

1 Nocturnal subcanopy flow regimes and missing carbon dioxide

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12 Two distinct nocturnal subcanopy flow regimes are observed beneath a tall (16 m) open  
13 pine forest canopy. The first is characterized by weaker mixing, stronger stability, westerly  
14 downslope flow decoupled from the flow above the canopy and much smaller than expected  
15 ecosystem respiration from the eddy flux plus storage measurements compared to estimates  
16 based on chambers (missing carbon dioxide). The second regime is characterized by stronger  
17 mixing, weaker stability, southerly flow coupled to the flow above the canopy and good agree-  
18 ment between the eddy flux plus storage estimate and the chamber-based estimate of ecosystem  
19 respiration. The observations show that the inferred advection terms dominate the carbon diox-  
20 ide budget in the first regime and are small relative to the eddy flux plus storage terms in the  
21 stronger mixing second regime, where the advection is estimated as a residual taking chamber-  
22 based measurements of respiration as truth. The friction velocity, standard deviation of vertical  
23 velocity, bulk Richardson number, Monin-Obukhov length scale and the subcanopy 3-m wind  
24 direction are all good indicators of missing carbon dioxide at this site.

# 1. Introduction

One potential source of error with the standard method of estimating the net ecosystem exchange of carbon by summing the eddy flux and storage terms is that it neglects the advection terms in the conservation equation (e.g., Lee, 1998; Finnigan, 1999; Feigenwinter et al., 2004). In most studies reporting long-term carbon budgets, the advection terms are neglected because of the prohibitive cost of instrumentation. In addition, it is not clear that one can measure the advection terms to the required accuracy even with large field efforts (Heinesch et al., 2006; Leuning et al., 2008 and references therein). Also see the special issue of *Agricultural and Forest Meteorology*, volume 150, May 2010. From our experience, correctly estimating the mean weak vertical motion, required for calculating the vertical advection of CO<sub>2</sub>, from a sonic anemometer in the field is very difficult given uncertainty in sonic tilt correction methods (Vickers and Mahrt, 2006). Another problem is that measurements of the small horizontal CO<sub>2</sub> gradient, required to calculate the horizontal advection of CO<sub>2</sub> may be contaminated by the large vertical gradient. While some success has been reported for direct measurements of the advection terms (e.g., Staebler and Fitzjarrald, 2004) and for improved understanding of the forcing in the subcanopy (Staebler and Fitzjarrald, 2005), large uncertainty in such estimates remain.

Advection potentially affects many flux measurement sites because horizontal heterogeneity in either the source-sink distribution (e.g., vegetation type or age class) or the wind field (due to varying terrain or roughness) results in advection of scalars (Lee et al., 2004). Most forest flux tower sites have some degree of heterogeneity in either the vegetation or the topography or both. For example, it has been estimated that only one-third of the CarboEurope flux tower sites are situated in truly homogeneous terrain (Göckede et al., 2008). In addition to advection, the turbulence horizontal flux divergence terms are also neglected; however, the magnitude of these terms is generally thought to be smaller than the advection terms, although additional observations are needed (Staebler and Fitzjarrald, 2004).

The commonly reported signature of the missing CO<sub>2</sub> problem is that the eddy flux plus storage terms under-estimate the expected ecosystem respiration in weak mixing nocturnal conditions, and increase with increasing mixing strength (Gu et al., 2005). The explanation often proposed for the missing CO<sub>2</sub> is the neglected advection of air with lower CO<sub>2</sub> concentration

1 to the tower site in cold air drainage flows associated with the local topography (Sun et al.,  
2 1998; Aubinet et al., 2003; 2005; Finnigan and Belcher, 2004; Staebler and Fitzjarrald, 2004;  
3 Feigenwinter et al., 2004; Katul et al., 2006; Kominami et al., 2008; Tota et al., 2008).

4 Ideally, the numerous applied studies that calculate annual sums of carbon fluxes would  
5 have sufficient instrumentation and expertise to directly evaluate the advection terms. However  
6 this is not the case, and such studies are forced to use less rigorous methods. These methods  
7 include filters that discount the eddy-flux estimates in weak mixing conditions, often defined to  
8 be when the friction velocity ( $u_*$ ) above the canopy is less than some critical value (Goulden  
9 et al., 1996; Falge et al., 2001). While the  $u_*$ -filter method has been applied to many sites,  
10 it has also been widely criticized as not having a strong physical justification. The method is  
11 unsatisfying because it does not include direct information on the turbulence or the mean flow  
12 in the subcanopy, including whether or not drainage flows even develop.

13 Here we test whether the turbulence above the canopy and the subcanopy flow patterns are  
14 consistent with each other and with missing  $\text{CO}_2$  associated with drainage flows. That is, can  
15 the characteristics of the above-canopy flow predict the subcanopy flow patterns, and can the  
16 subcanopy flow patterns identify those periods with missing  $\text{CO}_2$ . An important aspect of the  
17 analysis is that the periods with missing  $\text{CO}_2$  are identified by comparing the eddy flux plus  
18 storage terms (FS) to coincident chamber-based estimates of ecosystem respiration (ER), which  
19 depend only on temperature and soil moisture, not characteristics of the flow. Here, ER is taken  
20 as truth and differences between ER and FS are related to characteristics of the flow above and  
21 below the canopy. ER is based on six automated soil chambers, periodic manual soil respiration  
22 measurements, and estimates of foliage and live wood respiration derived from temperature  
23 response functions specific to the site. An advantage of this method compared to the standard  
24 approach of plotting FS against the friction velocity is that the latter includes the combined  
25 influences of temperature and mixing strength, and it is not always clear how to extract the  
26 mixing strength effect when the friction velocity and the air temperature are correlated. The  
27 approach used here also has the important advantage of being able to identify an advective  
28 influence even for those conditions where FS levels off with increasing mixing strength. We are  
29 not aware of a previous study incorporating chamber data with this approach to relate missing  
30  $\text{CO}_2$  to the subcanopy flow, and the subcanopy flow to the turbulence strength above the canopy.

