 1 hour at 10°C. Following the initial 1-hour incubation, a subset of the sample tubes was incubated with 25 mM of CTC for an additional hour in the 10°C water bath. Samples for radioactively labeled sorting were then fixed and stored as described above.

After being thawed, aliquots of samples collected for determination of bacterial abundance and cell-specific nucleic acid content were stained with a 1× working stock of SYBR Green I (Molecular Probes, Eugene, OR) and 25 mM potassium citrate for 15 min, following a protocol modified from Marie et al. (45). The abundance of cells was determined by calculating the flow rate with known concentrations of 1-μm fluorescent microspheres (Poly sciences, Warrington, PA). Regions were established on cytogram plots of side scatter versus green fluorescence and adjusted for each sample to define HNA and LNA bacterial cells (Fig. 2B). The mean cell-specific SYBR fluorescences were obtained with the same method as described in Neubauer et al. (51), with the addition of a 1× working stock of SYBR Green I. The estimated precision for each element was as follows: PO₄, ±0.008 μM; N+ N, ±0.15 μM; NO₂, ±0.01 μM; and silicic acid, ±0.3 μM.

FIG. 2. Cytograms indicating the sort regions for (A) the CTC-positive cells as defined on cytograms of orange versus red fluorescence and (B) the HNA and LNA regions as defined on the cytograms of side scatter versus green fluorescence. For both plots, the box marked with an arrow at the x-y origin of the cytogram contains the noncellular particles sorted to obtain each sample’s background radioactivity level.
from the cell concentrator unit, transferred to a filter manifold, and processed following the method of Kirchman (33), which has previously been shown to result in values similar to those of the centrifugation method (65). The filter was washed twice with cold 5% TCA and twice with cold 80% ethanol. After being washed, the filter was folded into a 7-mL scintillation vial and allowed to dry. Ethyl acetate (0.5 mL) was added to the scintillation vial to dissolve the filter, followed by 3 mL of scintillation cocktail (Ultima Gold LLT; PerkinElmer). The samples were allowed to sit for 2 days before being counted on a Wallac 1414 liquid scintillation counter. For each sample, the DPM of the background filter (noncell sort) was subtracted from the DPM of the cell sorts for that sample.

Cell-specific rates of leucine incorporation were obtained by dividing the total leucine incorporation rate determined for each filter by the number of cells sorted for that sample. The volumetric leucine incorporation rate for each sorted group was calculated by multiplying the abundance of cells within each sorted region by the average cell-specific leucine incorporation rate for that group. The calculated volumetric leucine incorporation rates based on cell sorting are thus distinct from whole-seawater volumetric leucine incorporation rates obtained empirically from unsorted samples incubated with radioactively labeled leucine.

Preliminary tests in our laboratory and recently published data (18) indicate that flow cytometry can be used to sort cells with high purity if flow rates are kept below 300 cells s⁻¹. The low abundance of cells in our samples meant that sort rates averaged from 7 to 80 cells s⁻¹. We used three metrics to examine the purity of sorting by the flow cytometer. First, the number of cells within the region of interest that were rejected by the flow cytometer was determined by sorting CTCPositive cells and using epifluorescence microscopy to count the number of CTCPositive cells that appeared in the waste tank. The average number of CTCPositive cells in the waste tank, which were therefore rejected by the flow cytometer, was less than 0.1% of the total CTCPositive cell count (n = 3).

Second, samples were sorted within a region of interest; the sorted cells were then rerun through the flow cytometer to check for the appearance of cells within the region of interest. In the initial samples, cells within the sort region averaged 22% of the SYBR-stained cells; after sorting and rerunning the samples on the flow cytometer, the cells within the sort region averaged 76% of the SYBR-stained cells (n = 2). Finally, the whole-seawater volumetric leucine incorporation rates, which were determined independently, agreed well with the total leucine incorporation rates (details below), indicating that while the flow cytometer may not sort 100% of the cells within the region of interest, the carryover is small enough not to impact the final rates.

