1 2	The potential for top-down control on tropical tunas based on size structure of
2 3	predator-prey interactions
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31 Abstract

32 The ecological consequences of widespread fisheries-induced reductions of large pelagic 33 predators are not fully understood. Tropical tunas are considered a main component of 34 apex predator guilds that include sharks and billfishes, and thus may seem unsusceptible 35 to secondary effects of fishing top predators. However, intra-guild predation can occur 36 because of size-structured interactions. We compiled existing data of apex predator diets 37 to evaluate whether skipjack (Katsuwonus pelamis) and yellowfin (Thunnus albacares) 38 tunas might be vulnerable to top-down control by large pelagic predators in the eastern 39 tropical Pacific Ocean. We identified potentially important predators on tunas by the 40 frequency, quantity, and size/age of tunas in their diets and considered the degree that 41 predated tunas could have potentially contributed to the reproductive output of the 42 population. Our results indicate that the proportion of predator diets consisting of 43 skipjack and yellowfin tuna was high for sharks and billfishes. These predators also 44 consumed a wide size range of tunas, including sub-adults are capable of making a 45 notable contribution to the reproductive output of tuna populations. Our study suggests 46 that, in the eastern tropical Pacific Ocean, tropical tunas act as mesopredators more so 47 than apex predators. Sharks and billfishes have the potential to play an important role in 48 regulating these tuna populations. This study sets the stage for future efforts to ascertain 49 whether diminished levels of large predators have enhanced the production of tuna 50 stocks, and if the trophic interactions of skipjack and yellowfin tunas should be explicitly 51 accounted for when assessing their population dynamics.

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- 54 Keywords: Predator release, top-down control, apex predators, size structure, ecosystem55 based management, mesopredator, reproductive value
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#### 57 Introduction

58 There is growing evidence in a diversity of marine ecosystems that apex predators 59 can regulate the productivity and abundances of their prey populations (see Baum & 60 Worm 2009, Ritchie & Johnson 2009). There is also widespread recognition that fishing 61 has altered the structure of marine food webs through the selective removal of large-62 bodied predators (Estes et al. 1998, Jackson et al. 2001, Worm & Myers 2003, Daan et al. 63 2005, Frank et al. 2005, Ward & Myers 2005, Daskalov et al. 2007). Fishing can 64 therefore be viewed as an important structuring agent in marine food webs, promoting 65 productivity of mesopredators (i.e. species that occupy a trophic positions immediately 66 below apex predators) that thrive following the depletion of their larger-bodied predators 67 (e.g. Worm & Myers 2003, Frank et al. 2005, Polovina et al. 2009). A better 68 understanding of the effects of predator removals on species dynamics is key to 69 implementing ecosystem-based fisheries management. Identifying the magnitude and 70 extent of these effects in many marine ecosystems remains a challenge owing to a paucity 71 of historical data and monitoring surveys, and the confounding effects of dynamic ocean 72 conditions (Essington 2010).

Top predators in high seas pelagic food webs have been subjected to large-scale fisheries for the past half-century. In the tropical Pacific Ocean, the apex predator guild (i.e. predators that occupy the top trophic positions and consume similar prey resources) is composed of large tunas, sharks, and billfishes (Seki & Polovina 2001, Kitchell et al.

77	2002). Highly-valued skipjack tuna (Katsuwonus pelamis) and yellowfin tuna (Thunnus
78	albacares) populations are the primary targets of purse seine and longline (yellowfin tuna
79	only) fisheries. These populations are currently considered to be healthy and productive,
80	and are above (skipjack tuna; Maunder and Harley 2005) or near (yellowfin tuna;
81	Maunder and Aires-da-Silva 2009) management targets that maximize fishery catch.
82	However, shark and some billfish populations have declined substantially over the last
83	several decades as a result of fisheries exploitation and incidental captures (Baum et al.
84	2003, Ward & Myers 2005, Sibert et al. 2006, Minami et al. 2007). The ecological
85	consequences of reduced abundances of these large predators in the Pacific Ocean are
86	becoming increasingly apparent. Recent studies indicate a shift in the north Pacific
87	subtropical gyre food web, whereby smaller, fast-growing, short-lived mid-trophic level
88	mesopredators have become more abundant in response to apex predator reductions
89	(Ward & Myers 2005, Polovina et al. 2009).
90	Tropical tunas, particularly yellowfin tuna, are often considered members of the
91	apex predator guild and thus seem unlikely to be strongly regulated by predation.
92	However, tunas are vulnerable to cannibalism and intra-guild predation from species that
93	are also impacted by commercial fishing activities. For example, large-bodied marlins

94 commonly consume skipjack and yellowfin tunas (Brock 1984), pelagic sharks are

95 widely viewed as opportunistic top predators (Cortes 1999), and skipjack and yellowfin

96 tunas consume juvenile conspecifics with some regularity (Alverson 1963, King &

97 Ikehara 1956). Thus, it is possible that tropical tunas actually fill the role of

98 mesopredators during much of their life history. If this hypothesis is true, their

99 productivity may be enhanced by the depletion of larger-bodied species. This is a

100potentially important consideration for high-seas fisheries management. Strategies to101restore depleted shark and billfish populations (Kerstetter & Graves 2006, Kaplan et al.1022007, Gallucci et al. 2006, Pine et al. 2008, Watson et al. 2009) could diminish the103production capacity of tuna species (Kitchell *et al.* 1999, Cox et al. 2002; Olson and104Watters 2003) and thereby introduce conflicts and trade-off issues between the economic105objectives of tuna fisheries and the conservation and economic objectives for billfishes106and sharks.

107 Here, we evaluate whether large-bodied apex predators might be capable of 108 regulating skipjack (Katsuwonus pelamis) and yellowfin (Thunnus albacares) tuna 109 populations. Strong top-down interactions can be identified by population modeling and 110 statistical analysis of retrospective data (Worm & Myers 2003, Frank et al. 2005) or by 111 bioenergetics principles (Williams et al. 2004). However, the information needed to 112 support these approaches is not available for most pelagic fishes inhabiting open-ocean 113 ecosystems. Instead, we adopt an approach used to gauge the importance of 114 anthropogenic-induced mortality on large pelagic species: one that considers the life 115 history stages impacted and the reproductive potential of the fish at those stages (Gallucci 116 et al. 2006, Maunder & Harley 2005, Wallace et al. 2008, 2010). Estimates of 117 reproductive potential have been used to identify the ages/sizes in the population that are 118 most valuable for future population growth, and therefore should be avoided by fisheries. 119 (Caddy & Seijo 2002, Maunder & Harley 2005). We use similar criteria to distinguish 120 between a species' ontogenetic changes in predation risk versus its overall vulnerability 121 to predators, revealing the predators that are most likely to regulate tuna productivity. We 122 presume that predators that consume substantial numbers of the large juvenile or sub-

adult tunas that have notable reproductive potential can have a potentially important
impact on the intrinsic rates of growth, on biomass and ultimately, on sustainable catch
levels of tuna populations. Thus, predators that prey on tunas that have high reproductive
value are the species most likely to regulate tuna productivity.

