

24 **ABSTRACT**

25 Shrimp shell powders (SSP) were fermented by successive two-step fermentation of *Serratia*
26 *marcescens* B742 and *Lactobacillus plantarum* ATCC 8014 to extract chitin. Taguchi
27 experimental design with orthogonal array was employed to investigate the most contributing
28 factors on each of the one-step fermentation first. The identified optimal fermentation conditions
29 for extracting chitin from SSP using *S. marcescens* B742 were 2% SSP, 2 h of sonication time,
30 10% incubation level and 4 d of culture time, while that of using *L. plantarum* ATCC 8014
31 fermentation was 2% SSP, 15% glucose, 10% incubation level and 2 d of culture time.
32 Successive two-step fermentation using identified optimal fermentation conditions resulted in
33 chitin yield of 18.9% with the final deproteinization (DP) and demineralization (DM) rate of
34 94.5% and 93.0%, respectively. The obtained chitin was compared with the commercial chitin
35 from SSP using scanning electron microscopy (SEM), Fourier transform infrared spectrometer
36 (FT-IR) and X-ray diffraction (XRD). Results showed that the chitin prepared by the successive
37 two-step fermentation exhibited similar physicochemical and structural properties to those of the
38 commercial one, while significantly less use of chemical reagents.

39

40 **Key words:** Chitin, Shrimp shell powders, *Lactobacillus plantarum* ATCC 8014, *Serratia*
41 *marcescens* B742, Successive two-step fermentation

42

43 **1. Introduction**

44 Chitin, one of the most abundant renewable biopolymer on earth, is a linear chain molecule
45 composed of several hundred units of (1→4)-2-acetamido-2-deoxy-β-D-glucan. Based on the
46 different orientations of its microfibrils, chitin can be classified into three forms including α, β
47 and γ. Among them, α-chitin is the most widely used and can be prepared from a variety of
48 natural sources.¹ Chitosan, the most important derivative of chitin, is prepared by deacetylation
49 of chitin. Chitin and chitosan have many unique functional properties including biocompatibility,
50 biodegradability, and non-toxicity, and have been widely applied in the field of food, agriculture,
51 medicine, and materials.²⁻⁷ Up to now, chemical,^{8,9} enzymatic,^{10,11} and microbiological
52 methods¹²⁻¹⁵ have been used for preparing chitin from shrimp shell powders (SSP). The chemical
53 method involves deproteinization (DP) and demineralization (DM) using strong acids and/or
54 alkaline. However, the use of these chemicals can seriously pollute the ecological environment,
55 produce abundant waste, and are harmful to human health. In addition, the application of acid
56 and alkali can hydrolyze the polymer, resulted in inconsistent physiological properties of the
57 final product. Along with increased demands on environment-friendly society and the
58 development of fermentation technology, more eco-friendly processes using enzymatic and
59 microbiological methods for producing chitin have attracted great interests. The enzymatic
60 method includes the use of trypsin, papain, and pepsin.¹⁰ However, the high cost of enzymes
61 and the low extraction efficacy are some of the pitfalls of this method.

62 Lately, there are increased interests in applying protease, chitinase and lactic acid produced
63 by microbial fermentation to extract chitin because this method is relatively simple and less
64 expensive, thus overcame the shortcomings of the chemical and enzymatic treatments. Moreover,
65 extraction of chitin using microbial fermentation prevents the uneven deacetylation and relevant

66 molecular weight reduction caused by strong acid and alkali. Furthermore, the remaining
67 fermentation waste contains abundant protein hydrolysate (amino acid and polypeptide), which
68 can be collected as culture medium of other microbes for decreasing the cost of wastewater
69 treatment.¹⁶ Therefore, microbial fermentation is a promising method for the preparation of chitin.
70 ¹⁷⁻¹⁸