**Molecular analysis of bacterial diversity.** At each of the three stations, samples were collected for molecular analysis from three depths ranging from 5 to 300 m from two separate casts, for a total of 18 discrete water samples (see Table 2). For each sample, three sorts were conducted: HNA cells, LNA cells, and CTC-positive cells. To minimize bacterial contamination, Milli-Q water was filtered through a 0.1-μm Sufor filter ( Pall Corporation, East Hills, NY) and autoclaved prior to use as a sheath fluid. Each day, the sheath tank was rinsed once with 100% bleach and four times with filter-sterilized, autoclaved Milli-Q water. The reamplified DGGE bands were sequenced by cycle sequencing using fluorescent dye-terminators. The sequences were resolved using an ABI 3100 at Oregon State University's Central Services Laboratory. Sequences were checked manually using TraceViewer (CodonCode Corporation) and then were aligned in ARB (41), initially using the FastAligner, and then manually checked. The phylogenetic identities of the sequences were determined initially based on BLAST results. The sequences were then inserted into maximum-likelihood trees using the parsimony option in ARB (40). The phylogenetic affiliations of the DGGE sequences were determined based on which cluster the DGGE sequence grouped within in the maximum-likelihood trees.

**Analysis of DGGE banding patterns.** The pattern of DGGE bands was reduced into a presence/absence matrix for each of the three sorts. Separate distance matrices for the DNA samples, LNA sorts, and CTC-positive sorts were calculated using the Sorensen distance measure and compared in pairs using a Mantel test (38) on ranked distances as suggested by Dietz (15). The only significant difference between the three matrices was between the LNA DGGE band patterns and the CTC DGGE band patterns (Mantel test, P = 0.046; calculated from Monte Carlo randomization of 1,000 runs; all other comparisons had P values of >0.05). The reamplified Milli-Q water samples were compared for the cluster analysis; the resulting matrix included all the HNA bands, LNA bands, and CTC-positive bands. Since our primary interest was heterotrophic cells, we excluded the whole-seawater samples from these analyses in order to prevent analysis of geographical patterns related to the photautotrophic cells. Cluster analysis was used to examine possible groups of samples based on the presence/absence matrix. The analysis was conducted with a Sorensen distance measure and the flexible beta linkage method (β = 0.25).

Statistical analysis. Statistical analyses were conducted in Matlab 6.5 (Mathworks) or PC-ORD v. 4.19 (MJM Software Design). For the cluster analysis, the data were transformed using a square root transformation to reduce the skewness and coefficient of variation of the data. The other data were log transformed to improve normality. Analyses performed included Spearman rank correlations, paired t tests, Wilcoxon signed rank tests, Mantel tests, and Kruskal-Wallis tests. All relationships were significant at the P < 0.01 level unless otherwise noted.

**RESULTS**

**Environmental parameters.** Evidence of seasonal upwelling was seen in several of the measured environmental parameters (Table 1). The highest water temperatures were observed at the slope station; further inland at the shelf station, surface water temperatures were lower due to upwelling of cold, high-nutrient subsurface waters. There was evidence of a shallowing...
of the nutricline near shore due to upwelling; however, nutrient concentrations increased with depth at all three stations. The highest chlorophyll concentrations were observed at the surface of the shelf station, with lower values at the basin station. The slope station had middepth maxima in chlorophyll and slightly fresher water at the surface due to the influence of the Columbia River. Whole-seawater volumetric leucine incorporation rates also decreased with depth and with increasing distance from shore (Table 1).

Heterotrophic-cell abundances were higher at the surfaces of the shelf and basin station samples and decreased with depth, while heterotrophic cell abundances reached maximum values in the pycnocline at the slope station (Table 2). The percentage of HNA cells reached maximum values at the surface of the shelf station, while the percentage of CTC-positive cells was always less than 25% of heterotrophic-cell abundances, and usually less than 10% (Table 2).

Cell-specific rates of leucine incorporation for total, HNA, and LNA cells. We checked whether cell sorting potentially resulted in underestimation of total bacterial leucine incorporation rates. The volumetric leucine incorporation rates of sorted total bacteria (HNA plus LNA cell regions) showed a strong, positive correlation around a 1:1 line to whole-seawater volumetric bacterial leucine incorporation rates ($r = 0.92$; Spearman rank correlation coefficient; $P < 0.001$) (Fig. 3). We also summed the calculated volumetric leucine incorporation rates for HNA and LNA groups sorted on the flow cytometer, obtained by multiplying the cell-specific leucine incorporation rate by the abundance of cells of each group in the sample. The summed sorted volumetric incorporation rates for the HNA and LNA cells were also significantly correlated with the whole-seawater volumetric leucine incorporation rate ($r = 0.93$; Spearman rank correlation coefficient; $P < 0.001$) (Fig. 3). Therefore, in general, the sum of the HNA and LNA cell incorporation rates was equivalent to the total bacterial cell incorporation rate. The good agreement found in these comparisons indicated that there was no detectable bias due to sorting with respect to evaluating the proportion of total bacterial activity rates due to specific subgroups of the bacterial assemblage.

