#### AN ABSTRACT OF THE THESIS OF

Jan R. Baur for the degree of Master of Science in Geophysics presented on March 29, 2007

Title: <u>Seismotectonics of the Himalayas and the Tibetan Plateau: Moment Tensor</u> <u>Analysis of Regional Seismograms</u>

Abstract approved:

John L. Nabelek

This thesis presents a detailed seismotectonic investigation of the Himalayan region and the Tibetan plateau as part of project HiCLIMB to explore the state of stress and the kinematics of the world's largest continental collision zone. Using full regional waveforms for moment tensor inversion, source parameters for 107 earthquakes were determined with moment-magnitudes ( $M_w$ ) ranging from 3.5 to 6.3. The significant decrease in magnitude threshold with respect to previous studies was accomplished through the usage of broadband data from the HiCLIMB, HIMNT, and Bhutan temporary networks. Combining the results from this study with previously published earthquake source parameters, the investigation focuses on three topics: (1) Deformation along the front of the Himalayan arc associated (3) Location and stress orientation of intermediate-depth earthquakes.

Thrust event epicenters along the Himalayan front closely coincide with the 3500 m topography contour. These earthquakes can be associated with elastic strain accumulation near the lower tip of the locked part of the MHT due to tectonic loading from its creeping down-dip extension. Centroid depths and nodal plane dips of these thrust events are inconsistent with slip merely on the main detachment and indicate significant deformation in the vicinity of the MHT. Especially in far western Nepal, nodal plane dips are systematically steeper and slip during these events might play a role in the formation of asperities and barriers on the detachment surface. The P-axes azimuths of the thrust events along the Himalayan arc deviate considerably from a mere circular geometry. Spatial filtering of the regional topography reveals that slip of events in the footwall as well as the hanging wall aligns perpendicular to the mountain range on a 50 km wavelength scale. The topography-perpendicular alignment of the slip direction on planes with significant inclination suggests that these thrust events contribute considerably to the mountain building process and to the formation of the local shape of the arc.

Deformation on the southern Tibetan plateau is dominated by shallow normal faulting in the upper 15 km of the crust. The extensional direction, while generally trending east-west, shows an apparent transition from arc parallel in the Tethyan Himalaya to northward convex in the southern Lhasa terrane. North of about N31°, deformation changes to strike-slip prevalence. The locations of changes in faulting

patterns coincide with changes in geometry of the underthrusting Indian crust revealed by receiver function images. This correlation indicates a significant influence of basal traction on shallow crustal faulting processes.

This study provides additional evidence that most intermediate-depth seismicity occurs beneath the Moho, signifying a strong upper mantle. Faulting in the upper mantle is dominated by strike-slip faulting with northerly trending P-axes. The maximum horizontal compressive stress axes of mantle earthquakes align with the direction of the India-Eurasia convergence and imply a relation of this deformation to the subduction process.

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#### Seismotectonics of the Himalayas and the Tibetan Plateau: Moment Tensor

Analysis of Regional Seismograms

by

Jan R. Baur

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I understand that my thesis will become part of the permanent collection of Oregon State University libraries. My signature below authorizes release of my thesis to any reader upon request.

Jan R. Baur, Author

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### TABLE OF CONTENTS

	<u>Page</u>
1 INTRODUCTION	1
2 GEOLOGIC AND STRUCTURAL SETTING	10
3 METHODS	18
3.1 Theory	18
3.2 Data	21
3.3 Velocity Models	24
3.4 Procedural Steps	27
3.5 Robustness	34
3.6 Comparison with other Studies	39
3.6.1 Harvard CMT	39
3.4.2 HIMNT	43
3.7 Work Plan	45
4 RESULTS	46
4.1 Overview of Results	46
4.2 Western Nepal	57
4.3 Central Nepal	60
4.4 Eastern Nepal, Sikkim and western Bhutan	63
4.5 Bhutan to Eastern Syntaxis	66
4.6 Southern Tibet	69

## TABLE OF CONTENTS (Continued)

## <u>Page</u>

4.7 Profiles across the Himalayas	74
5 DISCUSSION	82
5.1 Thrusting along the Himalayan Front	82
5.1.1 Location of Thrust Events	82
5.1.2 Nodal plane Dips	85
5.1.3 P-axes Azimuth	90
5.2 Faulting Patterns in Tibet	103
5.3 Deformation at Depth	113
6 SUMMARY AND CONCLUSIONS	119
BIBLIOGRAPHY	123
APPENDICES	131
Appendix A Observed and Synthetic Waveforms	132
Appendix B Source Parameters from Previous Investigations	271

### LIST OF FIGURES

Figure	Page
1.1. Overview map of the Himalayas and the Tibetan plateau	8
1.2. Focal mechanisms from previous studies and microseismicity determined by the Nepalese Seismic Network (red dots) (Pandey <i>et al.</i> , 1999	9
2.1. Fault traces in the Himalayas and Tibet	17
3.2.1. Map of stations used for the regional moment tensor analysis	23
3.3.1. Velocity models used for the computation of excitation functions	26
3.4.1. Waveform fits at different stations and variance with depth for event H96	31
3.4.2. Waveform fits at different stations for the biggest event in this study (H100)	32
3.4.3. Depth resolution for the biggest event (H100) in different frequency bands	33
3.4.4. Resolution of Strike, Dip and Rake for the biggest event (H100) in different frequency bands	33
3.5.1. Focal mechanism solutions for event H3 analyzed with different locations and velocity models	37
3.5.2. Robustness test of solution H51 for varying azimuthal station coverage and station combinations	38
3.6.1.1.Comparison of moment tensor solutions determined in this study (top) with Harvard CMT (bottom)	41
3.6.1.2. Comparison of moment magnitude $(M_w)$ (left) and centroid depth (right) of events determined in this study to Harvard CMT solutions	42

# LIST OF FIGURES (Continued)

Figure	<u>Page</u>
3.6.2.2. Comparison of events determined in this study (top beach balls) and de la Torre <i>et al.</i> (2007, in review) (bottom beach balls)	44
4.1.1. Overview map of the 107 focal mechanisms determined in this study	50
4.1.2. Histogram showing the focal depth distribution of analyzed events	51
4.1.3. Histogram showing moment magnitude of analyzed events	51
4.1.4. Compilation of focal mechanisms from this and previous studies in the area of focus	56
4.2.1. Source mechanisms (beach balls) of earthquakes in western Nepal	59
4.2.2. Lower hemisphere polar plot showing compressional (black squares) and extensional (open circles) axes of mechanisms along the Himalayan front of western Nepal	59
4.3.1. Source mechanisms (beach balls) of earthquakes in central Nepal	62
<ul><li>4.3.2. Lower hemisphere polar plot showing compressional (black squares) and extensional axes (open circles) of mechanisms near Pokhara (A), and west of Kathmandu (B)</li></ul>	62
4.4.1. Source mechanisms (beach balls) of earthquakes in Eastern Nepal, Sikkim, and Western Bhutan	65
4.4.2. Lower hemisphere polar plot showing compressional (black squares) and extensional axes (open circles) of normal faulting mechanisms near the Pum Qu graben (A), and thrust and strike-slip mechanisms in the region between E87° and E90° (B)	65
4.5.1. Source mechanisms (beach balls) of earthquakes in the region between Bhutan and the eastern syntaxis near Arunachal Pradesh	68

# LIST OF FIGURES (Continued)

Figure	<u>Page</u>
4.6.1. Source mechanisms (beach balls) of earthquakes in the southern Tibetan plateau	73
4.7.1. Cross-sections of the Himalayas and southern Tibet	78
4.7.2. Cross-section of the Himalayas in central Nepal	81
5.1.1.1. Thrust events along the Himalayan front from this and previous studies	84
5.1.2.1. Dips of shallow nodal planes of thrust events along the arc	89
5.1.3.1. A) Beach balls, B) P-axes, and C) P-axis azimuth of thrust events along the Himalayan arc	99
5.1.3.2. Comparison of thrust event P-axes azimuth (orange triangles) to GPS vector azimuth (open circles) (Bettinelli <i>et al.</i> , 2006), and Plate motion predictions from HS3-Nuvel1A and REVEL 2000 (dashed lines)	100
5.1.3.3. P-axes of thrust events and 3500m topography contour filtered at A) 200; B) 100; 75; C) 50; and D) 25 km	101
5.1.3.4. Root mean square of thrust event P-axes azimuth with respect to the 3500 m –topography contour normal filtered at different scales versus maximal allowed lateral shift	102
5.2.1. Focal mechanisms of crustal events in the southern Tibetan plateau (top panel) and associated dilatational axes (bottom panel)	110
5.2.2. Beach balls of crustal events in the tethyan Himalaya and southern Lhasa terrane plotted as a function of Longitude and T- axes azimuth.	111
5.2.3. Profile across the Himalayas and the Tibetan plateau in the region of the HiCLIMB seismic array	112
5.3.1. Depth slices of source mechanisms. A) Depth $\leq$ 25 km, B) 26 km $\leq$ Depth $\leq$ 65 km, C) Depth $\geq$ 65 km	118

### LIST OF APPENDIX FIGURES

Figure	Page
A.1 Observed (solid lines) and synthetic (dashed lines) seismograms	133
A.2 Observed (solid lines) and synthetic (dashed lines) seismograms	134
A.3 Observed (solid lines) and synthetic (dashed lines) seismograms	135
A.4 Observed (solid lines) and synthetic (dashed lines) seismograms	136
A.5 Observed (solid lines) and synthetic (dashed lines) seismograms	137
A.6 Observed (solid lines) and synthetic (dashed lines) seismograms	138
A.7 Observed (solid lines) and synthetic (dashed lines) seismograms	139
A.8 Observed (solid lines) and synthetic (dashed lines) seismograms	140
A.9 Observed (solid lines) and synthetic (dashed lines) seismograms	141
A.10 Observed (solid lines) and synthetic (dashed lines) seismograms	142
A.11 Observed (solid lines) and synthetic (dashed lines) seismograms	143
A.12 Observed (solid lines) and synthetic (dashed lines) seismograms	144
A.13 Observed (solid lines) and synthetic (dashed lines) seismograms	145
A.14 Observed (solid lines) and synthetic (dashed lines) seismograms	146
A.15 Observed (solid lines) and synthetic (dashed lines) seismograms	147
A.16 Observed (solid lines) and synthetic (dashed lines) seismograms	148
A.17 Observed (solid lines) and synthetic (dashed lines) seismograms	149
A.18 Observed (solid lines) and synthetic (dashed lines) seismograms	150
A.19 Observed (solid lines) and synthetic (dashed lines) seismograms	151

Figure	Page
A.20 Observed (solid lines) and synthetic (dashed lines) seismograms	152
A.21 Observed (solid lines) and synthetic (dashed lines) seismograms	153
A.22 Observed (solid lines) and synthetic (dashed lines) seismograms	154
A.23 Observed (solid lines) and synthetic (dashed lines) seismograms	155
A.24 Observed (solid lines) and synthetic (dashed lines) seismograms	156
A.25 Observed (solid lines) and synthetic (dashed lines) seismograms	157
A.26 Observed (solid lines) and synthetic (dashed lines) seismograms	158
A.27 Observed (solid lines) and synthetic (dashed lines) seismograms	159
A.28 Observed (solid lines) and synthetic (dashed lines) seismograms	160
A.29 Observed (solid lines) and synthetic (dashed lines) seismograms	161
A.30 Observed (solid lines) and synthetic (dashed lines) seismograms	162
A.31 Observed (solid lines) and synthetic (dashed lines) seismograms	163
A.32 Observed (solid lines) and synthetic (dashed lines) seismograms	164
A.33 Observed (solid lines) and synthetic (dashed lines) seismograms	165
A.34 Observed (solid lines) and synthetic (dashed lines) seismograms	166
A.35 Observed (solid lines) and synthetic (dashed lines) seismograms	167
A.36 Observed (solid lines) and synthetic (dashed lines) seismograms	168
A.37 Observed (solid lines) and synthetic (dashed lines) seismograms	169
A.38 Observed (solid lines) and synthetic (dashed lines) seismograms	170

Figure	Page
A.39 Observed (solid lines) and synthetic (dashed lines) seismograms	171
A.40 Observed (solid lines) and synthetic (dashed lines) seismograms	172
A.41 Observed (solid lines) and synthetic (dashed lines) seismograms	173
A.42 Observed (solid lines) and synthetic (dashed lines) seismograms	174
A.43 Observed (solid lines) and synthetic (dashed lines) seismograms	175
A.44 Observed (solid lines) and synthetic (dashed lines) seismograms	176
A.45 Observed (solid lines) and synthetic (dashed lines) seismograms	177
A.46 Observed (solid lines) and synthetic (dashed lines) seismograms	178
A.47 Observed (solid lines) and synthetic (dashed lines) seismograms	179
A.48 Observed (solid lines) and synthetic (dashed lines) seismograms	180
A.49 Observed (solid lines) and synthetic (dashed lines) seismograms	181
A.50 Observed (solid lines) and synthetic (dashed lines) seismograms	182
A.51 Observed (solid lines) and synthetic (dashed lines) seismograms	183
A.52 Observed (solid lines) and synthetic (dashed lines) seismograms	184
A.53 Observed (solid lines) and synthetic (dashed lines) seismograms	185
A.54 Observed (solid lines) and synthetic (dashed lines) seismograms	186
A.55 Observed (solid lines) and synthetic (dashed lines) seismograms	187
A.56 Observed (solid lines) and synthetic (dashed lines) seismograms	188
A.57 Observed (solid lines) and synthetic (dashed lines) seismograms	189

Figure	<u>Page</u>
A.58 Observed (solid lines) and synthetic (dashed lines) seismograms	190
A.59 Observed (solid lines) and synthetic (dashed lines) seismograms	191
A.60 Observed (solid lines) and synthetic (dashed lines) seismograms	192
A.61 Observed (solid lines) and synthetic (dashed lines) seismograms	193
A.62 Observed (solid lines) and synthetic (dashed lines) seismograms	194
A.63 Observed (solid lines) and synthetic (dashed lines) seismograms	195
A.64 Observed (solid lines) and synthetic (dashed lines) seismograms	196
A.65 Observed (solid lines) and synthetic (dashed lines) seismograms	197
A.66 Observed (solid lines) and synthetic (dashed lines) seismograms	198
A.67 Observed (solid lines) and synthetic (dashed lines) seismograms	199
A.68 Observed (solid lines) and synthetic (dashed lines) seismograms	200
A.69 Observed (solid lines) and synthetic (dashed lines) seismograms	201
A.70 Observed (solid lines) and synthetic (dashed lines) seismograms	202
A.71 Observed (solid lines) and synthetic (dashed lines) seismograms	203
A.72 Observed (solid lines) and synthetic (dashed lines) seismograms	204
A.73 Observed (solid lines) and synthetic (dashed lines) seismograms	205
A.74 Observed (solid lines) and synthetic (dashed lines) seismograms	206
A.75 Observed (solid lines) and synthetic (dashed lines) seismograms	207
A.76 Observed (solid lines) and synthetic (dashed lines) seismograms	208

Figure	<u>Page</u>
A.77 Observed (solid lines) and synthetic (dashed lines) seismograms	209
A.78 Observed (solid lines) and synthetic (dashed lines) seismograms	210
A.79 Observed (solid lines) and synthetic (dashed lines) seismograms	211
A.80 Observed (solid lines) and synthetic (dashed lines) seismograms	212
A.81 Observed (solid lines) and synthetic (dashed lines) seismograms	213
A.82 Observed (solid lines) and synthetic (dashed lines) seismograms	214
A.83 Observed (solid lines) and synthetic (dashed lines) seismograms	215
A.84 Observed (solid lines) and synthetic (dashed lines) seismograms	216
A.85 Observed (solid lines) and synthetic (dashed lines) seismograms	217
A.86 Observed (solid lines) and synthetic (dashed lines) seismograms	218
A.87 Observed (solid lines) and synthetic (dashed lines) seismograms	219
A.88 Observed (solid lines) and synthetic (dashed lines) seismograms	220
A.89 Observed (solid lines) and synthetic (dashed lines) seismograms	221
A.90 Observed (solid lines) and synthetic (dashed lines) seismograms	222
A.91 Observed (solid lines) and synthetic (dashed lines) seismograms	223
A.92 Observed (solid lines) and synthetic (dashed lines) seismograms	224
A.93 Observed (solid lines) and synthetic (dashed lines) seismograms	225
A.94 Observed (solid lines) and synthetic (dashed lines) seismograms	226
A.95 Observed (solid lines) and synthetic (dashed lines) seismograms	227

Figure	<u>Page</u>
A.96 Observed (solid lines) and synthetic (dashed lines) seismograms	228
A.97 Observed (solid lines) and synthetic (dashed lines) seismograms	229
A.98 Observed (solid lines) and synthetic (dashed lines) seismograms	230
A.99 Observed (solid lines) and synthetic (dashed lines) seismograms	231
A.100 Observed (solid lines) and synthetic (dashed lines) seismograms	232
A.101 Observed (solid lines) and synthetic (dashed lines) seismograms	233
A.102 Observed (solid lines) and synthetic (dashed lines) seismograms	234
A.103 Observed (solid lines) and synthetic (dashed lines) seismograms	235
A.104 Observed (solid lines) and synthetic (dashed lines) seismograms	236
A.105 Observed (solid lines) and synthetic (dashed lines) seismograms	237
A.106 Observed (solid lines) and synthetic (dashed lines) seismograms	238
A.107 Observed (solid lines) and synthetic (dashed lines) seismograms	239
A.108 Observed (solid lines) and synthetic (dashed lines) seismograms	240
A.109 Observed (solid lines) and synthetic (dashed lines) seismograms	241
A.110 Observed (solid lines) and synthetic (dashed lines) seismograms	242
A.111 Observed (solid lines) and synthetic (dashed lines) seismograms	243
A.112 Observed (solid lines) and synthetic (dashed lines) seismograms	244
A.113 Observed (solid lines) and synthetic (dashed lines) seismograms	245
A.114 Observed (solid lines) and synthetic (dashed lines) seismograms	246

Figure	<u>Page</u>
A.115 Observed (solid lines) and synthetic (dashed lines) seismograms	247
A.116 Observed (solid lines) and synthetic (dashed lines) seismograms	248
A.117 Observed (solid lines) and synthetic (dashed lines) seismograms	249
A.118 Observed (solid lines) and synthetic (dashed lines) seismograms	250
A.119 Observed (solid lines) and synthetic (dashed lines) seismograms	251
A.120 Observed (solid lines) and synthetic (dashed lines) seismograms	252
A.121 Observed (solid lines) and synthetic (dashed lines) seismograms	253
A.122 Observed (solid lines) and synthetic (dashed lines) seismograms	254
A.123 Observed (solid lines) and synthetic (dashed lines) seismograms	255
A.124 Observed (solid lines) and synthetic (dashed lines) seismograms	256
A.125 Observed (solid lines) and synthetic (dashed lines) seismograms	257
A.126 Observed (solid lines) and synthetic (dashed lines) seismograms	258
A.127 Observed (solid lines) and synthetic (dashed lines) seismograms	259
A.128 Observed (solid lines) and synthetic (dashed lines) seismograms	260
A.129 Observed (solid lines) and synthetic (dashed lines) seismograms	261
A.130 Observed (solid lines) and synthetic (dashed lines) seismograms	262
A.131 Observed (solid lines) and synthetic (dashed lines) seismograms	263
A.132 Observed (solid lines) and synthetic (dashed lines) seismograms	264
A.133 Observed (solid lines) and synthetic (dashed lines) seismograms	265

Figure	<u>Page</u>
A.134 Observed (solid lines) and synthetic (dashed lines) seismograms	266
A.135 Observed (solid lines) and synthetic (dashed lines) seismograms	267
A.136 Observed (solid lines) and synthetic (dashed lines) seismograms	268
A.137 Observed (solid lines) and synthetic (dashed lines) seismograms	269
A.138 Observed (solid lines) and synthetic (dashed lines) seismograms	270

#### Seismotectonics of the Himalayas and the Tibetan Plateau: Moment Tensor Analysis of Regional Seismograms

#### **1 INTRODUCTION**

The collision and subsequent penetration of the Indian continent into the Asian continent resulted in the formation of the most dominant topographic structures on earth: the Himalayas and the Tibetan plateau (Figure 1.1). The most striking tectonic features are the underthrusting of Indian lithosphere beneath the Tibetan plateau, thickening of the crust up to 80 km, and the successive extension of the plateau combined with continental escape. These features open a multitude of geodynamical questions about mountain building and plateau formation which has inspired a variety of geological and geophysical research to investigate the structure and physical properties of the orogen at depth (e.g. Gansser, 1964; Molnar and Tapponnier, 1975; Rothery and Drury, 1984; Armijo et al., 1986; England and Houseman, 1986; Bilham et al., 1997; McCaffrey and Nabelek, 1998; Larson et al., 1999; Bollinger et al., 2004; Hetenyi et al., 2006). Seismologic investigations have played a rather significant role in the process of understanding these systems by revealing their structure as well as the tectonic status quo. The investigation of source characteristics and depth distribution of earthquakes are important tools to provide information about the state of stress and mechanical properties of the lithosphere.

Until this century however, the lack of regional broadband stations has restricted the study of focal mechanisms to teleseismic investigations, limiting the analysis to larger events with magnitude  $\sim M_w > 5$ . This restriction resulted in a patchy picture of the current deformation expressed by earthquakes, and left the seismotectonics of many regions in the area poorly sampled. In recent years, several temporary broadband seismic networks were deployed in the region, lowering the magnitude threshold for such analysis dramatically (Drukpa *et al.*, 2004; de la Torre and Sheehan, 2005; Nabelek *et al.*, 2005). In particular the HiCLIMB seismic array, which produced the most extensive seismic data set ever recorded in the region between 2002 and 2005 (Nabelek *et al.*, 2005).

This study makes use of temporary network data for a detailed investigation of the seismotectonics of the Himalayas and the Tibetan plateau by increasing the number of reliable focal mechanisms through regional moment tensor analysis. A major advantage of this study with respect to previous investigations of this kind is given by the unprecedented spatial coverage of stations from the HiCLIMB seismic network, which allows for a major decrease of the magnitude threshold of analyzable earthquakes, and tightly constrained source parameters through inversion of full regional waveforms.

The focal mechanisms determined in this study are then combined with results from previous investigations to give a more complete picture of the mechanisms and kinematics associated with this continent-continent collision. The discussion focuses on three major topics related to the active tectonics in the orogen. First, special attention is given to the pattern of thrusting along the arc in the vicinity of the Main Himalayan Thrust (MHT). Second, patterns of normal faulting on the southern Tibetan plateau are discussed in relation to possible mechanisms causal to extension. Third, mechanisms and focal depths of deep events are investigated in the light of vertical strength of the crust and mantle in the region of the Himalayas and the southern Tibetan plateau. The following paragraphs provide a short background on the topics of focus.

The present day tectonics of the Himalayas is characterized by the underthrusting of the Indian lithosphere along the Main Himalayan Thrust, which has been documented by various seismological studies (e.g. Hauck *et al.*, 1998; Zhao *et al.*, 1993; Schulte- Pelkum *et al.* 2005; Nabelek *et al.*, 2005). The MHT emerges along the Himalayan piedmont, where it is known as the main frontal thrust (MFT) (Nakata, 1989), and roots into a ductile, sub-horizontal shear zone, beneath the higher Himalaya (Cattin and Avouac, 2000). Between 13 and 21 mm/yr of the convergence between India and Eurasia (e.g. Bettinelli et al., 2006; Jouanne et al., 2004) are being accumulated within the Himalayas, resulting in significant strain buildup in the upper, locked part of the MHT (Pandey *et al.*, 1995). This ongoing crustal shortening is manifested in large, devastating earthquakes that have repeatedly ruptured the Himalayan front in recent history, such as the 1905 Kangra (Mw 8.2), or the 1934 Bihar (Mw 8.4) earthquake (e.g., Seeber and Armbruster,

1981; Bilham et al., 2001). During the interseismic period, intense microseismicity and frequent medium-sized earthquakes have been observed in a narrow belt that follows approximately the topographic front of the higher Himalayas throughout Nepal (Pandey et al., 1995; 1999) (Figure 1.2). Previous investigations of focal mechanisms along the Himalayan front have reported the dominance of shallow northward dipping thrust faulting in the region of intense microseismicity (Baranowski et al., 1984; Ni and Barazangi, 1984; Molnar and Lyon-Caen, 1989) (Figure 1.2). These events have been interpreted to define the detachment surface that separates the underthrusting Indian plate from the overriding lesser Himalayan crustal block (Baranowski et al., 1984; Ni and Barazangi, 1984). However, if the MHT is indeed essentially locked, the zone around the fault tip is subjected to large tectonic stresses and fracture can occur on planes adjacent to the main detachment in addition to slip on the main detachment surface. The slip orientation of these events is thought to be roughly arc radial (Armijo et al., 1986; Baranowski et al., 1984; Molnar and Lyon-Caen, 1989), but a detailed investigation of variability along the arc and the relation to geometric variations in the microseismic belt has been missing due to scarcity of reliable fault plane solutions. A greater number of focal mechanisms along the arc increase the understanding about the tectonic processes in the interseismic period, as well as the geometry of the main detachment.