127 This study provides the most detailed and comprehensive evaluation of intra-guild 128 predation on tropical tunas to date. Unique to this work is the development and use of an 129 apex predator food habits database that includes summarized data on nearly 25 predator 130 groups and primary data on 65 predator groups inhabiting the tropical Pacific Ocean. We 131 compiled all known apex predator food habits data from published papers and reports, 132 and digitized primary data records from sampling ventures that span more than five 133 decades. The spatial extent of the summarized data includes much of the range of the 134 tropical tunas in the Pacific Ocean, while the primary data are restricted to a large portion 135 of the eastern tropical Pacific Ocean. To the primary data we applied statistical models to 136 develop robust estimates of predation frequency and intensity and also to explore 137 important environmental and biological covariates therein. We then compared the size 138 structure of skipjack and yellowfin tunas consumed by top predators to estimate 139 reproductive potential-at-size lost to predation.

140

#### 141 Materials and Method

142 Food habits data

We compiled data on the food habits of large- and medium-bodied fishes
commonly considered apex predators, using three methods. First, we compiled primary
data from three food habits studies of the Inter-American Tropical Tuna Commission

146	(IATTC) into a common database format. Second, we digitized primary data reported in
147	archived data sheets belonging to the IATTC (see Supporting Information Appendix S1
148	for details). Third, we compiled published food habits data summarized in either reports
149	or peer-reviewed publications (See Supporting Information Table S1 and Appendix S2).
150	The resulting data set is a compilation of both primary and summarized data that lend
151	themselves to different types of analyses. The primary data contained detailed
152	information on individual samples and were used for formal statistical analyses. The
153	summarized data provided accounts of the feeding habits of various predators, which we
154	used to generate broad comparisons across predator taxon/taxa and to assess the
155	generality of the findings across regions of the tropical Pacific Ocean.
156	The primary data encompassed an area south of the Hawaiian Islands and a large
157	portion of the eastern Pacific Ocean (Fig. 1). These data were clustered among two
158	historical periods (1955-1960, 1969-1972) and two contemporary periods (1992-1994,
159	2003-2005). Primary data from the contemporary period were collected by observers
160	aboard purse-seine fishing vessels, and consisted of approximately 65 predators, whereas
161	data from the historical period contained information on the feeding habits of only
162	yellowfin tuna. The primary contemporary data provided information on individual
163	predators, including prey composition, date/time of capture, capture method/gear, the
164	precise spatial coordinates and sea surface temperature (SST) at the capture locations,
165	and predator and prey sizes. The historical data were yellowfin tuna sampled at canneries,
166	and therefore did not contain the same degree of detail regarding sampling dates,
167	locations, and SSTs (details below).

168	In total, the summarized data included nearly 24 predator taxon/taxa from 37
169	published reports or journal articles. At a minimum, these data sources contained
170	information on the range of predator body sizes sampled and the proportional
171	contribution of prey species to predator diets. The spatial extent of the summarized data
172	spanned much of the geographical range of skipjack and yellowfin tunas in the Pacific
173	Ocean and the temporal coverage ranged from the mid-20 <sup>th</sup> century to the present. We
174	note that sharks and billfishes sampled for diet composition were not only (nor mostly)
175	large adults, but also included small individuals. For example, 18% of the shark
176	specimens were smaller than 90 cm total length, and 62% were smaller than 150 cm
177	(range 39-315 cm). This is consistent with the shark bycatch composition for the same
178	years (Román-Verdesoto et al. 2005).
179	
180	Contribution of tunas to predator diets
181	We fit generalized linear mixed models (GLMMs) to the primary food habits
182	data to identify the predators that consume tunas more frequently and in relatively higher
183	quantities, while accounting for confounding physical and biological factors and potential
184	sampling biases. Pseudo-replication is a concern when the stomachs of several predators
185	are collected at the same sampling event (e.g. from the same purse-seine set). Because
186	these stomach samples are not independent, we modeled the relationship between
187	individual samples and sampling events as random effects (sampling event as grouping
187 188	
	individual samples and sampling events as random effects (sampling event as grouping

191	(via fishing vessels). The widespread use of human-made floating-object <sup>1</sup> , i.e. fish
192	aggregating devices (FADs), in tuna purse-seine fisheries began after the 1969-1972
193	sampling period. Most purse-seine sets on FADs are made in the early morning when the
194	stomachs of most predators tend to be empty, and predators with empty stomachs were
195	excluded from the analysis to account for this sampling bias. Recently consumed tunas
196	were also excluded from the analysis to omit predation that likely occurred while the
197	animals were encircled in the purse seines. Lastly, for cases in which primary data
198	contained prey items that could be only identified to genus Thunnus sp., we assumed that
199	these prey were yellowfin tuna. This assumption was based on the observation that
200	yellowfin tuna was the most common species of Thunnus preyed upon, based on archived
201	predator food habits records for prey that were possible to identify (~95% of Thunnus
202	species consumed by predators were yellowfin tuna).
203	Because of the high number of zeros in the data (i.e. many stomachs that
204	contained no tunas), standard statistical probability distributions could not be used.
205	Instead we used a mixture model (also known as delta-normal or two-stage hurdle model;
206	e.g. Maunder and Punt, 2004, Jensen et al. 2005, Reum et al. 2011) wherein two separate
207	models were fit separately describing the frequency of occurrence and the mean mass (%)
208	conditional on a tuna being present. The two stages were then combined by taking the
209	product of the predicted values to generate an overall predicted diet proportion.

