

1 **MARINE SPECIES INVASIONS IN ESTUARIES AND HARBORS**

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8 **INTRODUCTION**

9 In regard to species that migrate or are introduced from one locality to another, there has been
10 and still is an unfortunate disconnect between biogeography and ecology. For many years
11 biogeographers have recognized the existence of continuous invasions or migrations among the
12 world's regions and provinces (Briggs 1974). More recently, marine ecologists have been
13 interested in examining the contemporary effects of invasive species at the community level
14 (Ricklefs 1987, Witman et al. 2004, Karlson et al. 2004). The biogeographic and ecological studies
15 agree in three respects: (1) there is a continuous movement of species among areas and
16 communities, (2) such movements almost always involve migrations from locations that are
17 relatively species rich to those that are relatively poor, and (3) species that colonize new
18 communities are generally accommodated by the native species that occupy the appropriate
19 habitats. The term "accommodation" refers to a proposed rule which states that, if an exotic
20 species colonizes a native ecosystem, it is permitted to do so by an accommodation on the part of
21 the native species that occupies appropriate habitat (Briggs 2010). Accommodation means the
22 yield of living space or the provision of support to the invader as the result of competitive
23 pressure, including special relationships described as niche compression, niche sharing, facilitation,
24 or mutualism. When such special relationships are not identified, it would seem prudent to use
25 accommodation as an inclusive term. The ultimate goal of restoration ecology should be the
26 introduction or reintroduction of large-size, apex-level predators. Despite the numerous ongoing
27 restoration projects, there are no indications of improvements to the extent that this goal can be
28 realized.

29 **Estuaries, harbors, and bays**

30 In coastal locations such as estuaries, harbors, and bays where the natural pace of invasions has
31 been greatly augmented by human introductions via ship traffic, it has been difficult to obtain a
32 balanced perspective on the effects of invasions. Individual invader species are usually
33 investigated because they have had an economic impact (Ruiz et al. 1997), i.e., they are
34 considered pests due to their adverse effects on local structures (piers, seawalls, intake pipes) or
35 they interfere with human activities such as fishing, boating, and aquaculture. Consequently, much
36 of the scientific literature dealing with coastal invasions is devoted to such pest species. As a
37 result, the local discovery of an exotic species is often accompanied by expressions of alarm and
38 speculation that native species will be harmed or driven to extinction (Jousson et al. 2000). This
39 kind of publicity, produced by the media and some scientists, gives the impression that areas with
40 ship traffic are under continuous bombardment by a host of harmful species. Some conservation
41 organizations have undertaken the task of detecting any invaders in order to eliminate them
42 before they become established (Delaney et al. 2008).

43 From a global viewpoint, it appears that invasions into disturbed habitats are more common in
44 temperate than tropical waters. Localities like San Francisco Bay, Chesapeake Bay, Tokyo Bay and
45 some of the southern Australian harbors demonstrate relatively high numbers compared to lower
46 latitude destinations in Australia, Asia and Africa (Hutchings et al. 2003, Briggs 2010). These
47 observations are consistent with fossil data that indicate a higher rate of extinction in temperate
48 zones, thus providing more room for invaders (Krug et al. 2009). The higher native biodiversity in
49 the tropics, despite some reduction in disturbed areas, may be more effective in preventing
50 invasions. Exceptions are found at tropical harbors located at isolated islands such as Hawaii and
51 Bermuda where native diversity is limited.

52 The current emphasis on the destructive effects of a relatively few exotic species has resulted
53 in many erroneous statements. Some ecologists have called invasive species a “threat” to marine
54 biodiversity. Observations of this kind influenced the World Conservation Union (IUCN 2003) to
55 rate invasive species as one of the four greatest threats to the world’s oceans, and to publish a set
56 of guidelines for the prevention of biodiversity loss caused by alien invasive species. It has been
57 observed that some invaders may cause an ecological “meltdown” by transforming initially benign

58 introductions into aggressively expanding invasions (Simberloff and Von Holle 1999, Grosholz
59 2005). Molnar et al. (2008 p 485), in their article on the global threat of invasive species, stated,
60 “Invasive species are widely recognized as a major threat to marine biodiversity.”