The tectonics of the Tibetan plateau are largely affected by the subduction of the Indian crust beneath Tibet and crustal shortening and thickening induced by the Indo-Asian collision. In addition to the north-south compression prevalent at the collisional front however, the Tibetan plateau is subjected to significant east-west extension and lateral escape. This is expressed in normal and strike-slip faulting with increasing dominance of strike-slip faulting towards the north and northeast of the plateau (e.g. Tapponier et al., 1982). In the south, extension of the Tibetan plateau becomes evident by a number of large graben systems cutting through the higher Himalayas, the Tethyan Himalaya, the Lhasa terrane, and -to a smaller extent- the Quiangtang terrane (Tapponier et al., 1982; Armijo et al., 1986). Surface traces of these rift structures, while generally striking north-south, change azimuth from arc perpendicular in the higher Himalayas and southern Tethyan Himalaya, to the northward radial in the Lhasa terrane further to the north. Whereas the changes in orientation of the fault surface traces signify a regional change in tectonics, previously available fault plane solutions of earthquakes of magnitude  $M_w \ge 5$  have shown a constant north-south strike and due east-west extension (Figure 1.2), not reflecting any significant changes in active faulting patterns across southern Tibet (e.g. Molnar and Chen, 1983; Molnar and Lyon-Caen, 1989). Several mechanisms have been proposed to explain the extension of the Tibetan plateau, emphasizing different driving forces. In one view Tibetan plateau extension is described as an expression of gravitational collapse following thickening of the crust and convective removal of the mantle lithosphere beneath

Tibet (e.g. England and Houseman, 1989, Royden, 1996, Molnar et al., 1993). Other models attribute the extension to basal drag induced by the underthrusting Indian lithosphere at oblique convergence (McCaffrey and Nabelek, 1998), or simply to north–south compression induced by the Indo-Asian collision (e.g. Kapp and Guynn, 2004). The proposed models have to take into consideration the orientation of extension expressed by fault traces and focal mechanisms to prove meaningful. Thus, a more detailed investigation of the faulting patterns and regional changes will lead to a better understanding of the driving mechanisms involved in Tibetan plateau extension.

Most earthquakes on the Tibetan plateau occur in the very shallow crust (e.g. Chen et al., 1981; Molnar and Chen, 1983; Molnar and Lyon-Caen, 1989). However, in addition to the very shallow seismicity, intermediate-depth earthquakes have been reported in several places on the plateau, indicating seismicity in the uppermost mantle (Molnar and Chen, 1983; Chen *et al.*, 1983; Zhu and Helmberger, 1996; Chen and Yang, 2004). The observed seismicity at intermediate-depth raised questions about the strength profile beneath the Tibetan plateau and the support of the orogen. In one view, the only significant source of strength is restricted to the seismogenic layer in the crust, while the mantle is mechanically weak and not able to sustain the accumulation of elastic strain required for causing earthquakes (Maggi *et al.*, 2000; Jackson, 2002). The support of the orogen according to this model is provided by the flexure of the Indian subcontinent bending underneath the Tibetan plateau. A different view proclaims that strength resides in the upper crust and in the uppermost mantle with a weaker lower crust sandwiched in between (Chen *et al.*, 1983; Burov and Diament, 1995; Chen and Yang, 2004). This model finds support by recent flexure modeling investigations of the India plate, which suggest that the geometry of the lithosphere necessitates a strong mantle (Hetenyi *et al.*, 2006). Only few intermediate-depth earthquakes have been previously determined through waveform modeling, due to the restriction to teleseimic investigation. Well-determined focal depths from the investigation of regional waveforms of small to medium sized earthquakes can help distinguish between these views. Furthermore, fault plane solutions of these events provide a better understanding of the source mechanisms causing earthquakes at intermediatedepth, and gives insight into the state of stress and its variations with depth.



Figure 1.1. Overview map of the Himalayas and the Tibetan plateau.



**Figure 1.2.** Focal mechanisms from previous studies and microseismicity determined by the Nepalese Seismic Network (red dots) (e.g. Pandey *et al.*, 1999). Faults are shown in black (see text for reference) and the 3500 m-elevation contour is drawn in blue.

#### **2 GEOLOGIC AND STRUCTURAL SETTING**

The Himalayan-Tibetan orogen is part of the greater Himalayan-Alpine system that extends from the Mediterranean Sea in the west to the Sumatra arc of Indonesia in the east over a distance of more than 7000 km. This extraordinarily long system was developed by the closure of the Tethys oceans, through the convergence of two great landmasses: Gondwana in the south and Laurasia in the north (Yin and Harrisson, 2000).

The history of the Himalayan-Tibetan orogeny in particular can be attributed to the India-Asia collision, which followed the successive accretion of microcontinents, flysh complexes, and island arcs onto the southern margin of Eurasia since the early Paleozoic (Yin and Harrisson, 2000). Timing of the collision itself has been inferred by Cenozoic magnetic anomalies that showed a rapid decrease in relative velocity between India and Eurasia from 18-19cm/yr to ~5cm/yr around ~55Ma (Kloodtwijk *et al.*, 1992). Stratigraphic and Paleontologic evidence places the onset of the continent collision to older than ~52 Ma (Gaetini and Garzanti, 1991), and possibly as old as ~70 Ma (Rowley, 1998).

In the following, I first describe convergent features from the former India-Eurasia contact to the Himalayan front, and then outline extension structures and the geology on the Tibetan plateau (Figure 2.1).

The Himalayas rise from the Ganga foreland basin in the south to form the southern margin of the Lhasa terrane in the north. The Yarlung-Tsangpo Suture separates the

Tethyan Himalaya in the south from the Lhasa terrane in the north, representing the contact at which Tethyan sedimentary rocks from the former Indian continental margin have been sutured against magmatic rocks and mélanges of the past active margin of the Eurasian continent [Searle *et al.*, 1987; Hauck *et al.*, 1998]. This suture extends over a length of more than 1200 km in the east-west direction, following the Yarlung River Valley, and was active probably no later than 10 Ma in the Mount Kailas region in southwestern Tibet (Yin *et al.* 1999). Tethyan Himalayan sedimentary rocks were shortened by as much as 140 km through folding and thrusting before 17 Ma (Ratschbacher, 1994), following the initial contact between India and Asia.

Thrusting in the Himalayas can be mostly attributed to slip on three north dipping, late Cenozoic thrust systems: The Main Central Thrust (MCT), the Main Boundary Thrust (MBT), and the Main Frontal Thrust (MFT) (e.g. Nakata, 1989, Yin and Harisson, 2000). These thrust faults were activated in a forward propagation sequence, revealing a successive southward movement of the deformation front to maintain a critical slope, and are believed to sole in a common décollement termed the Main Himalayan Thrust (MHT) (e.g. Hauck *et al.*, 1998; Avouac, 2003). The Main Central Thrust juxtaposes the higher Himalayan crystalline belt to the lesser Himalayan belt, and is defined by a shear zone ranging in thickness from a few kilometers to more than 10km (Schelling, 1992). The higher Himalayan belt has been interpreted as a thrust sheet of Indian continental basement displaced southward along the MCT, and the surface trace of the fault generally coincides with a steep increase in topography from the lesser to the higher Himalayas (Yin & Harrisson, 2000).

Both, the hanging wall and the footwall of the MCT show an upward increase in metamorphic grade. Lithologies of the higher Himalayan belt consist of gneisses, schists, marbles and intrusions of leucogranite, with metamorphic grades ranging from kyanite to sillimanite facies (Schelling 1992). The lesser Himalayan belt consist of a ~12 km thick section of phyllites, schists, slates, marbles and augengneisses, revealing an up-section metamorphic grade increase from greenschist to staurolith facies (Schelling 1992, Le Fort 1975, Brunel, 1986). Balanced cross sections suggest that between 140 km and 500 km of convergence have been accommodated by displacement on the steeply north dipping MCT (Gansser, 1964; Srivasta & Mitra 1994). Geochronology of the hanging wall of the MCT indicates anatexis and simple shear deformation occurring synchronously at 22±1 Ma (Hodges *et al.*, 1996, Yin and Harrisson, 2000). While cooling ages in the hanging wall of the MCT indicate that deformation was terminated by the mid-Miocene (Hubbard & Harrisson, 1989), reactivation of the fault is suggested at 8-4 Ma by Th-Pb dates of metamorphic strata (Harrisson et al., 1997). The relatively recent reactivation of the MCT has been taken as an explanation for the break in slope of the mountain range in the vicinity of the fault and might be related to the generation of higher Himalayan leucogranites (Yin and Harrisson, 2000).

The Main Boundary Thrust places the Lesser Himalayan formations over the Miocene to Pleistocene age Siwalik Formations. The sub-Himalayan Siwalik Formation represents molasse deposits of Miocene to Quaternary age (Gansser 1964). At the surface the MBT is a generally steep north-dipping feature (Johnson *et al.*, 1985). Although activity on the MBT cannot be directly dated, due to a lack of crosscutting relations, several efforts have been made to constrain the age of initiation. Significant changes in magnetostratigraphic sedimentation patterns of the Himalayan foreland as well as subsidence, lithostratigraphic, and geochronological data have been used to place the initiation of slip on the MBT to greater than >10 Ma and likely to be at ~11Ma (Burbank *et al.*, 1996; Meigs *et al.*, 1995). Nakata (1989) suggested that the MBT could have been active in recent times based on geomorphologic evidence.

The southernmost and most recently active fault in the system of south verging thrust structures is the Main Frontal thrust (Nakata, 1989). This thrust places the sub-Himalayan molasse belt over undeformed sediment deposits of the Ganga basin and emerges with a dip of about 30°. The region between the MBT and the MFT, the sub-Himalaya, has been recognized as a zone of thin-skinned tectonics (e.g. Mugnier *et al.*, 1999; Lavé and Avouac, 2000).

Intensity distribution of large historical earthquakes along the Himalayas have led to the suggestion that the current deformation front might extend further south than the MFT as a blind detachment below the Indo-Gangetic plain (Seeber and Armbruster, 1983), but no structural evidence has been found to support this theory (Lavé and Avouac, 2001). The South Tibetan Detachment System (STD) is a northward-dipping low-angle normal fault that follows the northern edge of the Himalayas along the arc (Burchfield *et al.*, 1992). It marks the contact between Tethyan metasediments, and Higher Himalayan Gneisses. U-Th-Pb dating of accessory minerals in shear fabrics that appear to be related to slip on the fault indicated activity on this fault system at ~17 Ma and lower limits have been put at 8-9 Ma by dating of crosscutting northsouth trending normal faults (Harrisson *et al.*, 1995).

Several north-south trending rifts cut through the Himalayan-Tibetan orogen such as the Thakkola, Kung Co, Pum Qu graben and the Yadong-Gulu Rift. The age of their initiation, while being strongly debated, has been argued to represent the time when the plateau reached its present elevation (Molnar & Tapponnier 1975, England and Houseman 1989). The largest north-south trending graben, the Yadong-Gulu rift, cuts the South Tibetan Detachment system and must hence be younger than the last recorded activity on the STD (Edwards and Harrisson, 1997). The right lateral Karakorum fault is the dominant feature in the western part of Tibet bearing large offsets of up to 66±10 km since no longer than 10 Ma (Yin et al., 1999). In the south this fault probably terminates in the evolving Ghurla Mandatha extensional system in the southwest of Tibet (Ratschbacher et al., 1994). Further north slip on this fault might translate into the Karakorum-Jiali fault zone, which extends across Tibet just south of the Bangong Nuijang suture, and marks the northern extent of the Lhasa terrane. The Lhasa terrane collided with Quiangtang between late Jurassic and mid-Cretaceous times (Dewey et al., 1988;
Matte *et al.*, 1996). The Lhasa terrane has experienced as much as 80 km shortening until the late Cretaceous (Murphy *et al.*, 1997), however, in the Cenoizoic the tectonics of the Lhasa terrane are characterized by extension. This extension is manifested in north-south trending grabens across the region (e.g. Molnar and Tapponier, 1975; Armijo *et al.*, 1986). The age of initiation of these extensional structures is not well known, but activity of rifts in the Nyanquentanglha region in southeast Tibet, are constrained to 8±1 Ma (Harrisson *et al.*, 1995). Deformation in the Quiangtang terrane to the north is generally less well constrained than in the Lhasa terrane but probably dominated by sinistral strike-slip with predominantly northeasterly strikes (Molnar and Lyon-Caen, 1989; Armijo *et al.*, 1986). Recent mapping efforts have reported the presence of major north striking active normal faults such as the Shuang Hu graben (~E90°). These normal faults connect northeastward trending strike-slip faults and show a significant left-lateral slip component (Yin *et al.*, 1999).

The northern boundary of the Tibetan plateau is marked by two major eastwest trending fault systems: The Altyn Tag and the Kunlun fault. The Kunlun fault reveals offsets of about 75 km along its more than 1000 km long extent (Kidd and Molnar, 1988). The Quaternary slip rate along this fault has been inferred from cosmogenic dating of offset streams to be about 12 mm/yr (van der Woerd *et al.*, 1998), which, projected into the past, implies activity of the Kunlun fault since more than 7 Ma. The active role of this fault in the accommodation of the India-Asia collision becomes evident from large earthquakes, such as the November 2001 Mw 7.8 earthquake, which ruptured a 400 km long segment of the mainly left lateral fault. Several strike-slip fault systems mark the eastern part of the Tibetan plateau. These faults have been taken as markers of escape tectonics (Tapponier, 1975), accommodating eastward transport of material as a result of north-south shortening.



**Figure 2.1.** Fault traces in the Himalayas and Tibet. Faults bounding major geologic units are shown in red others are shown in black. Abbreviations: MFT-Main Frontal Thrust; MBT- Main Boundary Thrust; MCT- Main Central Thrust; TAK- Thakkola graben; GYR- Gyirong graben; KC- Kung Co graben; PQ- Pum Qu graben; YTS- Yarlung-Tsangpo Suture; KKF- Karakorum Fault; NQT-Nyanquentangla graben; JFZ- Jiali Fault Zone; BNS- Bangong Nuijang Suture; KF- Kunlun Fault; ATF- Altyn Tag Fault.

#### **3 METHODS**

#### 3.1 Theory

The majority of shallow earthquakes can be associated with frictional dislocation on planar surfaces caused by sudden material failure of rocks as a result of tectonic stresses. This causes a temporary breakdown of the linear stress-strain relations where the elastic rebound of the medium generates seismic waves. To derive the properties of such an earthquake we have to establish a mathematical model of the seismic source, which allows for determination of the displacement field with a manageable number of parameters. The moment tensor, which is based on the concept of equivalent body forces, offers a way to describe force relations of seismic sources in a very general sense. The moment tensor M is a symmetric matrix composed of nine force couples, since net torque and net force vanish in the Earth. The tensor can be written as:

$$M = \begin{pmatrix} m_{xx} & m_{xy} & m_{xz} \\ m_{yx} & m_{yy} & m_{yz} \\ m_{zx} & m_{zy} & m_{zz} \end{pmatrix}$$

For a shear dislocation, M is a double-couple that can be expressed in terms of four independent parameters: the strike, dip, rake, and the seismic moment, describing the source orientation and strength. The description of the source dislocation in terms of the moment tensor allows for a linearized inversion for the earthquake source

parameters. The double-couple solution can then be derived from the decomposition of the moment tensor.

The inversion scheme used in this study follows closely Nabelek 's (1984) method for the analysis of teleseismic body waves and represents a modification of this code to retrieve the source parameters at regional distances (Nabelek and Xia, 1995). The method involves modeling of entire 3- component seismograms by computing complete waveforms to invert for the moment tensor and source time function. Considering a horizontally layered medium, the displacement as a function of time t observed at a station at distance  $\Delta$  and azimuth  $\phi$  from the earthquake epicenter can be expressed as:

$$\begin{split} u^{PSV}(\phi, \Delta, t) &= \{ H^{PSV2}(\phi, h, t) [\frac{1}{2}(m_{yy} + m_{xx}) - \frac{1}{2}(m_{yy} - m_{xx})\cos 2\phi + m_{xy}\sin 2\phi] + \\ H^{PSV1}(\phi, h, t) [m_{yz}\sin\phi + m_{xz}\cos\phi] + H^{PSV0}(\phi, h, t)m_{zz} \} \bullet \Omega(t) \qquad ; \\ u^{SH}(\phi, \Delta, t) &= \{ H^{SH2}(\phi, h, t) [\frac{1}{2}(m_{yy} - m_{xx})\sin 2\phi + m_{xy}\cos 2\phi] + \\ H^{SH1}(\phi, h, t) [m_{yz}\cos\phi - m_{xz}\sin\phi] \} \bullet \Omega(t) \qquad , \end{split}$$

Where  $u^{PSV}$  represents displacement resulting from P-SV coupled waves (vertical and radial components), and  $u^{SH}$  represents displacement due to SH waves on the transverse component, H represents the excitation functions for a source at depth h with a unit step slip history,  $m_{ij}$  are the source moment tensor components,  $\Omega$  is the far field source time function, and '•' denotes convolution in the time domain (Nabelek and Xia, 1995).

The source time function is parameterized as:

$$\Omega(t) = \sum (a_k T_{2\tau}(t - [k-1]\tau); k = 1, 2, ..., n;$$

Where T is a series of n isosceles-triangle functions of a unit area, duration  $2\tau$ , and overlapped by  $\tau$ ,  $a_k$  are the corresponding amplitude weights, which are required to sum up to 1. The resulting source time function has amplitudes specified at equal time intervals  $\tau$  and the intervening samples are interpolated by the trapezoidal rule (Nabelek, 1984). The duration and time resolution of the source time function can be controlled by varying the number and length of individual triangles (Nabelek and Xia, 1995). The excitation functions in this procedure are calculated with a discrete wavenumber summation technique after Bouchon (1982).

The procedure makes use of the maximum likelihood inversion scheme, in which the L2 norm between synthetic waveforms and observed seismograms is minimized. The maximum likelihood inverse is found by minimizing:

$$\chi^2 = [d - m(p)]^T C_{D_0}^{-1} [d - m(p)];$$

Where d is an array of data points representing the observed displacement at given receivers for a specified time window, m is an array of all synthetic seismograms predicted by the model parameters p: the six moment tensor components (five, if a deviatoric constrain is imposed) and the amplitude weights of the n isosceles triangles used to parameterize the source time function.  $C_{d0}$  are a priori estimates of the data-

covariance, and its inverse functions as the weighting matrix. The inversion is stabilized by a damping factor, which decreases the impact of small eigenvalues to the inversion result.

## **3.2 Data**

Data for this study comes primarily from the HiCLIMB seismic array that operated over 250 broadband seismic stations from fall 2002 to summer 2005, along an approximately 800 km long transect between the Ganges basin and north central Tibet with additional lateral sites (Figure 3.2.1).

The array was deployed and operated in two major phases during which up to over 120 broadband seismometers, predominantly Streckeisen (STS2) and Guralp (3T, 3ESP, 40T) sensors, where recording at a given time. The first phase, operating between fall 2002 and spring 2004, spanned the region from the Indian-Nepali border in the Ganges basin to the Tethyan Himalaya in southern Tibet in the main transect with a station spacing of 3 km throughout Nepal and 5 km to the north. Additionally, lateral sites were deployed to the west and the east of the main transect from the Terrai in southern Nepal to the higher Himalaya. Between spring 2004 and summer 2005, Phase 2 spanned the region from southern Tibet, east of Saga, to latitude N34°, with a station spacing of 5 km in the south to 12 km in the north of the main array, in addition to a lateral array from the main transect to ~100 km east of Shigatse.

In addition to records from the HiCLIMB array, data from the Himalayan Nepal Tibet Seismic Experiment (HIMNT) and the Bhutan seismic experiment were supplemented to extend the survey beyond the timeframe of HiCLIMB network operation back to fall 2001 (Drukpa *et al.*, 2006; de la Torre and Sheehan; 2005). Data from permanent global seismographic network stations (LSA, WMQ) was used to improve azimuthal coverage.



**Figure 3.2.1.** Map of stations used for the regional moment tensor analysis. Red triangles: stations of the Hi-CLIMB seismic network (Nabelek *et al.*, 2005). Blue triangles: Stations of the Himalayan Nepal Tibet Seismic Experiment (HIMNT) (de la Torre and Sheehan, 2005). Black triangles: stations of the Bhutan seismic network (Drukpa *et al.*, 2006). Purple triangles: Global seismographic network permanent broadband stations. Only the station in Lhasa is shown here, station WMQ in Urumqi to the north (N 43.811°, E87.695°) is not shown on this map, but was used for analysis of several events in central and northern Tibet.

#### **3.3 Velocity Models**

Although the crustal structure varies considerably throughout the region of investigation, two simple 1-D seismic velocity models suffice to explain the observed waveforms if the frequency band used for the analysis is low enough. Higher frequency signals are more susceptible to lateral changes and discrepancies to the true velocity model and the calculated excitation functions are not able to explain the increasingly complicated waveforms. The size ( $M_w>3.5$ ) and regional distance (mostly < 1000 km) of events, however allowed for analysis in low enough frequency bands in which the signal is dominated by guided and surface waves that can be modeled using relatively simple 1-D velocity models.

The first velocity model is based on a model derived by Zhao *et al.* (2001) from an INDEPTH 3 reflection and refraction analysis in the Lhasa and Quiangtang terranes. This model was primarily used for the analysis of earthquakes that occurred during the second phase of the HiCLIMB project, with ray paths traveling dominantly through the Lhasa terrane. This model contains a 65 km thick crustal layer with a 3 km thick sediment layer on top (Figure 3.3.1). The second velocity model was derived from a model for the Himalayan crust published by Pandey *et al.* (1995) with a 55 km thick crust. This model was used for earthquakes occurring during phase one of the HiCLIMB project, the HIMNT and Buthan arrays, with ray paths that travel primarily through the Himalayan crust (Figure 3.3.1).

The initial models were used to calculate excitation functions for earthquakes of significant magnitude (>5.2), appropriate location to cover a representative path, and event-station distance ( $\leq$  500 km), using available Harvard CMT solutions, which appeared to be robust based on a relatively high double-couple component. The synthetic waveforms were then compared to the observed seismograms, and the velocities and Poisson's ratio adjusted to match the major phase arrivals. The Poisson's ratio was changed from initially 0.25 to 0.27 to provide an appropriate separation of early phases (P waves) and late phases (Love and Raleigh waves). This value, which is characteristic of a more mafic or sedimentary lithology, might not be representative of the upper crust, but is coherent with estimates from other studies for the Tibetan crust ranging between .25-.29 (e.g. Langin *et al.*, 2003). Consideration of a vertically variable Poisson's ratio throughout the crust however, did not improve the waveform fits and hence a uniform Poisson's ratio was assumed.



Figure 3.3.1. Velocity Models used for the computation of excitation functions. In the legend  $\alpha$  represents P- wave velocity and  $\beta$  represents S-wave velocity.

