

## ***Monte Carlo analysis of the product handling and high-pressure treatment effects on the *Vibrio vulnificus* risk to raw oysters consumers***

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1 **Monte Carlo analysis of the product handling and high-pressure treatment**  
2 **effects on the *Vibrio vulnificus* risk to raw oysters consumers**

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20  
21 **Short version of title:** *Vibrio vulnificus* risk in untreated and high pressure  
22 processed raw oysters  
23

24 **ABSTRACT**

25 A Monte Carlo procedure considering the variability in oyster handling from  
26 harvest to raw consumption estimated reductions in the number of *Vibrio*  
27 *vulnificus* induced septicemia cases achieved by high-pressure processing  
28 (HPP). The calculations yielded pathogen load distributions in raw oysters from  
29 harvest to consumption. In the warm season, 2-6 min treatments at 250 MPa and  
30 1°C would lower the predicted number of septicemia cases associated with raw  
31 oyster consumption from 4,932 to less than four per 100 million consumption  
32 events (95% confidence). This study highlighted that HPP conditions should be  
33 selected according to the seasonal pathogen load and environment temperature.  
34 Finally, the procedure emphasized that the variability in the *V. vulnificus*  
35 population at harvest, before and after HPP treatments, reflecting in part the  
36 microbiological quantification methods used, significantly affected the estimated  
37 number of septicemia cases. Therefore, improving microbiological quantification  
38 should provide better predictions of the number of septicemia cases.

39

40 **Keywords:** oyster, high pressure processing, *Vibrio vulnificus*, Monte Carlo  
41 analysis, beta-Poisson dose response models, seafood poisoning risk

42

43 **1. Introduction**

44 Oysters and other bivalve mollusks are filter feeders and thus concentrate  
45 microbial pathogens and other environmental contaminants (He et al., 2002).  
46 Among pathogens found in oysters, *Vibrio vulnificus* poses a severe health threat  
47 due to its ability to cross the intestinal barrier reaching the blood stream and  
48 causing primary septicemia (up to 55% fatality rate) (Chiang and Chuang, 2003).  
49 *V. vulnificus* proliferates in warm seawater (>20°C) with moderate salinity (5-  
50 25%), and thus consumption of raw oysters is particularly unsafe during summer  
51 days (Motes et al., 1998). The Interstate Shellfish Sanitation Conference (ISSC)  
52 proposed the application of shellfish post-harvest treatments reaching a 3.52-log  
53 reduction in *V. vulnificus* counts with an endpoint of non-detectable counts at the  
54 level of <30 cfu/g (ISSC, 2011). To reach this safety standard, high pressure  
55 processing (HPP) at 200-300 MPa is likely the best alternative amidst oyster  
56 pasteurization treatments. HPP is a well-established processing technology  
57 (Mújica-Paz et al., 2011) able to reduce pathogen levels (Cook, 2003; Kural and  
58 Chen, 2008) while shucking oysters (Torres and Velazquez, 2005) and yielding  
59 products with high consumer acceptance (Cruz et al., 2011).

60 The efficacy of HPP treatments eliminating *V. vulnificus* in oysters harvested  
61 from different seasons can be determined by microbial risk assessment (MRA).  
62 MRA is an essential tool in the management of foodborne pathogens risks and  
63 the development of international food trade standards. MRAs, particularly  
64 quantitative MRAs, are resource-intensive tasks in which the reported incidence  
65 frequency of food-borne disease outbreaks (FBDOs), the pathogen load in the

66 foods involved, and the food amount consumed per serving are used to generate  
67 a pathogen dose-illness response model for a given population group (Holcomb  
68 et al., 1999; Teunis et al., 2008). The Beta-Poisson model (Eq. 1), a recurring  
69 mathematical expression for pathogen dose-illness response predictions,  
70 assumes that the food microbial load is known and can be described by a  
71 Poisson distribution. Furthermore, a single pathogen, with a probability described  
72 by a Beta distribution of surviving the patient defense mechanisms, is deemed  
73 sufficient to cause the illness. The parameter  $\alpha$  determines the shape of the  
74 dose-response curve, whereas  $\beta$  regulates the shift along the vertical axis  
75 (Holcomb et al., 1999; Strachan et al., 2005; Teunis and Haavelar, 2000).

$$P_{ill}(d; \alpha; \beta) = 1 - (1 + d / \beta)^{-\alpha} \quad (1)$$

76

77 Dose-response model development difficulties include a general lack of studies  
78 using human volunteers, and when available, these studies are restricted to  
79 healthy populations (Coleman and Marks, 1999; Foegeding, 1997) violating  
80 essential statistical principles. Moreover, most published dose-response models  
81 assume that all pathogen strains are equally virulent as in the case of FBDOs  
82 involving *V. vulnificus*. Additionally, bioassays performed to determine strain  
83 virulence rely primary on animal models, and thus their results may not be valid  
84 for humans. Furthermore, although animal studies indicate that most strains are  
85 virulent, not all appear to be so in humans. Consequently, the seasonal and  
86 regional distribution of virulent *V. vulnificus* strains remains unknown (DePaola et  
87 al., 2003).

88 The first and most important step when assessing processing technologies is to  
89 select operating conditions for specific food applications reducing known  
90 microbial risks by a specific number of decimal reductions, or by lowering the  
91 pathogen survival probability to a specified level (e.g., 1 spore in  $10^9$  containers).  
92 Another frequently overlooked aspect is that kinetic studies rarely discern  
93 between sub-lethal and lethal effects of microbial inactivation treatments. In  
94 addition, effects on sensory or nutritional quality caused by the entire food  
95 microflora are ignored when a single microorganism is being targeted. More  
96 recently, regulatory agencies have begun to require evidence that these process  
97 objectives are met with a high probability typically set at a 95% confidence  
98 interval (95CI) considering all relevant variability factors (Fernandez et al., 1999;  
99 Rieu et al., 2007; Smout et al., 2000). Monte Carlo based calculations have been  
100 used to meet this new regulatory requirement for different food products and  
101 processes (Chotyakul et al., 2011a; Chotyakul et al., 2011b; Salgado et al.,  
102 2011). In this work, the impact factors and their variability involved in the handling  
103 of raw oysters from harvest to consumption were analyzed with a Monte Carlo  
104 procedure to estimate the *V. vulnificus* load with 95CI at consumption. The risk of  
105 consuming these untreated and HPP-treated raw oysters was then determined  
106 by a dose-response analysis.

