

1 **Skin deep: An assessment of New Zealand blue whale skin condition**

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6

7 **Abstract**

8 Skin condition assessment of wildlife can provide insight into individual and population health.  
9 Yet, logistics can limit skin condition assessment of large whales. We developed a standardized,  
10 quantitative protocol using photographs to assess skin condition of blue whales in New Zealand,  
11 and demonstrate the value gained by testing hypotheses, documenting new morphologies, and  
12 establishing baselines that can be monitored for change. We reviewed a photo-identification  
13 catalog to compile common markings, categorized markings according to existing definitions,  
14 and described markings not previously documented. Photographs of blue whale skin (n=1,466)  
15 were assessed to quantify marking prevalence, severity, and co-occurrence patterns. Of the  
16 whales assessed (n=148), 96.6% had cookie cutter shark bites, 80.4% had blister lesions, 56.0%  
17 had pigmentation blazes on the dorsal fin, and 33.7% had holes in the dorsal fin. Additionally,  
18 35.8% had “starburst” lesions, a previously undocumented marking. Blister and cookie cutter  
19 shark bite severity did not accumulate linearly, indicating that the two marking types are  
20 unrelated. There was a positive relationship between blister severity and number of starbursts,  
21 indicating that the two could be related; based on morphological similarities, starburst lesions  
22 may derive from ruptured blisters. Whales with holes in their dorsal fin had significantly higher  
23 blister severity than those without, indicating that these markings could be related; this is  
24 supported by observed blisters on dorsal fins of blue whales. There was a significantly higher  
25 probability of fresher cookie cutter shark bites on whales observed at more northerly latitudes,  
26 but no relationship between blister severity or number of starbursts and latitude. These latitudinal  
27 patterns indicate that blue whales in New Zealand accumulate cookie cutter shark bites at more  
28 northerly latitudes; this finding is supported by the known range of cookie cutter sharks in New  
29 Zealand waters. Of the eight individual whales re-sighted across multiple years, there was no  
30 uniform pattern in lesion change over time, however individual cases revealed lesion healing  
31 over a multi-year timeframe. Our protocol for quantifying skin condition can be applied to any  
32 cetacean photo-identification catalog, and can be used to compare across individuals and  
33 populations, and explore causal links between skin condition and cetacean health.

34

35 **Keywords** (at least 5)

36 Skin condition, Blue whale, New Zealand, Cetacean, Skin lesions, Cookie cutter shark, Health

37

## 38 Introduction

39 Wildlife population health can be difficult to quantify non-invasively. Yet, visual assessment of  
40 external morphology including skin condition has been used as an indicator of underlying  
41 individual and population health in several species including wolves (Oleaga et al., 2011), lemurs  
42 (Berg et al., 2009), and elephants (Wemmer et al., 2006). Markings on cetacean skin have long  
43 been used to identify individuals, and more recently studies have used photographs to assess and  
44 monitor cetacean health (Hamilton and Marx, 2005; Thompson and Hammond, 1992; Van  
45 Bressemer et al., 2003; Wilson et al., 2000). Baseline information on population health is  
46 important for monitoring changes over time. While skin condition of wild cetaceans is difficult  
47 to study due to the logistical constraints of data collection, it can be done non-invasively via  
48 photographs and used to monitor health, as well as impacts of environmental changes and  
49 anthropogenic pressures. Population-level assessments of baleen whale skin lesion prevalence  
50 have proven useful to understand changes over time and infer population health (Hamilton and  
51 Marx, 2005).

52 A new population of blue whales was recently documented in New Zealand (Barlow et al., 2018;  
53 Torres, 2013). These blue whales are genetically distinct from all other documented populations  
54 in the southern hemisphere, are present in New Zealand waters year-round, and have an  
55 estimated population size of 718 individuals (95% CI = 279-1,926) (Barlow et al., 2018).  
56 However, despite evidence for a primary foraging ground in an industrial region of New Zealand  
57 known as the South Taranaki Bight (STB), little is known about this blue whale population's  
58 ecology, migration and residency patterns, reproductive rates, exposure to anthropogenic threats,  
59 or health. As a newly documented population, efforts to establish baseline information about  
60 their biology and ecology are important to support conservation management. A study of 31  
61 photo-identified blue whales in New Zealand conducted by Olson et al. (2015) qualitatively  
62 described the whales as exhibiting "poor skin condition, with numerous scars from lesions and  
63 cookie cutter shark bites", comparable in appearance to blue whales observed in Australia and  
64 better in appearance than blue whales observed in Antarctica. However, no quantitative  
65 assessment of skin condition has been conducted to-date for New Zealand blue whales. In this  
66 study we maximize the value of blue whale photographs captured in the field for identification  
67 purposes to evaluate skin condition health of this New Zealand blue whale population.

68 Blue whales caught by commercial whaling operations in the Southern Hemisphere were  
69 described as having characteristic "pits" on their sides and flanks (Mackintosh and Wheeler,  
70 1929). The wounds were subsequently attributed to a small squaloid shark of the genus *Isistius*,  
71 commonly known as cookie cutter shark (Jones, 1971). The characteristic round cookie cutter  
72 shark bite wounds have also been observed on swordfish (Papastamatiou et al., 2010), large  
73 sharks (Hoyos-Padilla et al., 2013), and other marine mammal species including baleen whales  
74 (Best and Photopoulou, 2016; Mackintosh and Wheeler, 1929), dolphins (Heithaus, 2001),  
75 porpoises (Van Utrecht, 1959), and pinnipeds (Gallo-Reynoso and Figueroa-Carranza, 1992; Le  
76 Boeuf et al., 1987). Although knowledge on the global distribution of cookie cutter sharks is  
77 limited, it is believed that their geographic range is circumpolar, in tropical and subtropical  
78 waters (Jahn and Haedrich, 1987; Jones, 1971; Nakano and Tabuchi, 1990). It has therefore been

79 suggested that cookie cutter shark bite wounds on marine mammals can be indicative of the  
80 migration routes and geographic range of large baleen whales (Best and Photopoulou, 2016). In  
81 addition to cookie cutter shark bites, other markings on blue whale skin have been documented  
82 worldwide, including blister-like lesions, a tattoo-like skin disease, and scars from killer whale  
83 (*Orcinus orca*) predation (Brownell et al., 2007; Martinez-Levasseur et al., 2011; Olson et al.,  
84 2015).