### **3.4 Procedural steps**

The location and origin time of the majority of events analyzed in this study were taken from the U.S.G.S. Advanced National Seismic System (ANSS) catalogue and determined by the National Earthquake Information Center (NEIC). Few of the used event epicenters were located by the HIMNT project (Monsalve et al., 2006). Seismograms were then windowed with respect to the event origin time and distance to each station. After visual inspection of the signal, waveforms were band pass filtered to optimize the signal-to-noise ratio. In general, the investigated band pass was kept as broad as possible to allow investigation of low as well as high frequencies for a better resolution of source parameters. The actual frequency band used for the inversion depends on event magnitude, station-event-distance, and background noise level. For events with magnitude  $M_w \ge 5$ , events could be analyzed using frequencies bands between 10-100s (e.g. 50-100s for  $M_w > 5.5$ , or 10-50s for  $M_w \le 5.5$ ). Multiple passbands were used whenever possible to confirm the robustness of the mechanism. For smaller events the frequency band is shifted to higher frequencies, if longer frequencies are not strongly excited or the signal is buried by lower frequency noise. Frequency bands that maximize the signal to noise ratio are usually narrower for the analysis of events with magnitude < 5, and events were analyzed in pass bands between 10 and 50s. On average 30-40 waveforms were used for the inversion for events that occurred during the HiCLIMB array operation, 10-20 for events during the HIMNT and Bhutan seismic networks. Noisy traces were discarded and three

component data were used whenever possible. Furthermore, if stations were not uniformly distributed around the event, even stations with good signal to noise were discarded to provide uniform azimuthal weighting. Waveforms are sampled according to the distance of the station to provide roughly equal weighting of all stations, by using the same number of samples for the inversion. Waveforms from stations closer than 256 km were sampled at 1 Hz, stations closer than 512 km every two seconds, and ones further away every 4 seconds. The amplitude decrease with distance is corrected to a reference distance assuming cylindrical geometrical spreading (Nabelek and Xia, 1995).

In the inversion, the moment tensor is always constrained to be purely deviatoric and decomposed into a double-couple (DC) and a CLVD (Compensated Linear Vector Dipole) component. Phase misalignments introduced by bad locations, false origin time or deviations from the assumed crustal velocity model are corrected by realigning the waveforms, to enhance correlation of signals and to avoid skipping of cycles. The best fitting centroid depth is determined by minimizing the misfit for a suite of trial depths, starting with the hypocentral depth listed in the ANSS catalogue and sweeping through a reasonable range in steps of 3 km. An example of waveform fits and variance increase through the investigated depth range is shown for event H96 in Figure 3.4.1. The uncertainties in depth mostly depend on the variance increase around the best depth, frequency band used for analysis and the type of mechanism. Since the excitation functions representing Love waves do not vary significantly with depth, the depth of strike-slip events is usually less well constrained than for

mechanisms with dip slip component, when P-SV coupled phases are more strongly excited. However, applications of this method in other regions have shown that the centroid depth resolution for shallow strike-slip events is usually in the  $\pm$  5 km range (Braunmiller and Nabelek, 2002). Variance curves for deeper earthquakes are usually flatter, which results in a decrease of centroid depth resolution.

Figure 3.4.2 shows the waveform fits for the biggest event (H100). Depth resolution for this event is shown for different frequency bands in Figure 3.4.3, which shows the general pattern that the minimum at lower frequencies is often less sharply defined than at higher frequencies, but provides stable mechanisms over a wider depth range. Nonetheless, the plot shows that the 8 km centroid depth of this event is well resolved in all frequency bands. Strike, dip and rake are varied from the best fitting solution to show the source parameter uncertainty of this event (Figure 3.4.4). Although the parameter resolution varies for different mechanisms and used frequency bands, this event shows that the strike and dip are somewhat better constrained than the rake, which is in accordance with results from the application of this method in other regions (Nabelek and Xia, 1995; Braunmiller and Nabelek, 2002). The resolution is similar, but slightly better at higher frequencies than at lower frequencies. Considering the longer frequencies as lower bounds for the resolution and a 10% variance increase significant, the bounds are  $\pm 4^{\circ}$  for strike,  $-5/+7^{\circ}$  for dip, and  $-8/+9^{\circ}$  for the rake. Based on variance increase criteria from the examples shown here and the application of the same moment tensor methodology elsewhere (e.g. Nabelek and Xia, 1995; Braunmiller and Nabelek, 2002, Braunmiller and Bernardi, 2005), average

uncertainties for strike, dip, and rake are on the order of  $\pm 10^{\circ}$ ,  $\pm 10^{\circ}$ , and 15°. Uncertainties of stress axis azimuths discussed later in this manuscript are on the order of  $\pm 10^{\circ}$ . For shallow crustal earthquakes, centroid depth is usually constrained to within  $\pm 5$  km, while for intermediate depth events, the excitation functions vary less with depth and uncertainties are on the order of  $\pm 8$  km. Uncertainties in moment magnitude (M<sub>w</sub>) are constrained to within  $\pm 0.1$ -0.2.



**Figure 3.4.1.** Waveform fits at different stations and variance with depth for event H96. Solid lines represent observed, and dashed lines represent synthetic seismograms. The variance vs. depth in the lower right box shows that the depth is well resolved.



Event H100, 5/ 4/ 7 20: 4:41 Mw=6.31 50-100s 8km

**Figure 3.4.2.** Waveform fits at different stations for the biggest event in this study (H100). Solid lines represent observed, and dashed lines represent synthetic seismograms.



**Figure 3.4.3.** Depth resolution for the biggest event (H100) in different frequency bands. The variance is smaller at lower frequencies, but the minimum is less sharply defined than at higher frequencies. The mechanism stays consistent over the depth range for lower frequencies, while at higher frequencies the mechanism changes at greater depth. The variance increase away from the minimum shows that the depth is well resolved.



**Figure 3.4.4.** Resolution of Strike, Dip and Rake for the biggest event (H100) in different frequency bands. The strike and dip are the better- resolved parameters for this event. The resolution is slightly better at higher frequencies, although the variance is increased when higher frequencies are used.

#### **3.5 Robustness**

In order to evaluate the robustness of the derived solutions, tests were conducted to infer the impact of significant potential sources of error and limitations of parameter resolution, such as earthquake mislocation, assumed crustal model, and azimuthal station coverage.

Since hypocentral earthquake locations from the ANSS catalogue are determined mostly from teleseimic distances with varying degrees of azimuthal coverage, and grossly simplified earth models, errors have to be expected. Comparison of ANSS locations to recently published locations determined from HIMNT data shows that earthquakes with magnitudes  $\leq 4$  are significantly mislocated with a median offset of  $\sim 20$  km (compared to de la Torre *et al.*, 2007, in review). To see how the solution is influenced by significant mislocation and the assumption of a particular crustal velocity model, solutions were derived for an event with an epicentral location difference of 20 km (H3), using velocity models from this and other studies conducted in the region (Cotte et al., 1999; Langin et al., 2003). The chosen earthquake represents a characteristic event in terms of faulting mechanism and magnitude, and was analyzed in a commonly used frequency band (15-33s) with both, ANSS and HIMNT locations (Figure 3.5.1). The azimuthal station coverage of this event is 114° and the event station distance is between 81 and 514 km. The centroid depth of the derived mechanisms was calculated in 3 km steps, and varied between 19 and 25 km. The centroid depth stayed at the initially determined best depth of 22 km in 4 of 6

cases. Differences do not show a clear correlation to the average seismic velocities of the assumed models; i.e. the depth is not necessarily constrained deeper because of the usage of a, on average, slower model (Figure 3.5.1). The strike and dip varied by  $\pm$  3.5°, the rake by  $\pm$ 11°, and T axis azimuth by  $\pm$  5.5°. In all cases the derived mechanisms show a normal faulting event at comparable depth, which shows that the faulting character and centroid depth are stable and the tectonic interpretation is not considerably affected.

Another important factor in constraining the radiation patterns to determine earthquake source parameters is the angular distribution of stations around an earthquake. Due to the linearity of the HiCLIMB seismometer array, the azimuthal coverage of stations around investigated events is often restricted to less then 90°, or not equally distributed around the focal sphere. Figure 3.5.2. (top left) shows a characteristic station distribution around event H51, with the main array west of the event and the Lhasa station to the northeast. This event was separately analyzed with different station distributions, successively decreasing the coverage from >100° to a single station (Figure 3.5.2). The best-fitting centroid depth of this event was initially at 14 km and increased insignificantly by 3 km in two instances, which can be attributed to a relatively flat variance variation around the minimum depth. Coverage as low as 10° revealed comparable solutions, while the strike and dip varied considerably when only one station was used. The strike varied maximally by - $4^{\circ}/+17^{\circ}$ , the dip and rake by  $+15^{\circ}$  and  $+20^{\circ}$  respectively, and the P-axis azimuth by - $7^{\circ}/+2^{\circ}$ , from maximum distribution to 2 stations and azimuthal coverage of  $12^{\circ}$ .

Although these results cannot be generalized to other events with different mechanisms and event-station distance, this test shows that focal mechanisms from this study are well constrained, even with minimal station distribution.



**Figure 3.5.1.** Focal mechanism solutions for event H3 analyzed with different locations and velocity models. Top: map showing event locations (ANSS: open asterisk, HIMNT solid asterisk) and station distribution (triangles). Bottom: Derived mechanisms; Labels under the beach balls: Strike/Dip/Rake; T-axis Azimuth/Plunge; B-axis Azimuth/Plunge; Centroid depth; Moment magnitude; DC- Percentage of double couple. The solution derived with the HIMNT location and Himalaya model is the preferred solution.



**Figure 3.5.2.** Robustness test of solution H51 for varying azimuthal station coverage and station combinations. Note that the mechanism is stable when more than one station is used.

#### **3.6 Comparison with other studies**

# 3.6.1 Harvard Centroid Moment Tensor

Comparison with other data sets is an important means to test the consistency of the derived moment tensor solutions. During the timeframe of investigation, the Harvard Centroid Moment Tensor Project (CMT) analyzed 35 events also determined in this study. Figure 3.6.1.1 shows a comparison of Harvard CMT and regional moment tensors (RMT) derived in this study. The azimuth and plunge of the principal axes of the RMT solutions are generally in good agreement with the CMT solutions. However, the non-unique decomposition into double-couple and CLVD components, especially for low double-couple events, can result in differences of double-couple fault plane solutions. In particular small events with a magnitude of  $M_w \sim 5$  appear to be affected by this. Visual investigation and comparison of observed and synthetic seismograms derived with both CMT and RMT solutions, however, suggest that the solutions derived in this study are more reliable in most cases. However, beside the event size and magnitude of the CLVD component, it appears that the event station distance plays a significant role in the quality of events from this study, since some affected earthquakes were located up to more than 1000 km away from the closest seismic stations. Since the crustal structure varies considerably within a 1000 km range it cannot be ruled out that the simplified velocity model is not appropriate to model waveforms at greater distances.

Moment magnitudes of these events compare well with a standard deviation of  $\pm .19$ and a mean offset of .09 (Figure 3.6.1.2). The biggest deviations occur for events with  $M_w$  5 and below, and for these events CMT solutions appear to be systematically higher than magnitudes from this study (mean offset .25, standard deviation  $\pm$  .16). A reason for this difference might be the deviation of derived mechanisms and differing azimuthal station coverage in both preedures.

Many Harvard CMT centroid depths are fixed to default values and are therefore not valuable for depth comparisons. Instead, I have compared CMT events for which the depth has been determined through modeling of broadband P-waveforms. Depth constraints from determination of body wave depth phases can be expected to be very reliable, and thus provide a powerful means of examining the depth resolution of the RMT method used in this study. The best fitting depths determined in this study fall close to Harvard CMT depths with a standard deviation of  $\pm 1.1$  km, mean offset of 0.7 km, and a median of 0.0 km.



**Figure 3.6.1.1.** Comparison of moment tensor solutions determined in this study (top) with Harvard CMT (bottom). Beach balls show double couple and non double- couple components. Labels above beach balls represent the datum given to events in this study in each top line (YYMMDD\_HHMM). The moment magnitude ( $M_w$ ) and centroid depth are plotted below each solution.



**Figure 3.6.1.2.** Comparison of moment magnitude  $(M_w)$  (left and centroid depth (right) of events determined in this study to Harvard CMT solutions. Left panel shows magnitudes of all events that were determined here and by Harvard CMT. Right panel shows the depth comparison to Harvard CMT solutions with centroid depth determined from modeling of broadband P-wave forms.

More focal mechanisms with which to cross check my results come from an investigation conducted as part of the Himalaya Nepal Tibet Experiment (HIMNT) (de la Torre et al 2007; in review). De la Torre *et al.* determined 17 focal mechanisms through inversion of regional waveforms and first motion polarities, using locations and origin times determined by Monsalve *et al.* (2006). Only 14 of these events have been studied here, since the three remaining events were not listed in the ANSS catalogue and the origin time was not known. The focal mechanisms derived in de la Torres' study compare very well with the solutions from this study (Figure 3.6.2.1). While small differences in faulting parameters can be observed, the orientation of principal stress axes are similar. The determined centroid depths of events from both studies are very comparable. Six events have been determined at identical depth, four events show a difference of 3 km, three events between 5 and 7 km, and one outlier with 13 km difference at intermediate-depth.





#### **3.7 Work Plan**

The first focus was to analyze all earthquakes with magnitude M > 5 that occurred within the Himalayas and the entire Tibetan plateau. Following that, I moved the focus towards the area of the Himalayan arc and southern Tibet. The goal was to analyze all events that occurred in this region during project HiCLIMB which are listed in the Advanced National Seismic System (ANSS) catalogue. The magnitude cut off of the ANSS catalogue is, depending on the region, around  $M \sim 3.2$ . The magnitude threshold is lower for events around Nepal, which can be attributed to the operation of the Nepalese seismic network, which represents the densest continuous array in the area of study. Most events down to Magnitude 4 were analyzed in the region between N26-31° and E79-98° with additional events of magnitude as small as 3.5.

The analysis of earthquake source parameters at regional distances finds its limits in event station distance, event magnitude, depth (e.g. for great depths at close distances when no surface waves are excited), and background noise. Smaller events require proximity to the stations and analysis of waveforms at higher frequencies, which in turn results in degradation of waveform fits and, in cases, stability of the solution. For a number of small events (M<sub>w</sub> 3.5-4) along the Himalayan arc which are listed in the ANSS catalogue, waveforms did not allow for analysis due to low signal to noise ratios.

## 4.1 Overview of Results

I have determined source parameters for 107 earthquakes in the Himalayas, the Tibetan plateau, and northwards to the Tarim basin in the northwest and the Nan Shan in the northeast (Figure 4.1.1, Table 4.1.1.).

The centroid depths of the analyzed events range from 3 to 98 km. While most earthquakes occurred between 5 and 25 km, 12 events occurred below 50 km (Figure 4.1.2). Events in the shallow crust are found throughout the area of study, but events below 50 km are mostly restricted to the area beneath the southern Tibetan plateau. The magnitudes of studied events range from  $M_w$  3.5 to 6.3 with a median magnitude of 4.4 (Figure 4.1.3). Out of 23 events with magnitude  $M_w \ge 5$ , 21 occurred on the Tibetan plateau and only 2 events with  $M_w > 5$  occurred along the front of the Himalayan arc: one strike-slip event in central Bhutan (N27.264°, E89.331°), and a thrust event near the eastern syntaxis (N28.881°, E94.626°). 46 events that occurred along the front of the Himalayan arc from the Ganges basin in the south to the southern Tethyan Himalaya in the north were analyzed with a median magnitude of  $M_w$  4.1.

In the following, I will give a short overview over the focal mechanisms determined in this study, which are shown in Figure 4.1.1. Deformation along the

Himalayan arc is dominated by thrust faulting at depths between 10 and 20 km. The fault plane solutions of these thrust events show, to a first order, nodal planes with arc parallel strike and, in most cases, one shallow northward dipping plane. The thrust events are located in the region with significant elevation increase. In few places along the arc strike-slip faulting mechanisms where determined with generally greater centroid depths than the thrust events ( $\geq 24$  km). The planes of these strike- slip mechanisms show strike roughly NW-SE (NNW-SSE) and NE-SW (NNE-SSW). Normal faulting along the front of the arc is restricted to the region of the Pum Qu graben crossing the Himalayas at ~E87°. The centroid depths of these normal faulting events range from 27 km to 92 km. Events between the higher Himalayas and the Yarlung-Tsangpo Suture show mostly strike-slip mechanisms with centroid depths between 70-77 km, and only one at 18 km depth close to the Yarlung-Tsangpo suture. The fault planes show predominant strike in NW-SE or NE-SW direction. Normal-faulting events south of the Yarlung-Tsangpo suture exclusively occurred in the western part of the Tethyan Himalaya and the Ghurla Mandatha region.

In the Lhasa terrane, north of the Yarlung-Tsangpo Suture, earthquakes were localized in three areas during the time of investigation. Deformation here is characterized by shallow normal faulting mostly restricted to the upper ten kilometers, with roughly north-south striking planes varying locally from NNE to NNW. In the central-eastern part, the area of the Yadong-Gulu rift, fault plane strikes are rotated clockwise from the north, whereas to the west, between E83° and E84°, strikes of normal faulting mechanisms show a slight counterclockwise rotation from the north towards NNW. Strike-slip faulting is observed in several places on the Lhasa terrane, and the best fitting focal depths of these events are found to be deeper than the normal faulting events. In the region between N30-31°, E83-84°, normal faulting mechanisms range in depth from 8 to 16 km, whereas deeper strike-slip events in the same region show centroid depths between 12 and 34 km. The biggest events (Mw 6.2, 6.3) occurred in this region showing normal faulting at 16 and 8 km depth. Further to the east, near the northern limit of the Yadong-Gulu rift, an earthquake shows strike-slip faulting at 98 km depth. In the northeast, events show interlaced strike-slip and normal faulting in the area of the Shuang Hu graben and the Jiali fault on the Quiangtang terrane and northeastern Lhasa terrane.

In the following section solutions along the Himalayan front and the southern Tibetan plateau are described in more detail to give a background for later discussion in the area of focus. Focal mechanisms from other studies are added in order to give a more complete picture of the regional seismotectonics (Figure 4.1.4). The added mechanisms were determined from inversion of either body waves at teleseismic distances (Molnar and Lyon Caen, 1989; Chen and Yang, 2004, Harvard CMT; Ekstrom, 1987), or complete waveforms at regional distances (Burtin, 2005). Reliable solutions from comparison to synthetic body waves are also added (Baranowski *et al.*, 1984). In order to put the results in context to other investigations related to active deformation of the orogen, focal mechanisms are shown with GPS

measurements (Bettinelli *et al.*, 2006), and microseismicity locations (Pandey *et al.* 1999).



**Figure 4.1.1.** Overview map of the 107 focal mechanisms determined in this study. Events with centroid depth < 50 km are shown in red, events with centroid depth  $\ge 50$  km are shown in blue.


**Figure 4.1.2.** Histogram showing the focal depth distribution of analyzed events. Note that more than 10% of the investigated events show centroid depths below 65 km.



**Figure 4.1.3.** Histogram showing moment magnitude of analyzed events. Since the analysis was first focused on magnitude > 5 across the entire plateau and was later geared towards analysis of all events along the Himalayan front and southern Tibet, events smaller than  $M_w 5$  are underrepresented.

(YYMMDD\_HHMM). Lat: Latitude in °N. Lon: Longitude in °E. CD: Centroid Depth in km. T, B and P: Azimuth /Plunge/Value of principal axes. SC: Moment Tensor Scale. *S/D/R*: Strike, Dip, Rake of double-couple component. M<sub>0</sub>: Seismic Moment of double-couple in dyne cm. M<sub>w</sub>: Moment Magnitude. DC: Double-Couple percentage. SU: Stations used in the inversion. Locations denoted with a "\*" located by Monsalve *et al.*, 2006, Table 4.1.1 Source parameters of earthquakes determined in this study. ID: Event Label used in the following Maps. Date: Event date and time

ns	S	12	6	13	9	6	11	6	9	13	9	10	ω	13	9	10	2	9	13	16	23	10	ε	$\infty$	$\infty$	15	25	11
DC	75	72	84	89	72	97	72	47	70	78	66	48	88	100	99	94	LL	48	86	93	91	85	89	81	66	83	97	61
Mw	3.8	4.5	4.1	4.8	4.0	4.2	4.5	3.5	3.8	4.1	5.6	4.3	4.2	4.6	3.9	4.4	3.8	3.7	4.4	4.3	4.6	5.1	4.9	5.4	3.5	4.4	4.7	4.8
M0	6.12E21	5.78E22	1.32E22	1.73E23	1.24E22	2.00E22	6.03E22	2.12E21	5.36E21	1.40E22	3.20E24	3.02E22	2.48E22	9.54E22	6.61E21	4.64E22	4.88E21	3.53E21	4.43E22	3.19E22	9.94E22	4.55E23	2.80E23	1.30E24	2.05E21	4.11E22	1.05E23	1.58E23
S/D/R	50/54/-53	79/68/87	349/54/-101	117/56/124	135/68/96	137/89/-121	189/57/-65	221/80/-43	232/73/30	182/53/-67	326/82/-117	324/75/-46	306/87/-120	148/85/162	173/74/-148	325/61/-112	192/58/-69	356/87/-37	332/47/-108	321/71/-107	328/70/-106	254/79/-25	210/89/-150	183/72/141	262/59/-122	225/64/-59	235/64/-54	339/48/-113
SC	21	22	22	23	21	22	22	21	21	22	24	22	22	22	21	22	21	21	22	22	22	23	23	23	21	22	22	23
Ρ	20/61/-6.521	171/23/-5.337	221/78/-1.262	184/5/-1.678	221/23/-13.330	19/38/-2.012	150/68/-6.488	171/37/-2.445	360/7/-5.323	150/71/-1.483	209/46/-3.212	275/43/-2.568	189/40/-2.409	195/9/-9.543	36/33/-5.998	193/67/-4.714	148/69/-5.173	306/28/-4.061	168/77/-4.593	206/61/-3.248	213/61/-10.162	209/25/-4.366	79/22/-2.723	239/12/-13.612	120/61/-2.044	180/59/-4.297	192/55/-10.627	177/73/-1.410
B	206/29/0.804	80/3/-0.881	355/8/-0.113	276/27/-0.097	313/5/1.857	138/31/0.031	355/21/0.907	29/46/0.647	259/56/-0.067	348/18/0.162	330/26/0.018	129/42/-0.900	308/30/-0.149	313/71/0.001	197/55/-1.228	336/19/0.148	0/18/0.594	172/53/1.063	344/13/0.324	327/16/0.118	333/15/0.445	52/63/-0.362	212/60/-0.159	342/47/1.322	280/27/-0.011	30/28/0.371	37/32/0.175	355/17/-0.346
T	115/2/5.718	344/67/6.218	86/9/1.375	84/62/1.775	55/67/11.473	255/36/1.981	262/9/5.581	277/20/1.798	95/33/5.391	256/5/1.321	78/32/3.195	23/18/3.468	62/35/2.559	103/16/9.542	300/9/7.226	71/13/4.566	267/10/4.579	48/23/2.998	74/1/4.269	65/24/3.130	70/24/9.716	303/9/4.728	341/20/2.882	139/40/12.290	15/8/2.055	293/13/3.926	299/11/10.452	85/0/1.756
CD	27	15	22	15	21	LL	٢	18	LL	9	15	27	18	30	70	9	65	78	9	6	5	39	36	21	24	16	16	e
Lon	87.593	91.919	85.6*	88.171	81.78	84.83*	87.928	87.009	86.584	87.837	81.44	81.398	81.251	89*	84.78*	87.995	87.468	87.927*	87.87	88.087	88.12*	93.567	93.129	96.097	82.208	90.409	90.395	88.006
Lat	26.817	27.268	29.99	27.15	29.612	29.56	29.863	29.287	28.659	29.826	30.595	30.425	30.457	26.25	27.11	29.889	27.774	28.2	29.912	29.818	29.92	33.364	35.654	35.141	28.855	29.667	29.676	29.8
Date	010927 2240	$011106_{-1409}$	$011107_0458$	011202_2241	011218 0222	020307 1550	020323 0456	020402_1957	020508_1756	$020509_{0109}$	$020604_{-}1436$	$020604_{1727}$	$020606_{0135}$	$020620_0540$	020702_1710	$020709_{-0308}$	$020716_{-1839}$	020718_2324	$020806_{2330}$	$020822_0450$	$020831_{-}1240$	$020927_{-1714}$	$021019_0724$	$021026_{2028}$	$021104_{-}1059$	021116_0852	$021129_{-1649}$	030116_1136
<b>I</b>	H1	H2	H3	H4	H5	H6	H7	H8	6H	H10	H11	H12	H13	H14	H15	H16	H17	H18	H19	H20	H21	H22	H23	H24	H25	H26	H27	H28

**Table 4.1. 2. Continued.** Source parameters of earthquakes determined in this study. ID: Event Label used in the following Maps. Date: Event date and time (YYMMDD\_HHMM). Lat: Latitude in °N. Lon: Longitude in °E. CD: Centroid Depth in km. T, B and P: Azimuth /Plunge/Value of principal axes. SC: Moment Tensor Scale. S/D/R: Strike, Dip, Rake of double-couple component. M<sub>0</sub>: Seismic Moment of double-couple in dyne cm. M<sub>w</sub>: Moment Magnitude. DC: Double-Couple percentage. SU: Stations used in the inversion.