71 *Serratia marcescens* strains are well known for producing enzymes including protease,
72 chitinase and chitosanase, and have been widely used to extract the bioactive molecules by
73 decomposing proteins from shrimp shell biowaste.^{16,19,20} The proteins from shrimp shell powders
74 (SSP) can be broken down to water-soluble protein hydrolysates by means of enzymes produced
75 during *S. marcescens* fermentation.¹⁶ Meanwhile, *Lactobacillus* strains produce lactic acid and
76 may be employed to remove Ca ion from shrimp biowaste.²¹⁻²³ Therefore, these two bacteria
77 strains were employed to extract chitin from SSP in this study. In addition, applying
78 high-intensity ultrasound during chitin preparation from shrimp biowaste showed significant
79 reductions in time and solvent requirement,^{24,25} thus sonication time was considered as a
80 contributing factor during *S. marcescens* B742 fermentation in this study.

81 The objectives of this work were to first determine the optimal fermentation conditions of
82 SSP using *S. marcescens* B742 and *L. plantarum* ATCC 8014 one-step fermentation by
83 considering their efficacy of DP and DM, respectively, and then to investigate the successive
84 two-step fermentation using identified optimal single fermentation conditions. Physicochemical
85 and structural properties of extracted chitin were evaluated by scanning electron microscopy
86 (SEM), Fourier transform infrared spectrometer (FT-IR), and X-ray diffraction (XRD) assays.
87 Base on our best knowledge, no study has reported the preparation of chitin from SSP using
88 successive two-step fermentation, where all contributing factors were statistically considered.

89 **2. Materials and methods**

90 **2.1. Materials**

91 Shrimp shells of headless *Penaeus vannamei* were collected from Nantong Xingcheng
92 Biological Products Factory (Nantong, China) and stored under dried conditions till further usage.
93 The dried samples were pulverized with Waring blender (Shanghai Shibang Machinery Co., Ltd.
94 China) and passed through a 0.75 mm-sieve to prepare shrimp shell powders (SSP). *L. plantarum*
95 ATCC 8014 was obtained from American Type Culture Collection (ATCC, USA), and *S.*
96 *marcescens* B742 from Shanghai Institute of Industrial Microbiology (Shanghai, China). The
97 Mann-Rogosa Sharpe (MRS) broth culture and Luria Bertani (LB) culture broth were purchased
98 from Shanghai Yayan Biotechnology Co., Ltd. (Shanghai, China). Other chemical reagents were
99 all obtained from Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China).

100

101 **2.2. Preparation of inoculum**

102 The two bacteria strains preserved in ampoule tube were broken up and the cells were
103 transferred into 100 mL of sterile MRS broth for *L. plantarum* ATCC 8014 and LB broth for *S.*
104 *marcescens* B742 and incubated with shaking (160 r/min) at 37 °C and 30 °C for 2 days,
105 respectively. To prepare an inoculum for strain fermentation, 4.0 mL of the starter culture was
106 transferred into 100 mL of sterile MRS broth (2% inoculation) or LB culture broth, and
107 incubated with shaking (160 r/min) at 37 °C and 30 °C for 2 days, respectively. The inoculum
108 yielded a cell concentration of approximately 10^9 and 10^8 colony-forming units (CFU)/mL,
109 respectively.

110

111 **2.3. Optimization of fermentation conditions using *L. plantarum* ATCC 8014 and *S.***

112 *marcescens* B742

113 To investigate the most contributing factors and the suitable level of each contributing factor
114 in the one-step fermentation using *L. plantarum* ATCC 8014 and *S. marcescens* B742, Taguchi
115 experimental design with orthogonal array was employed.²⁶ Each experiment had nine treatment
116 trials as shown in Table 1. The orthogonal array offered a simple and systematic approach to
117 optimize the fermentation conditions and significantly reduced the numbers of treatment
118 combinations when multiple factors were considered.²⁶