For all three regions sampled, cell-specific leucine incorporation rates decreased with depth (Fig. 4). The trend in cell-specific rates with depth shown for total cells (Fig. 4) was similar to the other sorted groups, although the range of cell-specific leucine incorporation rates varied for each group (Fig. 5). Cell-specific leucine incorporation rates were generally higher for HNA cells than for LNA cells (two-sided $P < 0.001$; paired $t$ test). However, the average and range of cell-specific leucine incorporation rates for LNA cells were only marginally lower than for total and HNA cells. In four samples, the cell-specific leucine incorporation rate for LNA cells was actually greater than the cell-specific rate for HNA cells. Three of these samples, two from the basin station and one from the shelf, were from the deepest sample collected from the cast.

### Table 1. Environmental parameters measured concurrently with sample collection summarized for the three sampling stations

<table>
<thead>
<tr>
<th>Station</th>
<th>Depth (m)</th>
<th>Temperature (°C)</th>
<th>Nitrate (µM)</th>
<th>Chlorophyll a (µg liter$^{-1}$)</th>
<th>Volumetric leucine incorporation (pM Leu h$^{-1}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Shelf</td>
<td>0.5–8</td>
<td>9.5 (8.6–10.6)</td>
<td>5.9 (0–25.8)</td>
<td>16.8 (0.9–43.8)</td>
<td>297 (102–545)</td>
</tr>
<tr>
<td></td>
<td>10–25</td>
<td>8.5 (8.0–9.8)</td>
<td>21.1 (2.8–29.3)</td>
<td>5.0 (0.4–33.0)</td>
<td>86 (6–515)</td>
</tr>
<tr>
<td></td>
<td>32–50</td>
<td>7.6 (7.2–7.9)</td>
<td>29.6 (0.7–33.8)</td>
<td>0.5 (0–4–1.0)</td>
<td>10 (3–20)</td>
</tr>
<tr>
<td>Slope</td>
<td>1.3–10</td>
<td>11.1 (10.2–11.5)</td>
<td>0.1 (0–0.9)</td>
<td>0.6 (0.4–1.3)</td>
<td>74 (21–109)</td>
</tr>
<tr>
<td></td>
<td>13–30</td>
<td>9.9 (9.4–10.9)</td>
<td>0.7 (0–2.5)</td>
<td>1.9 (0.5–3.8)</td>
<td>31 (17–67)</td>
</tr>
<tr>
<td></td>
<td>35–410</td>
<td>8.5 (5.5–9.4)</td>
<td>14.1 (2.3–40.4)</td>
<td>0.8 (0.3–1.6)</td>
<td>6 (0–14)</td>
</tr>
<tr>
<td>Basin</td>
<td>1.2–50</td>
<td>9.3 (8.8–9.8)</td>
<td>5.1 (0.1–6.0)</td>
<td>0.7 (0.4–1.3)</td>
<td>10 (6–16)</td>
</tr>
<tr>
<td></td>
<td>60–150</td>
<td>8.2 (7.4–9.0)</td>
<td>16.2 (6.3–28.9)</td>
<td>ND</td>
<td>2 (1–3)</td>
</tr>
<tr>
<td></td>
<td>250–2,700</td>
<td>4.6 (1.7–7.1)</td>
<td>40.8 (34.7–45.1)</td>
<td>ND</td>
<td>1 (0–2)</td>
</tr>
</tbody>
</table>

*Values are mean values, with the range of measured values in parentheses. The volumetric leucine incorporation rate shown is the whole-seawater volumetric leucine incorporation rate. ND for the basin chlorophyll samples indicates that chlorophyll levels were below detection.*