<b>DS</b>	10	٢	6	0	×	13	4	17	0	6	4	14	12	10	16	15	16	22	29	17	11	18	25	4	11	23	19	21
DC	95	76	74	50	93	100	58	70	82	75	39	60	15	21	46	99	39	87	84	89	94	98	96	76	24	75	57	80
Mw	5.0	3.8	4.0	4.8	3.9	5.5	3.9	5.1	4.5	4.9	4.8	5.8	3.7	3.7	5.7	4.0	4.8	3.8	3.5	3.7	4.3	4.8	4.7	4.6	4.6	3.9	4.9	4.6
$\mathbf{M0}$	3.06E23	6.24E21	1.10E22	1.92E23	7.90E21	2.24E24	6.78E21	4.70E23	7.33E22	2.35E23	1.56E23	5.18E24	3.72E21	3.64E21	3.35E24	1.03E22	1.50E23	5.56E21	2.10E21	4.10E21	2.97E22	2.04E23	1.17E23	9.24E22	8.08E22	8.21E21	2.87E23	9.16E22
S/D/R	227/89/-29	120/58/117	240/69/-49	98/86/-164	180/72/-160	229/87/23	307/74/45	226/82/-37	214/56/-79	334/86/174	110/50/79	327/80/-168	222/79/-53	108/82/79	250/90/-45	69/71/45	217/76/-70	265/88/-94	71/82/81	270/77/-87	307/82/-108	59/81/24	109/75/87	18/83/-16	333/65/-110	291/82/160	144/80/-166	108/54/-133
SC	23	21	21	23	21	24	21	23	22	23	23	24	21	21	24	21	23	21	21	21	22	23	22	22	22	21	23	22
Ρ	179/21/-3.018	192/9/-6.648	195/49/-10.175	323/14/-2.198	42/27/-7.762	1/14/-2.239	69/16/-7.579	177/32/-4.311	159/76/-6.983	19/1/-2.194	207/5/-1.836	191/15/-5.756	168/44/-2.722	208/36/-4.533	195/30/-3.871	190/14/-11.245	152/55/-1.225	171/47/-5.740	169/37/-2.007	184/58/-4.213	197/50/-2.922	190/10/-2.053	202/29/-11.569	333/16/-9.319	209/65/-6.184	339/9/-7.660	8/17/-2.526	318/57/-9.651
В	45/61/-0.082	285/22/0.812	42/38/-1.552	113/74/0.551	220/63/-0.269	236/67/0.000	323/43/1.603	36/52/-0.771	28/9/-0.690	118/83/-0.317	117/8/0.556	7/75/1.150	33/36/-1.996	110/11/1.784	70/45/1.037	87/42/1.887	32/20/-0.544	265/4/0.361	72/9/-0.180	90/3/0.233	309/18/-0.089	78/65/0.019	110/3/-0.253	174/73/0.149	342/18/-3.794	91/69/-1.090	180/73/-0.693	136/33/0.975
T	276/19/3.100	81/66/5.837	301/14/11.727	231/8/1.648	312/1/8.031	96/18/2.239	174/42/5.976	280/19/5.082	296/11/7.674	289/7/2.510	327/80/1.280	101/1/4.607	284/24/4.718	5/52/2.749	305/30/2.835	293/45/9.358	291/28/1.769	359/43/5.379	330/52/2.187	358/32/3.979	52/35/3.012	285/23/2.034	15/60/11.823	65/6/9.170	78/17/9.978	246/20/8.751	278/2/3.219	227/1/8.676
CD	25	33	10	27	75	32	65	21	12	36	21	21	12	15	24	12	٢	16	21	14	30	25	14	22	29	24	17	92
Lon	89.948	81.95	90.515	93.787	86.449	89.331	91.827	93.094	92.425	92.337	80.653	89.475	87.546	82.045	95.605	87.738	92.173	83.767	83.767	83.773	83.278	94.588	85.931	86.042	83.301	87.758	96.828	87.66
Lat	32.615	28.622	29.746	32.512	28.347	27.264	26.528	32.681	32.588	32.609	35.705	34.606	26.905	28.698	29.573	27.358	32.446	28.277	28.202	28.297	30.469	37.626	27.739	30.539	32.745	27.361	37.487	28.127
Date	030116 2215	$030118^{-1031}$	$030131_{-0604}$	030211_1036	$030226\_1958$	030325_1851	$030331_0532$	$030520_{-1834}$	030524 1127	030524_1932	030529_1418	030707_0655	030708_1230	030728_0245	030818_0903	$030929_{-1340}$	031028_0231	031122_0507	031122_2331	031123_1915	031210_1717	031212_1339	$040103_{1314}$	$040106_{0313}$	$040210_{-0539}$	040218_0123	040224_2021	$040227\_1253$
A	H29	H30	H31	H32	H33	H34	H35	H36	H37	H38	H39	H40	H41	H42	H43	H44	H45	H46	H47	H48	H49	H50	H51	H52	H53	H54	H55	H56

**Table 4.1.3. Continued.** Source parameters of earthquakes determined in this study. ID: Event Label used in the following Maps. Date: Event date and time (YYMMDD\_HHMM). Lat: Latitude in °N. Lon: Longitude in °E. CD: Centroid Depth in km. T, B and P: Azimuth /Plunge/Value of principal axes. SC: Moment Tensor Scale. *S/D/R*: Strike, Dip, Rake of double-couple component. M<sub>0</sub>: Seismic Moment of double-couple in dyne cm. M<sub>w</sub>: Moment Magnitude. DC: Double-Couple percentage. SU: Stations used in the inversion.

IS	2	19	5	6	5	11	5	2	2	4	10	9	14	2	6	Э	14	16	14	5	17	23	14	12	24	6	18	23	10
	2	93	67	81	35	93	83	41	29	LL	50	89	71	78	65	72	54	84	83	79	47	42	73	89	93	96	26	83	61
1 w I		0 i	Г.	9.	6.	×.	4	<i>.</i>	×.	Ļ.	<i>c</i> i	4	9.	ų.	0.	6	-	9	4	0.	2	9	9	9.	×.		-	9	ω.
2	1	22 4	23 4	24 5	23 4	24 5	22 4	23 4	23 4	22 4	23 5	24 5	24 5	24 5	23 5	21 3	22 4	22 4	24 5	22 4	25 6	22 4	21 3	21 3	23 4	23 5	22 4	22 4	23 5
MO		.38E	.43E	.66E	.26E	.54E	.89E	.92E	.97E	.34E	.40E	.17E	.62E	.15E	.80E	.54E	.37E	.22E	.17E	.09E	.28E	.35E	.47E	.37E	.68E	.08E	.69E	.58E	.49E
		8	1	0	0	9	5 3	9 2	) 1	1	9	1	0	1	ŝ	8	1	4	1	1	1	6	0	0	1	S	1	L	6
A/R		55/-12	5/-108	80/-54	59/151	1/-60	53/-12	76/-15	58/-13	52/127	3/-56	3/-33	99/6	78/13	38/16	52/-70	4/-76	53/-11/	54/-80	57/-94	49/-90	)/-39	81/92	3/95	75/-92	-87	89/54	66-/09	33/165
	2	241/(	31/50	228/8	151/(	26/5	353/(	324/	337/(	309/(	26/53	56/83	56/56	229/	233/8	208/(	14/54	162/	204/(	343/(	348/4	31/8(	108/8	94/73	351/	2/70/	353/8	345/(	326/8
	2	22	23	24	23	24	22	23	23	22	23	23	24	24	23	21	22	22	23	21	25	22	21	21	23	23	22	22	23
		422	305	526	18	ŝ	063	419	544	8	496	76	412			181	546	32	.208	319	083	755	290	302	648	132	303	250	9
4		4/-2.	3/-1.	3/-2.	/-1.8	-6.65	7/-4.	5/-2.	0/-1.	-1.42	3/-5.	-11.3	1/-2.	.211	.439	.6-//	6/-1.	/-2.1	0/-11	7/-10	6/-1.	4/-7.	6/-2.	8/-2.	0/-1.	5/-5.	4/-1.	4/-7.	-8.46
		104/5	255/7	173/4	203/4	1/67/-	214/5	188/2	202/5	13/9/-	359/6	8/28/-	163/1	3/0/-1	7/9/-3	156/6	329/7	13/71	135/7	246/6	258/8	343/3	196/3	180/2	259/6	277/6	113/3	232/7	13/5/-
		88	8	0	78	77	<u>,</u> 0	90	52	<u>5</u> 6	15	88	1	31	28	$\sim$	59	84		9	č	83	8	0.	0	<b>(</b>	.65	0	143
		4/0.08	-0.25	-0.26	5/-0.8	3/0.22	0.33	5/-1.0	8.0-/7	2/0.16	7/-1.8	5/-0.6	-0.41	2/0.13	4/-0.7	1.28	1/0.3	9/-0.1	1.064	-1.19	-0.39	)/-3.1	-0.35	-0.14	-0.06	0.10	5/-0.7	-0.67	3/-2.0
<u>r</u>		260/3	41/15/	40/36/	299/5:	186/2	11/31/	355/6:	355/3'	109/32	184/2	225/50	69/20/	272/72	242/7/	19/17/	186/1	177/1	20/9/-	344/3/	348/0/	199/50	288/2/	272/4/	352/2/	181/3/	354/30	349/8/	121/7
		34		36	96		27		<u>,</u>	52		)64	53	_		94		5	273	16		38	~	0	~	~	66	<u> </u>	509
r		2/2.3	1.56	5/2.78	5/2.6	.426	1/3.7	.425	2.39	5/1.20	.311	7/12.0	7/2.8	1.08	4.16	5/7.8	.186	2.31	8/12.2	11.5	.476	10.9	2.64	2.44	1.70	5.02	5/2.00	7.92(	5/10.:
		58/12	33/9/	90/20	10/3:	5/2/6	08/1	5/2/3	5/13/	69/50	3/2/7	08/1	.9/6L	3/18/	9/13/	84/1	5/8/1	68/5/	87/18	6/22	8/4/1	6/18/	0/54	1/61/	3/30/	0/25/	33/3	:1/14/	82/10
		ŝ	1	2	-	9	-	6	6	0	6	-	2	6	6	2	6	2	0	-		œ	0	-	œ	6	2	œ	0
IJ		23	5	11	11	×	6	23	15	74	5	28	12	16	20	6	9	2	10	21	16	25	10	13	S	10	53	10	12
uu		.496	.953	.236	6668	.179	.292	0.275	0.265	.118	0.218	5.758	9.6	0.285	.32	.698	7.905	7.871	.349	7997	672	3.773	.944	5.793	3.118	633	0.262	3.086	2.19
Ĩ	1	8 80	1 91	9 91	8 96	4 89	1 89	2 89	7 85	5 81	85	5 96	5 96	98 6	8	85	8 87	8 87	85	4 87	4 83	5 83	2 83	858	5 88	4 83	30	4 88	2 92
L'at		30.36	33.29	31.63	37.55	33.954	33.93	34.132	33.95′	29.84	33.998	37.50	37.48:	34.07	34.08	29.86	29.86	29.84	34.09	29.84	30.69	30.74	28.23	27.938	30.17:	30.71	25.92	29.94	32.542
		741	154	329	123	847	305	205	227	251	002	504	327	738	446	847	003	533	410	150	308	138	)33	335	125	222	209	706	005
		01_1	06_1	$07_{12}$	16_2	27_18	27_2(	28_27	28_2	$03_0.$	22_1(	$0.4^{-}_{-0.1}$	$10_{2}$	23_0′	23_1	$05_0($	24_1(	$30_{-1}$ :	$33_{-1}^{-1}$	08_2	11_2	12_1	$16_{-2}($	20_0	23_0	28_27	$0.4_{-0.1}$	21_0	24_1(
Date	31 m	0403(	0403(	0403(	0403	0403.	0403.	0403.	0403.	0404	0404	04050	0405	04052	04052	0406	04062	0406	0407	0407	0407	0407	0407	0407.	0407.	0407.	0408	04082	0408.
		) _	8	) (	0	1	5	3	4	5 (	9	) (	8	6	0	1	5	3	4	5 (	9	) L	8	6	0	1	5	3	4
E		Н5	H5	Н5	H6	H6	H6	H6	H6	H6	H6	H6	H6	H6	H7	HТ	H7	HТ	H7	H7	HТ	H7	Н7	H7	H8	H8	H8	H8	H8

date and time (YYMMDD\_HHMM). Lat: Latitude in <sup>o</sup>N. Lon: Longitude in <sup>o</sup>E. CD: Centroid Depth in km. T, B and P: Azimuth /Plunge/Value of principal axes. SC: Moment Tensor Scale. *S/D/R*: Strike, Dip, Rake of double-couple component. M<sub>0</sub>: Seismic Moment of double-couple in dyne cm. M<sub>w</sub>: Moment Magnitude. DC: Double-Couple percentage. SU: Stations used in the inversion. Table 4.1.4. Continued. Source parameters of earthquakes determined in this study. ID: Event Label used in the following Maps. Date: Event

Lat Lon CD T B	Lon CD T B	CD T B	T B	В		d	SC	S/D/R	M0 N	1w I	C SU
55 29.466 80.281 6 50/80/6.159 148/2/-2.521	80.281 6 50/80/6.159 148/2/-2.521	6 50/80/6.159 148/2/-2.521	50/80/6.159 148/2/-2.521	148/2/-2.521		238/10/-3.638	21	147/55/88	4.90E21 3.	.8	8 12
<b>18</b> 29.502 81.575 21 93/64/7.546 288/25/-0.240	81.575 21 93/64/7.546 288/25/-0.240	21 93/64/7.546 288/25/-0.240	93/64/7.546 288/25/-0.240	288/25/-0.240		196/6/-7.306	21	127/56/121	7.43E21 3.	6.6	4 10
28 31.116 96.308 31 141/4/4.795 242/71/0.210	96.308 31 141/4/4.795 242/71/0.210	31 141/4/4.795 242/71/0.210	141/4/4.795 242/71/0.210	242/71/0.210		50/19/-5.005	22	94/80/-16	4.90E22 4.	4. 9	2 9
15 29.773 95.515 23 268/23/3.600 98/66/0.264	95.515 23 268/23/3.600 98/66/0.264	23 268/23/3.600 98/66/0.264	268/23/3.600 98/66/0.264	98/66/0.264		359/4/-3.863	23	311/76/160	3.73E23 5.	0.	6 13
1 31.024 81.154 13 241/1/13.987 332/46/-4.452	81.154 13 241/1/13.987 332/46/-4.452	13 241/1/13.987 332/46/-4.452	241/1/13.987 332/46/-4.452	332/46/-4.452		150/44/-9.535	23	187/62/-35	1.18E24 5.	4. 3	6 14
3 31.754 92.893 25 123/11/1.149 252/74/0.225	92.893 25 123/11/1.149 252/74/0.225	25 123/11/1.149 252/74/0.225	123/11/1.149 252/74/0.225	252/74/0.225		30/12/-1.374	23	76/89/-16	1.26E23 4.	.7 6	7 27
21 27.929 87.778 66 155/64/5.334 266/10/-1.279	87.778 66 155/64/5.334 266/10/-1.279	66 155/64/5.334 266/10/-1.279	155/64/5.334 266/10/-1.279	266/10/-1.279		1/24/-4.055	22	263/69/79	4.69E22 4.	4. 5	2 12
<b>5</b> 27.329 90.944 10 347/46/2.482 256/2/0.515	90.944 10 347/46/2.482 256/2/0.515	10 347/46/2.482 256/2/0.515	347/46/2.482 256/2/0.515	256/2/0.515		164/43/-2.996	21	76/88/92	2.74E21 3.	9.9	65
32 29.439 80.965 22 150/71/4.270 268/9/-0.334	80.965 22 150/71/4.270 268/9/-0.334	22 150/71/4.270 268/9/-0.334	150/71/4.270 268/9/-0.334	268/9/-0.334		1/16/-3.936	21	263/62/80	4.10E21 3.	.7 8	4 13
<b>13</b> 29.646 80.696 10 66/62/2.957 316/10/-0.360	80.696 10 66/62/2.957 316/10/-0.360	10 66/62/2.957 316/10/-0.360	66/62/2.957 316/10/-0.360	316/10/-0.360		221/26/-2.596	22	140/71/101	2.78E22 4.	.3	6 26
51 27.82 86.033 20 312/57/4.659 123/33/0.045	86.033 20 312/57/4.659 123/33/0.045	20 312/57/4.659 123/33/0.045	312/57/4.659 123/33/0.045	123/33/0.045		216/4/-4.705	21	99/57/50	4.68E21 3.	8.	8 22
<b>55</b> 27.738 86.092 10 17/60/1.501 108/1/0.587	86.092 10 17/60/1.501 108/1/0.587	10 17/60/1.501 108/1/0.587	17/60/1.501 108/1/0.587	108/1/0.587		199/30/-2.089	22	108/75/89	1.79E22 4	-1 4	4 30
3 27.76 86.072 21 266/73/9.411 139/11/-1.283	86.072 21 266/73/9.411 139/11/-1.283	21 266/73/9.411 139/11/-1.283	266/73/9.411 139/11/-1.283	139/11/-1.283		46/13/-8.128	21	325/59/102	8.77E21 3.	6.	3 10
32 25.371 91.553 19 214/57/2.378 339/20/-0.276	91.553 19 214/57/2.378 339/20/-0.276	19 214/57/2.378 339/20/-0.276	214/57/2.378 339/20/-0.276	339/20/-0.276	5	79/25/-2.102	21	332/73/69	2.24E21 3.	5 7	7 8
0 29.299 81.451 23 44/27/2.514 305/17/0.307	81.451 23 44/27/2.514 305/17/0.307	23 44/27/2.514 305/17/0.307	44/27/2.514 305/17/0.307	305/17/0.307		187/58/-2.822	21	300/74/-107	2.67E21 3.	.6 7	8 10
<b>)</b> 4 30.491 83.662 8 82/10/2.876 351/4/-0.301	83.662 8 82/10/2.876 351/4/-0.301	8 82/10/2.876 351/4/-0.301	82/10/2.876 351/4/-0.301	351/4/-0.301		242/79/-2.575	25	349/56/-95	2.73E25 6.	.3	9 21
11 30.588 83.681 10 86/7/8.924 356/1/-0.089	83.681 10 86/7/8.924 356/1/-0.089	10 86/7/8.924 356/1/-0.089	86/7/8.924 356/1/-0.089	356/1/-0.089		260/83/-8.835	23	355/52/-91	8.88E23 5.	ы. 9	8 28
i5 29.977 83.012 10 312/26/8.971 44/5/1.248	83.012 10 312/26/8.971 44/5/1.248	10 312/26/8.971 44/5/1.248	312/26/8.971 44/5/1.248	44/5/1.248		144/63/-10.219	21	226/72/-85	9.60E21 4.	0.	6 10
0 30.494 83.599 34 91/61/5.658 247/27/-1.45	83.599 34 91/61/5.658 247/27/-1.45	34 91/61/5.658 247/27/-1.45	91/61/5.658 247/27/-1.45	247/27/-1.45	S	342/10/-4.203	22	230/61/59	4.93E22 4.	4	9 15
12 30.097 90.261 98 85/3/1.607 351/51/-0.02	90.261 98 85/3/1.607 351/51/-0.02	98 85/3/1.607 351/51/-0.02	85/3/1.607 351/51/-0.02	351/51/-0.02	0	177/38/-1.587	23	317/66/-149	1.60E23 4.	8.	7 17
21 31.613 92.676 35 280/52/2.035 72/35/0.092	92.676 35 280/52/2.035 72/35/0.092	35 280/52/2.035 72/35/0.092	280/52/2.035 72/35/0.092	72/35/0.092		172/14/-2.126	22	55/67/52	2.08E22 4.	2 9	1 16
7 30.319 83.822 12 260/33/12.430 27/44/-0.301	83.822 12 260/33/12.430 27/44/-0.301	12 260/33/12.430 27/44/-0.301	260/33/12.430 27/44/-0.301	27/44/-0.301		149/29/-12.129	21	25/88/46	1.23E22 4.	6 0.	5 10
06 28.881 94.626 17 18/42/2.104 283/6/-0.546	94.626 17 18/42/2.104 283/6/-0.546	17 18/42/2.104 283/6/-0.546	18/42/2.104 283/6/-0.546	283/6/-0.546		187/47/-1.558	24	283/88/-96	1.83E24 5	5.4	8 14



black, and Harvard CMT solutions are shown in three shades of gray (light: events with fixed depth; medium: events with inverted depth; dark: events Figure 4.1.4. Compilation of focal mechanisms from this and previous studies in the area of focus. Source mechanisms from regional waveforms are with depth determined from modeling of broadband P waveforms). The number of reliable fault plane solutions in this area was increased from 58 to shown in red, mechanisms from teleseimic analysis are shown in black and grey. Source mechanisms (beach balls) from this study are shown in red, dark red mechanisms are from Burtin (2005). Previously published solutions from inversion and synthesis of teleseismic body waves are shown in 159 through the addition of events determined as part of HiCLIMB investigations (24 events: Burtin, (2005); 77 events: this study).

#### 4.2 Western Nepal

The region of western Nepal has not experienced a major earthquake in possibly more than 500 years, and has been identified to mark a seismic gap between the regions of the 1934 Bihar earthquake in the east and the 1905 Kangra earthquake to the west (e.g. Avouac, 2003). However, far western Nepal marks a zone of intense microseismicity, which has been interpreted as an expression of interseismic stress accumulation at the down-dip tip of the locked zone of the MHT (Pandey *et al.*, 1995; 1999). Focal mechanisms in the region between E80-83° plot in the microseismic cluster and yield information about the mode of deformation associated with the seismicity resulting from interseismic stress build-up. The epicenters of most events plot at the topographic front between the lesser and the higher Himalaya, which varies considerably in the region of the Karnali river valley in far western Nepal (Figure 4.2.1).

The focal depths of thrust events range from 10 km to 23 km west of the topographic embayment associated with the Karnali River, with only one exception at 74 km (Figure 4.2.1). In the Karnali river region, microseismicity as well as the locations of thrust events are offset to the north with respect to the west, following the topographic increase. At the east rim of the embayment, the topographic front as well as seismicity are offset again to the south. Right beneath this offset a strike-slip event at 53 km depth indicates deformation in the lower crust or in the upper

mantle. To the east, the seismic belt and the associated focal mechanisms follow the topographic front again further south.

Focal mechanisms show predominantly thrust faulting with one shallow northward dipping plane and one steeply dipping to subvertical plane, striking approximately parallel to the local trend of the topography. The dips of the northward dipping planes of these thrust events range from 15° to 45°, steeper than in most regions further east. Besides the dominant pattern of shallow dipping thrusts, several mechanisms, mostly at depth beneath 20 km, show potential backthrusts with the shallow plane dipping to the south, and normal faults with T-axes roughly parallel to the local trend of the topographic increase. The polar plot of events in this region shows the dominance of thrust events in this region with P-axis plunges of less than 30° and steeply plunging T-axes. The P-axis azimuths of these events show significant variation between N180°-270°, with prevalence around N195° (Figure 4.2.2). The variations in dip and azimuth will be further investigated in the discussion section.



**Figure 4.2.1.** Source mechanisms (beach balls) of earthquakes in western Nepal. Beach balls are colored as in Figure 4.1.4. The labels above the beach balls show the event label number and the centroid depth in parentheses or just the centroid depth for Harvard CMT solutions. Harvard CMT solutions with fixed depth are not labeled. Microseismicity ( $M_i \ge 3$ ) recorded by the Nepalese network is plotted as red dots (hyocentral depth  $\le 25$  km) and blue dots (hypocentral depth >25 km). (Pandey *et al.*, 1999). Black arrows show GPS displacement vectors relative to stable India from Bettinelli *et al.* (2006).



**Figure 4.2.2.** Lower hemisphere polar plot showing compressional (black squares) and extensional (open circles) axes of mechanisms along the Himalayan front of western Nepal. Events north of N30.2° are not shown. Note the high variability of P- axes azimuth.