107

## 108 **2. Materials and Methods**

### 109 *2.1. Parameters in the chain from harvest to oyster consumption*

110 The following paragraphs describe the data sources and their variability for the

111 handling factors considered in this study and the calculations required by the  
112 Monte Carlo model predicting the *V. vulnificus* risk when consuming untreated  
113 and HPP-treated raw oysters.

#### 114 2.1.1 *Vibrio vulnificus* counts at harvest

115 The information collected by Motes et al. (1998) for the 1994-95 harvest from  
116 multiple sites in the northern México Gulf coast was pooled to describe the initial  
117 *V. vulnificus* load in raw oysters used in this study ( $\log N_{harvest}$ ; Table 1). Since  
118 the load of this pathogen depends mostly on seawater temperature, microbial  
119 counts were grouped into warm (summer, Jun-Sep), transitional (spring, Apr-  
120 May; fall, Oct-Nov), and cold (winter, Dec-Mar) seasons.

121 INSERT TABLE 1

122

#### 123 2.1.2 *Oyster transportation from harvest site to processing facilities*

124 The time during which oysters remain unrefrigerated after harvest ( $t_{holding}$ ; h)  
125 varies widely depending on the fishery boat operation. Reports by the World  
126 Health Organization (WHO), and the U.S. Center for Food Safety and Applied  
127 Nutrition (CFSAN), show that a Beta-PERT distribution can describe this  
128 transportation time. The following minimum (*min*), maximum (*max*), and most  
129 likely (*mlk*)  $t_{holding}$  values were used in this study: (a) spring, summer, and fall  
130 seasons: *min* = 3 h, *max* = 10 h, *mlk* = 7 h; and, (b) winter season: *min* = 2 h,  
131 *max* = 11 h, *mlk* = 8 h (FDA and CFSAN, 2005; WHO and FAO, 2005).

132 The growth of *V. vulnificus* during transportation was estimated by the three

133 phase linear model (Eq. 2, Buchanan et al., 1997; WHO and FAO, 2005) where  
134  $\mu_{max}$  is the maximum growth rate (cfu/h), and  $A$  is the highest *V. vulnificus* load  
135 found in oysters sold to consumers and defined as  $10^6$  cfu/g<sub>oyster</sub> by WHO and  
136 FAO (2005). Moreover, the maximum growth rate ( $\mu_{max}$ ; Eq. 3) was assumed  
137 directly proportional ( $m = 0.011$  cfu/h·°C) to the difference between the  
138 transportation ambient temperature ( $T_{air}$ ; Table 2) and a minimum growth  
139 temperature ( $T_0 = 13^\circ\text{C}$ ) (Kaspar and Tamplin, 1993; WHO and FAO, 2005).  
140 These authors assume that when the transportation temperature is lower than  
141 the minimum growth temperature ( $13^\circ\text{C}$ ), *V. vulnificus* cells enter a viable but  
142 nonculturable (VBNC) state in which there is neither microbial growth nor death.  
143 Therefore, Eq. 3 selects the maximum value between zero and the temperature  
144 difference term ( $T_{air} - T_0$ ) through the function “max” to distinguish the growth  
145 response below and above  $13^\circ\text{C}$ .

$$\log N_{transportation}(t) = \min[\log N_{harvest} + \mu_{max} \cdot t_{holding}, A] \quad (2)$$

$$\mu_{max}(T) = \max[0, m \cdot (T_{air} - T_0)] \quad (3)$$

146 INSERT TABLE 2

147

### 148 2.1.3 Oyster post-harvest processing and storage

149 In this study, harvested oysters for raw consumption were considered to be  
150 stored under the typical 5-10°C commercial temperature conditions (Cook et al.,  
151 2002; FDA and CFSAN, 2005; Su and Liu, 2007), or subjected to HPP



152 treatments and then stored at the same conditions. Under these storage  
153 conditions, several reports indicate that *V. vulnificus* counts will decrease at a  
154 rate of 0.041 log units per day (Cook et al., 2002; WHO and FAO, 2005). The  
155 time before raw consumption ( $t_{refrigeration}$ ; days) under 5-10°C was described by  
156 the following Beta-PERT distribution parameters determined in a national survey  
157 within the United States: (a)  $min = 1$  day; (b)  $max = 21$  days; (c)  $mlk = 7$  days  
158 (Cook et al., 2002; WHO and FAO, 2005). Thus, the load of *V. vulnificus* at retail  
159 ( $N_0$ ; cfu/g<sub>oyster</sub>) was calculated as in Eq. (4):

$$\log N_0(t) = \log N_{transportation} - 0.041 \cdot t_{refrigeration} \quad (4)$$

160

161 Table 3 shows HPP treatments at 250 MPa and 1°C approaching the pressure  
162 processing conditions (293 MPa, 8°C, 2 min) for which Ma and Su (2011)  
163 achieved  $\geq 3.52$  decimal reductions of *V. parahaemolyticus* as recommended for  
164 shellfish post-harvest processing (ISSC, 2011) . As in the case of untreated  
165 oysters, the rate of reduction in *V. vulnificus* counts for HPP treated oysters  
166 ( $N_{HPP}$ ; cfu/g<sub>oyster</sub>) during cold storage was calculated by considering the same  
167 rate of 0.041 log units per day when stored under the typical 5-10°C commercial  
168 temperature conditions (Eq. 6) (Cook et al., 2002; WHO and FAO, 2005).