<sup>&</sup>lt;sup>1</sup> Purse-seine sets are made in three different ways in the eastern tropical Pacific Ocean: in "dolphin sets" the net is deployed around the tuna-dolphin aggregation after a chase by speedboats; in "floating-object" sets, the net is deployed around or next to flotsam or fish-aggregating devices that attract tunas; in "unassociated sets", nets are set around free-swimming schools

210	In the first stage, we modeled the presence /absence data for skipjack and					
211	yellowfin tunas using a binomial probability density function and logit link function:					
212						
213	$logit(y) = log(y/(1-y)) = \beta x_{it} + \alpha_i + \varepsilon_{it} $ (1)					
214						
215	where y is the probability that a tuna was present in an individual predator's stomach, $x_{it}$					
216	is a vector of fixed effects, $\beta$ is a vector of coefficients, $\alpha_i$ is a random effect (i.e. fishing					
217	event ID), and $\epsilon_{it}$ is the error term. The random effect is assumed to be distributed as					
218	$N(0,\sigma^2_{\alpha})$					
219	Second, for those stomach samples in which tunas were found, we estimated the					
220	proportional mass contribution of skipjack and yellowfin tunas to the total food mass in					
221	the stomach of each individual predator. Hence we refer to the response variable as the					
222	conditional percent mass contribution (u). For this second-stage analysis, we normalized					
223	the proportion estimates using the arcsine-square root transformation (Zar 1999) and					
224	modeled the response variable as a Gaussian (normal) probability density function with					
225	the identity link function:					
226	$\mathbf{u} = \beta x_{it} + \alpha_i + \varepsilon_{it} \tag{2}$					
227						
228	This model is equivalent to a multiple regression model with a random effects term. In					
229	addition, we weighted the likelihood of each data point so that diet proportions of					
230	actively feeding fish were given more weight than diet proportions of fish with few prey					
231	in their stomachs. The weighting term is the ratio of predator stomach mass to fish mass.					

232	To account for the confounding effects of spatial and temporal factors on
233	predators' feeding habits, we formulated a suite of candidate models that included all
234	combinations of one, two, or three sets of fixed effects (Table 1). The sets of potential
235	model covariates were the following: time period (data sets 1955-1960, 1969-1972, 1992-
236	1994, 2003-2005), season/quarter (winter, December-February; spring, March-May;
237	summer, June-August; autumn, September-November), set type/gear <sup>1</sup> (purse-seine
238	floating-object sets, dolphin sets, and unassociated sets; and pole-and-line gear), and
239	space (latitude, longitude, Area (1-4)); and predator (see Table 2 for levels). Latitude and
240	longitude were continuous variables, and prior to analysis they were standardized to have
241	means of zero and variances of 1 to simplify interpretation of the coefficients. The
242	remaining fixed effects were categorical.
243	Our modeling of primary food habits data took into account inconsistencies in
244	the historical food habits records. During the 1969-1972 sampling period, predators were
245	sampled from wells (i.e. partitions in the hold of the vessel into which the catch is
246	delivered) that contained multiple sets (typically 3-5 sets), each with known dates and
247	locations and each in proximity to one another (i.e. within < 1 degree by latitude and
248	longitude). Given that the exact set that yielded a sample could not be determined, we
249	averaged the estimates of latitude and longitude at the possible locations from which an
250	individual was captured to generate a single value of these physical parameters for each
251	predator. To further account for the confounding effects of space we included spatial area
252	as a model covariate. Four distinct areas (quadrants) were determined from the median
253	latitudinal and longitudinal coordinates of predator sampling location. We could not
254	include SST as an environmental variable. SST was routinely collected in the

255	contemporary sampling period, but not during the historical period, and we were unable
256	to reconstruct SST at many of the 1950s sampling locations. The data for predator
257	taxon/taxa that consumed tunas, but had low sample sizes (<20) were combined into
258	higher taxonomic groupings. One consequence of this modification is that the number of
259	predators considered in the GLMMs (and hence number of estimated parameters)
260	differed between the skipjack and yellowfin models (Tables 1 and 2). Predator taxon/taxa
261	were excluded from the analysis if relevant prey species (i.e. skipjack or yellowfin) were
262	absent in all stomach samples.
263	We tested the candidate models separately for the two stages of the mixture
264	model (Equations 1 and 2). In general, the set of candidate models were the same
265	between the binomial component (Equation 1) and the Gaussian component (Equation 2).
266	There were a few exceptions due to limited data for the Gaussian part of the model. The
267	data set "1955-1960" (see Supporting Information Appendix S1) and the gear type "pole
268	and line" were not included as variables in the models for skipjack tuna due to low
269	sample numbers. The GLMMs were fitted using the glmer function in the lme4 package
270	in R (R Development Core Team 2010) and model selection was based on Akaike
271	Information Criterion (AIC; Akaike 1973, Burnham & Anderson 2002). For each
272	modeling framework, we deemed candidate models with $\Delta AIC$ value less than 3 (i.e.
273	within 3 units of the lowest AIC model) to have substantial support based on the data.
274	Finally, we generated standardized diet fractions for each predator. These
275	estimates describe the proportional contribution by mass that skipjack and yellowfin tuna
276	comprise for each predator taxon/taxa, standardizing for location, set type and other
277	potential covariates. First, we predicted the occurrence $(\hat{y})$ and conditional proportion by

(3)

- mass (û) of tunas in predator diets based on the best-fitting models. We then multiplied
  the predicted values from the two stages to determine the expected proportion by mass of
  skipjack and yellowfin tunas in predator diets (Ĉ):
- $\hat{\mathbf{C}} = \hat{\mathbf{y}} \cdot \hat{\mathbf{u}}$
- 282

## 283 Broad comparisons of predator diets

284 We made broad comparisons of predation on skipjack and yellowfin tunas across 285 predator taxon/taxa for the entire tropical Pacific Ocean to evaluate the generality of the 286 results gleaned from the analysis of primary data from the eastern tropical Pacific Ocean. 287 Using the summarized published diet data, we calculated the mean frequency of 288 occurrence and proportional contribution by mass of tunas to the diets of sharks, 289 billfishes, yellowfin tuna, skipjack tuna, and other tuna species (Table 2, see also 290 Supporting Information Table S1 and Appendix S1). Other tunas included albacore 291 (Thunnus alalunga), bigeye (Thunnus obesus) and Pacific bluefin (Thunnus orientalis) 292 tunas. All predator taxon/taxa included in this analysis are listed in Table 2. We also 293 made regional comparisons of predation, by mean frequency of occurrence, on skipjack 294 and vellowfin tunas: summarized food habits data were not available for sharks and 295 skipjack in all three regions of the tropical Pacific Ocean (i.e. eastern, central and 296 western, Table 2).

297

## 298 Prey sizes and reproductive potential

We identified the sizes at which skipjack and yellowfin tunas were vulnerable to predation by apex predators in the eastern tropical Pacific Ocean from the primary

food habits data. Estimates of individual prey body sizes consumed by individual
predators were recorded during the contemporary sampling periods only (1992-1994 and
2003-2005). Fork lengths were measured for 45 skipjack tuna and 66 yellowfin tuna. We
calculated the means and 95% confidence intervals of the sizes of skipjack and yellowfin
tunas consumed by sharks, billfishes, and large-bodied tunas.

We estimated the relative reproductive potential of the skipjack and yellowfin
tunas that are at the age / size most commonly consumed by apex predators.

308 Reproductive potential is defined as the expected number of eggs that an individual of a

309 particular age would produce over its remaining lifetime, given that it has already

310 survived to that age (Gotelli 2001). This value is a function of the fecundity and

311 survivorship of a fish at the different stages of its remaining life, and we present estimates312 for each length class relative to the maximum estimate of reproductive potential.