### Table 2. Water samples collected from three sampling stations

<table>
<thead>
<tr>
<th>Station</th>
<th>Depth (m)</th>
<th>Heterotrophic cell abundance ($10^6$ cells ml$^{-1}$)</th>
<th>% HNA cells</th>
<th>% CTC-positive cells</th>
</tr>
</thead>
<tbody>
<tr>
<td>Shelf</td>
<td>2–8</td>
<td>0.9–1.41</td>
<td>62–90</td>
<td>1.4–4.0</td>
</tr>
<tr>
<td></td>
<td>11–25</td>
<td>0.6–2.15</td>
<td>64–86</td>
<td>2.1–4.2</td>
</tr>
<tr>
<td></td>
<td>32–50</td>
<td>0.48–0.86</td>
<td>63–82</td>
<td>1.2–5.1</td>
</tr>
<tr>
<td>Slope</td>
<td>3–10</td>
<td>0.93–1.56</td>
<td>54–75</td>
<td>1.5–6.1</td>
</tr>
<tr>
<td></td>
<td>17–30</td>
<td>1.21–1.85</td>
<td>43–57</td>
<td>1.9–4.4</td>
</tr>
<tr>
<td></td>
<td>40–100</td>
<td>0.35–1.16</td>
<td>45–70</td>
<td>1.7–10.3</td>
</tr>
<tr>
<td>Basin</td>
<td>20–50</td>
<td>1.18–2.47</td>
<td>42–45</td>
<td>1.0–3.3</td>
</tr>
<tr>
<td></td>
<td>100–150</td>
<td>0.32–0.44</td>
<td>50–71</td>
<td>1.8–6.5</td>
</tr>
<tr>
<td></td>
<td>300–500</td>
<td>0.07–0.42</td>
<td>53–70</td>
<td>1.6–24.4</td>
</tr>
</tbody>
</table>

*The table indicates the range of abundances of heterotrophic cells and the percentage of heterotrophic cells that were HNA or CTC-positive cells. Different numbers of samples were sorted to determine (i) the diversity of the HNA cells, LNA cells, and CTC-positive cells and (ii) cell-specific leucine incorporation rates of total cells, HNA cells, LNA cells, and CTC-positive cells.*
and may represent the lower limits of detection of the sorting method. The final sample was from the slope station at 10-m depth; the cell-specific leucine incorporation rate for the LNA cells was seven times greater than the HNA cell-specific leucine incorporation rate. This sample was sorted twice to confirm these numbers.

The percentages of the sorted volumetric leucine incorporation rate attributable to the HNA and LNA groups were calculated by dividing the sorted volumetric leucine incorporation rate for each group by the sorted volumetric leucine incorporation rate of total bacterial cells (Table 3). HNA cells accounted for, on average, between 70% and 100%, while LNA cells accounted for between 25% and 38%, of total bacterial leucine incorporation. According to statistical analysis (Kruskal-Wallis test; $P < 0.042$; followed by a multiple comparison of mean ranks), the proportion of sorted volumetric leucine incorporation by LNA cells was higher at the basin station than at the shelf station. There were no other significant between-station differences.

**Cell-specific leucine incorporation rates for CTC-positive cells.** As found for the SYBR-stained cells, the cell-specific leucine incorporation rates of CTC-positive cells were highest at the surface and decreased with depth. Cell-specific leucine incorporation rates for CTC-positive cells ranged over 3 orders of magnitude ($0.9 \times 10^{-21}$ to $840 \times 10^{-21}$ M leucine cell$^{-1}$ h$^{-1}$). A point-to-point comparison showed that cell-specific leucine incorporation rates for CTC-positive cells were generally higher than rates found for total cells (Fig. 5) (two-sided $P < 0.0001$; paired $t$ test). The cell-specific leucine incorporation rate for the CTC-positive cells was not significantly greater than the cell-specific leucine incorporation rates for the HNA cells (two-sided $P = 0.150$; paired $t$ test) but was significantly greater than the cell-specific leucine incorporation rate for the LNA cells (two-sided $P < 0.0001$; paired $t$ test). In one-third of the samples, the cell-specific leucine incorporation rates for CTC-positive cells were 1% to 50% (average, 28%) lower than the rates for total cells. The percentage of the sorted volumetric leucine incorporation rate attributable to CTC-positive cells in individual samples ranged from 2% to 27% and on average accounted for only 7% to 14% of total activity (Table 3).