# 4.3 Central Nepal

The microseismic belt in central Nepal, between E83° and E87°, follows a fairly straight line oriented about 105° NW-SE, following the orientation of the topographic front. The area west of ~E85° is considered part of the seismic gap zone, west of the rupture area of the 1934 Bihar earthquake (Avouac, 2003). Although no major earthquakes have been documented in this area, this region has experienced significant moderate earthquakes in the recent past, such as a magnitude 6.4 earthquake in 1954 (NSC Nepal, personal communication). However, no source mechanisms were previously available in this region. The determined focal mechanisms in this area show low-angle thrust faulting at depths between 10 and 21 km (Figure 4.3.1). While one of these events was located directly adjacent to Pokhara, three occurred in a sequence within two days in November 2003 some 15 km west of the city with magnitudes between 3.5 and 3.8. P-axis azimuths of the western events are rotated counterclockwise with respect to the event in Pokhara. The direction of horizontal displacement measured at the GPS station in Pokhara aligns closely with the P-axis azimuth of event H78 at 10 km depth, while a little further to the west, the P-axes azimuth of events H48 at 14 km and H46 at 16 km are rotated counterclockwise with respect to the horizontal displacement direction derived from the station in Jomosom in the Thakkola graben.

East of Kathmandu, thrust events show depths between 10 and 21 km. Events between 10 and 16 km show a gently north dipping plane, whereas deeper thrust events show steeper northward dips or shallow southward dipping planes and hence generally more horizontally oriented P-axes plunges (Figure 3.3.2). The P-axis azimuth of most thrust events align well with the GPS velocity azimuths at the stations in this area. However, the P-axes azimuth of event H95 and H97 at 20 and 21 km depth are rotated clockwise with respect to the shallower events, showing P-axes orientation normal to the higher mountain range to the east. While no shallow earthquakes have been observed south of the foothills in the region of the Ganges basin, centroid depths of two events indicate brittle deformation beneath the Indian crust. GPS vectors in the region show significant variation in the azimuth of displacement, especially in the lesser Himalaya and the Siwaliks, where uncertainties are frequently higher than the measured displacement at these sites.



**Figure 4.3.1.** Source mechanisms (beach balls) of earthquakes in central Nepal. Beach balls are colored as in Figure 4.1.4. The labels above the beach balls show the event label number and the centroid depth in parentheses or just the centroid depth for Harvard CMT solutions. Harvard CMT solutions with fixed depth are not labeled. Microseismicity  $M_i \ge 3$  recorded by the Nepalese network is plotted as red dots (hypocentral depth  $\le 25$  km) and blue dots (hypocentral depth > 25 km) (Pandey *et al.*, 1999). Black arrows show GPS displacement vectors relative to stable India from Bettinelli *et al.* (2006).



**Figure 4.3.2.** Lower hemisphere polar plot showing compressional (black squares) and extensional axes (open circles) of mechanisms near Pokhara (A), and east of Kathmandu (B). Red symbols represent P- and T-axes of two deeper events beneath the Ganges basin.

#### 4.4 Eastern Nepal, Sikkim, and western Bhutan

The seismotectonics of this area (E87-90°), as opposed to the previously discussed regions along the arc, are characterized by normal and strike-slip faulting in addition to thrusting at the topographic front (Figure 4.4.1). In the region between E87° and E88°, where the Pum Qu graben is crossing the higher Himalayas, several focal mechanisms show normal faulting at 12 and 27 km in the foreland close to the outcrop of the Main Frontal Thrust (MFT), and at 57 km and 65 km beneath the greater Himalaya and in the Pum Qu graben (~E87.5°). While the normal faulting event in the Pum Qu graben shows due east-west extension in accordance with the strike of the graben at the surface further north, principal stress axes of mechanisms south and east of the graben are slightly rotated to the WNW-ESE (Figure 4.4.2). Another event with high normal-, but considerable strike-slip component was determined further north at 92 km depth. The dilatational stress axis of this event however differs from the shallower normal faulting events, trending SW-NE, but is rather comparable to the most proximate strike-slip event at 78 km depth. The strike-slip events in the region show focal depths of 24 to 44 km south of the topographic front and 55 to 78 km beneath the higher and southern Tethyan Himalaya. The left lateral slip plane of event T62 at 44 km, determined by Molnar and Lyon- Caen (1989) aligns with the surface trace of Yadong-Gulu rift at its southernmost extent.

Thrust events determined in this study show steeply dipping nodal planes with considerable strike-slip component. Event T6, determined by Baranowski *et al.* (1984) however, shows a characteristic thrust with shallow northward-dipping fault plane at 15 km depth. The P-axes orientation of most thrust events trend roughly north-south, which is approximately normal to the general trend of the higher Himalayan range. A thrust event some 50 km to the east (H125) at greater depth of 25 km shows a clockwise- rotated P-axes azimuth of N38°.

The depths of normal faulting events in the vicinity of the Pum Qu graben (Figure 4.4.2) indicate that the rift extends deep into the subducting Indian crust and possibly even beyond the Moho, a feature that is not evident in other grabens transecting the Himalaya where normal faulting occurs mostly in the upper 20 km. As a corollary, this implies that the Indian crust is extending roughly parallel to the arc near the Pum Qu graben. Additionally, depths of several strike-slip events along the front suggest that the Indian crust is internally deformed to the east of the Pum Qu graben. While Ni and Barazangi (1984) have reported a strike-slip event at 13 km in this region, the centroid depths determined through inversion instead of forward modeling indicate that strike-slip faulting occurs below the main detachment.



**Figure 4.4.1.** Source mechanisms (beach balls) of earthquakes in Eastern Nepal, Sikkim, and Western Bhutan. Beach balls are colored as in Figure 4.1.4. The labels above the beach balls show the event label number and the centroid depth in parentheses or just the centroid depth for Harvard CMT solutions. Harvard CMT solutions with fixed depth are not labeled. Microseismicity ( $M_l \ge 3$ ) recorded by the Nepalese network is plotted as red dots (hypocentral depth  $\le 25$  km) and blue dots (hypocentral depth  $\ge 25$  km) (Pandey *et al.*, 1999). Black arrows show GPS displacement vectors with respect to India from Bettinelli *et al.* (2006).



**Figure 4.4.2.** Lower hemisphere polar plot showing compressional (black squares) and extensional axes (open circles) of normal faulting mechanisms near the Pum Qu graben (A), and thrust and strikeslip mechanisms in the region between E87° and E90° (B). Note that, while events in the Pum Qu graben show east-west extension (left panel), most events in the region show dominant north-south compression.

### 4.5 Bhutan To Eastern Syntaxis

Deformation along the topographic front from Bhutan to the eastern syntaxis (E90°-95°) is again dominated by shallow northward dipping thrust mechanisms (Figure 4.5.1). Between E92° and E93°, the dips of the northward dipping plane is slightly steeper than of events to the west and the east. East of E93° P-axis azimuths trend rather north-south, while the high mountain range curves to the northeast. The focal depths of thrust events in the region are between 10 and 17 km with the exception of event H132 at 21 km. The depth of this event is 11 km deeper than the thrust events 10 km to the south and shows a significantly rotated P-axis with respect to these events but is oriented perpendicular to the topographic embayment of the arc to the northeast. Additional thrust earthquakes occurred south of the MFT with north and northeastward trending P-axis at 29 and 36 km, and two strike-slip events with a high thrust component at 27 and 65 km with east-west oriented P-axis azimuth. Further to the north, north of the higher Himalaya, a normal faulting event suggests extension beneath the Moho at 80 km depth. The dilatational axis of this event is oriented to the southeast and perpendicular to the topographic front of the Himalayas projected in that direction.

While the seismotectonics in this region shows the prevalence of thrust faulting perpendicular to the arc in the front of the range, depths of several mechanisms suggest deformation in the Indian crust and potentially below the Moho. This deformation is characterized by thrust and strike-slip faulting at depths between 25 and 65 km south and by normal faulting at 80 km to the north of the higher Himalayas.



**Figure 4.5.1.** Source mechanisms (beach balls) of earthquakes in the region between Bhutan and the eastern syntaxis near Arunachal Pradesh. Beach balls are colored as in Figure 4.1.4. The labels above the beach balls show the event label number and the centroid depth in parentheses or just the centroid depth for Harvard CMT solutions. Harvard CMT solutions with fixed depth are not labeled. Seismicity  $M_l \ge 3$  recorded by the Nepalese network is plotted as red dots (hypocentral depth  $\le 25$  km) and blue dots (hypocentral depth  $\ge 25$  km) (e.g. Pandey *et al.*, 1999).

## 4.6 Southern Tibet

The tectonic environment changes drastically north of the Higher Himalayas from prevalent compression to extension. Deformation in the Tethyan Himalaya, between the higher Himalaya and the Yarlung-Tsangpo suture, shows shallow normal faulting in the upper 18 km of the crust and strike-slip faulting mostly below 70 km depth (Figure 4.6.1). Most of the normal faulting events are located within or adjacent to the northward continuation of major grabens or half grabens in the region that transect the higher Himalaya. During the timeframe of this investigation, however, the only shallow normal faulting event in the western Tethyan Himalaya (H102) cannot be associated with any of these structures. The northward continuation of the Pum Qu graben (~E87.5°) appears to be the most active feature in the region but, contrary to the southern part of this rift (Figure 4.3.1), depths of focal mechanisms is restricted to the upper 16 km. Extension in the Tethyan Himalaya graben systems occurs mostly perpendicular to the surface traces of the faults, as indicated by the T-axes of the focal mechanisms. The T-axis of the normal faulting event in the Yadong-Gulu rift is slightly rotated however, paralleling the eastward offset direction of the fault.

T-axes of shallow normal faulting mechanisms show a rotation from east to west, in accordance with the southward convex strike of the higher Himalayan mountain range and Yarlung-Tsangpo suture.

Deformation below 70 km depth in the Tethyan Himalaya is almost exclusively situated just north of the Higher Himalayan range near the highest mountains in the

region, between E86° and E88°. The only deep event away from this area plots close to the surface trace of the Yarlung-Tsangpo suture at 77 km depth. Although some of these events are located adjacent to the surface trace graben systems, the strike-slip mechanisms are contrary to the shallow deformation indicated by normal faulting solutions.

The southern Lhasa terrane, north of the Yarlung-Tsangpo suture shows significantly more deformation in the shallow crust than south of the suture. Focal mechanisms predominantly show normal faulting, while strike-slip deformation occurs in several places in the region (Figure 4.6.1). Deformation at 80 km and deeper, is restricted to the vicinity of the Yadong-Gulu rift (~E89.3°-E90.3°). These deep events, which have been observed by several investigations using teleseismic as well as regional waveform investigations (Chen et al., 1981; Chen and Yang, 2004; Burtin, 2005; this study), show prevalent strike-slip faulting with north to northeast trending P-axes. Normal faulting events associated with this graben indicate that shallow deformation in this rift is restricted to the upper 16 km, revealing a gap of 64 km to the deep events. The extensional axis is roughly perpendicular to the surface trace of the fault, which strikes NNE, while some of them show a considerable strike-slip component. Although the mechanisms of shallow and deep earthquakes are considerably different, and the vertical gap spans over 60 km, T-axes of the shallow normal faulting events are roughly in alignment with intermediate depth earthquakes between 80 and 98 km.

Further to the west, the northward continuation of the Pum Qu graben (E88°) appears to be one of the more active extensional features in southern Tibet in recent years, as indicated by the number of focal mechanisms of medium sized events and microseismicity. A series of relatively shallow normal faulting events indicates that active extension associated with the graben is restricted to the upper 10 km of the crust. Further to the west, the region between E83° and E84.5° is characterized by intense seismicity during the time of HiCLIMB network operation (S. Carpenter, personal communication), and the biggest earthquakes of this study occurred in this area. Most of these events show normal faulting in the upper 10 km of the crust, while the second biggest event from this study (H76, Mw 6.2) occurred at 16 km. The T-axes azimuths of these mechanisms are oriented slightly ENE, which reveals the local orientation extension in this direction. In the same area, at greater depth between 12 and 34 km, mechanisms show a dominant strike-slip component, with north-south trending P-axes. The orientation of the maximum horizontal compressive stress (Zoback and Zoback, 1980) is thus approximately the same for the shallow normal and deeper strike-slip events, while revealing different modes of deformation. The strike-slip events in this region could be an expression of stresses induced by the right-lateral Karakorum fault to the west.

Whereas in most places in the southern Lhasa terrane normal faulting is prevalent, the central-northern part of the Lhasa terrane east and southeast of Tsochen, shows a zone of pure strike-slip faulting at 9 to 22 km depth. The nodal planes of these events strike northeast and northwest, transverse to the surface traces of the graben systems in this region, while the compression axes trend due north-south or NNW. These events might be associated with strands of the right lateral Karakorum-Jiali fault zone that have been mapped in the region (Yin *et al.*, 1999). This region seems to mark the transition from prevalent normal faulting in the south to dominance of strike-slip faulting in central and northern Tibet.



Figure 4.6.1. Source mechanisms (beach balls) of earthquakes in the southern Tibetan plateau. Beach balls are colored as in Figure 4.1.4. The labels above solutions with fixed depth are not labeled. Seismicity ( $M_{\geq} 3$ ) recorded by the Nepalese network is plotted as red dots (hypocentral depth  $\leq 25$  km) and blue the beach balls show the event label number and the centroid depth in parentheses or just the centroid depth for Harvard CMT solutions. Harvard CMT dots (hypocentral depth > 25 km) (Pandey et al., 1999). Note that 15 km is the minimum centroid depth in the Harvard CMT procedure.

### **4.7 Profiles Across the Himalayas**

Cross sections of seismicity linked with projections of focal mechanisms are a powerful means for studying the distribution of deformation at depth and its association with structural features. In order to avoid inaccuracy and distortion of spatial relationships of such seismic events, I created five cross sections in the area of study along the arc. The events projected onto these cross sections are chosen so that major changes in the structural character along the arc are preserved. Because the topographic front changes rapidly in far western Nepal, events were projected onto two separate cross sections to minimize distortion (Profile A and B). Receiver function profiles are added to show the relation to the structural environment (Profile C: Nabelek et al., 2005; Profile D: Schulte-Pelkum et al., 2005). The difference in the geometry of the MHT in both profiles results from contrary interpretations of the reflection characteristics of this structure. In profile D the MHT is hence deeper than in Profile C, does not reach the surface, and might be falsely interpreted. In this section, I will first discuss the deformation along the front of the Himalayan arc, followed by shallow crustal and deeper deformation in the region of the Tibetan plateau.

The seismotectonics of the Himalayan front is characterized by thrust faulting at depth between 10 and 25 km, and is located in the zone of increased microseismicity that has been detected by the Nepalese Seismic Network (Pandey *et al.*, 1995; 1999). These thrust events generally occur within a narrow zone of less than 50 km width, near the topographic increase from the lesser to the higher Himalaya (Figure 4.7.1). Interpretations of the depth of the Main Himalayan thrust from receiver function analysis (Profile C and D) shows that most of these events can be associated with deformation in the vicinity of the main detachment, while their vertical spread suggests significant deformation in the hanging as well as the footwall of the MHT. The variability in apparent nodal plane dips, and frequently greater dip than the detachment inclination furthermore signifies that many thrust events rupture at an angle to the main fault surface. In far western Nepal, the microseismic belt and the distribution of thrust type focal mechanisms at the Himalayan front appear to be elongated in an arc perpendicular direction (Figure 4.7.1, Profile A, distance: 100-200 km). It is noteworthy that this elongation is due to the projection including events in and to the west of the Karnali river valley, where the topographic front is offset to the north by 50 km (Figure 4.2.1). Pandey et al. (1999) combined the regions of Profile A and B in western Nepal on one cross section, which led to the impression that the elongation of the seismic cluster might represent a double ramp structure that was proposed by DeCelles et al. (1998). However, the wider north-south spread of seismicity is rather an artifact of projection than a considerably different structural architecture in this part of the arc.

Strike-slip faulting along the front of the Himalayan range occurs predominantly east of the Pum Qu graben at centroid depth  $\geq$  24 km, indicating that the Indian crust beneath the detachment is subjected to significant internal deformation (Figure 4.7.1, Profiles D and E, distance: 100-130 km). The existence of strike-slip faults has been documented at the surface and was attributed to conjugate strike-slip faulting, accommodating north-south compression (Dasgupta *et al.*, 1989). Since all of the investigated strike-slip events apparently occurred beneath the detachment, it is unlikely that these events are related to strike-slip faults at the surface although a genetic relationship between transverse features in the subducting Indian plate and the overlying lesser Himalayas was proposed by Valdiya (1976).

Normal faulting along the front of the arc, south of the Tethyan Himalaya is restricted to the vicinity of the Pum Qu graben at E86.5°-E87.5° (Figure 4.7.1, Profile D, distance: 20-200). The depths of these events indicate that this graben extends throughout the entire crust, dissecting the subducting Indian plate, and possibly continuing into the upper mantle.

North of the higher Himalaya, the seismotectonic picture is dominated by shallow normal faulting in all profiles, revealed by focal depths hardly exceeding 20 km. All of these events plot above the main detachment outlined by the receiver function depths. The greater number of events north of the Yarlung-Tsangpo suture suggest that this region is currently experiencing significantly more brittle deformation than the Tethyan Himalaya.

Several earthquakes plot beneath the crust-mantle boundary outlined by the receiver function profiles, indicating brittle elastic deformation in the uppermost mantle. These earthquakes show mostly strike-slip mechanisms in two distinct regions. Beneath the higher and southern Tethyan Himalaya, intermediate depth earthquakes occur in the region where the northward dipping Moho is bending back to sub-horizontal (Profiles C and D). Further to the north, beneath the Lhasa terrane and the northward continuation of the Yadong-Gulu rift, events show even greater centroid depth (Profile E). The centroid depth of these events ranges between 80 km and 98 km, which is significantly below estimated Moho depth at 70 km from previous studies in this region (e.g. Hauck *et al.*, 1998).

**Figure 4.7.1.** Cross-sections of the Himalayas and southern Tibet. Focal mechanisms are plotted in back projection in the color code according to Figure 4.1.4. Harvard CMT solutions with fixed centroid depth are not shown. Surface traces of profiles are shown on the overview Map (top, green lines). Interpretations of MHT (solid lines) and Moho depth (dashed lines) from receiver function analysis are shown in cross section C and D (C: Nabelek *et al.*, 2005; D: Schulte- Pelkum *et al.*, 2005). Microseismicity is plotted in blue (Pandey et al, 1999). The topography is shown above each profile. Location of major faults is indicated above each topography line. MFT: Main Frontal Thrust; KKF; Karakorum Fault; YTS Yarlung-Tsanpo Suture.



Figure 4.7.1. Cross-sections of the Himalayas and southern Tibet.



Figure 4.7.1. Continued Cross-sections of the Himalayas and southern Tibet.



**Figure 4.7.2**. Cross-section of the Himalayas in central Nepal. For location see overview map in Figure 4.7.1. Interpretations of MHT and Moho interfaces from HiCLIMB receiver functions are shown as solid lines (Nabelek *et al.*, 2005). Microseismicity is plotted in blue (Pandey et al, 1999). Note that northward dipping nodal planes of thrust events show mostly steeper dips than the MHT inclination in their vicinity. Centroid depths of these events show significant vertical spread.

# 5.1 Thrusting along the Himalayan Front

In this section I investigate the characteristics of thrust faulting along the Himalayan front. First, I will discuss their general location and reasons for their occurrence in this region. I will then show the nodal plane dips of these thrust events to explore if these events represent slip on the main detachment as proposed by previous authors. Last, I will discuss the variations of their compressive stress axes azimuth along the arc and reasons for these variations in the context of plate model predictions, GPS measurements, and topography.

# 5.1.1 Location of Thrust Events

The ongoing convergence between India and southern Tibet is localized along the creeping part of the main detachment (MHT) resulting in significant strain buildup and Coulomb stress increase at the down-dip tip of the locked part of the fault during the interseismic period (Pandey *et al.*, 1995; Cattin and Avouac, 2000). This accumulation of stress and strain causes intense microseismicity and frequent medium sized earthquakes that can be observed in a narrow belt that follows the topographic front of the higher Himalayas (Pandey *et al.*, 1995; 1999) (Figure 5.1.1.1). The thrust events yield insight into the mechanisms of deformation

associated with the microseismic cluster, where tectonic stresses are greatest (Pandey *et al.*, 1999; Cattin and Avouac, 2000). Thrusting becomes absent north of the 3500m- topography contour line, and deformation changes to normal faulting on the Tibetan plateau and the Himalayan grabens. The northern limit of thrust events marks the transition zone where the MHT changes its character from brittle behavior in the locked part to ductile and aseismic deformation in the down-dip part, which creeps at rates comparable to geologic slip rates (Lavé and Avouac, 2001; Cattin and Avouac, 2000). The more detailed shape of the seismicity, and close corellation with the 3500m- elevation contour is controlled by vertical stresses induced by the local topography. North of the 3500m- contour, Coulomb stresses decrease due to loading and commensurate increase of vertical stress, inhibiting fracture (Bollinger *et al.*, 2004).



**Figure 5.1.1.1.** Thrust events along the Himalayan front from this and previous studies. The 3500 m elevation contour is shown in grey. Seismicity ( $Ml \ge 3$ ) recorded by the Nepalese network is plotted in red (Pandey *et al.*, 1999).

## 5.1.2 Nodal Plane Dips

The thrust events along the Himalayan front imply underthrusting on mostly shallow northward-dipping fault planes. Previous investigators of focal mechanisms in the region argued that most of these thrust events define the detachment surface that separates the underthrusting Indian plate from the overriding lesser Himalayan crustal block (Baranowski *et al.*, 1984; Ni and Barazangi, 1984). Geodetic studies have indicated that the MHT is essentially locked during the interseismic period (e.g. Bilham et al., 1997; Larson *et al.*, 1999; Jouanne *et al.*, 2004; Bettinelli *et al.*, 2006), causing the zone around the down-dip tip of the locked part to be subjected to large tectonic stresses. This becomes evident from the distribution of seismicity showing a rather rounded shape than simply outlining a planar surface. The distribution of seismicity indicates that fracture occurs on planes adjacent to the main detachment in addition to slip on the main detachment surface.

The geometry of the décollement, at least in central and eastern Nepal, is now constrained by receiver function data of the HiCLIMB and HIMNT seismic experiments (Nabelek *et al.*, 2005; Schulte-Pelkum *et al.*, 2005). The MHT reflector is subhorizontal beneath most of the lesser Himalaya, where most thrust events occur, and steepens somewhat to the north underneath the higher Himalaya to dips  $\leq 8^{\circ}$  at the northernmost extent of the seismic cluster (Figure 4.7.1, C).

Only 6 of the more than 40 investigated events show dips within the range of maximum inclination of the MHT reflector (Figure 5.1.2). The investigation of parameter resolution of a dip-slip event in chapter 3.2.1 showed that the dip is constrained to within less than 7°, consistent with uncertainties given for thrust events determined with the same method in other regions (e.g. Nabelek and Xia, 1995). Under consideration of uncertainties of  $\leq 7^{\circ}$ , 6 more events could have slipped in the plane of the MHT. Baranowski et al. (1984) gave an uncertainty estimate of 5-10° for their teleseismic investigations. Taking 10° as a conservative upper limit for events from Baranowski et al. (1984) and Molnar and Lyon-Caen (1989), the number of thrust events that potentially could have ruptured on the surface of the MHT increases from 3 to 15, which is only about one third of the total number of thrust events investigated her. This number would additionally imply that the dip is frequently overestimated, which is very unlikely given the consistency of error estimates of different studies. Since the geometry of the MHT is only constrained in central and eastern Nepal, it cannot be ruled out that the detachment is steeper in other regions along the arc. However, most regions show shallow as well as steeper dipping thrusts in the same area. The only region showing exclusively steeper dips (20°-32°) is the region between E91.8° and E93°. In the event of a locally steeper MHT, these events could represent detachment slip, but the structure in this region is not constrained. However, a local steepening of the MHT from less than 8° to more than 20° is rather improbable because of flexural plate rigidity and would imply tearing of the India crust.
Even though over 50% of the investigated thrust events show centroid depths in the range of the MHT reflector in central and eastern Nepal (12-18km), the plunges of their slip vectors show mean and median values of 20.2° and 18.5°, which is outside the range of possible uncertainties. Events between 6 and 12 km depth show somewhat shallower dips with mean and median values of 14.3° and 12°, while events below 18 km dip even steeper (mean 29.5°, median 30°). All of the depth groups mentioned above show fairly high standard deviations ( $\pm$ 11-13°), which indicates that there is no preferred angle of slip at either depth. The general trend to steeper nodal planes at greater depth cannot be attributed to a steepening from south to north that would reflect the increasing dip of the detachment in this direction. Instead, the steep dip of deeper events could be an expression of greater strength of the Indian crust away from the fault zone.