## 2. Materials and Methods

### *a. Site description*

The site is a mature ponderosa pine forest in semi-arid Central Oregon, U.S.A. (44.451 N latitude, 121.558 W longitude, 1255 m elevation) (Schwarz et al., 2004; Irvine et al., 2008). The pine canopy extends from 10 to 16 m above ground level (agl), and the understory consists of scattered 1-m tall shrubs. The leaf area index (LAI) ranges from 3.1 to 3.3 during the growing season and the stand density is 325 trees ha<sup>-1</sup>.

Although the site is located on a relatively flat saddle region about 500 m across, it is surrounded by complex terrain (Figure 1). The topography generally rises to the northwest, west and southeast of the tower, falls to the north, south and northeast, and is flat to the southwest and east. The topographic slope strongly depends on the direction and fetch considered (Figure 2). For the period of record in the summer of 2004, the nocturnal wind direction above and below the canopy is between 180 and 290 degrees 85% of the time, and the average wind speed is 3.7 m s<sup>-1</sup> at 30 m agl and 0.37 m s<sup>-1</sup> at 3 m agl.

### *b. Measurements*

Eddy-covariance measurements were collected using a three-dimensional sonic anemometer (model CSAT3, Campbell Scientific Inc., Logan, UT) and an open-path infrared gas analyzer (model LI-7500, LI-COR Inc., Lincoln, NE) at 30 m agl (or about twice the canopy height). Coincident subcanopy measurements were made using two CSAT3 anemometers at 3 m agl located 10 m away from the main tower to avoid obstructions near the base of the 30-m tower. A tilt correction based on the average wind direction dependence of the tilt angle is applied to the fast-response wind components (Paw U et al., 2000; Feigenwinter et al., 2004). Eddy-covariance fluxes and variances are calculated using a 10-minute perturbation timescale and products of perturbations are averaged over one hour. The primary effect of using a shorter 10-minute perturbation timescale for nocturnal fluxes, compared to the commonly used 30-minute timescale, is a reduction in the random flux sampling error (Vickers and Mahrt, 2003). We do not discard downward CO<sub>2</sub> fluxes at night to avoid conversion of random error into systematic error (Mahrt, 2010).

1 Additional measurements include profiles of the mean CO<sub>2</sub> concentration for computing  
2 the storage term using a closed-path infrared gas analyzer (model LI-6262, LI-COR Inc.) with  
3 inlets at 1, 3, 6, 15 and 30 m agl, and atmospheric temperature profiles measured using platinum  
4 resistance thermometers (model HMP45, Vaisala, Oyj, Helsinki, Finland). The storage term is  
5 computed using the difference between mean CO<sub>2</sub> concentrations for the half hour before and  
6 after the one for which the storage is being estimated, and numerical integration from the surface  
7 up to 30 m agl. The 30-minute estimates of the storage term are then averaged over one hour to  
8 coincide with the averaging periods used for the soil chamber measurements and the turbulence  
9 fluxes.

10 We employ measurements from an automated soil chamber system based on the design of  
11 Crill (1991) (see also Goulden and Crill, 1997) with six chambers with 0.21 m<sup>2</sup> sampling area  
12 per chamber (Irvine and Law, 2002). The six chambers were installed 100 m south of the  
13 tower in a circle of radius of 10 m. A estimate of ecosystem respiration based on chamber  
14 measurements was made by combining high temporal resolution (1-hour average) data from the  
15 automated soil respiration system (Irvine et al., 2008) with estimates of foliage and live wood  
16 respiration derived from temperature response functions specific to ponderosa pine (Law et al.,  
17 1999). Extensive periodic manual soil respiration measurements covering an area of several  
18 hectares in the estimated footprint of the eddy-covariance fluxes were made using a LI-COR  
19 6400 and a LI-COR 6000-9 soil chamber. The respiration measurements from the automated  
20 soil chamber system were corrected for spatial heterogeneity by calibrating them to the manual  
21 estimates (Irvine et al., 2008). Litter respiration is included in the soil chamber estimates.

22 The analysis is focussed on the May-August period of 2004 when the two subcanopy sonic  
23 anemometers and the soil chamber system were operational. In addition, decomposition rates  
24 of coarse woody debris are not well known over timescales shorter than a year, however, they  
25 are most likely to be insignificant during the dry summer months, the available manual chamber  
26 estimates of foliage and live wood respiration were collected during the summer and may not be  
27 applicable to other seasons, and finally this period captures the seasonal peak in ecosystem res-  
28 piration (Schwarz et al., 2004). After screening the data for plausibility, small relative random  
29 flux sampling error (the standard deviation of the 10-minute eddy-flux over the 1-hour period  
30 divided by the mean 1-hour flux) and retaining the 1-hour data only when all variables pass the  
31 screening for that hour, the entire dataset includes 530 1-hour nocturnal averages.

1 We also consult subcanopy wind measurements made in August and September of 2003.  
2 Five two-dimensional sonic anemometers (Handar model 425A, Vaisala) were deployed in a  
3 ring formation on the plateau approximately 100 m from the 30-m flux tower to measure the  
4 spatial variability of the mean horizontal wind at 1 m agl. The elevation differences between  
5 the Handar sonic locations and the main tower are all less than 4 m.