**Phylogenetic analysis of sorted cell groups.** DNAs extracted from the sorted HNA, LNA, and CTC-positive cells were analyzed by running all three sorts from a single sample on the same DGGE gel. Bands from different sorts or from the whole-seawater sample that migrated to the same point in the DGGE gel were considered the same DGGE band. However, PCR products from different organisms can migrate to the same location in a DGGE gel (46), which would result in an underestimation of the diversity obtained from these samples. Conversely, the presence of heteroduplex molecules, in which strands of DNA from different PCR products reanneal, would artificially increase the number of DGGE bands, potentially overestimating sample diversity (46). Considering all the DGGE bands, only 7% of the bands were limited to the whole-seawater samples and were not obtained in any of the sorted assemblages. Since the samples were not prescreened to remove phytoplankton, some of these DGGE bands may have been due to photoautotrophs excluded from the later sorts. Even with the most stringent sorting criteria, there were DGGE bands that later were identified as phytoplankton or
plastid DNA. After the sequence data revealed that some of the DGGE bands were chloroplasts or phytoplankton, the presence/absence matrix based on the DGGE gels was modified to consider only DGGE bands definitively identified as nonphytoplankton. Examination of all the DGGE bands combined revealed that 11% of the DGGE bands were observed in both the HNA and the LNA sorted assemblages, while 13% were present in all three assemblages: HNA, LNA, and CTC positive in the same sample. There was no significant difference between the proportion of DGGE bands observed in both the HNA and CTC-positive assemblages and the proportion of DGGE bands observed in both the LNA and CTC-positive assemblages in the same sample (6.7% and 5.7% of the DGGE bands, respectively; Wilcoxon signed rank test; \( P < 0.05 \)).

Over 150 sequences were obtained from DGGE bands identified in the three sorted groups of cells, 146 in HNA sorts, 92 in LNA sorts, and 97 in CTC-positive sorts. Some of these sequences were observed only in either the HNA or LNA subset of the sorted assemblages. For example, sequences obtained only from the HNA assemblage included phylotypes of Bacteroidetes and Gammaproteobacteria (Table 4). Conversely, phylotypes of Gammaproteobacteria clustering with Marinobacterium and Pseudomonas, the one Methylobacteriaceae se-

### Table 3. Proportional contribution of sorted volumetric leucine incorporation rates of HNA cells, LNA cells, and CTC-positive cells to sorted volumetric leucine incorporation rate for total cells

<table>
<thead>
<tr>
<th>Sampling region</th>
<th>% of total volumetric leucine incorporation rate by</th>
<th>HNA cells</th>
<th>LNA cells</th>
<th>CTC-positive cells</th>
</tr>
</thead>
<tbody>
<tr>
<td>Shelf</td>
<td>116 (84–147)</td>
<td>25 (14–36)</td>
<td>7 (3–10)</td>
<td></td>
</tr>
<tr>
<td>Slope</td>
<td>71 (44–99)</td>
<td>25 (15–35)</td>
<td>7 (3–12)</td>
<td></td>
</tr>
<tr>
<td>Basin</td>
<td>82 (58–106)</td>
<td>38 (26–51)</td>
<td>14 (2–27)</td>
<td></td>
</tr>
</tbody>
</table>

*Values in parentheses are 95% confidence intervals. See the text for details on the calculations to determine the percent of volumetric leucine incorporation by the HNA, LNA, and CTC-positive populations.

FIG. 4. Variability in cell-specific leucine incorporation rates for total cells with depth. Each symbol denotes samples collected from a different cast.

FIG. 5. Box plots of the cell-specific leucine incorporation rates across the three sampling stations. Four separate sorts were done for each sample: total cells, HNA cells, LNA cells, and CTC-positive cells. The boxes represent the middle 50% of the cell-specific leucine incorporation rates or the interquartile range (IQR) of the data. The bars extend to include data within 1.5 IQRs of the box. Outliers, marked with circles, are defined as cell-specific leucine incorporation rates between 1.5 and 3 IQRs away from the box; extreme outliers, marked with asterisks, are greater than 3 IQRs away from the box. The units for cell-specific leucine incorporation rates are pM leucine h⁻¹.
sequence, and the TM6 sequences were obtained only from the LNA assemblage. The single sequence from the SAR86 cluster within the Gammaproteobacteria, which occurred both in HNA and in LNA groups, was the only identified phylotype not found in the CTC-positive assemblage. Additional details concerning the sequence data are given by Longnecker (40).