$$\log N_{HPP} = \log N_{transportation} - SV_{HPP} \quad (5)$$

$$\log N_0(t) = \log N_{HPP} - 0.041 \cdot t_{refrigeration} \quad (6)$$

169 INSERT TABLE 3

170 2.1.4 Oyster consumption and risk assessment

171 WHO correlated records of septicemia incidences caused by *V. vulnificus* (no  
172 specific strain) from 1995 to 2001 with estimates of *V. vulnificus* counts at  
173 consumption to obtain Beta-Poisson model parameters (Table 4) describing the  
174 relationship between probability ( $P_{ill}$ ) of developing septicemia and *V. vulnificus*  
175 load (Anonymous, 2005). In this study, the pathogen dose was calculated by  
176 multiplying the *V. vulnificus* load in untreated and HPP-treated oysters after cold  
177 storage ( $N_0$ ; cfu/g<sub>oyster</sub>; Eq. 4), the meat amount per oyster (Table 4; Anonymous,  
178 2005), and the number of oysters consumed per serving obtained from a  
179 published survey given in the “Consumption vector” column of Table 4 (Degner  
180 and Petrone, 1994). The latter may be interpreted as in the following example:  
181 according to the survey, 61 persons claimed to have consumed six oysters per  
182 serving. Therefore, the number 6 appeared 61 times in the 306-element vector  
183 describing the number of oysters per serving.

184 INSERT TABLE 4

185

186 2.2. Monte Carlo estimations

187 The mean ( $\mu_{REP}$ ) and standard deviation ( $\sigma_{REP}$ ) values reported for parameters  
188 following normal or log-normal distributions: *V. vulnificus* counts at harvest,  
189 ambient temperature during transportation from harvest site to processing plant,  
190 meat weight per oyster, Beta-Poisson model parameters, and HPP decimal  
191 reductions; were used with Microsoft Excel™ to generate random data vectors.

192 The Excel add-in developed by Hayes and Jacobs (2011) was used for variables  
193 estimated through the Beta-PERT distribution: transportation time from harvest  
194 site to processing facilities, and storage refrigeration time. The number of oysters  
195 consumed per serving was the only variable not following a parametric statistical  
196 distribution. Therefore, the number of oysters consumed per serving used in this  
197 study was generated based on the frequency of each oyster consumption  
198 number as reported in the published survey with 306 participants (Degner and  
199 Petrone, 1994). The number of oysters consumed per serving was selected  
200 randomly from this vector.

201

#### 202 *2.2.1 Determination of the random probability distribution data vectors size*

203 Randomized vectors with  $n = 10, 50, 100, 200, 500$  and  $1,000$  elements were  
204 generated to assess the effect of the vector size on the Monte Carlo estimation  
205 method as implemented in this study (Figure 1). These calculations were  
206 performed with the spring season data and for  $SV_{HPP} = 6.3 \pm 1.5$ , since these  
207 conditions represented the input with the highest variability. The 95% confidence  
208 interval (95CI) of the logarithm of illness probability ( $\log P_{III}$ ) after ingesting  
209 untreated and HPP-treated oysters were calculated 1,000 times for each random  
210 vector size. An ANOVA test was performed to detect first significant differences  
211 and then mean comparisons of 95CI values were performed with a Tukey test  
212 (95% confidence level) to determine which vector size was adequate to deliver  
213 consistent estimations of  $\log P_{III}$ . Once the optimal vector size was determined,  
214 the same calculations were repeated for warm (summer), transitional (fall/spring),

215 and cold (winter) seasons to ensure that log  $P_{ill}$  determinations remained  
216 consistent.

217 INSERT FIGURE 1

218

### 219 *2.2.2 Effect of seawater temperature on dose response analysis*

220 Warm (summer), transitional (fall/spring), and cold (winter) seasons calculations  
221 with the optimized random vector size were implemented to assess the effect of  
222 seawater temperature on the risk of consuming untreated and HPP-treated  
223 oysters, and to evaluate the *V. vulnificus* load for each oyster handling step from  
224 harvest to consumption.

225

### 226 *2.2.3 Assessing the impact of reductions in the variability in parameters subject* 227 *to raw oyster producer control*

228 The reported standard deviation of several factors in oyster-handling steps can  
229 be reduced by changes in commercial oyster production practices and  
230 improvements in data collection (e.g., microbial enumeration methods).

231 Reductions in the variability of some factors without a change in their mean  
232 values may lower significantly the estimated probability of septicemia infections.

233 Half-reductions in the standard deviation ( $0.5\sigma_{REP}$ ) of the following parameters  
234 were considered in this study: (a) microbial counts at harvest ( $\text{Log } N_{harvest}$ ); (b)  
235 ambient temperature during transportation from harvesting site to processing  
236 facilities ( $T_{air}$ ); (c) transportation time ( $t_{holding}$ ); (d) storage time at 5-10°C

237 ( $t_{refrigeration}$ ); and, (e) *V. vulnificus* decimal reductions achieved by high pressure  
238 processing ( $SV_{HPP}$ ).

239

### 240 **3. Results**

#### 241 *3.1 Size of the random probability distribution data vectors*

242 Logarithmic illness probability (Log  $P_{ill}$ , 95% confidence) values for untreated  
243 oysters stabilized quickly ( $n \geq 50$ ; Figure 2a) whereas values for HPP-treated  
244 oysters varied widely when  $n < 200$  (Figure 2b). Moreover, a mean comparison  
245 analysis indicated no significant difference of Log  $P_{ill}$  for  $n = 100$ -1,000 for both  
246 untreated and HPP-treated oysters ( $p_{value} > 0.05$ ). The variation coefficient (VC)  
247 remained below 5.1% for all cases when the vector size was at least  $n = 100$ . For  
248  $n = 1,000$ , the VC reached values below 0.5 and 1.5% for untreated and HPP-  
249 treated oysters, respectively (Figure 2b). Furthermore, simulations with  $n = 1,000$   
250 for all other seasons resulted in similar consistent results for 95CI Log  $P_{ill}$   
251 calculations (VC  $\approx$  1.5%; data not shown). Consequently, random data vectors  
252 with 1,000 elements were sufficient for the Monte Carlo analysis used in this  
253 study.