The fact that most of the nodal planes indicated by the fault plane solutions are steeper dipping than the MHT is converse to the view that the thrusts outline the detachment surface, as proposed by previous authors (Baranowski *et al.*, 1984; Ni and Barazangi, 1984). On the other hand, the steeper plunge of slip vectors is consistent with the notion that the main detachment is essentially locked and the region of the lower tip of this zone is intensely deformed. Thus, most of these thrust earthquakes signify internal deformation in the vicinity of the MHT rather than detachment slip. A corollary of slip on steeper planes is a larger vertical component of displacement, which likely contributes to the uplift of the mountain range and creation of topography. This is in agreement with the fact that the highest uplift

rates are observed in this region (Bettinelli *et al.*, 2006). Furthermore, slip on planes oblique to the dip of the detachment surface might play a role in the formation of asperities on the MHT. By introducing kinks and reducing the smoothness of the main detachment surface, slip of these events could contribute to the locking of the thrust. The detachment might be exceptionally rough in the region of western Nepal, where comparably more moderately sized earthquakes occur, and fault planes tend to be steeper than further to the east. A greater roughness increasing friction on the main detachment in far western Nepal could be a contributing factor to the long seismic dormancy of this region and potentially higher recurrence intervals than in other regions along the arc.



events. Grey bar represents maximum range of the dip of the main detachment in the region of thrust events taken from receiver function profiles in eastern and central Nepal (Nabelek et al., 2005; Schulte-Pelkum et centroid depth and triangle size scaled according to event magnitude. Negative values represent backthrust Figure 5.1.2.1 Dips of shallow nodal planes of thrust events along the arc. Color code according to event

## 5.1.3 P-axes Azimuth

The mechanisms of the majority of the thrust events from this study are consistent with previous investigations of earthquake focal mechanisms underneath the Himalayan front that have shown dominant thrust faulting between 10 and 20 km with a shallow dipping plane inclined northward underneath the Himalayas, and strike roughly parallel to the regional topography of the range (Ni and Barazangi, 1984; Baranowski, 1984; Molnar and Lyon- Caen, 1989). Besides the interpretation that these events outline the dip of the MHT, slip of these events was thought to occur in an arc radial fashion. The previous description of thrust events along the arc in chapter 4 has shown that, while the thrust faulting earthquakes indeed suggest arc radial slip to a first order, deviations from a ideally circular geometry become obvious. In this section, I will investigate the reasons for short scale variations of slip directions along the arc in the vicinity of the MHT. The P-axes of thrust events are used here as an approximation for the slip direction of these earthquakes. Because the azimuth of P-axes and slip vectors are identical for pure dip-slip events, the usage of the principal axes rather than the slip vectors is appropriate and does not necessitate choice between nodal planes. Most thrust event P-axes align perpendicular to the regional azimuth of the topographic front of the Himalayan arc sampled at more than 200 km, and roughly align with a small circle centered at N42°, E90° with a radius of 1600 km that outlines the increase in elevation from the lesser to the higher Himalaya to E92°

(Figure 5.1.3(B, C)). Some thrust events follow this circle even east of E92°, where the azimuth of the topographic front strongly deviates from the azimuth given by this circle, and indicate oblique underthrusting beneath the general trend of the higher Himalayas. Several events to the west show deviating P-axes from arcnormal orientation and their centroid depths indicate an apparent depth dependence of stress axes azimuth. Between E86° and E92° four thrust events between 20 and 25 km depth reveal a consistent clockwise rotation of P-axes azimuth with respect to shallower events in the nearby region. The deep events show P-axis azimuth between 37° and 45°, while shallower thrusts show P-axes orientations between NE 22° and NW 26°, reflecting the regional trend of the higher Himalayan topographic front. In western Nepal, the P- axes azimuths reveal a rather diffuse pattern. Principal stress axes of deeper and shallower thrust events are not as clearly separated as events to the east, and more spread out with azimuths ranging from NW 16° - NE 12° west of Pokhara and N 2°- NW 41° in far western Nepal. Nonetheless, P-axes deviating from the local trend of topography tend to be associated with deeper events, however showing a counterclockwise rotation with respect to the shallower ones.

As becomes evident from the profile plots in section 4 (Figure 4.5.1), the deviating events plot at the lower part of the microseismic cluster and possibly occurred below the detachment. The apparent depth dependence could thus indicate that events below the detachment behave differently than shallower earthquakes. Assuming that the shallow events represent slip in the hanging wall, a difference in stress orientation would imply decoupled stresses across the MHT. Such differences in faulting orientation in the footwall and hanging wall has been observed in places along the Sunda arc, where slip directions of deeper earthquakes deviate from slip directions in the hanging wall (McCaffrey et al., 2000). A decoupling of stresses would necessitate a certain weakness of the detachment. Several authors have proposed long-term weakness of the MHT based on the observation of a comparably low frictional coefficient on the detachment surface (Cattin and Avouac, 2000; Bollinger et al., 2004), and little internal deformation in the hanging wall observed at the surface (Lavé and Avouac, 2001). However, the previous section has shown that significant deformation occurs in the vicinity of the detachment, which is in agreement with the notion that the MHT is essentially locked during the interseismic period (e.g. Bettinelli et al., 2006). The fact that most of the slip does not occur on the detachment surface indicates significant strength of the locked portion, which implies that stresses should be coupled across the fault at present. To investigate if shallow events follow different patterns than deep events and if stresses are decoupled, P-axes of thrust events are compared to azimuths of plate motion predictions and displacement vectors from GPS stations in the region (Figure 5.1.3.2) (Bettinelli et al., 2006). If stresses are decoupled across the MHT, deviating stress axes orientation of the events in the footwall could respond to stresses given by the direction of Indian plate movement. Figure 5.1.3.2 shows the azimuth of Indian plate convergence with respect to stable Eurasia as predicted by HS3–NUVEL1A and the REVEL 2000 models (Gripp and

Gordon, 2002; Sella *et al.*, 2002). The two plate velocity models were chosen to indicate the end member models of plate vector estimates, since the first is based on seafloor spreading and hotspot migration estimates (Gripp and Gordon, 2002), while the other is derived from recent geodetic data (Sella *et al.*, 2002).

The plate model predictions suggest obliquity of India plate convergence with respect to the curvature of the arc. The azimuths of both models show that the India plate motion is clockwise oblique with respect to the arc east of E84°-85°, whereas to the west the convergence is counterclockwise oblique. This change coincides with a similar change of obliqueness indicated by the P-axes azimuth of deeper events that deviate from the arc circular pattern. While this fits the general sense of rotation of deviating P-axes azimuths, the angles of obliqueness with respect to the ageometry of the arc given by the plate models are lower than the angles given by the azimuths of deeper events. The missing correlation indicates that the azimuth of Indian plate convergence taken from plate model predictions cannot reconcile the orientation of the rotated earthquake mechanisms.

GPS vectors show that the displacement at the surface is roughly perpendicular to the approximate arc azimuth east of E85°, in agreement with the direction of displacement indicated by the shallower thrust earthquakes (Figure 5.1.3.2). The GPS vector azimuths show significant variation in the central part of the section between E84° and E88°, and vectors vary significantly even at the same station over time (Bettinelli *et al.*, 2006). Further to the west, GPS displacement vectors trend to the SSW and show correlation with deeper, rather than shallow events. This correlation implies that these deeper events slip in the direction of displacement measured at the surface, which could be taken to argue against decoupled stress fields across the MHT. However, the GPS displacement vector azimuths do not match the detailed pattern of slip directions indicated by the P-axes of many events. The disconnect between GPS measurements at the surface and earthquake slip vectors could be due to complexities of GPS measurements in the vicinity of the locked part of the fault. Another obvious problem in this comparison is furthermore the large distance of some GPS sites to the thrust earthquakes in the eastern part of the section. Nonetheless, the GPS and plate model prediction cannot reconcile the orientation of slip indicated by the thrust events that deviate from the rough shape of the arc, which implies a different reason for the short scale variations in slip direction.

While the Himalayan arc is remarkably circular, it reveals many small-scale undulations of the mountain front that often coincide with drainage systems. Many of the deviating events occurred in the vicinity of such smaller scale undulations of the Himalayan front. To investigate if the P-axes orientations of thrust events align perpendicular to the more regional topography, the 3500m- topography contour was used for comparison as an approximation for the shape of the Himalayan front. To check at which scale the P-axes show maximum correlation to the topography normal, the topography was filtered at 200, 100, 75, 50 and 25 km wavelengths, using a two dimensional boxcar filter. The 3500m-elevation contour was extracted from the filtered topography, after which I calculated the azimuths along the contour. While the  $\lambda = 200$  km filtered contour approximately follows the roughly outlined arc front from the previous section (Figure 5.1.3.3 (A)), shorter wavelengths of the arc subsequently reveal the many small-scale undulation along the Himalayan front, which is signified by increasing azimuth variations. Filtered at 25 km the arc perpendicular azimuths vary substantially, covering the entire azimuth spectrum (Figure 5.1.3.3 (D)). However, filtered at 50 km the contour perpendicular azimuths reproduce pattern given by the thrust event p-axes well in terms of variations and amplitudes of the azimuth, and shows that these rotated events slip normal to the local topography at this length scale. However, small lateral offsets of these events with respect to the azimuth of the 50 km contour cause root mean square misfits of significant size (Figure 5.1.3.4). While these offsets are in part due to the projection over some distance directly to the north and not in the direction of slip, another possible reason is given by the uncertainties in earthquake location. Since the locations used for the analysis of earthquakes source parameters from this and other studies are mostly taken from earthquake catalogues, the uncertainties might be significant. A comparison of NEIC locations to locations determined by Monsalve et al. (2006) indicates that mislocation is frequently on the order of 20 km. In order to account for the projection uncertainties and possible mislocation of events, the location was allowed to move laterally at different scales in the procedure. Figure 5.1.3.4 shows the RMS errors of P-axes and contour normal when maximum lateral shifts in event location of 10

and 20 km are allowed for. The plot shows that, while the 200, 100, and 75 km wavelength contours show smaller root mean squares for the initial location, the consideration of lateral uncertainties does not improve the fit significantly. The rms error with respect to the 50 and 25 km wavelength contours decreases significantly to less than 10° if a maximum shift of 20 km is allowed for, while the 25 km wavelength contour shows slightly smaller misfits than the 50 km wavelength contour (6.7° vs. 9.6°). However, the 25 km contour does not appear to be very representative, since the amplitudes of the contour azimuths are not matched well by the P-axes azimuths, and none of the higher azimuth values are reflected by the P-axes. The fit is purely accomplished by the shift of the location of nearly one wavelength. On the other hand, the 50 km wavelength contour matches the P-axes azimuth values of shallow and deeper events in amplitude all along the arc, which suggests that all of the investigated events follow the same pattern. The fit to within 10° is in the range of uncertainties of determined P-axes orientation from this method, as shown in the methods section of this manuscript.

The good correlation of thrust event P-axes with changes of the topography on a 50 km scale suggests slip of these events occurs indeed radial to the Himalayan front, but slip directions change significantly on a very local scale. The fact that events that are likely to have occurred in the footwall and events in the hanging wall follow the same pattern, furthermore suggests significant coupling of the stress field above and below the décollement. This is in agreement with the notion that

the MHT is essentially locked (e.g. Bettinelli *et al.*, 2006; Jouanne *et al.*, 2004; Larson *et al.*, 1999).

The correlation at a 50 km scale indicates that the topography is tightly related to the slip direction of moderately sized earthquakes in the interseismic period. A possible reason for this correlation could be the impact of the topographic load on the stress field at mid-crustal depths. Similar correlation of thrust earthquake slip vectors with smaller scale topographic variations can be found along the Cascadia subduction zone (Braunmiller, personal communication). However, the topography in the Cascadia environment is almost negligible compared to the Himalayas, and the changes in vertical load induced by the topography probably too small to cause significant variations in the stress field at depth on such short wavelengths. On the other hand, since most of the earthquakes probably did not occur on the main detachment, these local variations of slip direction might be indicative of slip on local weak zones around the detachment that vary in azimuth on a shorter scale than the megathrust. The fact that the earthquakes along the Himalayan front show slip perpendicular to smaller scale topographic features on planes of considerable dip indicates that these earthquakes contribute to the mountain building process and development of smaller scale undulations of the topographic front. This interpretation is in agreement with the coincidence of the event locations and the region of highest interseismic uplift, which is indicated by vertical velocities determined by GPS investigations (Bettinelli et al., 2006; Bilham et al. 1997).

While the contribution of large earthquakes in the mountain building process is surely dominant, the highest uplift caused by large events is translated to the foothills of the Himalayas (Lavé and Avouac, 1998), whereas the regions to the north might actually subside during these events. Since recurrent large earthquakes of magnitude  $\geq 8$  in the Himalayas rupture several hundred kilometers of the front at once they are likely to form the general circular shape of the arc. The existence of short scale lobate variations of the front however are less likely to be formed by these large events, and topography might be built in the interseismic period by smaller thrust earthquakes. The local shape and morphology of the arc is controlled by erosion of material in massive streams crossing the Himalayas (e.g. Avouac, 2003). The pattern of erosion however is guided by the topography, and contributes to the shape of the arc on smaller scales as a response to uplift. Deformation from moderately sized earthquakes in the interseismic period might thus be a contributing factor in the process of mountain building and the shape of the arc in dimensions between large earthquakes and erosion.



**Figure 5.1.3.1.** A) Beach balls, B) P-axes, and C) P-axis azimuth of thrust events along the Himalayan arc. The 3500m- topography contour is shown in gray in maps A) and B). Dashed line in B represents a small circle centered at N42° E90° with 1600 km radius. Dashed line in C represents azimuth perpendicular to this circle. The stippled line represents the rough azimuth of the arc, corrected for deviations from the circle in the east and west. P –axes of orange events are within 15° of this line, while blue events deviate more than 15° from this line.



**Figure 5.1.3.2.** Comparison of thrust event P-axes azimuth (orange triangles) to GPS vector azimuth (open circles) (Bettinelli *et al.*, 2006), and Plate motion predictions from HS3- Nuvel1A and REVEL 2000 (dashed lines) (Gordon and Gripp, 2002; Sella *et al.*, 2002). Because of the big variations of azimuth of small GPS displacement vectors in the sub- and lesser Himalaya, only vectors of magnitude  $\geq$  4.5 mm/yr are plotted. The size of open circles in the legends represents displacement of 10 mm/yr.



**Figure 5.1.3.3.** P-axes of thrust events and 3500m topography contour filtered at A) 200, B) 100 and 75, C) 50, and D) 25 km. Below maps: Azimuth of thrust event p-axes and azimuth perpendicular to contour. The outline and azimuth of a small circle centered at N42°, E92° is shown in A). Note the good agreement of the P-axes azimuth with the contour azimuth filtered at 50 km.



Figure 5.1.3.4. Root mean square of thrust event P-axes azimuth with respect to the 3500 m - topography contour normal filtered at different scales versus maximally allowed lateral shift.

## **5.2 Faulting Patterns in Tibet**

As opposed to the Himalayan front, the tectonics of the Tibetan plateau is characterized by extension and lateral escape. Various models have been proposed arguing the relative importance of different mechanisms involved in the tectonics of Tibet (e.g. Seeber and Armbruster, 1984; Armijo et al., 1986; Molnar et al., 1993; Kapp and Guynn, 2004; McCaffrey and Nabelek, 1998). The orientation of faults and fault plane solutions are an important tool to put constrains on the mechanisms contributing to the extension of the plateau and escape tectonics. At the surface, extension and lateral escape is expressed in normal and strike-slip faults with increasing dominance of strike-slip faulting towards the north and northeast. In the south, extension of the Tibetan plateau becomes evident by a number of large graben systems cutting through the higher Himalayas perpendicular to the range, and by roughly north-south trending rift valleys in the Tethyan Himalaya and the Lhasa terrane (Tapponier *et al.*, 1981; Armijo *et al.*, 1989). Surface traces of the graben systems show significant local variations in strike and tend to fan out to the north from a northwesterly to northeasterly direction from west to east (Figure 5.2.1).

Previously, only few focal mechanisms were available on the Tibetan plateau and extension indicated by these events apparently occurred simply in the east-west direction, not reflecting the local changes of fault strikes from south to north. Focal mechanisms from this study confirm the view of previous investigators that extension occurs in a general east-west direction (e.g.: Molnar and Chen, 1983; Molnar and Lyon Caen, 1989). However, with the improved spatial coverage in this region through the addition of focal mechanisms from this study, smaller scale variations of the faulting patterns and deviations from a pure east-west extension become obvious (Figure 5.2.1).

T-axes of events in the Tethyan Himalaya show extension roughly parallel to the arc, and rotation from ENE in the eastern part to WNW in the western part approximately parallel to the orientation of the surface trace of the Yarlung-Tsangpo suture (Figure 5.2.1, 5.2.2). Further north, across the Yarlung-Tsangpo suture, this pattern changes to a seemingly opposite trend. Although extensional directions vary considerably with short distance, the general pattern of T-axes shows a roughly northward convex trend from east to west (Figure 5.2.1, 5.2.2). The different patterns of T-axes azimuth in the southern Lhasa terrane and the Tethyan Himalaya suggest that the region of the YTS represents a boundary separating faulting styles in the north and in the south, which is indicated not only by the focal mechanisms but also the orientation of the surface traces of the faults. The faulting regime changes to a preponderance of strike-slip faulting in the northern plateau at roughly N31° in the central part, and at N31.5° in the eastern part of the Lhasa terrane (Figure 5.2.1).

Several authors have stressed the notion that shallow extension in southern Tibet is an expression of gravitational collapse of the thickened crust (e.g. Molnar *et al.*, 1993; Royden, 1996). An elevated gravitational potential energy is given by the increased crustal thickness and topography, and hence is likely to play a role in the extension process of southern Tibet. However, the strike of normal faulting mechanisms and the northward change to strike slip faulting indicate that southern Tibetan plateau extension is not simply driven by gravitational collapse. If extension is merely driven by gravitational forces, normal faults should show dominant extension parallel to the topographic gradient and gradient perpendicular strike. This is suggested by modeling efforts considering only the gravitational potential energy as a driving force that have predicted north-south extension of the Tibetan plateau, which is contrary to the observed east-west extension (Flesh *et al.*, 2001). Proponents of the gravitational collapse model have described the extension in southern Tibet to be a result of a weak Tibetan crust spreading over a rigid India plate (e.g. Jade et al., 2004). The increasing surface area of the weak plateau as it spreads radially over India would then require the southern rim to extend in an arc parallel fashion. While it is generally questionable if such comparisons are meaningful in a rigid plate environment, this analogy only matches the arc parallel extension in the south, but is contrary to the northward radial fault strikes in the Lhasa terrane. Furthermore, according to this model dominant extension should still occur parallel to the topographic gradient. The highest gradients in the systems are undoubtedly radial to the Himalayan arc and hence rather north-south in the region under investigation, converse to what is indicated by the focal mechanisms. In the context of gravitational collapse, strike slip faulting has been proposed to occur in regions with lower elevations than the areas of normal faulting (Molnar

and Lyon-Caen, 1989). This idea has to be rejected since the elevation does not vary significantly in the zone of transition from prevalent normal to strike-slip faulting (Figure 5.2.3).

Other conceptual models trying to explain the extension of southern Tibet emphasize the role of forces induced by the indentation and subduction of Indian lithosphere underneath the Tibetan plateau (e.g. Seeber and Armbruster, 1984; Kapp and Guynn, 2004; McCaffrey and Nabelek, 1998). Kapp and Guynn (2004) modeled the fault orientations in Tibet as a two dimensional thin sheet considering compressive stresses induced by the collision as the main reason for the extension. The northward divergent orientation of fault traces on the Tibetan plateau was reproduced under the assumption that compressional stresses are higher in the center of the plateau than to the west and the east, where they are relieved by strikeslip along the Karakorum fault and thrusting near the Shilong plateau. While this model is able to reproduce the northward radial orientation of faults north of the YTS, it does not reconcile arc parallel extension in the higher Himalayas and Tethyan Himalaya. The primary driving force in this thin sheet model is given by the compression induced by converging India and neglects three-dimensional effects such as basal shear stress induced by the underthrusting Indian plate. Since it is now known that the Indian lithosphere is underthrusting Tibet as far north as roughly the Bagnong Nuang suture, it is difficult to deny the influence of basal traction induced by movement along the main detachment.

Indeed, possible sources for changes in shallow faulting patterns can be found by investigating the geometry of the underthrusting Indian lithosphere. Comparison of the faulting styles observed from focal mechanisms to the geometry of the subducting Indian plate, as outlined from receiver function profiles, show that the changes from arc parallel extension to northward radial extension, and then to strike-slip faulting further north coincide with structural changes of the MHT reflector at the top of the underthrusting Indian lithosphere. Near the Yarlung-Tsangpo suture, where the pattern of extension changes from southward to northward convexity, the India plate bends back from a northward dip to continue subhorizontally underneath the plateau (Figure 5.2.3). Further to the north, around N31°, the reflector from the top of the Indian plate bends down into the mantle just south of the Bagnong Nuang Suture, corresponding to the change from normal to strike-slip faulting preponderance. The correlations between the geometry of the underthrusting India plate and shallow faulting patterns in the Tibetan plateau suggests that the faulting styles in the shallow crust are influenced by basal mechanisms, since the shear stresses imposed on the bottom of the Tibetan crust can be expected to vary where the underlying architecture changes.

This draws attention to the model proposed by McCaffrey and Nabelek (1998), who emphasized the importance of basal drag in the formation of southern Tibetan rift structures. Their model predicts varying obliquity of basal traction imposed by India to the bottom of the Tibetan crust to cause differential extension in southern Tibet. The varying obliqueness is given by the convergence of India with respect to a curved backstop. This backstop is given by northern Tibet, bound to the south by the southward convex Karakorum fault and Karakorum-Jiali fault zone. The conceptual nature of this model, however, does not account for variations in the geometry of the underthrusting Indian plate, and hence cannot be directly used to compare extensional faulting orientations on the Tibetan plateau. Nonetheless, the basal traction imposed on the bottom of the Tibetan crust can be expected to vary in the region in which the bottom plate changes from northward dipping to horizontal. Strike- slip faulting at the Karakorum- Jiali fault could be seen as movement along the backstop proposed in the basal drag model. North of the region in which the Indian plate bends down into the mantle, basal traction applied to the Tibetan crust vanishes, and strike- slip deformation is the dominant mode of deformation, possibly guided by north-south compression and resulting lateral escape.

The focal mechanisms investigated here are surely not sufficient to rule out a certain impact of the elevated potential energy of the thickened crust on the extension of the plateau. However, the predicted extensional directions given by the collapse model are hardly matched by the orientation of normal faulting mechanisms, which shows that simple collapse is not able to explain Tibetan plateau extension. On the other hand, the coincidence of structural changes in the architecture of the underthrusting Indian plate with changes of faulting styles above signifies that basal mechanisms are likely to play a significant role in the extension process of the Tibetan plateau. The influence of basal shear stresses additionally implies a certain level of stress coupling between the underthrusting Indian crust

and the Tibetan crust above. In order to further understand the relative importance of these mechanisms and to investigate the impact and viability of stress coupling across the detachment at mid-crustal depths, additional modeling efforts have to be undertaken. The variations in faulting patterns that have been observed in this study provide new constraints for any effort trying to explain the Tibetan Plateau extension.



**Figure 5.2.1.** Focal mechanisms of crustal events in the southern Tibetan plateau (top panel) and associated dilatational axes (bottom panel). Note the lateral change in T-axes azimuth variation south and north of the Yarlung-Tsangpo suture (YTS) from southward to approximately northward convex. Events are colored as in Figure 4.1.4.



Figure 5.2.2. Beach balls of crustal events in the Tethyan Himalaya and southern Lhasa terrane plotted as a function of longitude and T-axes azimuth. The rough azimuth of the Yarlung-Tsangpo Suture is plotted as a dashed line in each panel.



code according to figure 4.1.4.. Interpretations of the top and bottom of the undertrusting Indian crust from receiver functions are shown as solid lines. Figure 5.2.3. Profile across the Himalayas and the Tibetan plateau in the region of the HiCLIMB seismic array. Beach balls are shown in the color Topography of the region is shown above the profile. Abbreviations: MFT- Main Frontal Thrust; YTS- Yarlung Tsangpo Suture; BNS- Bangong Nuang Suture. Colors in the background outline regions of distinct faulting styles in the upper crust (see text).