85 Understanding the type, prevalence, and occurrence patterns of skin markings on cetaceans can  
86 provide insight into individual and population health, healing rates, vulnerability to infections,  
87 energetic costs, and ecological associations (*e.g.*, oceanographic conditions, movement patterns)  
88 that would otherwise require logistically difficult or invasive data collection methods. The  
89 objectives of this study are to assess markings on the skin of New Zealand blue whales in a  
90 quantitative, repeatable manner, to describe skin condition patterns. It is imperative that any  
91 population assessment be conducted in a rigorous manner, so that the methodology can be  
92 repeated for other time periods or populations, and linkages can be made between data streams to  
93 infer causal links. We reviewed our existing photo-identification catalog to compile commonly  
94 observed markings. We conducted a thorough literature review to categorize observed markings  
95 according to prior descriptions when possible, and described markings not previously  
96 documented in the literature. We used our compiled data on blue whale skin condition in New  
97 Zealand to develop and test a series of hypotheses about marking prevalence, severity, and co-  
98 occurrence patterns. This comprehensive skin condition assessment establishes a baseline  
99 understanding of New Zealand blue whale skin condition and sheds light on their biology and  
100 ecology.

101

## 102 **Methods**

103 *Data sources:* Photographs of blue whales were obtained from dedicated vessel-based surveys of  
104 blue whales in the STB region, which lies between the north and south islands of New Zealand,  
105 and from opportunistic photographs taken around New Zealand (data detailed in Barlow et al.  
106 2018). These data included over 1,900 photographs of blue whales collected between 2004 and  
107 2018. Using standard photo-identification methods (Sears et al., 1990) 162 individual blue  
108 whales were identified in this dataset. Age was unknown for all whales. Calves were identified if  
109 accompanied by an adult whale, and all other whales were classified as adults as it was not  
110 possible to distinguish juveniles from adults. All photos of each individual whale were pooled by  
111 calendar year for further assessment.

112 *Skin condition definitions:* Photographs were first reviewed to obtain reference images of  
113 markings frequently seen on blue whales in New Zealand. Next, a thorough literature review was  
114 conducted to determine whether these markings had been previously identified in any cetacean  
115 population. Markings not described in the existing literature were given a definition, otherwise  
116 previously published definitions were applied.

117 Markings on blue whales were classified into three categories: lesions, blazes, and holes (Table  
118 1). Lesions were defined as a class of marks that leave a depression or white scar (Hamilton and

119 Marx, 2005). Blazes were considered to be an area of light-colored pigmentation in a swath on  
120 the leading edge of the dorsal fin. Holes were classified as any circular, hollow punctures  
121 through the dorsal fin, excluding notches or tears (Table 1).

122 Lesions were further categorized into sub-classes: cookie cutter shark bites, blister-like vesicles  
123 (hereafter referred to as “blisters”), and starbursts. Cookie cutter shark bites were defined as  
124 small, crater-like wounds of variable depth that are round, oval, or crescent in shape (Best and  
125 Photopoulou, 2016; Dwyer and Visser, 2011; Jones, 1971). Cookie cutter shark bites were  
126 subsequently further classified by phase of healing based on bite morphology and pigmentation  
127 (Table 1). Blisters were defined as air or fluid-filled elevations of the epidermis, observed either  
128 as a single lesion or a cluster, distinguishable from mottled skin pigmentation by their three-  
129 dimensionality (Brownell et al., 2007; Hamilton and Marx, 2005). Blisters were of comparable  
130 size to cookie cutter shark bites or slightly larger, but rounder in shape than the typically oval  
131 bite marks (Brownell et al., 2007). Starbursts were not previously described in the literature, yet  
132 we noted their presence on the epidermis of many blue whales; hence, we introduce this new  
133 skin lesion type, defined as light-colored markings with a clear central origin and spindly tendrils  
134 that extend outward, away from the origin (Table 1).

135 When lesion presence was determined, the photographs were evaluated for lesion severity.  
136 Reference images for each severity category were obtained and agreed upon by all analysts prior  
137 to the assessment process. Each severity category for cookie cutter shark bite and blister lesions  
138 was assigned a numerical score (Table 2).

139 *Data processing:* To minimize bias arising from how much of the whale’s body was visible in  
140 the photographs, we designated an “assessment area” of the body (Hamilton and Marx, 2005).  
141 The assessment area was defined by creating a gridded box and overlaying it on the photo(s) in  
142 which the greatest amount of the whale’s body was visible for each identified individual, using  
143 the dorsal fin as a visual control. The box was aligned so that the upper bound crossed the  
144 intersection of the trailing edge of the dorsal fin and the dorsal ridge, and resized so that 25% of  
145 the box was caudal to the dorsal fin and 75% was cranial to the dorsal fin (Fig. 1). For each  
146 whale assessed, the percentage of the assessment area visible above the water was recorded for  
147 each side of the whale. Images of each assessed whale with the assessment area illustrated (e.g.,  
148 Fig. 1) were compiled for skin condition assessment by analysts.