The cluster analysis revealed that there was some separation of the deep and pycnocline shelf station samples from the other samples. However, the two surface shelf station samples were more similar to the slope station samples (Fig. 6). While the surface samples from the basin station were also similar, the deep and pycnocline samples from the basin station were not as tightly clustered as the comparable samples from the shelf station.

**DISCUSSION**

**Validation of the sorting approach.** Several previous studies have used flow cytometric sorting to determine cell-specific substrate incorporation rates for cytometrically identified bacterial cell groups (3, 35, 58, 59, 77). In this study, we directly compared volumetric estimates of leucine incorporation rates of bacteria in sorted and whole-water samples. We were thus able to validate this approach with the observation that the sorted leucine incorporation rates were in fact significantly correlated with whole-seawater bacterial leucine incorporation rates around a 1:1 line. Thus, the process of sorting radioactively labeled bacterial cells does not appear to introduce significant biases in estimating the contributions of the different sorted populations to total bacterial activity.

**Variability in cell-specific leucine incorporation rates.** HNA cells have been generally viewed as the actively growing component of bacterial assemblages, while LNA cells have been thought to be less active or dead (22, 23, 29, 35, 60, 70, 72). It has also been suggested that cells with high respiration activity, i.e., CTC-positive cells, could account for a large part of the bacterial metabolism in marine pelagic systems (61, 66). We
sought to test these ideas by determining the cell-specific activities of HNA cells, LNA cells, and CTC-positive cells across a range of trophic states in a coastal upwelling system.

We found that decrease in phytoplankton biomass with distance from shore was accompanied by decreasing average cell-specific leucine incorporation rates for HNA, LNA, and CTC-positive cells from shelf to basin stations (Fig. 5), as previously observed for bacterial cell-specific activity scaled to total bacterioplankton in this region (61). As has been reported in previous studies, HNA cells on average had higher cell-specific rates of leucine incorporation than LNA cells (Fig. 5); these higher specific rates combined with higher cell abundances resulted in HNA cells accounting for the largest fraction of total bacterial activity (Table 3). However, the average cell-specific incorporation rates for LNA cells were only marginally lower than the cell-specific rates of HNA cells, and the ranges found for cells in these two groups showed substantial overlap (Fig. 5). LNA cells contributed on average 25% of the total bacterial leucine incorporation rates in shelf and slope waters and a significantly larger fraction (average, 38%, and up to 51%) of the overall incorporation rates in basin waters.

While LNA cells have been shown to have high specific activity rates when methionine incorporation was normalized to biomass at three sampling stations in the Celtic Sea (77), this study is the first to link changes in the metabolic activities of the LNA assemblage with differences in the trophic states of a marine ecosystem over a range of sampling depths. LNA cells were responsible for a larger fraction of total bacterial leucine incorporation rates in low-chlorophyll basin waters than in high-chlorophyll shelf waters. Increased proportional abundance of LNA cells in open-ocean systems compared to coastal systems has been reported (7, 30, 39, 71). LNA cells in eutrophic coastal systems may indeed be inactive or dead cells (23, 29, 39), while LNA cells in oligotrophic systems may be cells with somewhat lower cell-specific metabolic activity (67) but which play a larger role in the heterotrophic processes of oligotrophic systems.

Contribution of CTC-positive cells to bacterial activity. We made three important observations regarding CTC-positive cells in this study. First, the cell-specific leucine incorporation rate of CTC-positive cells had a range as large as that of the total bacterial community (Fig. 5); these higher specific rates combined with higher cell abundances resulted in HNA cells accounting for the largest fraction of total bacterial activity (Table 3). However, the average cell-specific incorporation rates for LNA cells were only marginally lower than the cell-specific rates of HNA cells, and the ranges found for cells in these two groups showed substantial overlap (Fig. 5). LNA cells contributed on average 25% of the total bacterial leucine incorporation rates in shelf and slope waters and a significantly larger fraction (average, 38%, and up to 51%) of the overall incorporation rates in basin waters.