## **5.3 Deformation at Depth**

Since earthquakes are an expression of elastic deformation in the earth, their vertical distribution can be indicative of mechanical strength at depth. While most of the earthquakes in the Himalayas and Tibet show focal depths in the upper crust, where mechanical strength is undisputed, the location of deeper earthquakes has been strongly debated in recent years. Although previous authors have documented the occurrence of mantle seismicity beneath the Tibetan plateau (e.g. Chen et al., 1981; Zhu and Helmberger, 1996; Chen and Yang, 2004), only few of these events were reported in southern Tibet. The small number of reported mantle events and their occurrence near the Moho allowed arguing against mantle seismicity (Maggi et al., 2000; Jackson, 2002). The question of whether deeper events occurred in the lower crust or upper mantle is of particular importance in the region of the Himalayas and the Tibetan plateau, since where mechanical strength resides has major implications on the support of the orogen. Furthermore, the deep-event stress axes orientation gives insight about the reasons for deeper seismicity. Centroid depths of earthquakes investigated in this study underline that the dominant mechanical strength resides in the upper 20 km of the crust (Figure 5.3.1, A). While earthquakes at the Himalayan front show centroid depths predominantly between 10 and 20 km, brittle deformation on the Tibetan plateau is concentrated in the upper 10 km of the crust, which is in agreement with depths determined by

previous investigations (e.g. Molnar and Chen, 1983; Ni and Barazangi, 1984, Randall *et al.*, 1995).

Normal faulting events in the Pum Qu graben show extension of the Indian plate below the décollement in east-west direction. Focal depths and comparison to receiver function profiles indicate that two of these events could have occurred in the lower Indian crust, a pattern that is unique along the arc (Figure 4.7.1). Events indicative of brittle deformation in the lower crust become absent north of the higher Himalayas, where no earthquakes have been determined between 34 and 75 km.

While few normal faulting events have been determined at depths below 75 km, the majority of events show strike-slip mechanisms with northerly trending P-, and east- west trending T- axes, which is consistent with the stress field produced by the indentation of the Indian continent (Zhu and Helmberger, 1996). While deeper earthquakes occur in several places between the Ganges basin and the Tibetan plateau, two dominant regions of intermediate depth deformation become obvious. Significant deformation occurs underneath the Tethyan Himalaya, especially between E86° and E88° just north of the higher Himalayas, east and west of the Pum Qu graben. The centroid depths of these earthquakes are between 75 and 92 km, whereas the depth of the Moho is at 65 to 70 km in this region as shown by receiver function images (Figure 4.7.1 C), which suggests brittle elastic deformation in the uppermost mantle. Cross sections together with receiver functions show that these events occur below the region where the Moho reflector

changes orientation from northward dip to horizontal (Figure 5.2.3). This could imply that these events are related to the backward bending of the Indian crust above, deforming mantle material below the bend.

Another active region of deformation at intermediate depths is close to the northern extent of the Yadong-Gulu rift, east of Shigatse. The centroid depths of these earthquakes are on average deeper than events just north of the higher Himalayas and range between 80 and 98 km. The depth of the shallower two events was determined using body wave depth phases under the assumption of a purposelyslow crustal velocity model in order to prove the subcrustal depth occurrence of two of these events (Chen and Yang, 2004). The slower velocity model leads to an underestimation of depth of up to 10 km (Chen and Yang, 2004), which would put them into the vicinity of events determined from regional data and confirms the depth resolution of events determined in this study. The crust mantle boundary in the region is at about 70 km depth as inferred from wide-angle reflection analysis (Hauck et al., 1998), and is confirmed to be significantly less than 80 km through surface wave dispersion analysis (Chen and Yang, 2004). The depths of these events thus represent strong evidence for brittle elastic deformation in the upper mantle, since errors in centroid depth of up to more than 20 km are required to place them into the crust.

The depth distribution of earthquakes investigated here, strongly suggest a bimodal strength profile underneath the Himalayan orogen, which is contrary to the proposition that the strength resides in a single seismogenic layer represented in the

crust (Maggi *et al.*, 2000; Jackson, 2002). Regionally, the lower crust might be brittle enough to sustain the accumulation of strain required to produce earthquakes. Seismicity in the lower crust however appears to be restricted to the region of the Pum Qu graben and does not appear to be a common phenomenon throughout the orogen. The occurrence of these earthquakes might be attributed to the eclogitization process of granulite near the Moho as proposed by Jackson *et al.* (2004).

Deeper events underneath the Tethyan Himalaya and the Lhasa terrane are located consistently beneath Moho depths determined in the region indicating a strong lithospheric mantle. The fact that deeper earthquakes occur mostly in the mantle rather than the lower crust provides additional evidence that the largest contribution to the integrated vertical strength of the lithosphere is provided by the mantle (Molnar, 1992; Chen and Yang, 2004). This is in agreement with evidence from flexural and thermodynamic modeling that requires a strong mantle to explain the geometry of the bending India plate (Hetenyi *et al.*, 2006). The occurrence of intermediate depth earthquakes furthermore indicates that the temperatures of the mantle lithosphere beneath the Himalayas and the Tibetan plateau are relatively low.

The source mechanisms of mantle earthquakes show the predominance of vertical shear expressed in strike- slip faulting, as opposed to thrust and normal faulting in the shallow crust. Chen and Yang (2004) have argued that these upper mantle earthquakes are unlikely to be related to the subduction process, based on few

earthquakes that show steeply dipping P-axes and east-west extension. The addition of newly determined focal mechanisms however shows the dominance of horizontal, northerly trending compressional axes that are consistent with the regional stress field induced by the India-Eurasia convergence. Although reversefaulting mechanisms might be intuitively expected in a subduction environment, such a mode of deformation might be inhibited by increased vertical stresses induced by the significant overburden. While tectonic stresses are unlikely to be higher at intermediate-depth, vertical stresses increase due to the topographic load, which causes the extensional stress axes to be oriented east-west. Furthermore, although the normal faulting mechanisms show steeply dipping P-axes indicative of the high vertical stresses, the direction of maximum horizontal stress is given by the intermediate stress axes for these events (Zoback and Zoback, 1980). This axis correlates with the direction of the P-axes of most strike-slip events and indicates that they occurred in a north-south compressive regime. The occurrence of some normal faulting could be taken to argue that the vertical stresses at this depth are comparable and locally bigger than the tectonic stresses. Nonetheless, the consistent northerly orientation of the compressive stress direction indicates that the upper mantle is likely involved in the collision process.



**Figure 5.3.1.** Depth slices of source mechanims. A) Depth  $\leq 25$  km, B) 26 km $\leq$  Depth  $\leq 65$  km, C) Depth  $\geq 65$  km. 3500m elevation contour is shown in grey. Faults are shown in black.

## **6 SUMMARY AND CONCLUSIONS**

I have presented 107 source parameters of small to moderate sized earthquakes in the Himalayas and the Tibetan plateau. Using data from the densely spaced temporary broadband seismic network of the HiCLIMB experiment, with addition of data from other regional temporary broadband networks and permanent GSN stations, allowed unprecedented lowering of analysis threshold to momentmagnitude (M<sub>w</sub>) 3.5. The analysis of such small events resulted in a large source parameter database, providing unprecedented coverage as the basis for a detailed seismotectonic study. The moment tensor solutions, derived from 3-component full waveform inversion at regional distances are robust with respect to inaccuracies in earthquake location, crustal velocity model, and limited azimuthal station distribution. Source mechanisms and centroid depths compare well to Harvard CMT and other published solutions.

The earthquake source parameters from this study are combined with previously published solutions to investigate the patterns of thrusting along the arc, normal faulting in the southern Tibetan plateau, and depth and stress axes of intermediatedepth earthquakes.

Thrust events along the arc fall close to the lower edge of the locked zone of the MHT where the accumulated stresses due to the plate motions are largest. The 3500 m- topography contour marks the northern limit where thrust faulting occurs. The sharp cut-off of the thrust seismicity probably indicates the change to the ductile

regime and could be locally influenced by the increase in vertical stresses due to lithostatic load that inhibits fracture.

Focal mechanisms of thrust events indicate slip on northward dipping planes. The slip vector plunge of these events is frequently steeper than the décollement imaged by receiver functions in central and eastern Nepal. The steeper dips together with the vertical spread of centroid depths and microseismicity hypocenters indicate that many of these thrust events do not represent slip on the main detachment surface, but rather represent internal deformation in the footwall and the hanging wall of the MHT. Dips are steeper especially in the western part of Nepal, possibly contributing to the formation of asperities on the detachment that break during large earthquakes.

P-axes of these thrust events show deviations from a mere circular geometry, but indicate that slip in the vicinity of the MHT occurs perpendicular to the regional topography and small undulations of the Himalayan front on a 50 km wavelength scale. Thrust earthquakes in the foot and hanging wall follow the same pattern, which implies that the stresses above and below the main detachment are coupled. The fact that many of these events show slip on steeper dipping planes perpendicular to the local shape of the arc indicates that small to moderate sized earthquakes contribute to the mountain building process and formation of topography on a local scale.

The Indian crust is subjected to significant internal deformation along the arc as a result of the subduction process. This is indicated by several strike-slip earthquakes

below the décollement, especially east of the Pum Qu graben. Furthermore, deepseated normal faulting in the vicinity of the Pum Qu graben suggests that this structure extends to Moho depths or even beyond, indicating that the Indian crust is locally extending in a roughly east-west direction.

Deformation on the southern Tibetan plateau is dominated by normal faulting in the upper 15 km of the crust. Although extension occurs in an east-west direction to a first order, nodal plane and T-axes strikes vary considerably across southern Tibet from arc parallel extension in the Tethyan Himalaya to northward convex on the Lhasa terrane. The orientation change roughly at the Yarlung-Tsangpo suture coincides with a geometric change of the underlying décollement atop the Indian lithosphere imaged by receiver functions (Nabelek et al., 2005). Around N31°, the faulting style changes to a preponderance of conjugate strike-slip faulting in the northern Lhasa and Quiangtang terrane. This transition coincides with the latitude at which the Indian lithosphere bends down into the mantle as indicated by receiver function images from the HiCLIMB experiment (Nabelek et al., 2005). Correlation of faulting patterns in the shallow crust with changes in the geometry of the detachment implies mechanical coupling between the underthrusting Indian continent and the Tibetan crust above, and points to the importance of basal shear stresses in the extension process.

The analyzed earthquakes show a bimodal depth distribution. Deformation along the Himalayan front is mainly localized between 10 and 20 km depth, while normal faulting on the Tibetan plateau occurs mostly in the upper 15 km of the crust. This study gives additional evidence that most of the deeper seismicity occurs beneath the Moho, signifying a strong upper mantle and relatively low temperatures. Faulting in the upper mantle is dominated by strike-slip faulting with northerly trending P-axes. Additionally, few normal faulting events in the mantle show maximum horizontal compressive stresses oriented in the same direction. The orientation of compressional axes of these events aligns with the India-Eurasia plate convergence and signifies that this deformation is related to the subduction process. In addition to the dominant mantle seismicity, events in the Pum Qu graben indicate that the lower crust might regionally be brittle enough to sustain earthquakes.
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**APPENDICES** 

## **APPENDIX A**

This appendix contains observed and synthetic waveforms of all earthquakes analyzed in this study. Event source parameters are summarized in Table 4.1.1.



Figure A.1. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



011106\_1409, 1/11/ 6 14: 9:25 Mw=4.47 20-33s 15km

Figure A.2. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



011107\_0458, 1/11/ 7 4:58: 6 Mw=4.05 14-30s 22km

Figure A.3. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020718\_2324, 2/ 7/18 23:24:20 Mw=3.67 5-14s 7km

Figure A.4. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020718\_2324, 2/ 7/18 23:24:20 Mw=3.67 5-14s 7km

Figure A.5. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



011202\_2241, 1/12/ 2 22:41:13 Mw=4.79 10-33s 15km

Figure A.6. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.7. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020307\_1550, 2/ 3/ 7 15:50:18 Mw=4.17 10-20s 77km

Figure A.8. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020323\_0456, 2/ 3/23 4:56:25 Mw=4.49 14-33s 7km

Figure A.9. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.10. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020508\_1756, 2/ 5/ 8 17:56:50 Mw=3.79 10-20s 77km

Figure A.11. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020509\_0109, 2/ 5/ 9 1: 9:20 Mw=4.06 14-33s 6km

Figure A.12. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.13. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020604\_1727, 2/ 6/ 4 17:27: 7 Mw=4.29 14-30s 27km

Figure A.14. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.15. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020620\_0540, 2/ 6/20 5:40:43 Mw=4.62 12-30s 30km

Figure A.16. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020702\_1710, 2/ 7/ 2 17:10:39 Mw=3.85 5-14s 70km

Figure A.17. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.18. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.19. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

## 151

020716\_1839, 2/ 7/16 18:39:24 Mw=3.76 10-20s 65km



020718\_2324, 2/ 7/18 23:24:20 Mw=3.67 5-14s 7km

Figure A.20. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.21. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020822\_0450, 2/ 8/22 4:50:14 Mw=4.30 14-33s 9km

Figure A.22. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.23. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.24. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020831\_1240 continued

Figure A.25. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



020927\_1714, 2/ 9/27 17:14:38 Mw=5.07 25-50s 39km

Figure A.26. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.


Figure A.27. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.28. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.29. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.30. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.31. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



021129\_1649, 2/11/29 16:49: 7 Mw=4.65 20-33s 16km

Figure A.32. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

## 021129\_1649 continued



Figure A.33. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030116\_1136, 3/ 1/16 11:36:49 Mw=4.77 20-50s 3km

Figure A.34. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030116\_2215, 3/ 1/16 22:15:37 Mw=4.96 20-33s 25km

Figure A.35. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.36. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

030118\_1031, 3/ 1/18 10:31:48 Mw=3.83 10-25s 33km



030131\_0604, 3/ 1/31 6: 4:24 Mw=3.99 20-33s 10km

Figure A.37. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.38. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030226\_1958, 3/ 2/26 19:58:13 Mw=3.90 10-20s 75km

Figure A.39. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030325\_1851, 3/ 3/25 18:51:26 Mw=5.53 14-50s 32km

Figure A.40. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030331\_0532, 3/ 3/31 5:32: 6 Mw=3.85 20-33s 65km

Figure A.41. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

	Z	R	i T
LSA 209° 381 km	1.0	<u>1.0</u>	1.0
H0150 235° 982 km	1.0	<u>1.0</u>	1.0
H0270 <sup>236°</sup> 954 km	1.0	1.0	1.0
H0380 237° 924 km	1.0 Arityan	<u>1.0</u>	1.0
H0490 238° 893 km	<u>1.0</u>	<u>1.0</u>	1.0
H0420 238° 910 km	<u>1.0</u>	1.0	1.0
H0500 239° 890 km	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
H0560 240° 885 km	1.0	<u>1.0</u>	1.0
H0530 240° 892 km	<u>1.0</u>	1.0	1.0
H0580 241°879 km	1.0 ······	<u>1.0</u>	<u>1.0</u>
<b>H0680</b> 242° 844 km	<u>1.0</u>	<u>1.0</u>	1.0
H0650 242°858 km	1.0 ······	<u>1.0</u>	<u>1.0</u>
H0620 242°867 km	1.0 ······	<u>1.0</u>	1.0
H0700 243° 841 km	<u>1.0</u>	1.0 ····································	<u>1.0</u>
			V

030520\_1834, 3/ 5/20 18:34:37 Mw=5.08 20-50s 21km

Figure A.42. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.43. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.44. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030524\_1932, 3/ 5/24 19:32:35 Mw=4.88 20-50s 36km

Figure A.45. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030529\_1418, 3/ 5/29 14:18:53 Mw=4.76 20-33s 21km

Figure A.46. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030707\_0655, 3/ 7/ 7 6:55:43 Mw=5.78 25-100s 21km

Figure A.47. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030708\_1230, 3/ 7/ 8 12:30:36 Mw=3.68 20-33s 12km

Figure A.48. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030728\_0245, 3/ 7/28 2:35: 0 Mw=3.67 10-25s 15km

Figure A.49. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030818\_0903, 3/ 8/18 9: 3: 3 Mw=5.76 33-66s 24km

Figure A.50. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.51. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



030929\_1340, 3/ 9/29 13:40:30 Mw=4.06 20-33s 12km

Figure A.52. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.53. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

	Z	R	Т
LSA 198° 321 km	<u>1.0</u>	1.0	1.0
H0150 232° 896 km	1.0	1.0	1.0 
H0190 233° 887 km	1.0	1.0	1.0
H0260 234° 868 km	1.0	<u>1.0</u>	1.0
H0420 235° 823 km	1.0	1.0	1.0
H0400 235° 831 km	<u>1.0</u>	1.0	1.0
H0330 235° 853 km	1.0	1.0	1.0 Marine
H0460 236° 810 km	<u>1.0</u>	1.0	1.0
H0560 238° 796 km	1.0	1.0	1.0
<b>H0600</b> 239° 784 km	1.0	1.0	<u>1.0</u>
H0580 239° 790 km	1.0	1.0	<u>1.0</u>
H0620 240° 778 km	<u>1.0</u>	1.0	<u>1.0</u>
<b>H0690</b> 241° 751 km	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
H0700 241° 752 km	0.0	0.0	0.0

031028\_0231, 3/10/28 2:31:29 Mw=4.75 20-33s 7km

Figure A.54. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.55. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



031122\_0507, 3/11/22 5: 7: 5 Mw=3.80 10-25s 16km

Figure A.56. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

## 031122\_0507 continued



Figure A.57. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

	Z	R	Т
H0750 52° 190 km	1.0	1.0	1.0
H0720 56°188 km	1.0	1.0	1.0
H0710 57°186 km	1.0	1.0	1.0
H0690 60°189 km	1.0	1.0	1.0
H0660 63° 181 km	1.0	1.0	1.0
H0630 65°165 km	1.0	1.0	1.0
H0620 66°164 km	1.0	1.0	1.0
H0610 67°163 km	1.0	1.0	1.0
H0580 <sup>71°</sup> 155 km	1.0	1.0	1.0
H0460 89° 157 km	1.0	1.0	1.0
H0400 96° 145 km	1.0	1.0	1.0
<b>H0380</b> 98° 144 km	1.0	1.0	1.0
H0370 100° 142 km	1.0	1.0	1.0
H0340 <sup>104°</sup> 141 km	.1.0	1.0	1.0

031122\_2331, 3/11/22 23:31:56 Mw=3.51 10-25s 21km

Figure A.58. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

## 031122\_2331 continued



Figure A.59. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

## Z R Т H0580 75° 152 km 0.0 0.0 1.0 201 H0500 87°155 km 1.0 1.0 H0480 90°158 km 0.0 0.0 10 H0400 100° 146 km 1.0 1.0 H0380 103° 145 km 1.0 A 1.0 $\frac{1.0}{2}$ s/ H0270 116° 146 km 10 1.0 1.0 **\**-≁ H0250 0.0 $\frac{1.0}{\sqrt{}}$ <u>~</u>.^ 47 H0230 121° 151 km 10 $\frac{1.0}{\sim}$ H0190 125° 155 km 0.0 0.0 H0160 128° 159 km 1.0 1.0 0.0 H0150 129°160 km 0.0 1.0 H0100 1.0 134° 169 km 1.0 0.0 H0080 136°174 km 0.0 0.0 1.0 1.0 H0050 138° 178 km 0.0 AA

031123\_1915, 3/11/23 19:15: 5 Mw=3.71 10-25s 14km

Figure A.60. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.61. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



031210\_1717, 3/12/10 17:17:37 Mw=4.28 14-33s 30km

Figure A.62. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.
	Ζ	R	Т
LSA 200° 941 km	1.0	1.0	<u>1.0</u>
H0260 221° 1425 km	1.0 	1.0A	1.0
H0240 221° 1430 km	1.0 	1.0 	<u>1.0</u>
<b>H0190</b> 221°1447 km	1.0	1.0	<u>1.0</u>
<b>H0160</b> 221°1455 km	1.0 	1.0 	<u>1.0</u>
<b>H0510</b> 222° 1347 km	1.0 	<u>1.0</u>	1.0
<b>H0380</b> 222° 1390 km	1.0	<u>1.0</u>	<u>1.0</u>
H0330 222° 1407 km	1.0 	1.0	1.0
H0580 223° 1330 km	1.0	<u>1.0</u>	<u>1.0</u>
H0560 223°1338 km	1.0	<u>1.0</u>	<u>1.0</u>
<b>H0710</b> 224°1284 km	1.0	1.0	1.0
<b>H0690</b> 224°1285 km	1.0	<u>1.0</u>	1.0
<b>H0670</b> 224° 1293 km	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
H0641 224°1309 km	<u>1.0</u>	1.0 	1.0
			V

031212\_1339, 3/12/12 13:39:48 Mw=4.84 25-50s 25km

Figure A.63. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.64. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040103\_1314, 4/ 1/ 3 13:14:28 Mw=4.68 14-33s 14km

Figure A.65. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040103\_1314 continued

Figure A.66. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040106\_0313, 4/ 1/ 6 3:13:28 Mw=4.61 14-33s 22km

Figure A.67. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040210\_0539, 4/ 2/10 5:39:42 Mw=4.57 10-33s 29km

Figure A.68. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040218\_0123, 4/ 2/18 1:23:43 Mw=3.91 14-33s 24km

Figure A.69. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

## 040218\_0123 continued



Figure A.70. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

	Z		R		Т
LSA 1017 km 1	. <u>0</u> W	1.0	WV.	1.0	Mm
H0240 1560 km	. <b>0</b>	1.0	AMA	1.0	million
H0190 1576 km 1	.0	1.0		1.0	man
H0460 1.229° 1494 km	.0	1.0	AMAAcaas	1.0	and your
H0420 1.229° 1507 km	.0	1.0	And Areas	1.0	nord hyperson
H0390 1518 km	.0	1.0	Awww	1.0	and theman
H0330 1.229° 1539 km	.0	1.0	Apphan-	1.0	man
H0570 1470 km	.0	1.0	m Angin	1.0	os Mutan
H0560 1473 km	.0	1.0		1.0	no promo
H0690 1422 km	. <b>0</b>	1.0	My-Mar	1.0	~
H0700 1.231° 1422 km	. <u>0</u>	1.0	pappan	1.0	~
H0670 1430 km	. <u>0</u>	1.0	vinflyfran	1.0	a him m
H0620 1452 km	. <u>0</u>	1.0		1.0	~ Mismon
H0600 <u>1</u> 231° 1459 km	. <b>0</b>	1.0	vww.jh/Aav	1.0	~All pirmu

040224\_2021, 4/ 2/24 20:21:54 Mw=4.94 14-33s 17km

Figure A.71. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.72. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040227\_1253, 4/ 2/27 12:53:14 Mw=4.61 14-33s 92km

Figure A.73. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

## 040227\_1253 continued



Figure A.74. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040301\_1741, 4/ 3/ 1 17:41:18 Mw=4.22 10-33s 23km

Figure A.75. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.76. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040306\_1154, 4/ 3/ 6 11:54:43 Mw=4.74 20-50s 5km

Figure A.77. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

	Z	R	Т
LSA 182°216 km	1.0	1.0	1.0
H001 231° 808 km	0.0	0.0	0.0
<b>H007</b> 232° 791 km	0.0	0.0	0.0
H017 233° 766 km	1.0	1.0	1.0
H021 234° 757 km	0.0	0.0	0.0
H027 235° 742 km	0.0	0.0	0.0
H035 236° 724 km	0.0	0.0	0.0
H040 237° 707 km	0.0	0.0	0.0
H048 238° 681 km	1.0	1.0	1.0
H056 240° 674 km	1.0	1.0	1.0
H062 242° 657 km	1.0	1.0	1.0 ×
<b>H069</b> 243° 630 km	1.0	1.0	1.0
<b>H071</b> 244° 634 km	1.0	1.0	1.0
H073 245° 630 km	1.0	1.0	1.0
<b>H077</b> 247°634 km	1.0	1.0	1.0
maxin	0 100 200 300 400 Time (s) num amplitude: 192.2 μm		

040307\_1329, 4/ 3/ 7 13:29:45 Mw=5.58 50-100s 11km

Figure A.78. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.79. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040327\_1847, 4/ 3/27 18:47:29 Mw=5.84 20-50s 8km

Figure A.80. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.81. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.82. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

040328\_2205, 4/ 3/28 22: 5:42 Mw=4.94 20-50s 23km



040328\_2227, 4/ 3/28 22:27:28 Mw=4.83 20-50s 15km

Figure A.83. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.84. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040422\_1002, 4/ 4/22 10: 2:16 Mw=5.17 20-33s 5km

Figure A.85. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.86. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040510\_2327, 4/ 5/10 23:27:25 Mw=5.58 25-100s 12km

Figure A.87. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040523\_0738, 4/ 5/23 7:38: 7 Mw=5.34 16-25s 16km

Figure A.88. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040523\_1446, 4/ 5/23 14:46:22 Mw=5.02 16-25s 20km

Figure A.89. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.90. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040624\_1003, 4/ 6/24 10: 3:28 Mw=4.06 12-25s 6km

Figure A.91. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040630\_1533, 4/ 6/30 15:33: 4 Mw=4.20 20-33s 7km

Figure A.92. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.93. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040703\_1410, 4/ 7/ 3 14:10:45 Mw=5.22 50-100s 10km

Figure A.94. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.95. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040711\_2308, 4/ 7/11 23: 8:44 Mw=6.04 20-50s 16km

Figure A.96. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.97. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040712\_1438, 4/ 7/12 14:38:20 Mw=4.61 10-100s 25km

Figure A.98. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.