254 INSERT FIGURE 2

255

#### 256 *3.2 HPP treatments at 250 MPa and 1°C*

257 At 95% confidence, all treatments were predicted to meet the post-harvest  
258 processing objective, although the 2 min process yielded significantly higher

259 decimal reductions ( $SV_{HPP} \geq 4.56$ ;  $p_{value} < 0.05$ ) than the 4 and 6 min treatments  
260 ( $SV_{HPP} \geq 4.29$  and  $3.88$ , respectively). This apparent contradiction with the  
261 reported decimal reduction mean values (see Table 3) reflects the lower  
262 variability of the least severe treatment ( $\sigma_{REP} = 0.2$ ) as compared to the more  
263 severe processes ( $\sigma_{REP} = 0.7$  and  $1.5$ ). The large experimental data dispersion  
264 reported for the 4 and 6 min treatments may reflect experimental artifacts, or  
265 enumeration method limitations, as revealed by the Monte Carlo analysis here  
266 presented. Moreover, the Monte Carlo analysis showed that the predictions of  
267 the mildest HPP treatment analyzed in this study (250 MPa, 1°C, 2 min) is clearly  
268 sufficient to pasteurize oysters in accordance to the ISSC recommendation.

269 INSERT FIGURE 3

270

### 271 3.3 Dose exposure for untreated and HPP-treated oysters in different seasons

272 Seawater temperature affects significantly the pathogen loads in oysters reported  
273 for each season ( $p_{value} > 0.05$ ) as reflected in the estimated *V. vulnificus* dose of  
274 untreated (horizontal axis) and HPP-treated oysters (vertical axis) shown in  
275 Figure 4. The pathogen level range (90% confidence) were highest for summer  
276 ( $\log d_0 = 5.02$  to  $7.35$  cfu/serving; Figure 4a) and considerably lower for the  
277 winter season ( $\log d_0 = 0.80$  to  $2.79$  cfu/serving; Figure 4b). *V. vulnificus*  
278 exposure doses for the spring and fall seasons (data not shown) displayed the  
279 broadest range, covering nearly 4 log units in the horizontal axis ( $\log d_0 = 2.68$  to  
280  $6.59$  and  $2.23$  to  $6.22$  cfu/serving, respectively). The calculated arithmetic means  
281 for spring and fall were intermediate values ( $\log d_0 = 4.65$  and  $4.29$ , respectively)

282 between those generated for the summer ( $\log d_0 = 6.19$ ) and winter ( $\log d_0 =$   
283  $1.75$ ) seasons. The data variability difference between the predicted HPP  
284 treatments was observed clearly in the range of  $\log d_{HPP}$  (90% confidence) value  
285 for the 2 min (2.2 to 2.5 log units) and the 6 min (5.5 to 5.6 log units) processes  
286 (Figure 4).

287 INSERT FIGURE 4

288

### 289 *3.4 Dose response modeling for untreated and HPP-treated oysters harvested* 290 *in different seasons*

291 The estimated illness probability values did not approach  $\log P_{ill} = 0$  ( $P_{ill} = 1$ )  
292 even when the exposure dose reached extremely high values (Figure 5). The  
293 illness probability approached a maximum value  $\log P_{ill} \approx -4.31$  for the summer  
294 season at dose levels approaching  $10^7$  cfu/serving. The reason for this data  
295 behavior is that the parameter values for the Beta Poisson model used in this  
296 study have limitations because they were determined from public health records  
297 and thus do not include extremely low dose values rarely causing an FBDO, nor  
298 extremely high doses theoretically expected to affect 100% of the population.  
299 Still, the analysis did confirm that the summer consumption of untreated oysters  
300 should be avoided since they cause an unacceptably high public health risk,  
301 estimated at 4,932 septicemia cases for every 100 million consumption events  
302 ( $95CI = \log P_{ill} = -4.307$ , Table 5).

303 INSERT FIGURE 5

304 The estimated probability of acquiring septicemia through oyster consumption  
305 reduced significantly ( $p_{value} < 0.05$ ) for all HPP treatments analyzed in Table 5.  
306 The 95% confidence intervals of septicemia cases during summer would be  
307 substantially decreased to just 2-4 cases for every 100 million consumption  
308 events ( $\log P_{ill} = -7.34$  to  $-7.62$ ), whereas no cases would be expected to occur in  
309 the winter ( $\log P_{ill} = -11.73$  to  $-12.26$ ). These estimated values suggest that  
310 intervention technologies reducing raw oyster consumption risk such as HPP  
311 treatments should be applied with different intensity throughout the year, i.e., the  
312 same low consumer exposure dose can be achieved throughout the year but  
313 operational costs would be reduced by eliminating over processing when the  
314 pathogen load in the untreated oyster is low. The definition by regulatory  
315 agencies of a minimum consumer risk is a necessity since complete absence of  
316 a pathogen in oysters is not possible. Low risks of foodborne illness are present  
317 even after severe food processing conditions.

318 INSERT TABLE 5

319

320 *3.5 Effect of the standard deviation reduction in the oyster handling conditions*  
321 *on estimated V. vulnificus counts*

322 At the 90% confidence interval, a slight but significant bacterial growth ( $\approx 0.2$ - $1.2$   
323  $\log$  units;  $p_{value} > 0.05$ ) was estimated to occur during transportation from oyster  
324 harvesting sites to the process facility in all seasons except in the winter (Table  
325 6). This modest pathogen growth is followed by a decrease in *V. vulnificus*  
326 counts during refrigerated storage ( $\approx 0.2$ - $0.4$   $\log$  units; Table 6).