119 Four independent factors were considered for the optimization of deprotenization using *S.*
120 *marcescens* B742, including the amount of SSP (A, % w/w), sonication time (B, h), incubation
121 level (C, % v/w) and culture time (D, day). The resultant media with same volume were
122 aerobically cultured at 30 °C for 1-5 d on a rotary shaker (160 r/min) (Shanghai Jing Hong
123 Laboratory Instrument Co., Ltd, Shanghai, China), the supernatants were collected for the
124 measurement of protease and chitinase. For the optimization of demineralization using *L.*
125 *plantarum* ATCC 8014 fermentation, four tested treatment factors were the amount of SSP (A, %
126 w/w), glucose concentration (B, % v/w), incubation level (C, % v/w) and culture time (D, day)
127 (Table 1). The resultant media with the same volume were anaerobically cultured at 37 °C for 1-5
128 d on a rotary shaker (160 r/min), and the supernatants were collected for the measurement of pH
129 and total titratable acidity (TTA).

130

131 **2.4. Analysis of chemical properties of samples**

132 The pH and TTA of the supernatant during *L. plantarum* ATCC 8014 fermentation were
133 determined using a potentiometer (pH 210 HANNA, Italy) and by titration with 0.1 N NaOH to a
134 final pH of 8.0, respectively.

135 The total nitrogen content was measured by Kjeldahl 2300 (FOSS, Danmark) in an
136 automated apparatus.²⁷ Corrected protein contents were calculated by the subtraction of the chitin
137 nitrogen to the total nitrogen content and multiplied by 6.25. The dried samples were placed in
138 an oven at 550 °C for 12 h to quantify ashes. The ashes were collected by centrifuge tube and
139 detected by Flame Atomic Absorption Spectrometry (Varian AA, USA). DP% was calculated as:

$$140 \quad DP(\%) = \frac{[(P_O \times S_O) - (P_R \times S_R)] \times 100}{P_O \times S_O}$$

141 where P_O and P_R were the protein or ash content in raw and fermented samples, respectively; S_O
142 and S_R were the weight of raw and fermented samples (g), respectively. DM (%) was calculated
143 using the same equation, but replacing P_O and P_R with A_O and A_R in which represented ash
144 content in raw and fermented samples, respectively. All experiments were conducted in triplicate
145 and the values were reported as mean \pm standard deviation.

146 The fermented supernatant was filtered, collected and then detected for the protease and
147 chitinase activity. For measuring protease activity, 0.2 mL diluted enzyme solution (the
148 supernatant after *S. marcescens* B742 fermentation) was mixed with 1.25 mL of 1.25% casein in
149 phosphate buffer (pH 7.0 \pm 0.2) and incubated at 37 °C for 30 min. The reaction was stopped by
150 adding 5 mL of 0.19 M trichloroacetic acid (TCA). The mixture was then centrifuged, and the
151 soluble peptide in the supernatant fraction was measured with tyrosine as the reference.²⁸ One
152 unit of protease activity was defined as the amount of enzyme required to release 1 μ mol of
153 tyrosine per min. Chitinase activity was measured by incubating 0.2 mL of the enzyme solution
154 (the supernatant after *S. marcescens* B742 fermentation) with 1 mL of 0.3% (w/v) water soluble
155 chitosan in 50 mM phosphate buffer (pH 7.0 \pm 0.2) at 37 °C for 30 min. The reaction was stopped
156 by heating above solution at 100 °C for 15 min. The amount of reducing sugar produced was

157 measured with glucosamine as reference. One unit of enzyme activity was defined as the amount
158 of enzyme that released 1 µmol of reducing sugars per min.²⁹

159 Bacterial growth of *S. marcescens* B742 and *L. plantarum* ATCC 8014 strains was
160 determined by measuring the optical density (OD) of the cell suspension at a wavelength of 660
161 nm and 600 nm, respectively.

162

163 **2.5. Scanning electron microscopy (SEM) analysis**

164 SEM (FEI SIRION-200, USA) was used to clarify the superficial characteristics of the
165 samples at 2000 × magnification. The samples were fixed on a sample holder, dried by a critical
166 point dryer (LADD 28000, USA), and coated with a thin gold layer of 3 nm by a sputter coater
167 (JBS E5150, USA) for conductivity.