The reproductive potential (v) was calculated for all age / size classes x (Gotelli
2001) as:

315 
$$v(x) = \frac{\sum_{y=x+1}^{k} l(y)b(y)}{l(x)}$$

316

where, b(y) is the fecundity of an individual at age *x* or older, and l(y) is annual survivorship for an individual at *x* older, and l(x) is annual survivorship for an individual at age *x*. Essentially, we generated estimates of reproductive potential under unexploited conditions by taking virgin recruitment and using the natural mortality rate to calculate numbers at age. The numbers at age were then multiplied by fecundity at age and summed to get total reproductive potential of an unexploited stock. Here, b(y) was

323 calculated using age-specific estimates of fecundity, proportion of females (sex ratio), 324 and the percentage of females that are mature (see Supporting Information Table S2). 325 Estimates of l(x) were calculated from estimated age-specific natural mortality rates for 326 combined male and female skipjack (Maunder and Harley 2005) and yellowfin tunas 327 (Maunder and Aires-da-Silva 2009; see Supporting Information Table S2). These 328 estimates are taken from the official stock assessments for these species and are 329 supported by tagging data (Hampton 2000). We recognize that fishing is a large source of 330 mortality on skipjack and vellowfin tunas, and that relative reproductive potential of 331 smaller tunas will be different under exploitation. For instance, if the fishery targets 332 tunas that are larger than those consumed by predators we may expect that the relative 333 reproductive potential of the tunas being predated on will increase compared to those of 334 larger-sized tunas. However, fishing is highly variable in intensity and selectivity and the 335 reproductive potential of individual tunas is sensitive to estimates of mortality at age. 336 Thus, to generate more stable estimates of tuna reproductive potential we modeled 337 reproductive value under unexploited conditions. The parameter values used in our 338 analysis were obtained from previously published literature and stock assessment reports 339 (Schaefer 1998, Maunder & Harley 2005, Maunder 2007, Maunder & Aires-da-Silva 340 2009). Sex-specific information and estimates of fecundity were not available for Pacific 341 skipjack tuna, so fecundity at age was assumed to be proportional to weight at age. This 342 is a standard assumption in fisheries stock assessment and is used in a majority of 343 assessments. We converted the estimates of reproductive potential at each age class to 344 estimates at length using published length at age relationships for skipjack (Bayliff 1988) 345 and yellowfin (Wild 1986) tunas.

346	
347	Results
348	Tunas in predator diets
349	The frequency of occurrence of skipjack and yellowfin tunas in predator stomach
350	samples were best predicted by models that included only predator taxon/taxa and
351	set/gear type (Model 6; Table 1). For skipjack tuna, the model with predator taxon/taxa,
352	location of capture, and fishing set/gear type as predictors also fit the data well (Model
353	11; Table 1). For yellowfin tuna, the model with only predator taxon/taxa as a predictor
354	variable (Model 1) performed nearly as well as the best fitting model (Model $\Delta AIC =$
355	0.07). The coefficients of the best-fitting models indicate that the frequency of predation
356	on both species was greatest in floating-object sets, intermediate for dolphin sets, and
357	lowest in the unassociated school sets and pole-and-line fisheries (See Supporting
358	Information Table S3 for detailed listing of model coefficients). Dataset was not included
359	in the best-fitting models for skipjack and yellowfin tunas, which suggests that the
360	occurrence of these tunas in predator diets did not change over time or that a change
361	could not be detected based on the available data.
362	We identified the predators that had the highest probability of consuming skipjack
363	and yellowfin tuna based on model coefficients from these best-fitting models. We found
364	that skipjack tuna were most frequent in the diets of blacktip sharks (Carcharhinus
365	limbatus), silky sharks (Carcharhinus falciformis), Indo-Pacific sailfish (Istiophorus
366	platypterus), and marlins (Makaira spp.) and were least frequent in the diets of spotted
367	dolphins (Stenella attenuata), yellowfin tuna, and dolphinfish (Coryphaena hippurus). Of
368	the large pelagic fishes and tunas, requiem sharks (Carcharhinus spp.), hammerhead

369	sharks (Spyrna spp.), and marlin (Makaira spp.) were most likely to consume yellowfin
370	tuna while large-bodied conspecifics were the least likely to consume them (see
371	Supporting Information Table S3). Several species were never found to have consumed
372	skipjack or yellowfin tunas (primary data): bigeye tuna (Thunnus obesus), black skipjack
373	tuna (Euthynnus lineatus), skipjack tuna (Katsuwonus pelamis), and spinner dolphin
374	(Stenella longirostris).
375	The conditional percent mass contribution of skipjack and yellowfin tunas were
376	best predicted by capture method alone. Generally, skipjack and yellowfin tunas
377	comprised the greatest proportion of predator diets in unassociated and floating-object
378	sets, compared to other fishing methods (see Supporting Information Table S3).
379	Standardized diet fractions (proportion of predator diet, by mass, consisting of
380	skipjack and yellowfin tuna) were highest for sharks and marlins (Fig. 2). The expected
381	proportion by mass of blacktip sharks diets composed on skipjack tuna was
382	approximately 47%. For yellowfin tuna, the expected proportions by mass reached nearly
383	40% and 18% of shark and marlin diets, respectively (Fig. 2). Moreover, the standardized
384	diet fractions indicate that skipjack and yellowfin tuna were a more important prey for
385	predators captured in floating-object sets compared to the other fishing methods
386	(estimates based on the center of the sampling distribution; Fig. 2). Standardized diet
387	fractions by predator and set/gear type were estimated only when at least three predators
388	of the same species were captured in a given set/gear type.
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200	

390 Broad comparisons of predator diets

391 The compilation of summarized diet data from locations throughout the tropical 392 Pacific Ocean confirmed the importance of skipjack and yellowfin tunas in the diets of 393 large-bodied predators found in the eastern Pacific Ocean (Fig. 3), and also revealed 394 potential regional differences in predation on these tuna species (Fig. 4). Overall, the 395 frequency of occurrence of skipjack tuna in predator diets was greatest for billfishes. 396 Skipjack tuna also comprised a considerable portion of sharks' diets and the diets of 397 large-bodied vellowfin tuna and conspecifics (Fig. 3). This finding differs from our 398 analysis of the primary data for the eastern Pacific alone, which suggested that sharks 399 consumed skipjack tuna more often than do billfishes and that there was little to no 400 predation on skipjack by conspecifics or other tuna species. This discrepancy could be 401 attributed to regional differences in predation. Our analysis of the summarized data 402 revealed a higher occurrence of skipjack tuna in the diets of large-bodied vellowfin tuna 403 and conspecifics in the western and central regions of the Pacific Ocean compared to the 404 eastern region (Fig. 4). This regional gradient of predation was also observed for sharks 405 and was particularly notable for billfishes (Fig. 4). The occurrence of skipjack in billfish 406 diets was as much as 40% and 30% in the western and central Pacific Ocean, 407 respectively, whereas the highest estimate of occurrence in the eastern region was 408 approximately 3% (Makaira nigricans).