327 INSERT TABLE 6

328

329 Temperature and storage time during boat fishery and retail operations are  
330 susceptible to important fluctuations as shown in Section 2.1. Additionally,  
331 environmental factors at the oyster harvest site and the microbial quantification  
332 methodologies can induce variability affecting the predicted *V. vulnificus* counts.  
333 Therefore, the effect of the statistical variability in the handling steps from harvest  
334 to consumption on the *V. vulnificus* load distribution in raw oysters was  
335 determined to identify steps in which variability could be controlled to lower  $P_{III}$   
336 estimations. To investigate the effect of the handling steps variability, Log  $P_{III}$   
337 distributions were generated with the same mean but with standard deviation  
338 reduced by 50% ( $0.5\sigma_{REP}$ ) for the oyster handling parameters listed in section  
339 2.2.3. The range of the estimated Log  $P_{III}$  values narrowed significantly when  
340 lowering the reported  $\sigma_{REP}$  values for  $\log N_{harvest}$ ,  $SV_{HPP}$ , or by simultaneously  
341 decreasing the  $\sigma_{REP}$  for all variables ( $p_{value} < 0.05$ ). Whereas the latter yields no  
342 specific recommendations on how to improve oyster, these results suggest the  
343 need to identify those factors responsible for high Log  $N_{harvest}$  values, and to  
344 repeat inactivation experiments with large standard deviations in SV values. .

345

#### 346 **4. Conclusions**

347 This study showed that a Monte Carlo analysis facilitates the inclusion of the  
348 statistical variability of the multiple factors affecting the selection of the operating

349 conditions for processing technologies reducing microbial risks such as HPP  
350 treatments of raw oysters. The analysis showed also that consumers and  
351 processors would benefit from food safety regulations enforced by requiring  
352 consumption risk reductions achieved by intervention designs considering  
353 statistical variability. This approach is a superior regulatory standard alternative  
354 to specifying a number of decimal reductions or an endpoint microbial load value.

355 Consumption of HPP-treated raw oysters should be encouraged as the analysis  
356 here presented predicted an important reduction in the number of septicemia  
357 cases caused by *Vibrio vulnificus*. For example, the HPP conditions evaluated in  
358 this study reduced the risk in the summer to fewer than 4 cases per 100 million  
359 raw oyster consumption events. The predicted HPP treatment effect met the  
360 shellfish pasteurization standard ( $SV_{HPP} \geq 3.52$ ) by delivering with 95%  
361 confidence 3.88-4.56 decimal reductions in *V. vulnificus* counts. Moreover, the  
362 estimated septicemia risk would drop from an unacceptable 4,932 cases per 100  
363 million consumption events to less than 4 incidents even for the mildest HPP  
364 treatment analyzed in this study (250 MPa, 2 min, 1°C, Table 5). In addition,  
365 over-processing could be minimized by selecting this least-severe HPP treatment  
366 at a lower processing cost and yielding a higher expected sensorial quality as  
367 compared to the 4 and 6 min treatments at the same pressure and temperature  
368 levels.

369 The intervention treatment severity, e.g., the selection of the HPP time and  
370 pressure level, should reflect the pathogen load in raw oysters for each season.  
371 In the case very low pathogen loads, an HPP treatment appears unnecessary.

372 Finally, this study showed that the natural and quantification variability of *V.*  
373 *vulnificus* at harvest and after HPP treatments have a significant influence on the  
374 estimation of raw oyster consumption risk. Therefore, raw oyster sampling, and  
375 *V. vulnificus* quantification methods, must be rigorously scrutinized prior to the  
376 implementation of treatment and handling recommendations based on risk  
377 analysis.