149 Photo brightness, sharpness, contrast, and saturation were manipulated in the Windows Photos  
150 application to increase visibility of markings. Each assessment was also given an overall photo  
151 quality score of poor, fair, good, or excellent based on distance, clarity, brightness, and focus of  
152 the images (Supplementary material, Table S1). The skin condition assessment was conducted  
153 for the side with poorer quality photos first to minimize observer bias from assumptions based on  
154 prior knowledge of markings. All individuals photographed in each calendar year were evaluated  
155 for the presence or absence of lesions, blazes, and holes within the assessment area. For cookie  
156 cutter shark bites and blisters, the overall severity score was also recorded (Table 2). For cookie  
157 cutter shark bites, the presence or absence of each phase (Table 1) was also determined. For  
158 starbursts, the number of starburst lesions within the assessment area was counted for each side

159 of the whale. Where presence or severity could not be determined due to photo quality it was  
160 scored as “could not be determined” (CBD).

161 Two analysts (DRB and ALP) conducted the skin condition assessment for all blue whales  
162 independently. The reference images demarking the assessment area for each individual ensured  
163 that the two analysts evaluated exactly the same area on the whale. Subsequently, the two  
164 independent assessments were reconciled and in instances where there was a difference (n=19,  
165 12.8%) a third, independent analyst (LGT) assessed the photographs for which there was a  
166 discrepancy to assign the final classifications and scoring. This process was meant to minimize  
167 bias from any one observer and ensure that our assessment scoring was robust (Bradford et al.,  
168 2009; Maldini et al., 2010; Yang et al., 2013).

169 *Statistical Analysis:* Once the data were processed, statistical analyses were conducted to test a  
170 series of six hypotheses (Table 3). A portion of the photo-identification dataset only included  
171 images of one side of the whale. Therefore, we tested the hypothesis that (H<sub>1</sub>) skin condition on  
172 one side of a whale was representative of the other side. We compared markings on both sides of  
173 whales where data were available and photo quality was rated as fair or better. Paired t-tests were  
174 used to compare cookie cutter shark bite and blister severity scores, the number of starbursts, and  
175 blaze presence between the left and right sides.

176 The occurrence rate of skin lesions was assessed by calculating the proportions of whales with  
177 no, mild, medium, and severe cookie cutter shark bites and blisters. This assessment was  
178 conducted separately for adults and calves to address the hypothesis that (H<sub>2</sub>) these lesions  
179 accumulate with age, and therefore could potentially be used as a proxy for age class. To test the  
180 hypothesis that (H<sub>3</sub>) whales accumulate cookie cutter shark bite and blister severity at a similar  
181 rate, a confusion matrix was generated to examine their co-occurrence pattern. A heatmap was  
182 produced from the confusion matrix to visualize this co-occurrence pattern.

183 We hypothesized that (H<sub>4</sub>) starburst lesions derive from blisters, and evaluated this theory by  
184 assessing the relationship between starbursts and blister severity, and starbursts and cookie cutter  
185 shark bite severity. The total number of starbursts counted per whale were first standardized by  
186 the proportion of the assessment area evaluated (left-side count + right-side count \* proportion of  
187 total assessment area visible on both sides). The standardized number of starbursts metric was  
188 log-transformed for further analysis to account for skew toward zero. Linear regression was used  
189 to examine the relationship between the standardized number of starbursts and both blister  
190 severity score and cookie cutter shark bite severity score, using the relationship with cookie  
191 cutter shark bites to test the null hypothesis that starburst are not related to cookie cutter shark  
192 bites.

193 Furthermore, we also hypothesized that (H<sub>5</sub>) holes in the dorsal fin of blue whales derive from  
194 ruptured blisters on the dorsal fin. T-tests were used to test for any difference in blister severity  
195 score, cookie cutter shark bite severity score, and standardized number of starbursts between  
196 whales with and without holes in their dorsal fin.

197 Like other studies (Best and Photopoulou, 2016; Dwyer and Visser, 2011; Jones, 1971) we  
198 hypothesize (H<sub>6</sub>) that the phase (freshness) of cookie cutter shark bites on blue whales is related

199 to their latitudinal occurrence. We compared the proportion of whales with phase 1 or 2 cookie  
200 cutter shark bites north of  $-39^{\circ}$  to those south of  $-39^{\circ}$ , because the southernmost record of a  
201 cookie cutter shark in New Zealand waters is  $\sim -39^{\circ}$  latitude (Dwyer and Visser, 2011). To further  
202 quantify whether there was a relationship between cookie cutter shark bite freshness and latitude,  
203 the presence or absence of phase 1 and 2 bites as a function of latitude was tested using a logistic  
204 regression. Null hypotheses of relationships between latitude and lesions were also tested using  
205 linear regression between blister severity score and latitude, and standardized number of  
206 starbursts and latitude.

207 For the individuals photographed in multiple years, the change in cookie cutter shark bite and  
208 blister severity between sightings was evaluated. Where possible, healing progression of  
209 individual lesions over time was assessed for each individual whale.

210 All statistical analyses and plotting were conducted using R, version 3.5.0.

211

## 212 **Results**

213 Of all the photographs reviewed ( $n > 1,900$ ), the assessment area of the whale was visible in 1,466  
214 images. After removing poor-quality photographs, 148 photo-identified whales were retained in  
215 the dataset for further analysis. Of these 148, there were eight individuals sighted in multiple  
216 years, one of which was sighted in four separate years (2010, 2014, 2016, and 2017). Therefore,  
217 our final dataset contained 138 unique individual blue whales photographed around New  
218 Zealand.

219 Using individuals with fair or better quality photographs for both sides ( $n=23$ ), we determined no  
220 significant difference in cookie cutter shark bite severity score, blister severity score, presence or  
221 absence of blazes, or the number of starbursts between the left- and right-hand sides (paired t-  
222 tests,  $t < 1.2$ ,  $p > 0.2$  for all). With this support for  $H_1$  we justifiably assumed the same skin  
223 markings were applicable for both sides of whales where only one side was photographed or  
224 photo quality was poor for one side.