6 *c. Normalized flux plus storage*

7 Instead of the common approach of examining the eddy flux plus storage (FS) as a function  
8 of the friction velocity for multiple temperature and perhaps soil moisture classes, we examine  
9 a normalized FS (or NFS), which is FS divided by ER, the estimate of ecosystem respiration  
10 based on the chamber data,

$$NFS \equiv \frac{FS}{ER} \equiv \frac{\text{eddyflux} + \text{storage method}}{\text{chamber method}}. \quad (1)$$

11 This normalization was also used by Van Gorsel et al. (2007) in their Figure 2. This approach  
12 has the important advantage of being able to identify a potential advective influence in all con-  
13 ditions, as opposed to the common approach where it is assumed that advection is negligible  
14 for mixing stronger than some critical value where FS typically stops increasing with  $u_*$  and  
15 approaches a constant value that is a function of temperature. For example, with the normal-  
16 ization, if NFS approaches a value different than unity as the mixing strength increases to the  
17 largest observed values, we might infer that advection was important even for the cases of  
18 strongest mixing. In addition, the normalization improves the statistics because the data do not  
19 need to be partitioned into multiple temperature and soil moisture classes. A further benefit is  
20 that one avoids the scatter due to variations in temperature within a given temperature class,  
21 and also avoids difficulties associated with correlation between temperature and  $u_*$ . A disad-  
22 vantage is the large effort required to obtain high quality continuous chamber-based estimates  
23 of ecosystem respiration and correcting for spatial heterogeneity.

24 Interpretation of variations in NFS with flow conditions relies on ER being an unbiased  
25 estimate of ecosystem respiration in all conditions. Based on the detailed analysis of Irvine et  
26 al. (2008), there is no known reason why ER would be biased. Over the May-August period,  
27 the observed nocturnal 1-hour average ER ranges from 3.2 to 6.3  $\text{umol m}^{-2} \text{s}^{-1}$ , and generally

1 increases with increasing 3-m air temperature; however, after the onset of the summer dry period  
2 in July, the respiration becomes water-limited and is no longer a strong function of temperature.

### 3 **3. Results and discussion**

#### 4 *a. Case studies*

5 We first briefly examine individual time series of estimates for ecosystem respiration from  
6 the eddy flux plus storage method (FS) and the chamber method (ER) for five different nights  
7 (Figure 3). Cases 1 and 2 are strong wind and strong mixing examples where FS exceeds ER  
8 throughout most of the night. One explanation for  $FS > ER$  would be horizontal advection  
9 of higher  $CO_2$  concentration air to the tower site. Case 3 is a weak wind case where FS is  
10 very small compared to ER except right after sunset. Better agreement between FS and ER in  
11 the early evening was observed by Aubinet et al. (2005) and Van Gorsel et al. (2007). The  
12 very small values of FS compared to ER later in the evening may be due to unaccounted for  
13 advection, as explored further below. The decrease in ER with time, which is observed on most  
14 nights, is associated with cooling during the night. Case 4 is similar to Case 3, although the  
15 agreement between early evening FS and ER is not as good.

16 In Case 5, FS generally increases through the night and the disagreement between FS and  
17 ER is largest right after sunset. A plausible explanation is that an early evening drainage flow  
18 develops in part due to very weak winds above the canopy, and is then eliminated later in  
19 the night by the increase in wind speed (Figure 3). We speculate that as the drainage flow is  
20 eliminated by increased downward mixing of momentum, the relative importance of advection  
21 decreases and FS increases towards better agreement with ER. The increasing trend in ER in the  
22 latter half of the night is related to an increase in the subcanopy air temperature due to enhanced  
23 downward mixing of warmer air associated with increased shear generation of turbulence.

#### 24 *b. Missing $CO_2$*

25 In this section we examine whether the turbulence above the canopy can explain variations in  
26 NFS, where values of  $NFS < 1$  indicate missing  $CO_2$ . Plotting NFS against  $u_*$  clearly indicates  
27 that NFS increases with increasing  $u_*$  and then levels off for  $u_*$  above a critical value (Figure

1 4a). NFS increases by an order of magnitude from about 0.1 to unity with increasing downward  
2 momentum flux above the canopy. The missing CO<sub>2</sub> problem affects 70% of the nocturnal flux  
3 data, where the critical  $u_*$  value is 0.67 m s<sup>-1</sup> (Figure 4a) using the 95% rule: the critical value  
4 is the smallest  $u_*$  class value with an NFS class mean that is greater than or equal to 95% of the  
5 average NFS for all larger  $u_*$  classes. The average NFS for  $u_*$  greater than the critical value is  
6 1.06, and the 95% confidence interval includes unity. The excellent agreement between FS and  
7 ER for the strongest mixing conditions lends credence to the hypothesis that advection becomes  
8 unimportant relative to FS with stronger mixing conditions.

9 Using the standard deviation of the vertical velocity ( $\sigma_w$ ) instead of the friction velocity, as  
10 suggested by Acevedo et al., (2009), yields nearly identical results (Figure 4b), where 70% of  
11 the data is flagged and the average NFS for  $\sigma_w$  greater than the critical value of 0.94 m s<sup>-1</sup> is  
12 1.05. As for  $u_*$ , the 95% confidence interval for NFS includes unity for  $\sigma_w$  greater than the  
13 critical value.