168

169 **2.6. Fourier transform infrared spectroscopy (FT-IR) analysis**

170 A Nexus 670 FT-IR (ThermoNicolet Co., Mountain View, CA) was used to record infrared
171 spectra of samples between 4000 and 500 cm⁻¹. The degree of acetylation (DA) were determined
172 using the following equation.³⁰

$$173 \quad DA(\%) = 115 \times \left(\frac{A_{1655}}{A_{3450}} \right)$$

174 where A_{1655} and A_{3450} were the absorbance of samples at wave number of 1655 and 3450 cm⁻¹,
175 respectively. All samples were scanned for three times and DA values were reported as mean ±
176 standard deviation.

177

178 **2.7. X-ray diffraction**

179 The wide-angle X-ray diffraction (WAXD) analysis was applied to detect the crystallinity of
180 chitin prepared by single and successive two-step fermentation and their patterns were recorded
181 using a Rigaku III diffractometer (Rigaku Co., Japan). 2θ was scanned from 5 to 50 ° at a coating
182 time of 2 s with an angle step width of 0.05°. The crystallinity index ($Cr_{I_{peak}}$) was calculated as ³¹

183
$$Cr_{I_{peak}} = \frac{(I_{110} - I_{am})}{I_{110}}$$

184 where I_{110} was the maximum intensity (arbitrary units) of the (110) lattice diffraction pattern at
185 $2\theta = 20^\circ$ and I_{am} was the intensity of amorphous diffraction in the same units at $2\theta = 16^\circ$.

186

187 **2.8. Experimental design and data analysis**

188 The Taguchi design with orthogonal array was employed in this study to identify the most
189 contributing factors during *S. marcescens* B742 and *L. plantarum* ATCC 8014 fermentation. ²⁶
190 Similar methods as described by Jung and Zhao were applied for the data analysis. ²⁶ In brief,
191 one-way ANOVA was carried out to determine the significant differences among different
192 treatment factors and their levels, and the LSD test was done for multiple comparisons in the
193 Taguchi design method ($P < 0.05$) using the SPSS program (SPSS 17.0, IBM SPSS institute, Inc.,
194 USA). All experimental data were observed in triplicate, and means \pm standard deviations were
195 reported.

196

197 **3. Results and discussions**

198 **3.1. Optimal conditions for deproteinization using *S. marcescens* B742 fermentation**

199 DP (%) and DM (%) and the rank of each contribution factor on these two parameters are
200 reported in Table 2. For DP, R_i value for culture time was the lowest among all contributing
201 factors, while SSP, sonication time and incubation level were ranked first, second and third,

202 *respectively*. SSP provides the rich carbon and nitrogen source for the growth of *S. marcescens*
203 B742, thus *was considered* as an important contributing factor. Therefore, 2% SSP, 2 h of
204 sonication time, 10% of incubation level and 4 d of culture time were identified as the optimal
205 treatment conditions for achieving high efficacy of deprotenization. Note that the reason of
206 selecting 4 d for culture time was because the enzyme activity increased with culture time and
207 reached the maximum at 4 d (*Fig. 1*).

208 Previous study reported that ultrasonic treatment improved the efficacy of DP when using
209 chemical extraction method.²⁴ However, no study had reported the effect of ultrasonic treatment
210 on the deproteinization using microbial fermentation. In this study, sonication for 1, 1.5 and 2 h
211 with sonication frequency 40 kHz and power 300 W (Ultrasonic processor, model KH-600E,
212 Hechuang, Shanghai) was evaluated since our preliminary results showed that DP was not
213 significantly improved when sonication time *was* longer than 2 h (data not shown). It *might* be
214 explained that the high-intensity ultrasonic treatment caused swelling of the microfibers of chitin
215 and resulted in a loose structure, thereby made the reagent easily permeate to improve
216 deprotenization efficacy. For DM, the incubation level was ranked the first and the sonication
217 time ranked the lowest among all contributing factors. Therefore, ultrasonic treatment did not
218 improve the efficacy of DM (Table 2).²⁴