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so would lower estimates of average leucine incorporation rates. Finally, the low abundances of the CTC-positive cells meant they were responsible only for a mean of 7% to 14% of volumetric bacterial leucine incorporation (Table 3), so they were clearly not driving bacterial-community production in this system.

Cell-specific rates of CTC-positive cells and their proportional contribution to overall substrate incorporation by assemblages of marine bacteria have been examined in only one previous study (57), in which CTC-positive bacteria in two eutrophic coastal systems along the Mediterranean coast of France were found to have cell-specific leucine incorporation rates of $300 \times 10^{-21}$ and $350 \times 10^{-21}$ mol cell$^{-1}$ h$^{-1}$. These rates were 25% higher than cell-specific incorporation rates of total bacterial cells in a harbor and sixfold higher than specific rates for total bacteria in a lagoon. Estimates of the fractional contribution of CTC-positive bacteria to the total leucine incorporation rate were 37% and 27% in the harbor and lagoon, respectively (57). The lower proportional contribution of CTC-positive cells found in our study may reflect differences in the ecosystems studied. The highest fractional contribution of CTC-positive cells to total bacterial activity we encountered (27%) was in a basin sample.

Since differences in cell-specific nucleic acid content may not always be closely correlated with the respiration rate, patterns of CTC reduction by bacterial cells may reflect temporal or spatial disconnects between biosynthesis and respiration. For example, in experimental incubation of two marine bacterial isolates, one of the strains maintained high cell-specific ribosome concentrations even after 100 h in stationary growth (50). Thus, cells which are in the HNA assemblage but are CTC negative could represent cells maintaining a high cell-specific nucleic acid content in stationary growth and hence have low respiration rates and therefore not be identifiable as CTC-positive cells. CTC-positive cells may also be present in the LNA assemblage. Grégori et al. (27) used a combination of propidium iodide and SYBR to show that a fraction of LNA cells were able to exclude propidium iodide and therefore would be considered “live” cells. In addition, high biomass-specific rates of substrate uptake by LNA cells have been reported in an Arctic lake (6) and in the Celtic Sea (77), as well as in this study. Thus, LNA cells are not all inactive or dead cells, and some are likely to be able to respire at levels sufficient to be detected in the CTC-positive assemblage.

**Phylogenetic diversity of HNA, LNA, and CTC-positive cells.**

There was a high degree of uniformity in the phylogenetic compositions of bacterial assemblages in the three regions and in HNA, LNA, and CTC-positive cell groups in each region. The cluster analysis revealed a limited separation of the samples based on sampling regions and depth. Based on the DGGE band patterns and sequence data obtained from the individual DGGE bands, most of the bacterial phylogenetic groups identified in this study could be found in both the HNA and LNA cell assemblages, with some exceptions. Almost one-quarter of all the DGGE bands were present in both the HNA and LNA assemblages in the same sample. Therefore, at the level of taxonomic resolution employed here, there was little phylogenetic distinction during the period of sampling between these two flow cytometrically defined groups of bacteria. These results mirror conclusions reached during a similar analysis of samples collected in the Mediterranean (58). This suggests that cells may be present in both the HNA and LNA assemblages at the same time and that their ability to switch between the two assemblages is not a function of their phylogenetic diversity.

Use of microautoradiography combined with fluorescence in situ hybridization (FISH) has also revealed that no single phylogenetic group dominates the active proportion of the marine bacterioplankton (9, 11). Thus, our results support other findings that, in general, most phylotypes of *Bacteria* may occur in both HNA and LNA cell clusters, and also in active and inactive components of the marine bacterioplankton.

There were interesting exceptions to the observation that most of the phylogenetic groups could be present in either the HNA or the LNA assemblage. Several different phylotypes within the *Bacteroidetes* were identified only in the HNA sorts and were not identified in any LNA sorts. Reported FISH results have also confirmed the presence of strains affiliated with *Bacteroidetes*, more specifically, the members of the *Cytophaga-Flavobacterium* cluster, which bind to the CF319a probe, in the HNA assemblage but not the LNA assemblage in the North Sea (75, 77). Studies from the Delaware River estuary have indicated *Bacteroidetes* are involved in the breakdown of high-molecular-weight organic matter (10). Perhaps the metabolic processes used for the consumption of high-molecular-weight organic matter require the organisms to maintain larger genomes or multiple copies of the genome within their cells. Furthermore, contradictory evidence regarding the growth rates of the *Bacteroidetes* in aquatic systems (as reviewed in reference 32) indicate that they can have higher (31) or lower (19, 64) growth rates than other groups. If they have higher growth rates, this may also explain their presence within the HNA assemblage. Given the diversity within the *Bacteroidetes* and the observation that even within this study not all of them were limited to the HNA assemblage, more research is needed to examine whether some *Bacteroidetes* are physiologically or morphologically limited to the HNA assemblage or whether this was due to the environmental conditions observed during this study.