14 We now examine the turbulence strength at 3 m agl in the subcanopy. NFS increases with  
15 the subcanopy turbulence strength and approaches unity for the strongest turbulence cases (Fig-  
16 ure 5). However, for about one-third of the data consisting of the weakest turbulence periods,  
17 there is no significant dependence of NFS on subcanopy turbulence strength. A possible expla-  
18 nation is that the 3-m turbulence measurements are influenced by individual roughness elements  
19 (understory) contributing to scatter in the momentum flux and vertical velocity variance. While  
20 both  $u_*$  above and below the canopy are useful for identify periods with small NFS, the two  
21 estimates of 1-hour average  $u_*$  are not strongly correlated ( $r=0.76$ ), and the weaker correla-  
22 tion between  $u_*$  and  $\sigma_w$  in the subcanopy ( $r=0.85$ ) compared to above the canopy ( $r=0.99$ ) may  
23 reflect the problems making representative turbulence measurements in the spatially heteroge-  
24 neous subcanopy. The above canopy turbulence measurements have no nearby obstructions and  
25 may be more representative for describing the general flow conditions. Differences between the  
26 estimates of  $u_*$  above and below the canopy were not found to be strongly correlated to other  
27 features of the flow. As a result, we find no advantage to using the subcanopy turbulence over  
28 the above canopy turbulence for the purpose of identifying periods with small NFS. This result  
29 may be site-specific.

30 Using a bulk Richardson number, a stability parameter proportional to the temperature dif-  
31 ference between 30 m and 3 m agl divided by the 30-m wind speed squared, also flags 70% of

1 the data and the average NFS for  $R_b$  less than the critical value of  $R_b = 0.025$  is 1.04. Note that  
2 this critical  $R_b$  value is based on the  $R_b$ -dependence of NFS, and does not refer to the critical  
3 Richardson number of classical turbulence theory. Using stability parameter  $z/L$ , where  $L$  is the  
4 Obukhov length scale computed from the above canopy turbulence fluxes of virtual temperature  
5 and momentum, flags 60% of the data and the average NFS for  $z/L$  less than the critical value  
6 of 0.10 is 1.01 (Table 1).

7 The four indicator variables of mixing strength ( $u_*$ ,  $\sigma_w$ ,  $R_b$  and  $z/L$ ) clearly suggest missing  
8  $\text{CO}_2$  in weak mixing conditions but not in in strong mixing conditions. A potential physical ba-  
9 sis is that nocturnal subcanopy drainage flows are most likely to occur with weak winds, stable  
10 stratification and small  $u_*$ , when even small surface heterogeneity or small changes in topog-  
11 raphy can strongly influence local flow patterns near the surface (Mahrt et al., 2001; Staebler  
12 and Fitzjarrald, 2005; Belcher et al., 2008). In contrast, strong winds and strong mixing tend to  
13 eliminate local flow patterns associated with surface heterogeneity. However, the relationship  
14 between the flow above and below the canopy will also depend on the characteristics of the  
15 canopy, as reflected in the large range of critical  $u_*$  values reported in the literature (Massman  
16 and Lee, 2002). In the next section we examine relationships between the turbulence strength  
17 above the canopy and the mean flow in the subcanopy.

### 18 *c. Subcanopy mean flow*

19 The dependence of the mean flow at 3 m agl in the subcanopy on the turbulence above the  
20 canopy is shown in Figure 6. With weaker turbulence (or weaker winds) above the canopy, sub-  
21 canopy flow from the SW-NW develops, and the strength of the flow is inversely proportional  
22 to the turbulence strength above the canopy. This decoupling suggests a primary forcing other  
23 than stress divergence in the subcanopy, most likely buoyancy forcing and cold air drainage flow  
24 (Staebler and Fitzjarrald, 2005). The very small scatter in the subcanopy wind direction for the  
25 cases with the weakest turbulence above the canopy (Figure 6a) suggests a subcanopy downs-  
26 lope flow with a narrow range of preferred direction determined by the the local topography.  
27 In the strongest turbulence (or strongest winds), the subcanopy mean flow is from the SE-SW  
28 and the subcanopy wind speed is proportional to the turbulence above the canopy, indicating a  
29 coupling between the above canopy and subcanopy flow through the stress divergence, where  
30 the subcanopy flow is primarily determined by downward mixing of momentum from above the

1 canopy.

2 The relationship between the directional shear of the mean wind and the above canopy  
3 mixing strength is shown in Figure 7. In stronger mixing, the average directional shear is near  
4 zero, again suggesting that downward mixing of momentum determines the subcanopy flow;  
5 however, with weaker mixing, the average directional shear is different from zero and clearly  
6 increases with decreasing turbulence strength above the canopy.

7 Following Staebler and Fitzjarrald (2005) in their Eq. (5), we computed rough estimates  
8 of the vertical stress divergence and the buoyancy forcing for weak (strong) mixing conditions,  
9 defined when the above canopy friction velocity is less than (greater than) the critical value  
10 of  $0.67 \text{ m s}^{-1}$ . To estimate the buoyancy term we used a perturbation potential temperature  
11 equal to the vertical temperature difference between 3 and 30 m agl and a terrain slope of 5%.  
12 The stress divergence was calculated using the difference in the momentum flux between 3 and  
13 30 m agl. For the weak mixing class, the ratio of the buoyancy term to the stress divergence  
14 term averages 3 with a standard deviation of 4, indicating that buoyancy forcing is important  
15 and drainage flow is expected. For the strong mixing class, the ratio of the stress divergence  
16 term to the buoyancy term is 7 with a standard deviation of 3, indicating that buoyancy forcing  
17 is less important and drainage flow is unlikely. These crude estimates are consistent with the  
18 decoupled and coupled subcanopy regimes discussed above; however, they are inconclusive  
19 for determining the subcanopy flow due to a lack of information on the other terms in the  
20 momentum budget equation.