225 *Marking rates and severity:* Of the whales assessed ( $n=148$ ), 96.6% had cookie cutter shark  
226 bites, 80.4% had blisters, 35.8% had starbursts, 56.0% had blazes, and 33.7% had holes in their  
227 dorsal fin. The proportion of whales with mild, medium, and severe cookie cutter shark bite  
228 severity scores were about equal, and this was true for both adults ( $n=137$ ) and calves ( $n=11$ ;  
229 Fig. 2). No blistering was observed for 16.0% of adults, and blister severity was scored as  
230 medium or severe for 50.3% of adult whales. In contrast, 63.6% of calves had no blistering  
231 present, 18.1% had mild blister severity, and no calves had medium or severe blistering (Fig. 2),  
232 lending support for  $H_2$ . More whales were given a score of CBD for blister severity than for  
233 cookie cutter shark bite severity, indicating that once presence of the lesion was determined,  
234 severity was more difficult to ascertain for blisters than for cookie cutter shark bites (Fig. 2).

235 *Co-occurrence of marking types:* According to the confusion matrix and heat map (Fig. 3) blister  
236 severity and cookie cutter shark bite severity do not accumulate linearly, thus rejecting  $H_3$ . The  
237 most common co-occurrence pattern was medium cookie cutter shark bites with medium

238 blistering, followed by severe cookie cutter shark bites with no or mild blistering, and mild  
239 cookie cutter shark bites with severe blistering (Fig. 3).

240 Support for H<sub>4</sub> is provided by the significant positive linear relationship between the  
241 standardized number of starbursts and blister severity score (linear regression,  $R^2=0.124$ ,  
242  $F_{1,115}=16.32$ ,  $p<0.001$ ; Fig. 4A). There was a weaker negative linear relationship between  
243 standardized number of starbursts and cookie cutter shark bite severity score (linear regression,  
244  $R^2=0.041$ ,  $F_{1,113}=4.865$ ,  $p=0.029$ ; Fig. 4B).

245 Whales with holes in their dorsal fin had higher blister severity scores ( $n=50$ , mean score=2.5)  
246 than those without ( $n=134$ , mean score=1.3), and this difference was statistically significant (t-  
247 test,  $t= -6.477$ ,  $df=31.751$ ,  $p<0.001$ ). In contrast, there was no significant difference in cookie  
248 shark cutter bite severity score between whales with and without holes in their dorsal fin (t-test,  
249  $t= -0.012$ ,  $df=15.07$ ,  $p=0.99$ ). These results support H<sub>5</sub>.

250 *Regional patterns in lesion severity and phase:* Of the whales photographed north of  $-39^\circ$  ( $n=46$ ),  
251 76% had phase 1 or 2 cookie cutter shark bites present. In contrast, 57.1% of whales  
252 photographed south of  $-39^\circ$  ( $n=133$ ) had phase 1 or 2 cookie cutter shark bites. A logistic  
253 regression of the presence of phase 1 or 2 cookie cutter shark bites as a function of latitude  
254 showed a significantly higher probability of fresher bites at the more northerly latitudes (odds  
255 ratio=1.332,  $z=2.535$ ,  $df=115$ ,  $p=0.011$ ). There was no significant relationship between blister  
256 severity score and latitude (linear regression,  $R^2=0.035$ ,  $F_{1,103}=3.793$ ,  $p=0.054$ ). Similarly, there  
257 was no relationship between the standardized number of starbursts and latitude (linear  
258 regression,  $R^2=0.002$ ,  $F_{1,91}=0.201$ ,  $p=0.654$ ). These results support H<sub>6</sub> that New Zealand blue  
259 whales accumulate fresh cookie cutter shark bites more often when in more northerly latitudes.

260 *Changes in lesion severity over time:* Of the eight individual whales re-sighted across multiple  
261 years, there was no uniform pattern in lesion change over time. Of the re-sighted whales for  
262 which blister severity could be assessed in multiple sightings ( $n=6$ ), severity declined for one  
263 individual (1 to 0 over a five-year period), increased for one individual (2 to 3 over a seven-year  
264 period), and remained unchanged for four individuals (Supplementary material, Figure S1).

265 Cookie cutter shark bite severity increased for two individuals (0 to 1 over a one-year period and  
266 1 to 2 over a three-year period, respectively), declined for one individual (3 to 2 over a seven-  
267 year period), and did not change for five individuals (Supplementary material, Figure S2).  
268 However, examination of healing of individual cookie cutter shark bites over time for one  
269 individual whale revealed progression from phase 2 to 3 over a 38-month period, from phase 2 to  
270 4 over a 38- to 69-month period, and from phase 3 to 4 over a 31- to 69-month period (Fig. 5). It  
271 should be noted that these healing times represent a maximum amount of time between healing  
272 phases considering the gaps between photographic records.

273

## 274 **Discussion**

275 In this study, we developed and applied a standardized skin condition assessment protocol to  
276 successfully test multiple hypotheses about the skin condition of blue whales in New Zealand

277 (Table 3). We document high rates of both cookie cutter shark bites and blister lesions,  
278 pigmentation blazes on over half of the population, describe and quantify a new lesions type—  
279 starburst—and demonstrate its association with blisters, present evidence that holes in dorsal fins  
280 may derive from blisters, demonstrate that fresher cookie cutter shark bites are more prevalent in  
281 lower latitudes, and provide the first description of lesion healing patterns in individual blue  
282 whales over time. These methods can be applied widely to any cetacean photo-identification  
283 catalog containing dorsal fin images, which would allow for standardized comparative studies  
284 across populations and time periods.

285 New Zealand blue whales have a very high rate of cookie cutter shark bite presence, with 96.6%  
286 of the photographed individuals exhibiting cookie cutter shark bites. In comparison, 69.2% of  
287 blue whales photo-identified in Chile had cookie cutter shark bites present (Brownell et al.,  
288 2007). The calves examined in this study also exhibited severe cookie cutter shark bites despite  
289 their young age. In the case of sei whales caught by commercial whaling operations off the coast  
290 of South Africa, it was determined that cookie cutter shark bite accumulation was higher for  
291 lactating females, suggesting that increased time spent near the surface and slow movement of  
292 mother-calf pairs may leave them more vulnerable to cookie cutter shark attacks (Best and  
293 Photopoulou, 2016).