409Over all regions of the tropical Pacific Ocean, the frequency of occurrence of410yellowfin tuna in predator diets was greatest for sharks and billfishes and least for large-411bodied conspecifics and other tuna species (Fig. 3). This finding is consistent with our412analysis of primary data from the eastern tropical Pacific Ocean. The summarized diet413data did not reveal a regional gradient of predation on yellowfin tuna by large-bodied

414 predators, however there are regional differences. Similar to our findings for skipjack

415 tuna, the occurrence of predation on yellowfin tuna by billfishes was greatest in the

416 western tropical Pacific Ocean compared to the central and eastern regions (Fig. 4).

417

418 Prey sizes and reproductive potential

Large-bodied predators consumed a wide size range of tunas, ranging from early life stages to sub-adults. Predators consumed skipjack tuna with body sizes up to 80 cm in length (Fig. 5). The mean lengths of skipjack tuna consumed by billfish, sharks, and tunas were 26 cm, 27 cm, and 19 cm, respectively. The maximum size of yellowfin tuna consumed by predatory fishes was approximately 100 cm in length and the mean body sizes of yellowfin tuna consumed by billfish, sharks, and tunas were 45 cm, 37 cm, and 22 cm, respectively (Fig. 5).

426 Because large predatory fishes preved upon a wide size range for both skipjack 427 and yellowfin tunas, the reproductive potential of individuals that were consumed also 428 ranged widely (Fig 5). For both species we found predation on size classes that had 429 notable reproductive potential (Fig. 5). Reproductive potential in skipjack tuna is 430 maximized at approximately age 1.5 years (body length = 60 cm), while for yellowfin 431 tuna it is greatest at age 3 (body length =125 cm). Approximately 13% of all the skipjack 432 tuna found in predator stomachs had reproductive potential that exceeded 20% of the 433 maximum. Similarly, 15% of all vellowfin tuna found as prey had reproductive potential 434 that exceeded 20% of the maximum. For some predator taxon/taxa, this was greater: 435 sharks and billfishes consumed skipjack and yellowfin tunas with estimated ages that had 436 reproductive potentials as great as 60% of the maximum (Fig. 5). Evidence of a single

>70 cm skipjack tuna and four >100 cm yellowfin tuna in the stomachs of sharks (Fig. 5)
suggests that top predators are also capable of consuming larger tunas that have even
higher reproductive value. We note that the overall trend of relative reproductive
potential was the same when we used estimates of fecundity for Indian Ocean skipjack
tuna (from Stéquert & Ramcharrun 1995) in our calculations.

442

444

443 Discussion

445 Tropical tunas, particularly vellowfin tuna, are often considered apex predators in 446 pelagic food webs in the Pacific Ocean. However, our findings suggest that tropical tunas 447 at large body sizes are consistently preved upon by a guild of large-bodied predators, and 448 therefore are better considered mesopredators. Both a detailed analysis of primary data 449 collected in a single, large region and a synthesis of previously published diet studies 450 throughout the tropical Pacific Ocean support the hypothesis that these tropical tunas 451 comprise a substantial component of the diet of sharks and billfishes. Although much of 452 this predation was directed at very small-sized tunas–a pattern of ontogenetic predation 453 risk not uncommon among marine fishes-we find that predation also extends to later life 454 history stages, including those that have significant reproductive value. Thus, predation 455 by sharks and billfishes has the potential to play an important role in regulating skipjack 456 and yellowfin tuna populations. The question of top-down control on tropic tunas remains 457 to be answered, but our work provides future research efforts with essential knowledge 458 about potentially important predators on tunas and size vulnerability of predation. 459 The possibility that sharks and billfishes could regulate tropical tunas raises two

460 questions. The first is whether current levels of skipjack and yellowfin tuna productivity

461 may have been fostered by the reduction of large-bodied predators by industrial fishing 462 (Ward & Myers 2005). For example, if tropical tunas are indeed regulated in part by 463 these predators, the high levels of skipjack tuna productivity observed over the past 464 decade (e.g. Sibert et al. 2006) could represent a shifting baseline (Pauly 1995, Pinnegar 465 & Engelhard 2008). A second, related question is whether the potential recovery of 466 sharks and billfishes might lead to secondary effects on skipjack and yellowfin tunas. Fishing can modify the structure and functioning of marine systems (see Baum & Worm 467 468 2009), and increasing efforts are being made to foster a rebuilding of shark (Gallucci et 469 al. 2006, Watson et al. 2009) and billfish (Kitchell et al. 2004, Kerstetter & Graves 2006, 470 Kaplan et al. 2007, Pine et al. 2008) populations. Recovery of depleted species can have 471 surprising consequences when there are high interaction strengths between exploited 472 species. For instance, the recovery of Barents Sea cod coupled with fishing on their prey, 473 capelin, contributed to a collapse in the capelin population (Hjermann et al. 2004), which 474 subsequently recovered. Unlike capelin, tunas are not considered "forage" species; 475 however the interaction strengths among tunas and their predators need not be symmetric 476 for strong top-down interactions. For example, sharks and billfishes might still play a role 477 in regulating these tunas even though they may not relay on tunas as an essential diet 478 item. If these fishes do exert consumer control on skipjack and yellowfin tunas, a 479 recovery of these large predators could potentially alter the productivity of highly-valued 480 tuna species.

The concept of mesopredator release has received much attention in recent years
(e.g. Elmhagen and Rushton 2007, Prugh et al. 2009, Baum & Worm 2009, Ritchie &
Johnson 2009, Brashares et al. 2010). While most studies of this ecological phenomenon

484 are based in terrestrial and coastal marine ecosystems, there is accumulating evidence of 485 this process in oceanic ecosystems. For instance, both short (Polovina et al. 2009) and 486 long-term (Ward & Myers 2005) changes in longline catch rates of mid- and high-trophic 487 level pelagic fishes support the possibility of mesopredator release. Also, Worm and 488 Tittensor (2011) suggest that increases in the number and range of skipjack tuna in the 489 eastern tropical Pacific could be attributed to depletion of large-bodied tunas, sharks, and 490 marlins. By identifying predator species that are most capable of exerting top-down 491 control and the vulnerability of tunas by size to predation, our analyses provide a strong 492 foundation to better explore the extent by which large-bodied apex predators, particularly 493 sharks and marlins, might impact tuna populations.