378

### 379 **Acknowledgments**

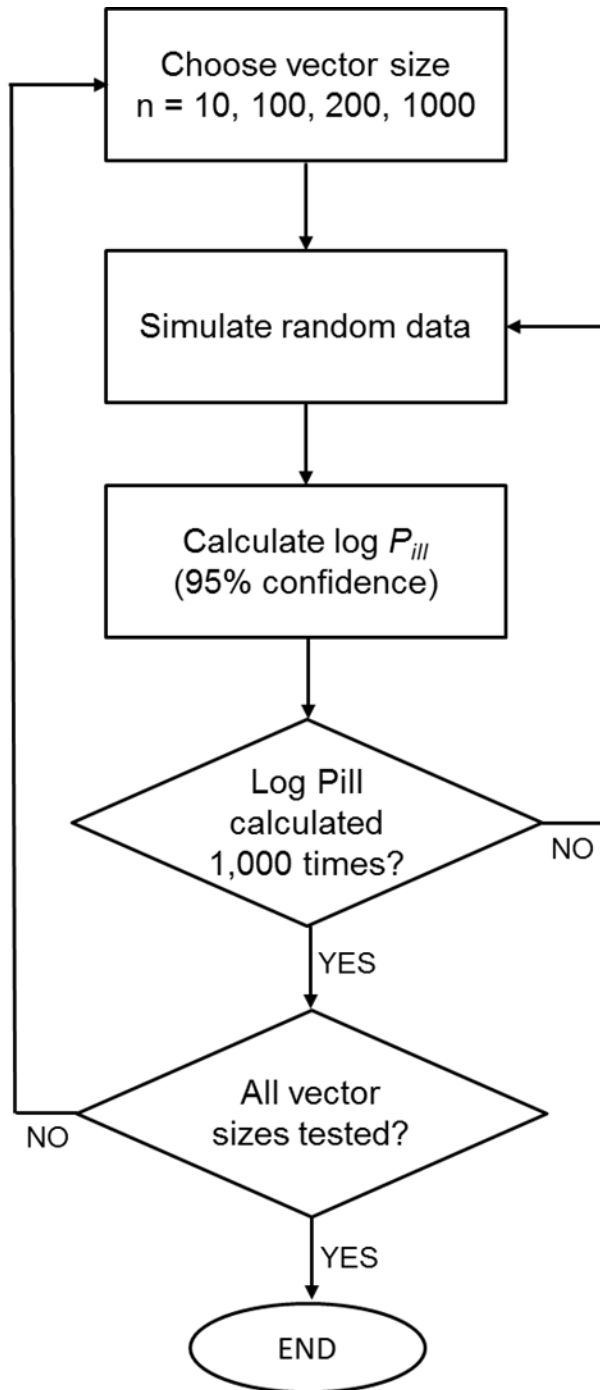
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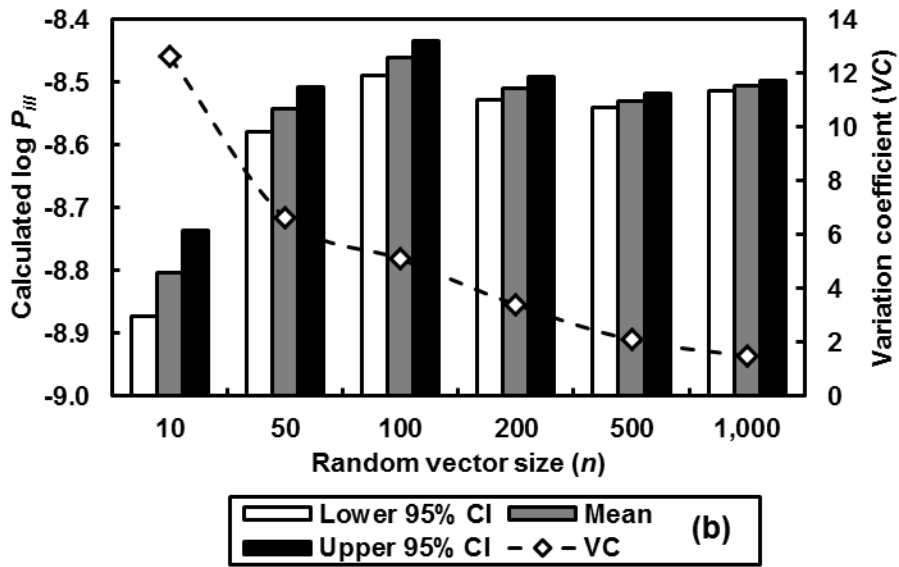
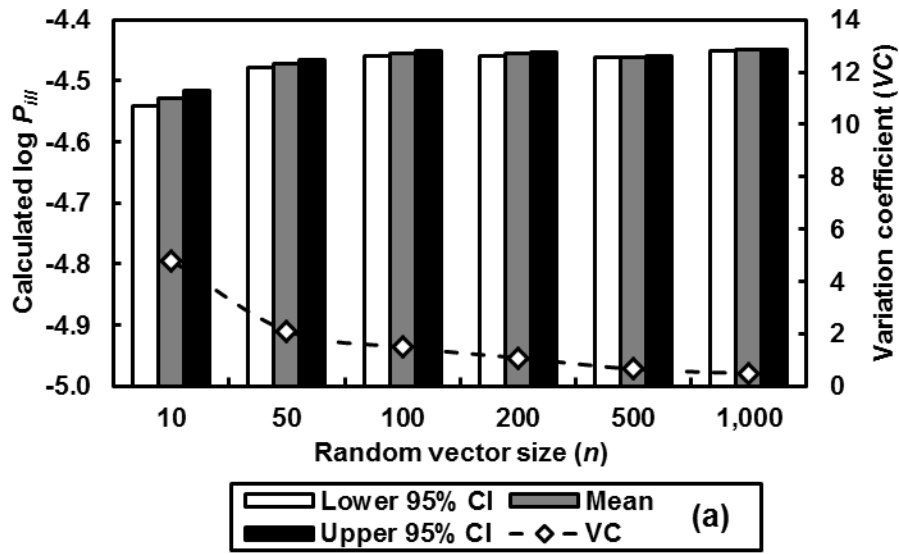
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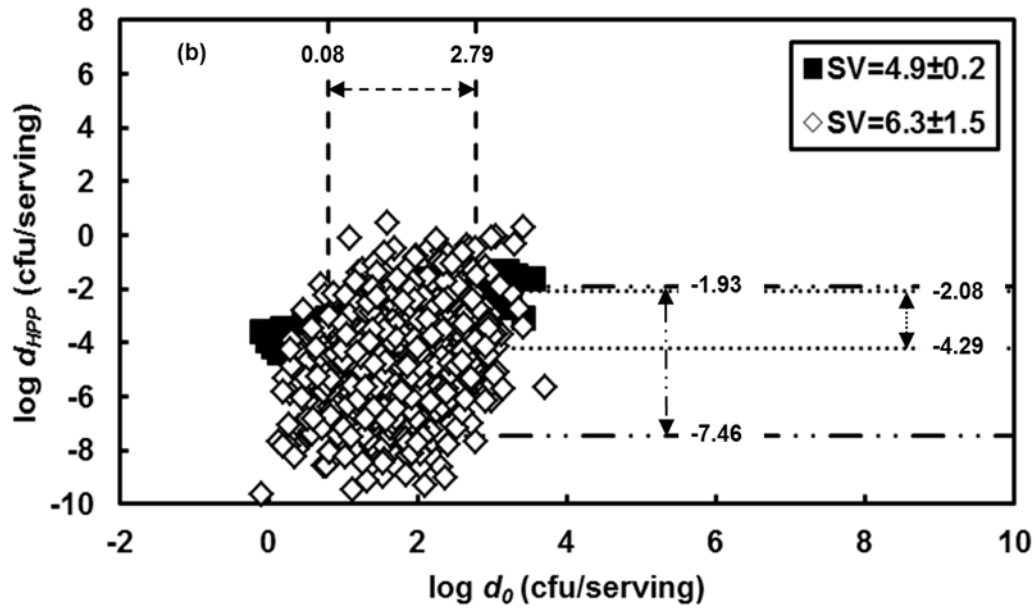
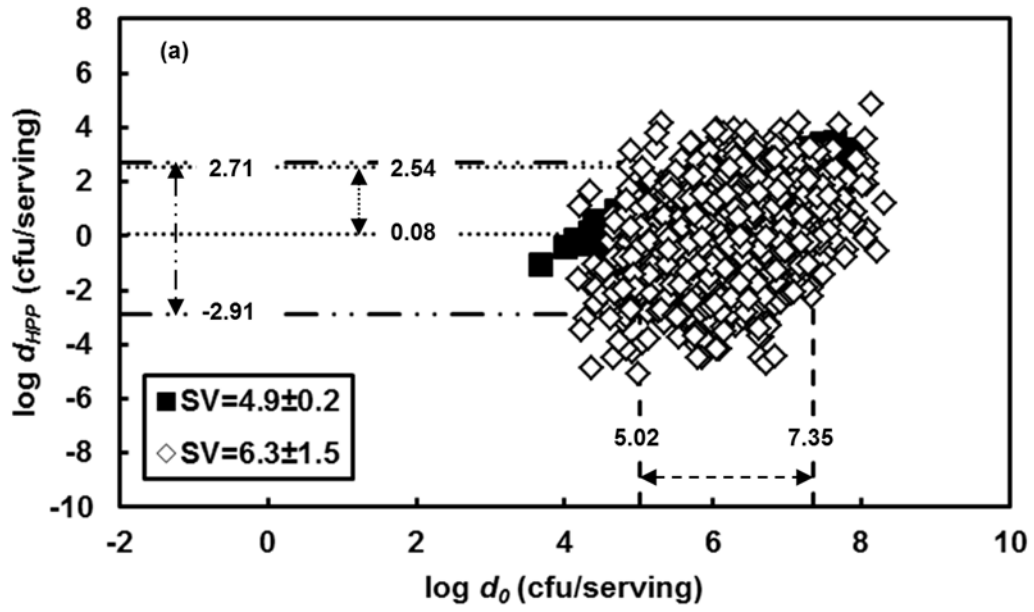
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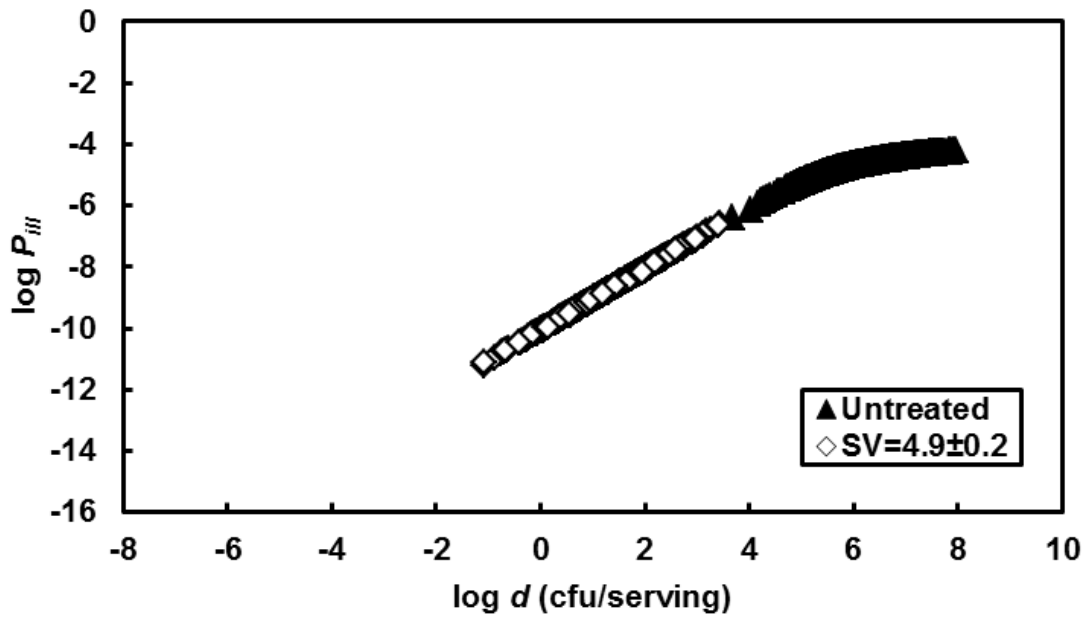
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422 **Abbreviations**