219 The cell growth, protease and chitinase activity of *S. marcescens* B742 using optimal
220 fermentation conditions are exhibited in *Fig. 1*. The maximum protease and chitinase activity
221 was displayed as 160 and 24.5 mU/ml at 4 d, respectively. Previous studies also found that the
222 maximum protease and chitinase activity *was* 160 and 22 mU/mL, *respectively* when using 2%
223 squid pen powders as C/N source.²⁰ However, the maximal DP only reached 30.88% among all
224 treatments. Therefore, the efficacy of DP was not satisfactory using *S. marcescens* B742

225 fermentation alone. This might be explained that the protein and chitin in the skeletal tissue are
226 tightly combined to form a protein-chitin matrix, which made it difficult to remove protein from
227 SSP by a single fermentation treatment.²⁴

228

229 **3.2. Optimal treatment conditions for demineralization using *L. plantarum* ATCC 8014** 230 **fermentation**

231 The key factors determining the fermentation efficacy of *L. plantarum* strains might include
232 the amount of sugar, the inoculation level, and the culture time.³² As stated above, SSP provided
233 C/N source for the growth of strains, thus the level of SSP was also considered as a contributing
234 factor. Ca, DM, pH and TTA from *L. plantarum* ATCC 8014 fermentation are reported in Table 3.
235 R_i value for SSP and incubation level on Ca, pH and TTA were ranked first and second,
236 respectively. Because the aim of *L. plantarum* ATCC 8014 fermentation was to remove CaCO₃,
237 the concentration of Ca ion was considered as an indicator for the optimization of the
238 fermentation conditions. Based on the statistical results from the Taguchi design, SSP
239 significantly affected Ca, DM, pH and TTA, but both culture time and glucose concentration had
240 no significant effect on Ca. However, the culture time was the second most contributing factor on
241 DM. This may be because DM closely related to the production of lactic acid produced by *L.*
242 *plantarum* ATCC 8014. Therefore, the optimal conditions for *L. plantarum* ATCC 8014
243 fermentation were 2% SSP, 15% glucose, 10% incubation and 2 d of culture time. Because the
244 culture time had no significant effect on Ca, 2 d was selected as optimal culture time. It should
245 also note that the growth of *L. plantarum* ATCC 8014 was slightly inhibited when the pH
246 decreased to ~3.2 at 2 d (Fig. 2).

247 It was found that SSP could not provide enough nutrition for the growth of *L. plantarum*

248 ATCC 8014 and the type of sugar and its initial concentration had significant effect on the
249 production of lactic acid.³³ Glucose, lactose, maltose, rice and manioc might be added into the
250 culture broth for providing nutritional substances.³³ In this study, it was found that the
251 concentration of Ca ion is the lowest when adding 15% glucose. Although the high concentration
252 of glucose could greatly shorten the fermentation cycle, the fermentation could be inhibited and
253 pH value could increase when the concentration of glucose surpassed 15%.³⁴

254 The highest DM obtained was only 65.9% among nine experimental runs, thus not
255 satisfactory for using *L. plantarum* ATCC 8014 fermentation alone. Fortunately, the protein on
256 SSP was partially removed using *L. plantarum* ATCC 8014 fermentation (data not shown), which
257 might be because SSP provided C/N source for the bacterial growth to remove the protein in the
258 skeletal tissue of SSP, thereby the partially soluble protein was dissolved in fermentation medium
259 and washed. Because the deproteinization efficacy was not high enough, DP (%) values were not
260 reported in Table 3. Based on the results from this study, SSP was closely related to the efficacy
261 of DM. This result was consistent with previous studies, in which the satisfactory fermentation
262 could be obtained when using glucose as C/N source.^{32,35}

263 The changes of cell growth, pH and TTA during *L. plantarum* ATCC 8014 fermentation using
264 the optimal fermentation conditions are illustrated in Fig. 2. The abundant lactic acid produced
265 could dissolve CaCO₃ to obtain water-soluble calcium lactate, hence the minerals could be
266 separated from chitin.³⁶ The pH change was closely related to the production of lactic acid and
267 gradually decreased along with the extended culture time.³⁶ The pH 4.0 was a critical point for
268 the growth of *L. plantarum* ATCC 8014 at 2 d of incubation time. This result was similar to the
269 previous report that the satisfactory chitin recovery was obtained at optimized fermentation
270 conditions of pH= 4.3, 5% inoculum level, 15% glucose and 72 h of incubation time at 37±1

271 °C.³⁵ By considering the unsatisfactory DP and DM using *S. marcescens* B742 and *L. plantarum*
272 ATCC 8014 fermentation alone, successive two-step fermentation was thus employed.