294 The distribution of cookie cutter sharks is thought to be circumpolar in tropical and subtropical  
295 waters, with a geographic range that is limited by water temperature (Jahn and Haedrich, 1987;  
296 Jones, 1971; Nakano and Tabuchi, 1990). The southernmost record of a cookie cutter shark is -  
297 41°40', near Tasmania (Best and Photopoulou, 2016), and a cookie cutter shark specimen has  
298 been caught as far south as -39° in New Zealand waters (Dwyer and Visser, 2011). The finding  
299 from this study that the probability of fresher bites is greater at more northerly latitudes supports  
300 the notion that in New Zealand, the geographic range of blue whales overlaps partially with the  
301 geographic range of cookie cutter sharks. Similarly, killer whales photographed in Antarctic  
302 waters frequently exhibited scars from healed cookie cutter shark bite wounds, whereas open bite  
303 wounds were more commonly observed on killer whales sighted in northern New Zealand waters  
304 (Dwyer and Visser, 2011). Furthermore, the presence of severe, fresh cookie cutter shark bites on  
305 blue whale calves may indicate time spent in warmer, lower latitude waters during calving and  
306 nursing. A preliminary evaluation of cookie cutter shark bite freshness (phase) between seasons  
307 from the data included in this study revealed no clear temporal trends, however differences in  
308 sample size between different times of year prevented a more rigorous statistical examination of  
309 temporal trends in cookie cutter shark bite freshness (Supplementary material, Table S2).

310 We determined that New Zealand blue whales also have a high rate of blister presence (80.4%).  
311 Blister lesions have been reported previously on blue whale skin in New Zealand (Olson et al.,  
312 2015), Chile (Brownell et al., 2007), and the Gulf of California, Mexico (Martinez-Levasseur et  
313 al., 2011). In Chile, blister lesions were observed on 76.4% of photo-identified blue whales  
314 (Brownell et al., 2007). The rate of blister presence for blue whales in the Gulf of California was  
315 reported to be between 60-80%, which is substantially higher than the prevalence of blisters for  
316 sperm whales (*Physeter macrocephalus*, 40-60%) and fin whales (*Balaenoptera physalus*, 0-  
317 10%) in the same study region (Martinez-Levasseur et al., 2011). Blisters have also been

318 reported for 17.3% of North Atlantic right whales (*Eubalaena glacialis*) photographed in the  
319 Cape Cod Bay and Bay of Fundy regions of the northeast coast of North America (Hamilton and  
320 Marx, 2005). It therefore appears that blue whales may exhibit higher blister prevalence than  
321 other large whale species, and that among blue whale populations, the New Zealand population  
322 may exhibit comparable or somewhat elevated rates of blister presence.

323 The hypothesis that cookie cutter shark bite and blister severity accumulate at a similar rate ( $H_3$ )  
324 was rejected (Figure 3, Table 3), indicating that the causes for these two lesion types are distinct  
325 and unrelated. There was a positive relationship between blister severity and the number of  
326 starburst lesions, indicating that these two lesion types could be related ( $H_4$ ). Based on  
327 morphological characteristics, it is possible that ruptured blisters could lead to starburst lesions,  
328 however this causal link is purely speculative. Blister severity was also significantly higher for  
329 whales with a hole in the dorsal fin, once again indicating a relationship between the two skin  
330 morphologies ( $H_6$ ). Blisters were observed on the dorsal fins of blue whales in several cases (Fig.  
331 6), lending support to this hypothesis. While the cause of blistering on cetacean skin is not  
332 definitively known or linked to a single causal factor, ultraviolet (UV) radiation from sun  
333 exposure has been suggested as a possible cause (Martinez-Levasseur et al., 2011). Light  
334 pigmentation may make blue whales particularly vulnerable to UV radiation and consequently  
335 increased blistering. In the STB of New Zealand, blue whale prey is surface oriented leading to  
336 the frequent observation of surface feeding during the austral summer (Torres et al. *In revision*),  
337 which may also result in more UV exposure during summer foraging. Although not quantified in  
338 this study, analysts noted that blistering appeared common on the caudal peduncle of blue  
339 whales, which may be a region of the whale subjected to increased UV radiation, lending support  
340 to this hypothesis.

341 The hypothesis that skin lesions accumulate over time and can be used as a proxy for age class  
342 ( $H_2$ ) could not be fully explored in this study due to a low sample size of individual re-sightings  
343 and no known-age individuals (other than calves). It would therefore be valuable for this skin  
344 condition assessment method to be applied to cetacean photo-identification catalogs for  
345 populations with more re-sightings and known-age individuals. In addition to accumulating  
346 markings over time, some lesions heal over time, as evidenced by the example in Figure 5. A  
347 skin condition assessment with more re-sighted individuals than available for this study would  
348 therefore also be useful in elucidating rates of lesion accumulation and healing over time.

349 In this study, we did not observe the tattoo-like skin disease documented on a blue whale in  
350 Chile (Brownell et al., 2007) or rake mark scars from killer whale predation that were described  
351 for blue whales in Gulf of California (Martinez-Levasseur et al., 2011). The tattoo-like skin  
352 disease observed on blue whales Chilean waters (Brownell et al., 2007) is caused by poxviruses,  
353 and has been observed on marine mammal species worldwide, including small odontocetes in  
354 New Zealand (Van Bressemer et al., 2009). Based on our assessment, poxvirus appears to be  
355 absent from the New Zealand blue whale population at the time of this study. Killer whales do  
356 not prey primarily on marine mammals in New Zealand waters; rather they specialize on feeding  
357 on elasmobranchs such as stingrays (Visser, 1999, 2005). The absence of killer whale rake mark

358 scars in our study implies that blue whales are not a target of killer whale predation in New  
359 Zealand.