21 With westerly subcanopy flow, the stratification is much stronger (Figure 8a). The sharp  
22 transition in the temperature profile occurs precisely at the critical value of the subcanopy wind  
23 direction based on the wind directional dependence of NFS (Figure 9). A similar pattern is  
24 found for the above canopy stability parameter  $z/L$  (Figure 8b), including the sharp transition  
25 from weaker stability in southerly flow to stronger stability in westerly subcanopy flow. The  
26 vertical temperature structure and  $z/L$  clearly demonstrate two distinct subcanopy flow regimes  
27 and support the critical subcanopy wind direction value based on the missing  $\text{CO}_2$ .

28 Here we briefly examine the nocturnal wind measurements from the ring of five Handar two-  
29 dimensional sonic anemometers located on the plateau 100 m from the 30-m tower in 2003. The  
30 dashed curves in Figure 10 are for two locations south and southeast of the tower, at the top of  
31 the ravine that extends south of the tower (Figure 1). With weak wind above the canopy, the

1 flow at these locations has a stronger northerly component, possibly due to a shallow drainage  
2 flow down the ravine. The solid curves in Figure 10 are for three locations to the north and  
3 west of the tower. At these three sites the dependence of the subcanopy wind direction on the  
4 wind speed or mixing strength above the canopy is very similar to the patterns observed in May-  
5 August of 2004 and discussed above. For the strongest wind speeds above the canopy greater  
6 than 5 or 6 m s<sup>-1</sup>, the spatial variation in the subcanopy wind direction approaches zero, and  
7 the subcanopy wind direction approaches the wind direction above the canopy.

#### 8 *d. Choice of filter*

9 Using the subcanopy wind direction to identify missing CO<sub>2</sub> flags only 40% of the data  
10 compared to 70% for  $u_*$ , and the average NFS for wind directions less than the critical value of  
11 226 degrees is 0.91 (Figure 9). The subcanopy wind direction filter is physically more satisfying  
12 than filters based on above-canopy variables, but may not work at all sites, for example, where  
13 the local drainage flow tends to be in the same direction as the above-canopy flow. In such  
14 case, it may not be possible to identify the decoupled flow regime using wind direction alone.  
15 Clearly, the critical wind direction will be site-specific.

16 All the filter variables tested ( $u_*$ ,  $\sigma_w$ ,  $R_b$ ,  $z/L$  and the subcanopy wind direction) work well  
17 at this site for identifying missing CO<sub>2</sub>. Selecting which filter to use in practise is not obvious.  
18 The best filter variable may be site-specific. In terms of maximizing the amount of data retained  
19 by the filter, the subcanopy wind direction filter is superior using the 95% rule because it retains  
20 twice as much data compared to  $u_*$  at this site (Table 1). Maximizing the amount of data  
21 retained is important for reducing the uncertainty in developing the temperature and moisture  
22 dependencies of the retained FS data for developing annual sums of respiration. The friction  
23 velocity is desirable because  $u_*^2$  is proportional to the vertical stress divergence, which appears  
24 directly in the momentum budget and partially determines if the uncoupled downslope flow  
25 regime develops. The bulk Richardson number and  $z/L$  are attractive as filter variables because  
26 they are dimensionless and thus more general; however, the critical  $R_b$  and  $z/L$  values will  
27 presumably depend on the canopy structure and terrain slopes. Based on the amount of data  
28 retained, the  $z/L$  filter is slightly superior to the  $u_*$  filter at this site (Table 1).

29 An alternative filtering approach was recently proposed by Van Gorsel et al. (2009). Their  
30 method retains the nocturnal FS data only for the particular 3-hour period where the 30-day

1 average nocturnal FS is a maximum. Additional conditions are imposed based on stability  
2 ( $z/L$ ) and an estimate of respiration from the light response curve approach (see details in  
3 Van Gorsel et al., 2009). Their approach assumes that there are certain periods every night  
4 (presumably the same time each night) where advection of  $\text{CO}_2$  is negligible, and that these  
5 periods can be identified by finding the maximum FS. We find that for some weak-wind nights  
6 the inferred advection is significant throughout the entire night, while for some strong wind  
7 nights the inferred advection is negligible all night. We also find that the time of onset of  
8 drainage flow (and missing  $\text{CO}_2$ ) varies considerably from night to night depending on the  
9 wind speed above the canopy.

## 10 **4. Conclusions**

11 Characteristics of the flow above and below a tall open forest canopy were studied in the  
12 context of the missing  $\text{CO}_2$  problem, where the eddy-covariance  $\text{CO}_2$  flux plus the  $\text{CO}_2$  storage  
13 term (FS) is significantly less than the coincident chamber-based estimate of ecosystem res-  
14 piration (ER) in strongly stable nocturnal conditions. Turbulence strength was represented by  
15  $u_*$ ,  $\sigma_w$ ,  $R_b$  and  $z/L$ . Two nocturnal subcanopy flow regimes were found. Westerly subcanopy  
16 downslope flow decoupled from the above canopy flow developed with weak mixing or weak  
17 wind above the canopy, and was associated with periods where FS was smaller than ER by  
18 up to a factor of ten. This regime supports the hypothesis that in weak wind conditions cold  
19 air drainage flow systematically advects air with lower  $\text{CO}_2$  concentration to the site, leading  
20 to the missing  $\text{CO}_2$ . The westerly subcanopy downslope flow was also associated with much  
21 stronger stability in terms of the temperature stratification and  $z/L$ . The second regime was  
22 characterized by stronger mixing or stronger wind above the canopy and a southerly subcanopy  
23 flow coupled to the above canopy flow, and was not associated with missing  $\text{CO}_2$  or surplus  
24  $\text{CO}_2$ . This regime supports the hypothesis that the advection terms are small compared to FS  
25 for strong wind conditions. Estimates of the buoyancy forcing and the vertical stress divergence  
26 were consistent with the decoupled and coupled regimes. At this site, the best choice for an  
27 above-canopy filter variable to identify the two regimes was  $z/L$  based on the amount of data  
28 retained by the filter and the average FS/ER of the retained data.