494 Identifying whether shark and billfish species do indeed regulate tuna through 495 predation processes is a challenging task. A strong top-down interaction is likely if a 496 predator represents an important source of total mortality on tunas and if the predation 497 mortality strongly depends on predator abundance (Essington and Hansson 2004). An 498 evaluation of whether these two criteria are met requires knowledge of predator feeding 499 rates on tuna life stages as well as predator and prey relative abundances. This 500 information is not available for many oceanic predators due to the sampling and data 501 challenges associated with open ocean ecosystems (Baum and Worm 2009). 502 Consequently, attempts to identify consumer control within these systems have been 503 limited. A food web model of the north Pacific subtropical gyre has been used to evaluate 504 the trophic impacts of predator removals on food web components in this region (Kitchell 505 et al. 1999, 2002; Cox et al. 2002). Some model scenarios did not reveal evidence of 506 mesopredator release in response to fisheries removals of apex predators (Kitchell et al.

2002), while others suggested that increased biomass of small tropical tunas, particularly
yellowfin tuna, resulted from reduced predation by sharks and billfishes (Cox et al.
2002). Large food web models are often fit to fishery-dependent data, and therefore are
limited by the large uncertainties associated with the vagaries introduced by non-constant
catchability and spatial dynamics of fishing fleets (Walters 2003; Maunder et al. 2006).

512 The question of top down control of tropical tunas remains to be answered. Future 513 work is needed to evaluate how skipjack and vellowfin may respond to changes in 514 predator abundances and whether trophic interactions need to be accounted for more 515 explicitly in the assessments of these tuna species. Our study provides a detailed analysis 516 of predator-prey interactions and knowledge about potentially important predators on 517 tunas and vulnerability by size to predation. We envision a potential next step as one that 518 incorporates our findings in an age-structured population-modeling framework to assess 519 the impacts that sharks and billfishes have on stock productivity under various levels of 520 predation mortality. Also, tropical tunas, especially yellowfin tuna, interact with these 521 apex predators through competition for shared prey resources, therefore mechanisms of 522 competition need to be addressed when modeling the population dynamics of tunas under 523 increased predation. An important consideration in future analyses will be the calculation 524 of the range in common biological reference points that can be attributed to changes in 525 predator stocks. This information can then be used to further evaluate whether 526 diminished levels of large pelagic fishes have enhanced the production of tuna stocks. 527 Alternatively, reductions in apex predators could lead to increased competition and (or) 528 increased predation on tunas from other species.

529	The role of cannibalism in the population dynamics and persistence of tropical
530	tunas, particularly skipjack tuna, also warrants further attention. Cannibalism is
531	widespread in marine fish populations, and can represent a major source of mortality on
532	juvenile fishes (Smith & Reay 1991). Cannibalism can serve as a density-dependent
533	mechanism for population regulation (Anderson & Gregory 2000, Wespestad et al. 2000,
534	Neuenfeldt & Koster 2000), while also providing a source of nutrition to adult fishes
535	when alternative food sources are lacking. Through our analysis of summarized food
536	habits data we found a notable occurrence of conspecifics in the diet of skipjack tuna.
537	Our estimates of skipjack cannibalism are not as high as those for some marine fishes
538	(e.g. gadoids, see Juanes 2003). However, skipjack tuna have high consumption and
539	production rates and high biomass; they are the most abundant of the assessed predator
540	stocks in the tropical Pacific Ocean. Thus, adult conspecifics could have a substantial
541	impact on the recruitment of juvenile fishes and act as an important structuring force on
542	the population, even if the juveniles comprised only a small component of the adult diet.
543	Yellowfin tuna appeared to be minor predators on themselves. A low occurrence of
544	yellowfin tuna cannibalism is not surprising because the adults are known to feed mainly
545	near the thermocline, while the larval and juvenile stages occupy surface waters (see
546	Longhurst 2010). The frequency and quantity of yellowfin tuna predation on skipjack
547	tuna was considerable, although yellowfin tuna consumed only small-sized skipjack and
548	conspecifics that have less reproductive potential because of cumulative mortality prior to
549	spawning. If large-bodied tunas do have a predation effect on skipjack and yellowfin
550	tunas it is likely to act primarily on pre-recruits and to be manifested through recruitment
551	(i.e. age at which tunas are first vulnerable to fishing gear).

552 An interesting outcome of our work is the evidence of a possible gradient of 553 predation on skipjack tuna by large predators between the eastern and western tropical 554 Pacific Ocean. This finding is supported by previous evidence that suggests that skipjack 555 tuna is a major prey item of conspecifics and top predators in western and central regions 556 of the tropical Pacific Ocean (Allain et al. 2007) and is less important as prey in the 557 eastern region (Olson & Watters 2003). This trend could arise due to regional differences 558 in productivity and prev availability. For example, the eastern tropical Pacific Ocean has 559 large upwelling regions (Fiedler and Tallet 2006) and thereby is highly productive and 560 supports a large biomass and size spectrum of forage items, including epipelagic fishes 561 and small scombrids (e.g. frigate and bullet tunas) that are consumed by top predators. In 562 comparison, the central and western regions are less productive, and thus we may expect 563 that the availability and biomass of mid-trophic level prev species is much lower in these 564 areas. If this is true, then sharks, marlins, and large-bodied tunas are likely to depend 565 more heavily on the large biomass of skipjack tuna for sustenance in the central and 566 western tropical Pacific Ocean than in the eastern region, where there are many 567 alternative prey items. The gradient of predation could also be attributed to the spatial 568 distribution of skipjack tuna. For example, according to the catch of purse seine and pole-569 and-line fisheries, larger-sized skipjack tuna are more abundant in the central Pacific 570 Ocean compared to the western Pacific Ocean (Hoyle et al. 2010). If the mean sizes of 571 skipjack tuna do indeed increase eastward across the Pacific Ocean, then predation on 572 these tunas may be more limited by body size constraints in the eastern region compared 573 to the central and western regions.

574 Our analysis revealed that predation on tunas by large pelagic fishes sampled 575 from purse-seine floating-object sets (primarily FADs) was greater than for those 576 captured via other methods. Specifically, capture method was an important predictor of 577 both the frequency of occurrence and conditional percent mass contribution for both 578 skipjack and yellowfin tunas. In all cases, the occurrence of predation was found to be 579 strongest from samples collected in floating-object sets, suggesting that floating objects 580 may potentially modify the pelagic habitat by aggregating small-sized skipjack. 581 vellowfin, and bigeve tunas and thereby enhancing their vulnerability to predators. It is 582 plausible that capture method might also explain some of the discrepancies in predation 583 intensity estimated from primary and summarized data. For example, the summarized 584 data for sharks and marlins are mostly based on predators captured in local, artisanal 585 fisheries and not by commercial fishing methods. However, differences in predator 586 species composition and capture locations that comprise the primary and summarized 587 data could contribute to the discrepancies as well. Previous authors (e.g. Dempster & 588 Taquet 2004) have voiced concern over the ecological consequences of deploying large 589 numbers of FADs to target highly-valued tunas. Our analysis supports a hypothesis that 590 the use of floating-object sets in pelagic fisheries could be altering trophic interactions 591 and feeding patterns in a way that increases predation pressure on small-bodied tunas.