273

274 3.3. Successive fermentation

275 Identified optimal DP conditions using *S. marascens* B742 fermentation was further applied
276 on SSP, and obtained Ca, DM and DP at 4 d of culture time were 1363.77 ± 9.79 mg/kg, 51.83%
277 and 83.37%, respectively (Table 4). DP at 4 d was not significantly different from that at 5 d,
278 thus 4 d of fermentation using *S. marascens* B742 was chosen for the successive two-step
279 fermentation study. Similarly, Jo et al. prepared the chitin from crab shells using *S. marcescens*
280 fermentation, and reported that DM and DP were 41.2% and 66.8% after 5 d of fermentation,
281 respectively.¹³ After applying the optimal DM treatment conditions using *L. plantarum* ATCC
282 8014 fermentation, obtained DM and DP were 85.3% and 60.9%, respectively after 2 d of
283 fermentation, and no further increase after that (Table 4). Hence, 2 d was selected as optimal
284 fermentation time for DM using *L. Plantarum* ATCC 8014 fermentation.

285 After successive two-step fermentation (*S. marcescens* B742 fermentation first followed by *L.*
286 *Plantarum* ATCC 8014 fermentation, Fig. 3), the chitin yield reached 18.9%. It was found that
287 the successive two-step fermentation gave the best result in co-removal of protein and Ca from
288 SSP. As it has been well known that the chitin-protein complex combined in the SSP skeletal
289 tissue is not easy to be removed completely.²⁴ The reason to implement *S. marcescens* B742
290 fermentation first was because the produced protease could significantly remove the minerals
291 and further loose the structure of swelling chitin microfibers when soaking in fermented medium,
292 thus greatly improved the DP efficacy during *L. Plantarum* ATCC 8014 fermentation. As shown
293 in Table 4, DM and DP were ~94.5% and 93.0%, respectively at the end of two-step fermentation.

294 These results were similar to the previous findings, in which the yield of chitin from shrimp shell
295 waste was only 7.2% when using chemical method, but increased to 13% when using *L.*
296 *plantarum* 541 fermentation.³³ It might be explained that when chitin was broken down by strong
297 acid and alkali reagent, it also lead to the undesired deacetylation and depolymerization.
298 Microbial fermentation could avoid these problems, thus promising for the extraction of chitin
299 from shrimp biowaste.

300

301 **3.4. Analysis of superficial characteristics by scanning electron microscopy (SEM)**

302 SEM images of SSP, SSP after DP by *S. marascens* B742 fermentation, SSP after DM using
303 *L. plantarum* ATCC 8014 fermentation and successive two-step fermentation are shown in Fig. 4.
304 The smashed SSP displayed smooth microfibrillar crystalline structure and left layer structure
305 largely intact (Fig. 4A), while the SEM images of SSP after DM showed more slightly fracture
306 (Fig. 4B) than that of SSP after DP with sonication treatment (Fig. 4C). The graph of DP under
307 the optimal conditions using *S. marascens* B742 fermentation left the stacked layers and showed
308 the signs of perforation (Fig. 4C). These results confirmed the previously stated hypothesis that
309 high-intensity ultrasonic treatment may improve the accessibility of the solvent, thus improved
310 the DP efficacy.²⁴ The SSP processed by *S. marascens* B742 fermentation and successive
311 two-step fermentation (Fig. 4D) was morphologically similar. However, the shrimp shell
312 fragments became highly fractured and spongy after successive two-step fermentation and the
313 chitin sheets became shattered (Fig. 4D). It was apparent that the successive two-step
314 fermentation improved DP and DM efficacy.³⁷