29

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1 Table 1. Statistics for different filter variables: none, friction velocity, standard deviation of  
 2 vertical velocity, bulk Richardson number, stability  $z/L$  and the subcanopy wind direction (SC  
 3 WD). The critical values are found using the 95% rule.

4

filter variable	critical value	percent data retained	average NFS of retained data
none	-	100	0.65
$u_*$	0.67 m/s	30	1.06
$\sigma_w$	0.94 m/s	30	1.05
$R_b$	0.025	30	1.04
$z/L$	0.10	40	1.01
5 SC WD	226 deg	60	0.91

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9		respectively. Error bars denote the 95% confidence interval. . . . .	30



FIG. 1. Topography surrounding the 30-m tower at the mature ponderosa pine site. Contour interval is 10 m. The area shown is approximately 5x5 km.

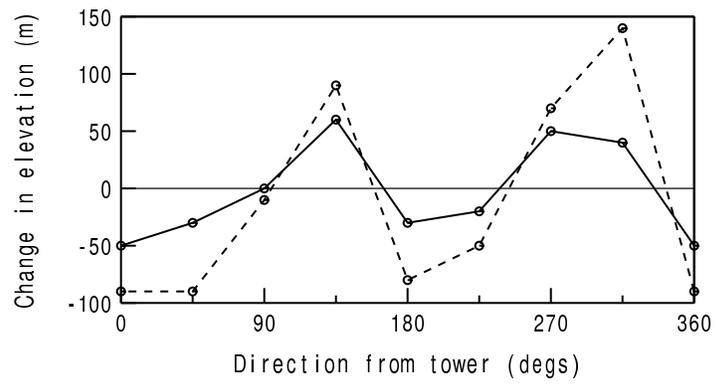


FIG. 2. The change in elevation as a function of direction for distances of 1 (solid) and 2 (dash) kilometers from the tower.

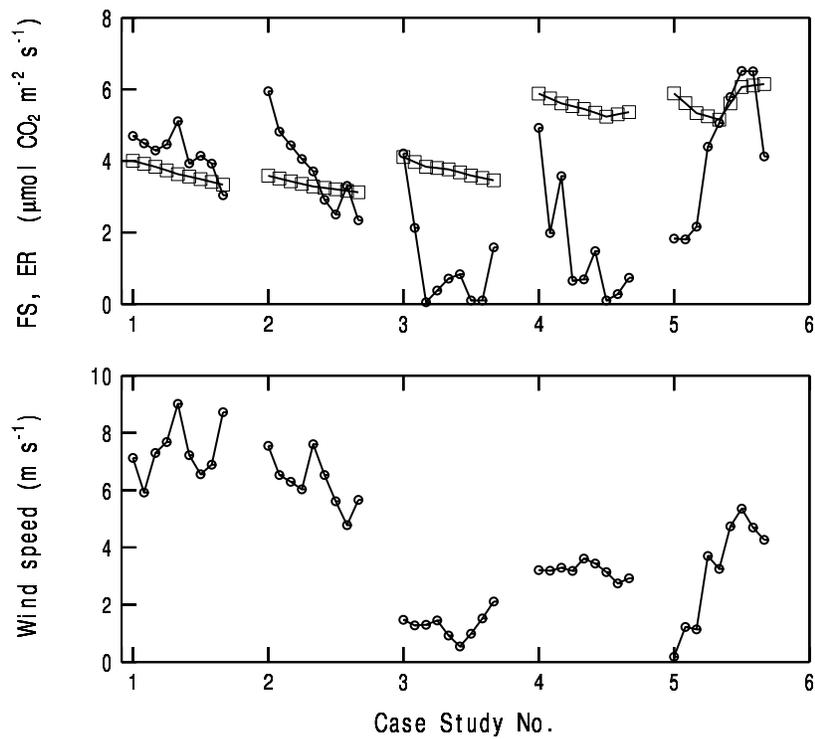


FIG. 3. Nocturnal time series of 1-hourly averaged eddy flux plus storage (FS, dots) and the chamber-based estimate of ecosystem respiration (ER, squares), and the wind speed above the canopy (bottom panel) for five case study nights. Local time varies from 2000 through 0400.