## 040712\_1438 continued

Figure A.99. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040716\_2033, 4/ 7/16 20:33:54 Mw=3.56 20-33s 10km

Figure A.100. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040720\_0335, 4/ 7/20 3:35:51 Mw=3.55 20-33s 13km

Figure A.101. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040723\_0125, 4/ 7/23 1:25:32 Mw=4.78 10-25s 5km

Figure A.102. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

## 040723\_0125 continued



Figure A.103. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040728\_2222, 4/ 7/28 22:22:13 Mw=5.10 20-100s 10km

Figure A.104. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040804\_0209, 4/ 8/ 4 2: 9:21 Mw=4.12 20-33s 53km

Figure A.105. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.106. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040821\_0907, 4/ 8/21 9: 7:11 Mw=4.55 20-33s 10km

Figure A.107. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040821\_0907 continued

Figure A.108. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040824\_1005, 4/ 8/24 10: 5:34 Mw=5.28 14-55s 12km

Figure A.109. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040909\_0555, 4/ 9/ 9 5:55:46 Mw=3.76 10-25s 6km

Figure A.110. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.111. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040923\_1728, 4/ 9/23 17:28:38 Mw=4.43 20-33s 31km

Figure A.112. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



040927\_1705, 4/ 9/27 17: 5:37 Mw=5.03 25-33s 32km

Figure A.113. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



041026\_0211, 4/10/26 2:11:33 Mw=5.35 10-100s 13km

Figure A.114. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

	Z	R	
<b>T0340</b> 233° 383 km	1.0	1.0	1.0
<b>T0320</b> 244° 490 km	<u>1.0</u>	1.0	1.0
<b>T0270</b> 244° 568 km	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
<b>T0230</b> 246° 696 km	<u>1.0</u>	<u>1.0</u>	1.0 M
<b>T0260</b> 248° 651 km	<u>1.0</u>	<u>1.0</u>	1.0
H0641 248° 803 km	<u>1.0</u>	1.0	1.0 M / Samo
H1010 250° 732 km	1.0	1.0 	1.0
H1040 252° 731 km	1.0	<u>1.0</u>	1.0
H0800 252° 784 km	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
H0780 252° 786 km	<u>1.0</u>	1.0	1.0
H1080 254°716 km	<u>1.0</u>	<u>1.0</u>	1.0
H1090 255° 718 km	1.0	<u>1.0</u>	1.0
H1150 259°744 km	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
H1170 261°751 km	<u>1.0</u>	1.0	<u>1.0</u>

041026\_1113, 4/10/26 11:13: 2 Mw=4.70 14-33s 25km

Figure A.115. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

## 041026\_1113 continued



Figure A.116. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



041110\_0421, 4/11/10 4:21:11 Mw=4.41 20-33s 66km

Figure A.117. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

	Z	R	Т
H0800 293° 609 km	1.0	1.0	1.0
H0810 294° 611 km	1.0	1.0	1.0
H1010 295° 551 km	1.0	1.0	1.0
<b>T0310</b> 304° 490 km	1.0	0.0	0.0
H1220 305° 697 km	1.0	0.0	0.0
<b>T0270</b> 306° 399 km	1.0	1.0	1.0
<b>T0380</b> 312° 525 km	1.0	0.0	0.0
H1370 312°782 km	1.0	0.0	0.0
H1380 312° 791 km	1.0	0.0	0.0
H1440 312° 866 km	1.0	0.0	0.0
H1600 319°963 km	1.0	0.0	0.0
H1610 320° 972 km	1.0	0.0	0.0
maxin	0 100 200 300 400 Time (s) num amplitude: 1.8 μm	<b>VII</b>	

041124\_2235, 4/11/24 22:35:42 Mw=3.59 20-33s 10km

Figure A.118. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



050115\_2232, 5/ 1/15 22:32:48 Mw=3.71 20-33s 22km

Figure A.119. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.120. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

050116\_0843, 5/ 1/16 8:43:46 Mw=4.26 20-33s 10km



Figure A.121. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

	Z	R	Т
<b>T0310</b> 19° 232 km	1.0	1.0 	1.0
<b>T0270</b> 41° 239 km	1.0	1.0	1.0
<b>T0130</b> 50° 133 km	1.0	1.0	1.0
H0641 327° 136 km	1.0	1.0	1.0
H0790 335° 191 km	1.0	1.0	<u>1.0</u>
H0800 336° 194 km	1.0	1.0	1.0
H0810 337° 199 km	1.0	1.0	1.0
H1470 342° 568 km	1.0	1.0	1.0
H1210 344° 342 km	1.0	1.0	1.0
H1250 344° 377 km	1.0	$\frac{1.0}{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt{\sqrt$	1.0
H1510 344° 596 km	1.0	1.0	1.0 
H1130 345°274 km	1.0	1.0	*°
H1570 345°647 km	1.0	1.0 	
H1150 346° 291 km	1.0 5	1.0	1.0

050208\_0151, 5/ 2/ 8 1:51:35 Mw=3.75 20-33s 20km

Figure A.122. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

## 050208\_0151 continued



Figure A.123. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



050208\_0335, 5/ 2/ 8 3:35: 4 Mw=4.14 20-33s 10km

Figure A.124. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.125. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



050227 1832, 5/ 2/27 18:32:14 Mw=3.53 14-25s 19km

Figure A.126. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



050407\_0140, 5/ 4/ 7 1:40:46 Mw=3.58 20-33s 23km

Figure A.127. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



050407\_2004, 5/ 4/ 7 20: 4:41 Mw=6.26 20-50s 11km

Figure A.128. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.129. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



050408\_1045, 5/ 4/ 8 10:45:31 Mw=3.95 20-33s 10km

Figure A.130. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



050409\_0920, 5/ 4/ 9 9:20:26 Mw=4.43 14-33s 34km

Figure A.131. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.132. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



050508\_1642, 5/ 5/ 8 16:42:24 Mw=4.77 14-25s 98km

Figure A.133. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.134. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.


050515\_1921, 5/ 5/15 19:21:49 Mw=4.18 14-33s 35km

Figure A.135. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



Figure A.136. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



050522\_1417, 5/ 5/22 14:17:37 Mw=4.03 10-33s 12km

Figure A.137. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.



050601\_2006, 5/ 6/ 1 20: 6:41 Mw=5.48 25-66s 17km

Figure A.138. Observed (solid lines) and synthetic (dashed lines) seismograms. First column shows station name, event-station azimuth, and hypocentral distance.

## **APPENDIX B**

This Appendix contains Tables of earthquake source parameter from previous studies.

The first Table is a compilation of published teleseimic body wave investigations. The second Table is a compilation of source parameters determined with the same method as used in this thesis with data from permanent stations from the Global Seismographic Network and temporary network stations from the Passcal 91-92 network (e.g. Zhu and Helmberger, 1996).

Table B.1. Source parameters of earthquakes from previously published teleseismic investigations. ID: Label used in Map
(липоетив от 11-1/1 и ассотаансе with соприацон и монат ана дуоп-Саеп, 1969); дан даниае и [] N; доп:
Longitude in [°] E; CD: Centroid depth; S/D/R: Angle of strike, slip, and rake; P and T: Azimuth and plunge of P- and T-a:
$Mw$ : moment-magnitude. Magnitudes denoted with $^{\mathrm{v}}$ represent NEIC body wave magnitudes.

<b>Fable</b> Numł Longit Mw: n	<b>B.1.</b> Source p pering of T1-T ude in [°] E; C noment-magni	arameters 71 in acco 3D: Centro tude. Mag	of earthqu ordance wi oid depth; { gnitudes de	akes fro th comp S/D/R: / moted w	um previously <sub>I</sub> uilation in Moln Angle of strike ith <sup>b</sup> represent	published t nar and Ly, slip, and NEIC bod	eleseismic on-Caen, 1 rake; P and y wave ma	investiga 989); Lat I T: Azim Ignitudes.	tions. ID: Label used in Maps : Latitude in [°] N; Lon: uth and plunge of P- and T-axes.
E	Date	Lat	Lon	CD	S/D/R	Р	Т	$\mathbf{M}_{\mathrm{w}}$	Author
T1	05/21/1962	37.13	95.73	11	285/39/74	206/7	82/78	6.6	Molnar and Lyon-Caen, 1989
T2	04/19/1963	35.53	96.44	10	277/80/350	233/14	143/0	6.7	Molnar and Lyon-Caen, 1989
T3	05/16/1964	36.95	95.5	10	70/77/50	189/22	301/43	5.3	Molnar and Lyon-Caen, 1989
T4	09/26/1964	29.96	80.46	18	310/23/90	220/22	40/68	$6.2^{\mathrm{b}}$	Baranowski et al., 1984
T5	10/21/1964	28.04	93.75	15	265/3/90	175/42	355/48	$5.9^{\mathrm{b}}$	Baranowski et al., 1984
T6	01/12/1965	27.4	87.84	15	270/15/90	180/30	09/0	$6.1^{\rm b}$	Baranowski et al., 1984
T7	03/06/1966	31.49	80.5	8	0/45/270	06/0	0/06	7.0	Molnar and Chen, 1983
T8	06/29/1966	29.62	80.83	15	277/27/70	202/19	49/69	$5.3^{\mathrm{b}}$	Baranowski et al., 1984
T10	10/14/1966	36.45	87.43	8	25/66/270	295/69	115/21	5.4	Molnar and Chen, 1983
T11	12/16/1966	29.62	80.79	12	290/24/90	200/21	20/69	$5.8^{\mathrm{b}}$	Baranowski et al., 1984
T12	02/20/1967	33.63	75.33	10	341/55/105	6/09	295/75	$5.6^{\mathrm{b}}$	Baranowski et al., 1984
T17	02/24/1970	30.58	103.03	7	276/47/134	156/6	256/59	5.6	Molnar and Lyon-Caen, 1989
T18	03/24/1971	35.46	98.67	7	283/74/5	238/8	146/15	6.0	Molnar and Lyon-Caen, 1989
T19	04/03/1971	32.26	95.06	6	260/79/355	216/11	125/4	5.8	Molnar and Lyon-Caen, 1989
T20	05/03/1971	30.79	84.33	8	190/58/-90	100/77	280/13	5.6	Molnar and Chen, 1983
T21	05/22/1971	32.39	92.12	8	58/90/3	193/2	283/2	5.6	Molnar and Chen, 1983
T22	06/22/1972	31.43	91.49	8	212/65/343	173/29	9/6L	5.9	Molnar and Chen, 1983
T23	08/30/1972	36.72	96.47	15	90/62/60	201/12	314/61	5.3	Molnar and Lyon-Caen, 1989
T24	08/30/1972	36.6	96.42	19	91/58/38	35/0	305/48	5.8	Molnar and Lyon-Caen, 1989
T25	09/03/1972	35.94	73.33	12	341/55/105	6/09	295/75	$6.3^{\mathrm{b}}$	Baranowski et al., 1984
T28	07/14/1973	35.18	86.48	9	81/60/325	45/45	315/0	7.2	Molnar and Chen, 1983
T29	07/14/1973	35.26	86.6	٢	37/68/304	349/54	103/16	6.4	Molnar and Chen, 1983
T30	08/11/1973	33	104.02	4	326/85/10	100/3	191/11	5.8	Molnar and Lyon-Caen, 1989
T31	08/16/1973	33.24	86.84	8	160/55/205	12/41	110/9	6.1	Molnar and Chen, 1983
T32	09/08/1973	33.29	86.82	6	118/60/199	335/34	71/9	6.5	Molnar and Chen, 1983
T33	03/24/1974	27.73	86.11	16	275/2/90	185/43	5/47	$5.7^{\mathrm{b}}$	Baranowski et al., 1984

**Table B.1. Continued** Source parameters of earthquakes from previously published teleseismic investigations. ID: Label used in Maps (Numbering of T1-T71 in accordance with compilation in Molnar and Lyon-Caen, 1989); Lat: Latitude in [°] N; Lon: Longitude in [°] E; CD: Centroid depth; S/D/R: Angle of strike, slip, and rake; P and T: Azimuth and plunge of P- and T-axes. Mw: moment-magnitude.

ID	Date	Lat	Lon	CD	S/D/R	Р	Т	$\mathbf{M}_{\mathrm{w}}$	Author
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134	12/28/19/4	30.06	16.77	17	354/4//102	2/2/	334/81	0.1	Molnar and Lyon-Caen, 1989
T35	01/19/1975	32.39	78.5	6	0/50/270	270/85	90/5	6.8	Molnar and Chen, 1983
T36	04/28/1975	35.82	79.92	Г	169/62/211	26/41	116/1	6.0	Molnar and Chen, 1983
T37	05/05/1975	33.09	92.92	Г	250/78/346	206/18	297/1	6.0	Molnar and Chen, 1983
T38	05/19/1975	35.16	80.8	8	248/66/310	205/51	310/12	5.7	Molnar and Chen, 1983
T39	06/04/1975	35.87	79.85	6	180/62/239	43/60	292/12	6.0	Molnar and Chen, 1983
T40	07/19/1975	31.92	78.61	9	180/50/235	23/64	114/1	5.1	Molnar and Chen, 1983
T41	07/29/1975	32.56	78.46	8	210/55/270	120/80	300/10	5.4	Molnar and Chen, 1983
T45	09/22/1976	40.02	106.32	8	230/75/249	114/55	337/27	5.4	Molnar and Lyon-Caen, 1989
T46	01/01/1977	38.14	91	8	288/36/82	204/9	51/80	5.9	Molnar and Lyon-Caen, 1989
T47	01/19/1977	37.02	95.69	14	305/38/75	226/8	96/78	5.8	Molnar and Lyon-Caen, 1989
T48	11/18/1977	32.69	88.39	11	236/68/331	196/36	288/3	6.3	Molnar and Lyon-Caen, 1989
T49	04/04/1978	32.98	82.26	11	327/78/196	191/20	100/3	5.9	Molnar and Lyon-Caen, 1989
T50	07/31/1978	35.47	82	9	236/77/352	192/15	101/4	5.5	Molnar and Lyon-Caen, 1989
T51	03/29/1979	32.44	97.26	12	270/84/355	225/8	135/1	5.8	Molnar and Lyon-Caen, 1989
T52	05/20/1979	30.03	80.31	16	251/16/53	151/31	32/57	5.6	Molnar and Lyon-Caen, 1989
T54	02/22/1980	30.55	88.65	9	188/48/-84	151/85	274/3	6.2	Molnar and Lyon-Caen, 1989
T55	06/01/1980	38.91	95.6	12	128/53/48	0/99	336/58	5.4	Molnar and Lyon-Caen, 1989
T56	06/24/1980	33	88.55	11	71/75/345	28/21	298/0	5.7	Molnar and Lyon-Caen, 1989
T57	07/29/1980	29.34	81.21	14	279/29/94	186/16	0/74	5.3	Molnar and Lyon-Caen, 1989
T58	07/29/1980	29.63	81.09	18	288/25/86	201/20	27/70	6.4	Molnar and Lyon-Caen, 1989
T59	08/23/1980	32.96	75.75	14	265/14/45	211/34	52/54	5.3	Molnar and Lyon-Caen, 1989
T60	08/23/1980	32.9	75.8	13	320/5/90	230/40	50/50	5.4	Molnar and Lyon-Caen, 1989
T61	10/07/1980	35.62	82.14	4	186/40/283	211/80	87/6	5.8	Molnar and Lyon-Caen, 1989
T62	11/19/1980	27.4	88.8	44	214/71/12	168/5	76/22	6.2	Ekstrom, 1987

ons. ID: Label : Latitude in [°] Ni olunge of P- and		
mic investigatic aen, 1989); Lat : Azimuth and <sub>F</sub>	Author	
hed teleseis and Lyon-C ke; P and T	Mw	
usly publis in Molnar a slip, and ra	Т	
from previo ompilation e of strike,	Р	
urthquakes f ance with c /D/R: Angl	S/D/R	
eters of ea in accorda d depth; S,	CD	
urce param of T1-T71 D: Centroid nitude.	Lon	
<b>ontinued</b> Sou (Numbering de in [°] E; CI moment-mag	Lat	
<b>le B.1. Cc</b> l in Maps : Longituc ces. Mw: 1	Date	
<b>Tab</b> used Lon T-ax	A	

Ð	Date	Lat	Lon	CD	S/D/R	Р	Т	Mw	Author
T63	01/23/1981	30.89	101.15	L	322/80/5	277/4	186/11	6.5	Molnar and Lyon-Caen, 1989
T64	06/09/1981	34.51	91.42	6	86/83/354	41/9	311/1	5.8	Molnar and Lyon-Caen, 1989
T65	09/12/1981	35.68	73.6	L	138/42/104	38/4	150/80	6.0	Molnar and Lyon-Caen, 1989
T66	01/23/1982	31.68	82.28	6	210/68/281	139/65	292/22	6.3	Molnar and Lyon-Caen, 1989
T68	05/20/1985	35.56	87.2	8	234/77/3	189/7	98/11	5.7	Molnar and Lyon-Caen, 1989
T69	04/26/1986	32.13	76.37	13	254/16/22	220/37	69/49	5.4	Molnar and Lyon-Caen, 1989
T70	06/20/1986	31.24	86.85	6	138/78/178	3/7	94/10	6.0	Molnar and Lyon-Caen, 1989
T71	07/06/1986	34.42	80.16	S	248/51/333	219/44	118/11	5.8	Molnar and Lyon-Caen, 1989
T79	09/14/1976	29.78	89.54	90	215/52/-68	185/72	290/5	5.4	Chen et al., 1981
T80	05/07/1992	29.44	89.37	80	350/68/-164	211/26	303/5	4.3	Zhu and Helmberger, 1996

**Table B2.** Source parameters of earthquakes determined by Burtin (2005). ID: Event Label used in Maps. Date: Event date and time (YYMMDD\_HHMM). Lat: Latitude in °N. Lon: Longitude in °E. CD: Centroid Depth in km. T, B and P: Azimuth /Plunge/Value of principal axes. SC: Moment Tensor Scale. S/D/R: Strike, Dip, Rake in ° of double-couple component. M<sub>0</sub>: Seismic Moment of double-couple in dyne cm. M<sub>w</sub>: Moment Magnitude. DC: Double-Couple percentage. SU: Number of stations used in the inversion.

B	Date	Lat	Lon	CD	T	B	Ч	SC S/D/R	M0 N	Aw D	ນ ເ	
H108	$000102_{-}1023$	27.559	92.498	15	326/58/2.100	78/13/-0.454	175/29/-1.646	23 74/75/77	1.87E+23 4	.8 57	З	
H109	$000125_{-}1643$	27.663	92.631	13	308/63/2.018	77/18/-0.331	174/19/-1.687	23 69/67/71	1.85E+23 4	.8 67	0	
H110	01127_0731	29.606	81.752	15	13/58/7.839	110/5/1.712	203/32/-9.551	23 109/77/85	8.69E+23 5	.3 64	4	
H111	880820_2309	26.755	86.616	52	67/40/12.796	318/21/0.000	207/42/-12.796	26 317/89/-11	1 1.28E+26 6	.7 10	0 2	
H112	881029_0910	27.871	85.648	21	181/82/5.056	286/2/1.569	16/8/-6.625	23 284/53/87	5.84E+23 5	.1 53	0	
H113	911209_0102	29.543	81.632	17	352/80/3.363	118/6/0.741	209/8/-4.104	23 114/53/83	3.73E+23 5	.0 64	6	
H114	911221_1952	27.795	87.955	99	77/10/2.520	219/77/-0.543	346/8/-1.976	23 212/88/13	2.25E+23 4	.9 57	4	
H115	920206_0335	29.61	95.521	11	108/71/5.442	205/3/1.960	296/18/-7.402	23 204/63/87	6.42E+23 5	.2 47	9	
H116	$920404_{1743}$	28.147	87.979	55	274/1/2.472	183/55/1.046	4/35/-3.518	23 145/66/-15	3 3.00E+23 5	.0 41	Г	
H117	920602_2207	28.984	81.913	53	115/12/8.747	4/59/-1.718	211/28/-7.029	23 346/79/-15	1 7.89E+23 5	.2 61	10	_
H118	$920730_0824$	29.584	90.163	6	283/11/1.521	188/24/0.078	37/64/-1.599	25 174/60/-11	7 1.56E+25 6	.1 90	6	
H119	$930320_{-}1451$	29.084	87.333	13	93/8/2.935	2/4/-0.486	247/81/-2.449	25 359/53/-95	2.69E+25 6	.3 67	Э	
H120	$930331_{-}1344$	29.091	87.349	16	89/10/4.867	354/29/-0.361	196/59/-4.506	23 336/61/-12	4 4.69E+23 5	.1 85	Э	
H121	930524_0502	28.835	96.082	22	357/63/1.994	87/0/0.584	177/27/-2.578	23 87/72/90	2.29E+23 4	.9 55	Γ	
H122	950217_0244	27.635	92.371	25	101/22/1.103	276/67/0.002	11/2/-1.105	24 238/76/18	1.10E+24 5	.3 10	0 6	
H123	$960426_{-}1630$	27.825	87.821	75	192/31/11.796	88/21/-0.398	329/51/-11.398	23 84/79/-112	1.16E+23 4	.7 93	-	
H124	960609_2325	28.325	92.201	80	296/20/9.969	28/5/1.143	131/69/-11.113	24 210/65/-85	1.05E+24 5	.3 79	4	
H125	960925_1741	27.433	88.552	25	72/62/1.821	315/14/0.324	218/24/-2.145	23 140/70/105	5 1.98E+23 4	.8 70	Ś	
H126	961120_2327	28.853	96.021	33	205/79/1.585	325/6/-0.683	56/9/-0.902	23 321/55/83	1.24E+23 4	.7 14	0	
H127	970105_0847	29.845	80.532	17	360/63/10.884	111/11/2.418	206/25/-13.301	24 108/71/79	1.21E+24 5	.4 64	9	
H128	970718_1939	26.811	91.793	27	326/45/6.969	162/44/0.242	64/8/-7.211	22 7/66/139	7.09E+22 4	.5 93	Э	
H129	$971030_0202$	29.552	869.68	94	270/23/10.539	147/52/-0.961	14/28/-9.578	24 143/87/-14	2 1.01E+24 5	5.3 82	ŝ	
H130	971103_0229	29.078	85.383	11	266/1/1.964	176/15/-0.036	360/75/-1.928	24 162/48/-11	0 1.95E+24 5	5.5 96	L	
H131	980708_0344	27.325	91.027	10	355/49/8.322	252/11/-1.187	153/39/-7.134	22 73/85/101	7.73E+22 4	4.6 71	9	
H132	980818_0410	27.55	90.977	21	26/48/1.899	121/5/-0.048	216/42/-1.851	23 121/87/85	1.87E+23 4	1.8 95	4	
H133	$980926_{-}1827$	27.77	92.812	16	344/77/2.698	237/4/0.204	146/13/-2.902	23 60/58/95	2.80E+23 4	t.9 8€	4	
H134	$981126_{-1014}$	27.753	87.894	57	120/12/1.798	27/13/0.422	250/72/-2.220	23 19/58/-106	2.01E+23 4	4.8 62	1	