315

316 **3.5. FT-IR and X-ray diffraction analysis**

317 The differences in the FT-IR scans among all samples are displayed in Fig. 5. The spectra
318 were characterized by three bands at 1577, 1654, and 2932 cm^{-1} , which corresponded to the
319 vibrations of -NH, -C-O and -CO-CH₃ group, respectively.^{37,38} The bands between 890 and 1156
320 cm^{-1} represented polysaccharide structures. It was notable that the band at 2932 and 1577 cm^{-1}
321 for other samples were more intense than that of commercial chitosan (Fig. 5A), which
322 confirmed the existence of chitin.³ Compared to the commercial chitin, the band observed at
323 2932 cm^{-1} demonstrated an intensification of the peak (Figs. 5C-5E), and suggested the
324 occurrence of deacetylation. DA of the samples after *S. marascens* B742 fermentation, *L.*
325 *plantarum* ATCC 8014 fermentation and successive two-step fermentation were 25.3%, 83.09%,
326 and 80.17%, respectively (Table 5). It was found that ultrasonic treatment significantly reduced
327 DA of chitin prepared by *S. marascens* B742 fermentation alone. This may be because ultrasonic
328 treatment destroyed the skeletal structure of chitin and improved the accessibility of acetylye
329 group to reagent during ultrasonic treatment. This effect needs to be addressed in the future
330 studies when incorporating ultrasonic treatment in the extraction of chitin.

331 The crystallinity indexes of commercial chitin and chitin extracted by one-step and
332 successive two-step fermentation were determined from the scattering intensity at two angles,
333 one at $2\theta=9-10^\circ$ and another at $2\theta=19-20^\circ$ (Fig. 6). The results were consistent to the literature,
334 in which the purified chitin had wide-angle X-ray diffraction pattern and showed two crystalline
335 peaks at $2\theta=9.3^\circ$ and 19.1° .³⁹ Similarly, Yen and Mau reported that fungal chitin displayed two
336 crystalline reflections at $5.4-5.6^\circ$ and $19.3-19.6^\circ$.⁴⁰ The crystallinity index of commercial chitin,
337 chitin obtained from *S. marascens* B742 fermentation, from *L. plantarum* ATCC 8014
338 fermentation and from the successive two-step fermentation were 98.6%, 80.0%, 92.2% and
339 79.2%, respectively (the baseline at $2\theta=16^\circ$). Overall, it was found that the application of

340 ultrasonic treatment reduced the crystallinity of chitin, from 92.15% in *L. plantarum* ATCC 8014
341 fermentation to 80.04% in *S. marascens* B742 fermentation (Table 5). A lower crystallinity of
342 polysaccharides indicates disruption of intra- and inter-molecular hydrogen bonds, in turn
343 provides the possibility for more efficient chemical modifications in subsequent processing
344 steps.⁴¹ The X-ray diffractograms of chitin powder obtained from the successive two-step
345 fermentation showed narrowed peak areas than the commercial chitin, confirmed that further
346 purification is necessary to obtain satisfactory chitin extractive.

347

348 **4. Conclusions**

349 The study found that fermentation using *S. marascens* B742 or *L. plantarum* ATCC 8014
350 alone could not obtain chitin with satisfactory DM and DP efficacy, but the successive two-step
351 fermentation using these two bacteria improved the extraction efficacy with chitin yield of 18.9%,
352 and the resultant chitin has similar physicochemical and structural properties to commercial
353 chitin. The microbial fermentation is a relatively simple and environment-friendly alternative to
354 the chemical method. However, it should note that this study at shaking flask level under
355 laboratory conditions may not be suitable on large scale operations. Hence, modifications and
356 adjustments on the specific treatment conditions and processing procedures might be necessary.
357 Moreover, the application of ultrasonic treatment appeared to change the morphology and
358 crystallinity index of chitin, thus should be considered in the future studies.

359

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