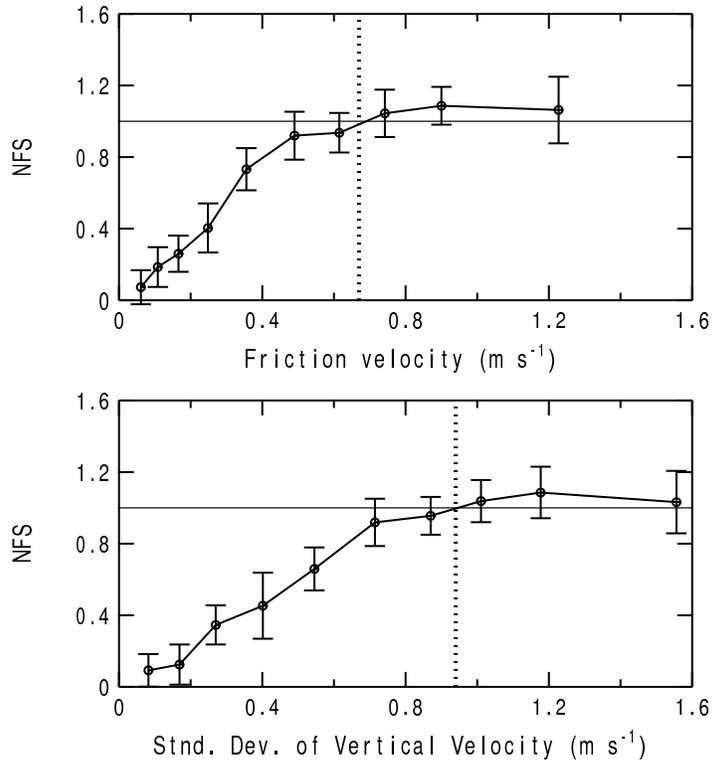


FIG. 4. The normalized eddy flux plus storage ( $NFS \equiv FS/ER$ ) as a function of the above canopy friction velocity and the standard deviation of vertical velocity. The dashed vertical lines denote the critical values using the 95% rule. Error bars denote the 95% confidence interval. Each of the ten bins contain 53 1-hour average samples.

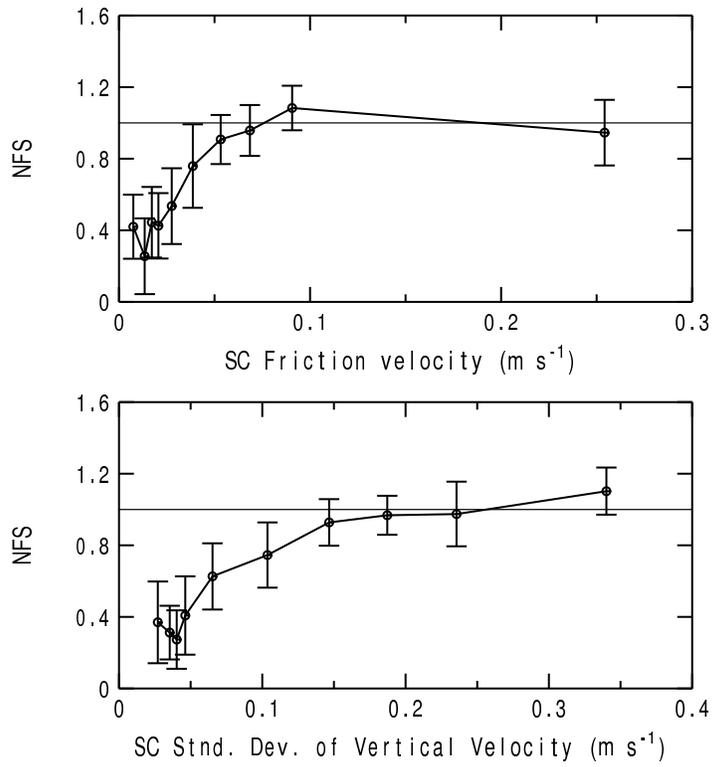


FIG. 5. NFS as a function of the subcanopy (SC) friction velocity and standard deviation of vertical velocity. Error bars denote the 95% confidence interval. Each of the ten bins contain 53 1-hour average samples.

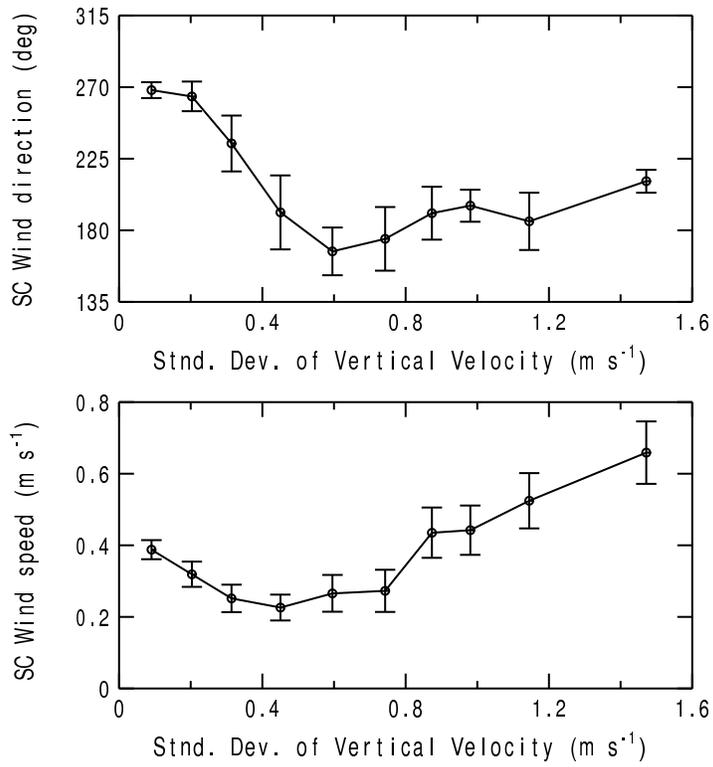


FIG. 6. The subcanopy (SC) wind direction and wind speed as a function of the standard deviation of vertical velocity above the canopy. Error bars denote the 95% confidence interval. Each of the ten bins contain 53 1-hour average samples.