360 In order to infer causes and health implications of skin markings on cetaceans, future studies  
361 would benefit from pairing skin condition with other data streams such as stress and reproductive  
362 hormone levels and body condition. While we have documented seemingly high rates of blister  
363 lesions and cookie cutter shark bites in the New Zealand blue whale population, it remains to be  
364 seen whether there are associated health implications such as increased stress levels, energetic  
365 costs, or infection risk. The comprehensive protocol for quantifying skin condition from any  
366 cetacean photo-identification catalog established in this study sets the stage for pairing skin  
367 condition information with other data streams to explore causal links between skin condition and  
368 underlying health of cetacean populations. Precautions taken to minimize bias included a  
369 standardized assessment area, reviewing poor-quality photographs first, and assessment by  
370 multiple observers. The methods are repeatable and can be utilized to obtain baseline  
371 information, test hypotheses (Table 3), and track changes in skin condition over time, all at both  
372 the individual and population level.

373 Cetacean health and physiology is difficult to study and monitor due to the logistical limitations  
374 of studying wild cetaceans, particularly large baleen whales (*e.g.*, they cannot be held in  
375 captivity, skin tissue samples are difficult to collect non-invasively, and repeated samples of  
376 individuals can be difficult to obtain). Skin condition is variable within and between populations,  
377 and is likely indicative of both extrinsic factors such as sun exposure, prey availability and  
378 quality, and pollution, as well as intrinsic factors such as stress hormone levels, body condition,  
379 nutritional state, and immune system status. Skin condition can be assessed non-invasively via  
380 photographs, and many extensive photographic catalogs exist for wild cetacean populations  
381 worldwide. These catalogs allow for comparison of individuals and populations over time, as  
382 well as comparison between populations and species. We recommend that such photographic  
383 archives be used to explore biological questions about skin marking sources and implications,  
384 and ultimately be used to evaluate individual and population health for cetaceans.

385

### 386 **Author Contributions**

387 DRB contributed to project development, data collection, and data processing, led data analysis,  
388 and led manuscript writing. ALP led skin condition assessment protocol development, led data  
389 processing, and contributed to manuscript preparation. LGT conceived of the project, acquired  
390 funding for fieldwork, oversaw data collection and analysis, and contributed to manuscript  
391 preparation.

392

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398

### 399 **Conflict of Interest Statement**

400 The authors have no conflict of interest to declare.

401

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417

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508

509

511 **Table 1.** Definitions and reference images for each class and subclass of skin condition markings  
512 evaluated.

Marking	Definition	Subcategories	Reference image
Lesion	A class of marks that leave a depression or bright white scar.	<p><b>Blister:</b> An air or fluid-filled elevation of the epidermis, usually round in shape, that can be singular or clustered. Distinguishable from mottling by three-dimensionality.</p> 	
		<p><b>Starburst:</b> A white or light-colored marking with a clear central origin and tendrils that extend outward, away from the origin.</p> 	
		<p><b>Cookie cutter shark bite:</b> Small, crater-like wounds of variable depth that are round, oval, or crescent in shape, (Best and Photopoulou, 2016; Dwyer and Visser, 2011; Jones, 1971)</p> <p><i>Phase 1: Initial bite, with unhealed morphology. Subdermal tissue is apparent, pink in color (Best and Photopoulou, 2016; Dwyer and Visser, 2011).</i></p> <p><i>Phase 2: Intermediately healed morphology. Contraction of the epidermis is evident, edges of the wound are poorly-defined and the tissue is granulating, often yellow or brown in color (Best and Photopoulou, 2016; Dwyer and Visser, 2011).</i></p> <p><i>Phase 3: Healed wound with a bright, white scar; can be smooth or leave a depression (Brownell et al., 2007; Dwyer and Visser, 2011).</i></p> <p><i>Phase 4: Completely healed wound that leaves a divot, with returned pigmentation.</i></p> 	
Blaze	An area of light-colored pigmentation in a swath on the leading edge of the dorsal fin.		
Hole	Any circular, hollow punctures through the dorsal fin, excluding notches or tears.		

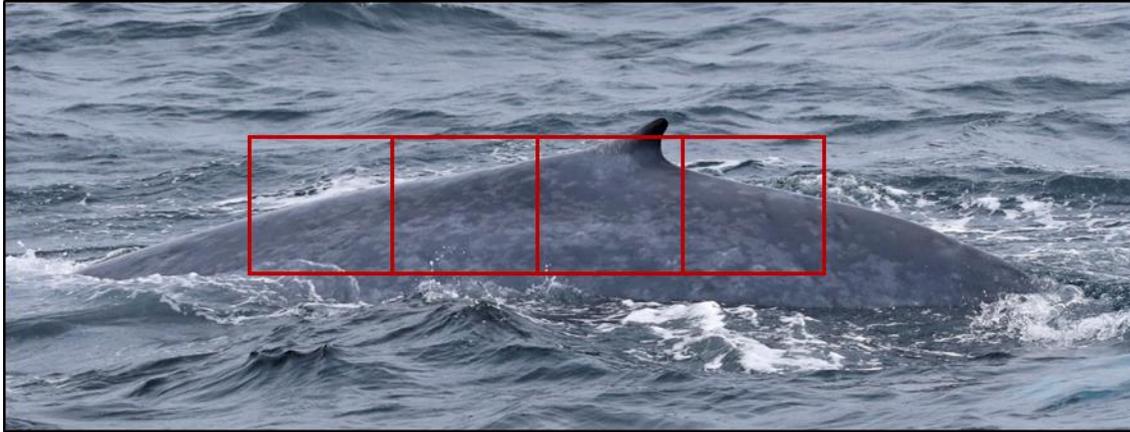
513 **Table 2.** Definitions and reference images for each severity category for cookie cutter shark bite  
 514 and blister lesions. In cases where photos were assessed and no cookie cutter shark bites or  
 515 blisters were present, the whale was given a score of 0. In cases where the severity could not be  
 516 determined, the whale was given a score of “CBD”.