<i>95CI</i>	95% Confidence interval, i.e., 950 of 1,000 calculated values will fall in this interval
<i>A</i>	Maximum <i>V. vulnificus</i> concentration in oysters ( $10^6$ cfu/g <sub>oyster</sub> )
<i>d</i>	Pathogen dose (cfu/serving)
<i>d<sub>0</sub></i>	<i>V. vulnificus</i> dose in raw unprocessed oysters (cfu/serving)
<i>d<sub>HPP</sub></i>	<i>V. vulnificus</i> dose in raw oysters reduced by high pressure processing (HPP) (cfu/serving)
<i>m</i>	<i>V. vulnificus</i> growth rate as a function of temperature (0.011 cfu/h·°C)
<i>max</i>	Maximum value parameter of a Beta-Pert distribution
<i>min</i>	Minimum value parameter of a Beta-Pert distribution
<i>mlk</i>	Most likely value parameter of a Beta-Pert distribution
<i>n</i>	Number of elements of the random data vector
<i>N<sub>0</sub></i>	<i>V. vulnificus</i> load in raw unprocessed oysters (cfu/g <sub>oyster</sub> )
<i>N<sub>HPP</sub></i>	<i>V. vulnificus</i> load remaining after HPP treatments (cfu/g <sub>oyster</sub> )
<i>N<sub>harvest</sub></i>	<i>V. vulnificus</i> at harvesting site (cfu/g <sub>oyster</sub> )
<i>N<sub>transportation</sub></i>	<i>V. vulnificus</i> load after transportation from harvesting site to processing facilities (cfu/g <sub>oyster</sub> )
<i>P<sub>ill</sub></i>	Septicemia acquisition probability as a function of an ingested pathogen dose
<i>SV<sub>HPP</sub></i>	Number of microbial decimal reductions achieved by HPP treatments
<i>T<sub>0</sub></i>	Minimum growth temperature of <i>V. vulnificus</i> , 13°C
<i>T<sub>air</sub></i>	Temperature at which oysters are transported from the harvest site to the processing facilities (°C)
<i>t</i>	High pressure treatment time (min)
<i>t<sub>holding</sub></i>	Time interval in which oysters remain unrefrigerated after harvesting (h)
<i>t<sub>refrigeration</sub></i>	Time interval in which oysters are stored at 5-10°C (days)
<i>VC</i>	Variation coefficient
<i>α</i>	Shape parameter in the Beta-Poisson dose response model
<i>β</i>	Scale parameter in the Beta-Poisson dose response model
<i>μ<sub>max</sub></i>	Maximum growth rate parameter in the three phase linear model (cfu/h)
<i>μ<sub>GEN</sub></i>	Mean value generated with Monte Carlo procedure
<i>σ<sub>GEN</sub></i>	Standard deviation used in the Monte Carlo procedure
<i>μ<sub>REP</sub></i>	Mean value reported in literature
<i>σ<sub>REP</sub></i>	Standard deviation reported in literature