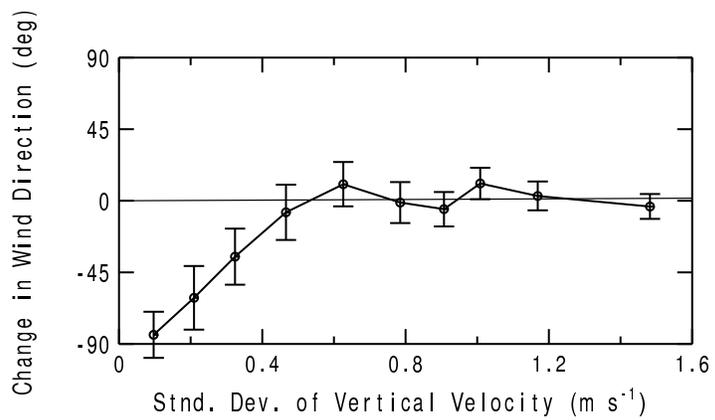


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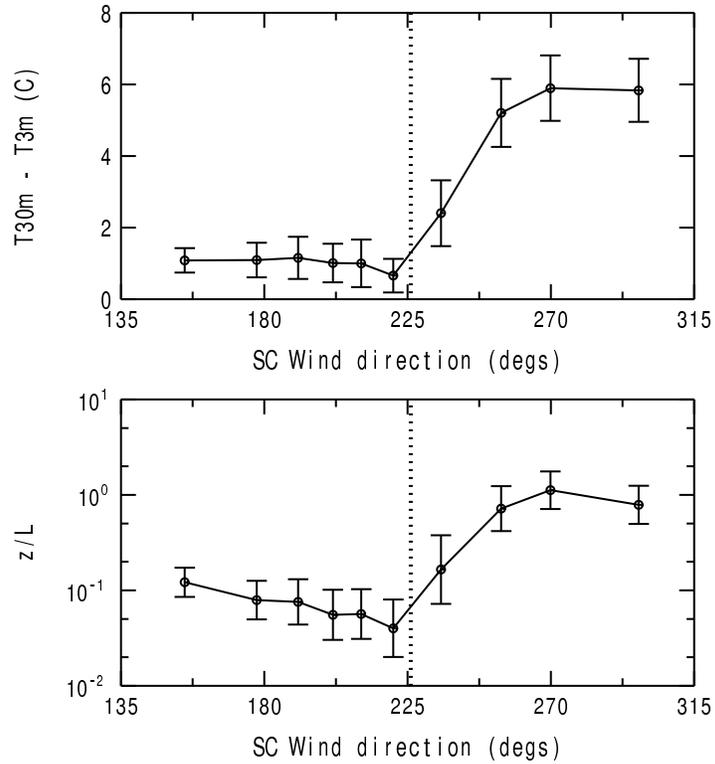


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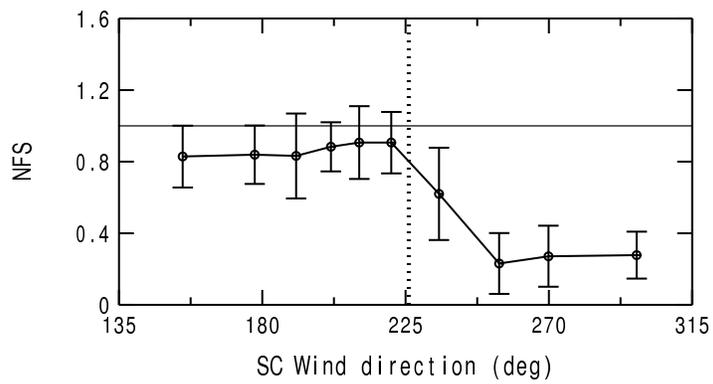


FIG. 9. NFS as a function of the subcanopy (SC) wind direction. The dashed vertical line denotes the critical wind direction using the 95% rule. Error bars denote the 95% confidence interval. Each of the ten bins contain 53 1-hour average samples.

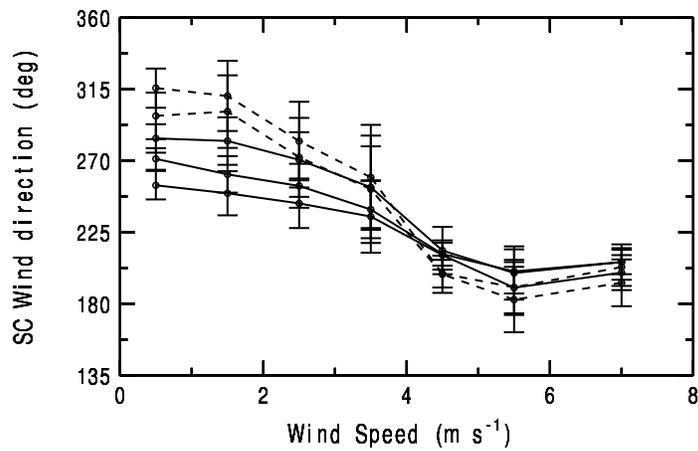


FIG. 10. The subcanopy (SC) wind direction from the five Handar anemometers deployed in 2003 (see text) as a function of the mean wind speed above the canopy. The number of 1-hour average data points in the wind speed bins is 122, 72, 81, 58, 33, 14 and 16 for wind speed bins 0-1, 1-2, 2-3, 3-4, 4-5, 5-6 and 6-8  $\text{m s}^{-1}$ , respectively. Error bars denote the 95% confidence interval.