592

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# Supporting Information

The following Supporting Information is available for this article online.

Appendix S1. Description of the primary data used in the study.

Table S1. List of theses, reports, and peer-reviewed publications from which

summarized food habits data in the eastern tropical Pacific Ocean were obtained.

**Table S2**: Data used to estimate the reproductive potential of yellowfin and skipjack

tunas in the eastern tropical Pacific Ocean.

**Table S3.** Maximum likelihood estimates of fixed effects in the best fitting

 generalized linear mixed models for skipjack and yellowfin tuna.

**Appendix S2.** References for theses, reports, and peer-reviewed publications from which summarized food habits data in the eastern tropical Pacific Ocean were obtained.

# Potential for top-down control on tunas

Table 1: Candidate models used to identify the frequency of occurrence and conditional percent mass contribution of skipjack (SKJ) and yellowfin (YFT) in the diets of apex predators in the eastern Tropical Pacific Ocean based on primary food habits data. The fixed effects, number of parameters (K), and  $\Delta$ AIC values (AIC values – minimum AIC value) for the generalized linear mixed models are shown. The numbers of estimated parameters (K) in YFT models are denoted in parentheses. Each model included an intercept and random effect term (fishing set ID).

		Frequency of occurrence			Composition by mass		
ID	Candidate Models	К	ΔΑΙC <sub>skj</sub>		К	ΔΑΙC <sub>sκj</sub>	
1	Predator	13 (11)	11	0.07	14 (12)	28	16
2	Lat + Lon + Area	7	115	198	8	7	19
3	DataSet + Season	8	122	202	8 (9)	14	29
4	Set/Gear	5	85	175	5 (6)	0	0
5	Predator + Lat + Lon + Area	18(16)	3	4	19 (17)	30	33
6	Predator + Set/Gear	16 (14)	0	0	16 (15)	22	22
7	Predator + DataSet + Season	19 (17)	9	5	19 (18)	35	28
8	Lat + Lon + Area + Set/Gear	10	85	178	10 (11)	7	18
9	Lat + Lon + Area + DataSet + Season	13	106	193	13 (14)	18	43
10	Set/Gear + DataSet + Season	11	88	177	10 (12)	14	23
11	Predator + Lat + Lon + Area + Set/Gear	21 (19)	1	5	21 (20)	29	40
12	Predator + Lat + Lon + Area + DataSet + Season	24 (22)	7	6	24 (23)	40	44
13	Predator + Set/Gear + DataSet + Season	22 (20)	7	1	21 (21)	35	35
14	DataSet + Season + Lat + Lon + Area + Set/Gear	16	89	175	15 (17)	20	39
15	Predator + Lat + Lon + Area + Set/Gear + DataSet + Season	27 (25)	7	3	26	41	50

# Potential for top-down control on tunas

Table 2: List of the predators used in our analysis, the regions from which diet data have been collected for the respective predators, the type of data (summarized vs. primary data) available for each predator, and the total sample sizes of predators for each period in which primary data was collected. East, eastern tropical Pacific; Central, central tropical Pacific; and West, western tropical Pacific. <sup>1</sup>Included as level of predator categorical variable in GLMMs for yellowfin tuna. <sup>2</sup>Predators included in GLMMs for skipjack. nei = not elsewhere included.

Predator	Common name	West	East	Central	Summarized	Primary	1955-1960	1969-1972	1992-1994	2003-2005
Alopias pelagicus	Pelagic thresher shark		х		х	х				3
Alopias superciliosus	Bigeye thresher shark		х		х					
Alopias sp.	Thresher sharks, nei		х	х	х	х			9	
Carcharhinus falciformis <sup>1,2</sup>	Silky shark		х	х	х	х			256	319
Carcharhinus leucas	Bull shark		х		х	х			2	
Carcharhinus limbatus <sup>2</sup>	Blacktip shark		х			х			24	5
Carcharhinus longimanus <sup>1,2</sup>	Oceanic whitetip shark		х	х	х	х			15	6
Carcharhinus sp. <sup>1,2</sup>	Requiem sharks, nei		х			х			37	
Isurus oxyrinchus	Shortfin mako shark		х	х	х	х			3	2
Prionace glauca	Blue shark		х	х	х	х			1	
Sphyrna lewini <sup>1</sup>	Scalloped shark		х		х	х				2
Sphyrna zygaena	Smooth hammerhead shark		х		х	х			15	3
Sphyrna sp. <sup>1,2</sup>	Hammerhead sharks, nei		х			х			29	
Makaira indica <sup>1,2</sup>	Black marlin	х			х	х			22	2
Makaira nigricans <sup>1,2</sup>	Blue marlin		х	х	х	х			9	15
Makaira sp. <sup>1,2</sup>	Black marlin, blue marlin		х			х			1	
Istiophorus platypterus <sup>2</sup>	Indo-Pacific sailfish		х		х	х			47	2
Tetrapturus audax	Striped marlin		x		x	x			8	2
Tetrapturus angustirostris	Shortbill spearfish		x			x				1
Xiphias gladius	Swordfish		х		х					
Istiophoridae, Xiphiidae	Billfishes, nei	х		х	x					
Katsuwonus pelamis	Skipjack tuna		х	х	x	x			135	285
Thunnus albacares <sup>1,2</sup>	Yellowfin tuna	х	х	х	х	х	2272	3114	2895	1071
Thunnus alalunga	Albacore tuna	х	х	х	х	х				3
Thunnus obesus	Bigeye tuna	х	х	х	х	х			38	89
Thunnus orientalis	Pacific bluefin tuna		х		х	х				7
Thunnini	Tunas, nei	х		х	х					
Stenella attenuata <sup>2</sup>	Spotted dolphin		х		x	x			231	2
Coryphaena hippurus <sup>1,2</sup>	Common dolphinfish		х		х	х			41	353
Coryphaenidae <sup>1</sup>	Dolphinfishes		х			х			223	1
Seriola rivoliana <sup>1,2</sup>	Almaco jack		х			х				64
Elagatis bipinnulata <sup>1,2</sup>	Rainbow runner		х			х			32	194
Acanthocybium solandri <sup>1,2</sup>	Wahoo		х			х			113	480

## **Figure Captions**

Figure 1: Sampling locations of predators for which primary data were available. Black circles, years 1955-1960; gray squares, years 1969-1972; open circles, years 1992-1994; open triangles, years 2003-2005.