<b>Marking</b>	<b>Mild (1)</b>	<b>Medium (2)</b>	<b>Severe (3)</b>
Cookie cutter shark bites			
	<5 bites in assessment area	5-15 bites in assessment area	>15 bites in assessment area
Blisters			
	<5 individual blisters, or one group of blisters in assessment area	>5 blisters, but <50% of the assessment area covered with blisters	>50% of the assessment area covered in blisters

517

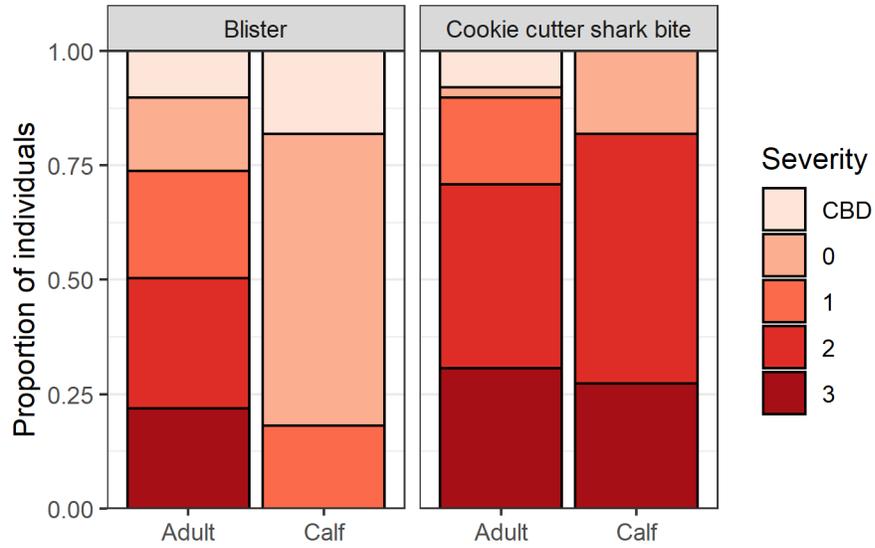
**Table 3.** Hypotheses tested in this study, and the result for each.

	<b>Hypothesis</b>	<b>Result</b>
<b>H<sub>1</sub>:</b>	Skin condition on one side of whale is representative of the other side.	Supported
<b>H<sub>2</sub>:</b>	Lesions accumulate with age and can be used a proxy for age class, therefore adults will have higher cookie cutter shark bite and blister severity scores.	Inconclusive (rejected for cookie cutter shark bites, supported for blisters)
<b>H<sub>3</sub>:</b>	Whales accumulate cookie cutter shark bite and blister severity at a similar rate.	Rejected
<b>H<sub>4</sub>:</b>	Starburst lesions are derived from blisters, and will therefore be positively related to blister severity score and not cookie cutter shark bite severity score.	Supported
<b>H<sub>5</sub>:</b>	Holes in the dorsal fin are derived from blistering, therefore whales with holes in their dorsal will have higher blister severity scores.	Supported
<b>H<sub>6</sub>:</b>	Cookie cutter shark bite phase is related to latitude, and fresher bites are more likely at more northerly latitudes.	Supported



520

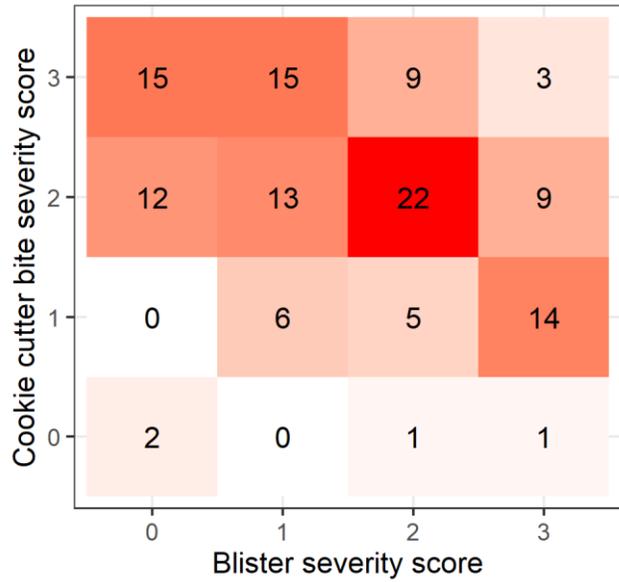
521 **Figure 1.** Standardized area for all skin condition assessments. The rectangular assessment area  
522 is aligned such that 25% of the total area is caudal to the dorsal fin, and 75% is cranial to the  
523 dorsal fin. In this image, 100% of the assessment area is visible.



524

525 **Figure 2.** Blister lesion and cookie cutter shark bite severity for adults (n=137) and calves  
 526 (n=11). A score of 0=no lesions present, 1=mild, 2=medium, 3=severe, and CBD=severity could  
 527 not be determined.