A lower total number of sequences were obtained from the LNA assemblage than from the HNA assemblage. Since the LNA cells have lower cell-specific DNA content, the lower number of sequences observed in this category could represent our inability to obtain sufficient DNA to detect these cells. Alternatively, those sequences obtained only from the LNA assemblage could represent groups that were not physiologically capable of maintaining higher cell-specific nucleic acid content but that still might have been metabolically active.

SAR11 sequences were obtained from both the HNA and LNA components of the heterotrophic community. In culture, “*Candidatus* Pelagibacter ubique” is a small organism with low growth rates (53) and therefore might be expected to be found exclusively in the LNA assemblage. However, we found SAR11 sequences in the HNA assemblage as well. Either the small size and low growth rates of SAR11 are a manifestation of the culture conditions, or low growth rates are characteristic of this group and not necessarily associated with lower cell-specific nucleic acid content. In the North Atlantic, bacterioplankton cells labeled with a suite of probes targeting the SAR11 group were similar in size to other *Bacteria* (42). Thus, the “*Candidatus* Pelagibacter ubique” culture from the Oregon coast may
have an intrinsically smaller cell size than other Pacific SAR11 cells not yet cultured or isolated.

The observation of identical DGGE bands in both the LNA and CTC-positive assemblages could represent cells which have functioning electron transport systems but either do not have a constitutively high cell-specific nucleic acid content or have higher levels of respiration but not higher levels of bio-
synthetic activity. One question raised about the CTC method is whether all heterotrophic cells are able to reduce CTC (74). The diversity data indicated that the same phylotypes present in the HNA and LNA components of bacterioplankton were also found in CTC-positive cells. The only group we did not identify in sorts of CTC-positive cells was the SAR86 cluster within the Gammaproteobacteria. This may suggest that the environmental conditions we encountered were not conducive for the SAR86 group to reduce CTC sufficiently to be detectable as CTC positive. As only one SAR86 sequence was obtained in this study, strains of the SAR86 group may not have been an ecologically relevant component of the bacterioplankton at the beginning of upwelling season. In other systems and/or seasons, this group of Bacteria may be more important. For example, based on bromodeoxyuridine incorporation, SAR86 was a dominant component of the DNA-synthesizing population in the fall in the North Sea, when primary production levels were declining (51). To date, no phylotype in the SAR86 group has been cultured.

The diversity of Bacteria identified in the different flow cytomometrically defined groups in this study was broader than that obtained from sorted populations originating from samples collected in the North Sea (75, 77). Some of the differences between the North Sea and the Oregon coast data are methodological, since the identification of the sorted populations in the North Sea partially relied on FISH using probes targeting broad phylogenetic groups. However, the Deltaproteobacteria, Actinobacteria, Acidobacteria, Meiothermus, and TM6 sequences obtained from the Oregon coast samples were all absent from the subset of sequences obtained from cloned 16S rRNA genes from the North Sea (75). They could represent regional or temporal differences in the metabolically “active” community (58). Now that it is possible to obtain DNA from sorted populations, future research can address the causes of temporal and spatial variability in the Bacteria present in the HNA or LNA assemblage in more detail, either by examination of the whole community or by focusing on specific phylo-
genetic groups.

Conclusion. Our data on the cell-specific activity and diver-
sity of HNA, LNA, and CTC-positive cells indicate that there were differences in the cell-specific activity for each of the three groups. However, regional variability in cell-specific activity was not directly correlated with variability in the phylo-
genetic diversity of the HNA, LNA, and CTC-positive cells. Thus, factors other than large-scale changes in the diversity of prokaryotes in the Oregon upwelling system are driving changes in their cell-specific activity.

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