Figure 2: Overlapping bar plot (all bars start at zero) of the predicted consumption of skipjack (SKJ) and yellowfin (YFT) tunas as a function of predator and fishing method. Predictions were generated from mixture models (also known as delta-normal or two stage hurdle model) fit to the frequency of occurrence and conditional mass (%) of tunas in predators' stomachs determined from primary food habits data. Set/gear types: unassociated sets (black), dolphin sets (white), and floating-object sets (light gray). We do not include predictions for pole-and-line because this fishing method was only used to capture yellowfin tuna during the historical period.

Figure 3: Mean contributions of skipjack (SKJ) and yellowfin (YFT) tunas in the diets of apex predators in the tropical Pacific Ocean. Mean estimates (+SD) are based on summarized data sources. The "Other tunas" category includes albacore, bigeye and Pacific bluefin tunas. Percent by frequency of occurrence, gray; percent by mass, black. NA; data not available.

Figure 4: Percent frequency of occurrence of skipjack (SKJ) and yellowfin (YFT) tunas in the diets of apex predators in the eastern (dark gray), central (light gray), and western (black) tropical Pacific Ocean. Mean estimates (+SD) are based on summarized data

sources. The "Other tunas" category includes albacore, bigeye and Pacific bluefin tunas. NA; data not available.

Figure 5: Frequency (number) of skipjack (SKJ) and yellowfin (YFT) tunas, by body size, consumed by sharks (dark gray bars), marlins (light gray bars), and large-bodied tunas (white bars) in the eastern tropical Pacific Ocean. The dashed black lines represent estimates of the relative reproductive potential of individual skipjack and yellowfin tunas across size classes. The solid black lines denote the body sizes that comprise 90% of tuna catches.

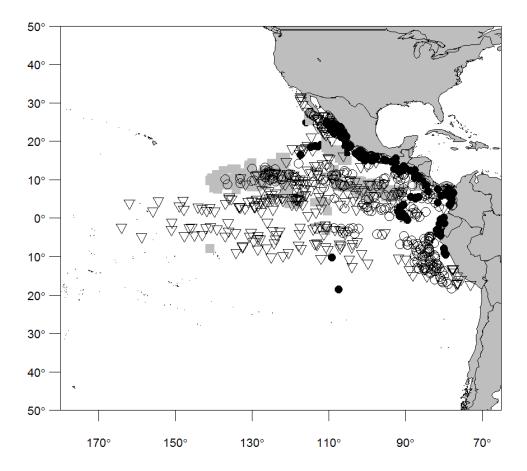
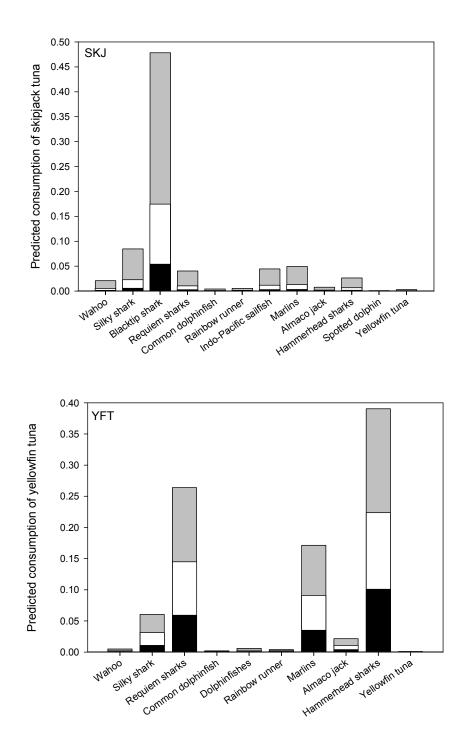


Fig. 1





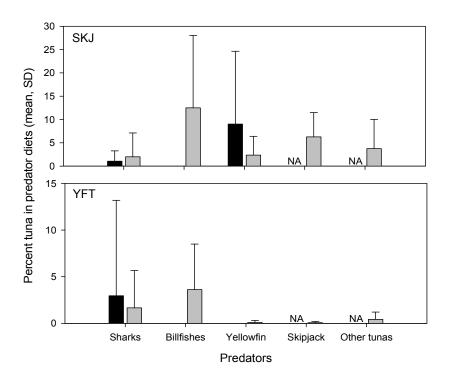


Fig. 3

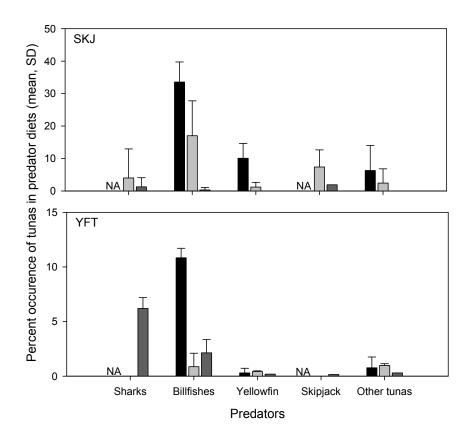


Fig. 4

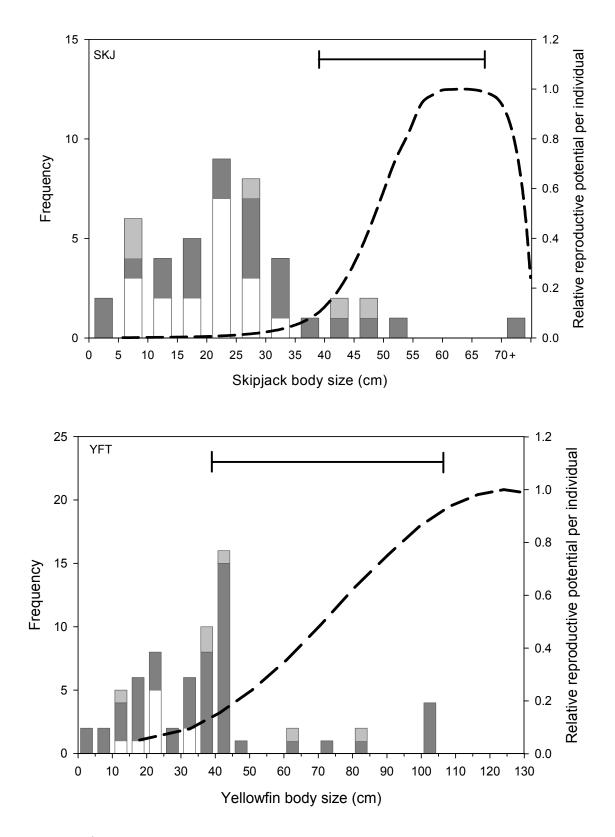


Fig. 5

Potential for top-down control on tunas