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**Table 1**  
*Vibrio vulnificus* counts (MPN/g) at different sampling locations. Data from Motes et al. (1998)

Sampling site	Warm (Summer)		Transitional (Spring/Fall)		Cold (Winter)	
	$\mu_{REP}$	$\sigma_{REP}$	$\mu_{REP}$	$\sigma_{REP}$	$\mu_{REP}$	$\sigma_{REP}$
Alabama	3.26	0.62	1.99	1.26	-0.52	0.29
Florida	3.03	0.67	1.72	1.18	-0.40	0.45
Louisiana	3.38	0.43	2.34	0.80	0.08	0.52
Gulf	3.20	0.59	2.00	1.12	-0.30	0.52
<b>log <math>N_{harvest}</math></b>	3.22	0.58	2.01	1.09	-0.29	0.45

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433 **Table 2**  
 434 Reported ambient temperature (°C) during the transportation of oysters from northern México  
 435 Gulf Coast harvest sites to processing facilities (WHO and FAO, 2005)  
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	Spring	Summer	Fall	Winter
$\mu_{REP}$	23.3	27.2	16.4	13.1
$\sigma_{REP}$	4.1	2.0	5.5	4.3

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440 **Table 3**  
441 Decimal reductions ( $SV_{HPP}$ ) of *Vibrio vulnificus* in HPP-treated oysters at 250 MPa and 1°C.  
442 Modified from Kural and Chen (2008)  
443

<b>time (min)</b>	<b><math>\mu_{REP}</math></b>	<b><math>\sigma_{REP}</math></b>
2	4.9	0.2
4	5.4	0.7
6	6.3	1.5

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**Table 4**  
Meat weight, oyster consumer and Beta Poisson model data utilized for dose-response modeling

Meat weight <sup>a</sup>		Consumption vector <sup>b</sup>	Beta-Poisson parameters <sup>c</sup>		
Log(g <sub>meat</sub> /oyster)		Oysters per serving (Frequency)	Parameter	Log <sub>10</sub> (Parameter)	
$\mu_{REP}$	$\sigma_{REP}$			$\mu_{REP}$	$\sigma_{REP}$
1.18	0.15	1, 2, 3 (9x), 4 (10x), 5 (15x), 6 (61x), 7, 8 (11x), 10 (15x), 12 (95x), 13, 15 (5x), 17, 18 (8x), 20 (8x), 24 (37x), 25 (5x), 30 (3x), 36 (7x), 40 (3x), 45 (1x), 48 (4x), 50 (3x), 60	$\alpha$	-5.0321	0.00667
			$\beta$	5.0398	0.01840

<sup>a</sup> FDA and CFSAN (2005); <sup>b</sup> Degner and Petrone (1994); <sup>c</sup> WHO and FAO (2005)

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**Table 5**

Logarithm of septicemia acquisition probability ( $\text{Log } P_{III}$ ) after raw oyster consumption during different seasons as predicted by the Monte Carlo estimation procedure

Season	HPP treatment	Mean	95CI	05CI
Spring	Untreated	-5.660	-4.479	-7.376
	2 min	-10.297	-8.269	-12.215
	4 min	-10.775	-8.498	-13.062
	6 min	-11.675	-8.643	-14.499
Summer	Untreated	-4.651	-4.307	-5.205
	2 min	-8.759	-7.527	-9.982
	4 min	-9.294	-7.624	-10.912
	6 min	-10.216	-7.340	-12.962
Fall	Untreated	-5.947	-4.574	-7.830
	2 min	-10.691	-8.678	-12.724
	4 min	-11.242	-8.830	-13.583
	6 min	-12.091	-9.200	-14.959
Winter	Untreated	-8.327	-7.290	-9.266
	2 min	-13.252	-12.145	-14.286
	4 min	-13.728	-12.264	-15.154
	6 min	-14.026	-11.734	-15.497

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95CI = 95% confidence interval; 05CI = 5% confidence interval

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**Table 6**  
Estimated *Vibrio vulnificus* counts (cfu/g of oyster) at different stages of the oyster handling chain

Season	Harvest	Transportation	Untreated oysters		HPP-treated oysters	
			Retail		HPP <sup>d</sup>	Retail
Spring	2.004 <sup>a</sup> ±1.099 <sup>b</sup> (0.213, 3.865) <sup>c</sup>	2.777±1.139 (0.850, 4.636)	2.433±1.144 (0.534, 4.285)		-2.114±1.165 (-4.047, -0.233)	-2.451±1.163 (-4.437, -0.527)
Summer	3.238±0.567 (2.344, 4.178)	4.304±0.615 (3.308, 5.343)	3.961±0.626 (2.964, 5.021)		-0.586±0.659 (-1.658, 0.505)	-0.931±0.684 (-2.020, 0.233)
Fall	2.067±1.119 (0.209, 3.861)	2.386±1.173 (0.428, 4.212)	2.043±1.181 (0.057, 3.950)		-2.508±1.190 (-4.480, -0.621)	-2.844±1.204 (-4.296, -0.979)
Winter	-0.292±0.430 (-1.022, 0.409)	-0.144±0.494 (-0.927, 0.697)	-0.487±0.515 (-1.319, 0.404)		-5.054±0.536 (-5.909, -4.112)	-5.391±0.558 (-6.300, -4.416)

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<sup>a</sup>Generated mean; <sup>b</sup>Generated standard deviation; <sup>c</sup>Generated 90% confidence interval; <sup>d</sup>250 MPa, 1°C, 2 min



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