528

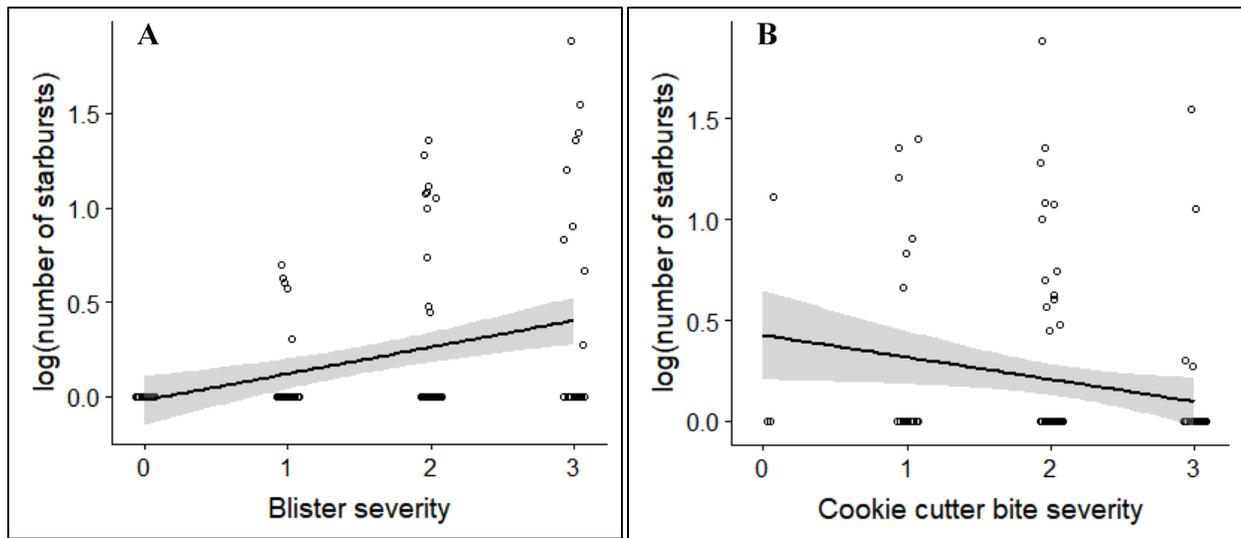


529

530 **Figure 3.** Heatmap showing the pattern of co-occurrence in blister and cookie cutter shark bite  
 531 severity (n=127). Numbers and colors within each square represent the number of cases for each  
 532 co-occurrence pattern.

533

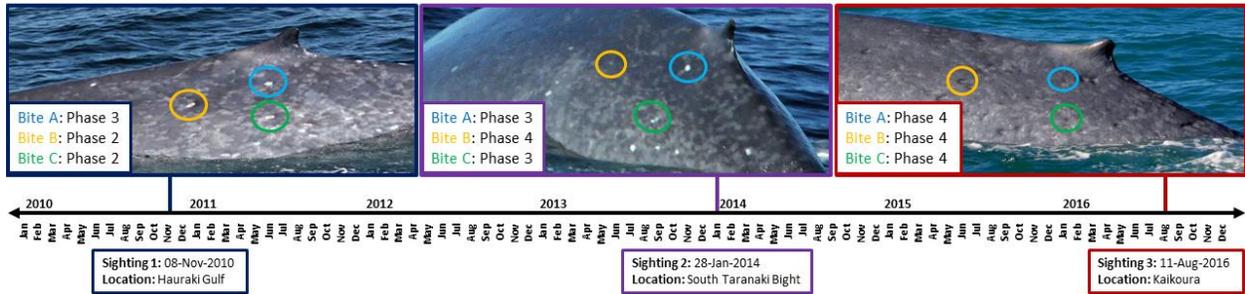
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535

536 **Figure 4.** Number of starburst lesions relative to (A) blister severity score, and (B) cookie cutter  
537 shark bite severity score. The number of starbursts represents the total count of starbursts within  
538 the assessment area for both sides, standardized by the percentage of the assessment area that  
539 was visible. Number of starbursts was log-transformed to account for skew toward zero.

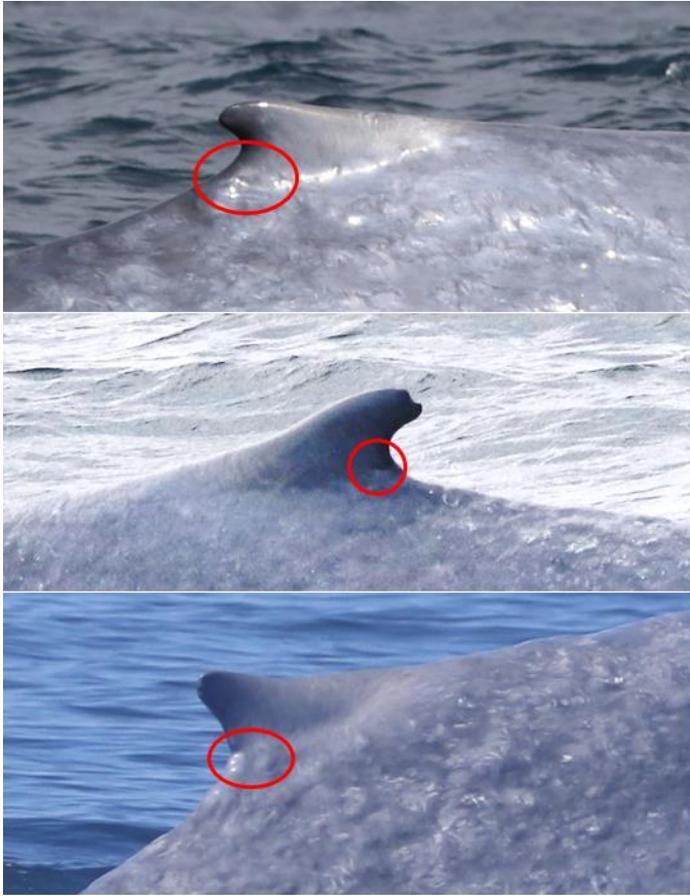
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541

542 **Figure 5.** Timeline for cookie cutter shark bite healing for one individual blue whale that was re-  
 543 sighted three times over a seven-year period. Example bites are circled in each image, where  
 544 corresponding color denotes the same marking at each sighting over time.

545



546

547 **Figure 6.** Example photographs of a blisters on the dorsal fins of three different blue whales.