61 Why are the foregoing statements erroneous? In terms of common use, and especially with
62 respect to conservation implications, biodiversity is equivalent to species richness. Under this
63 definition, there is no way that an invader can cause a biodiversity loss unless its establishment
64 results in the extirpation of more than one native species (in using this definition , I do not imply
65 that biodiversity cannot be measured using other criteria}. Although there are several hundred
66 recorded invasions by exotic species into coastal and large estuarine localities in various parts of
67 the world, there have been no complete (global) extinctions among the native species as a result
68 of the invasions (Briggs 2007). The term “meltdown” is misleading for it gives the impression of an
69 ecological catastrophe or calamity whereas it actually means a beneficial or facilitative interaction
70 between invader species. Even when such interaction has resulted in a rapid expansion of invader
71 species, there are no indications that native species were completely extirpated from their original
72 ranges (Briggs 2010). Invasive species cannot be a threat to marine biodiversity because their
73 presence almost always results in a species diversity increase, not a decrease.

74 Considering the evidence that invader species do not cause losses of species diversity, how do
75 such losses occur? Local marine species diversity is reduced when habitats are eliminated by a
76 variety of human activities. Many natural bays and estuaries have been physically altered by
77 dredging, and by seawall, bridge, and other construction. Because such areas tend to have
78 concentrated human populations, they are also likely to be impacted by increased pollution
79 (organic and inorganic) and overfishing. Once the native populations have been reduced by these
80 changes, resistance to invasion is reduced as well, and the areas become invaded by organisms
81 that can tolerate the physical environment (Reise et al. 2006). This cause and effect has been
82 documented to occur on a global scale (Byrnes et al. 2007). In harbors, many such organisms are
83 introduced via ship traffic and thrive due to the increased nutrients. In these highly invaded areas,
84 it is human activities that have caused the depletion of native species, not the invaders (Lotze et
85 al. 2006). In fact, invaders, even though some of them may be pest species, are usually responsible
86 for increases rather than decreases in biodiversity. There is an increasing realization that some
87 non-native species are beneficial and have conservation value (Schlaepfer et al. 2010).

88 Is increased biodiversity in and of itself an advantageous effect of invasions? Comparison of
89 records from coastal ecosystems has shown that those with higher species richness demonstrated
90 lower rates of collapse of commercially important fish and invertebrate taxa over time (Worm et
91 al. 2006). This information is consistent with the current consensus among ecologists about the
92 value of biodiversity to ecosystem function (Hooper et al. 2005). For many years, a primary goal of
93 conservation societies has been to prevent the further loss of biodiversity, particularly within local
94 areas where diversity has been impacted by human activities. On the other hand, there has been
95 relatively little interest in the enhancement of biodiversity, at least in the marine environment.
96 Although transplantation has been recommended in some cases (Briggs 2008), it has yet to be
97 attempted on a large scale. In highly modified harbors and estuaries the native biodiversity loss is
98 often counteracted or exceeded by the invasion of exotic species. Although biodiversity *per se* may
99 be relatively unaffected, the structure of the food web can be profoundly disturbed. Worldwide
100 about 70% of local extinctions take place at high trophic levels (top predators) while a similar
101 percentage of invasions are by species from lower trophic levels (macroplanktivores, deposit
102 feeders, detritivores) (Byrnes et al. 2007).

103 **Case studies**

104 The consequences of food web changes caused by the substitution of exotic for native species can
105 be illustrated by the historic changes that have taken place in the Wadden Sea which extends
106 along the coast of the Netherlands, Germany, and Denmark. It has been described as the world's
107 largest intertidal system. Three large rivers introduce nutrients that support a high level of primary
108 production. But the upper levels of the system have been severely depleted due to more than
109 2000 years of human exploitation (Lotze 2005). Although 52 exotic species have restored much of
110 the original biodiversity (Reise et al. 2005), the invaders are species that occupy the lower trophic
111 levels. There are almost no more codfish, salmon, and sharks; the only remaining high-level
112 predators are seals. The major commercial species remaining are shrimps, cockles, and blue
113 mussels. None of the introduced species has eliminated a native species. Instead, the new arrivals
114 have added to species diversity.

115 Although long term environmental degradation is generally involved, overfishing often stands
116 out as the immediate factor in the loss of species at the apex level, and the trophic cascades that

117 follow (Longhurst 2010). For example, the effects of the removal of predatory sharks by
118 overfishing from estuaries along the Western Atlantic coast were studied by Myers et al. (2007).
119 The authors analyzed the survey data on the great sharks and the smaller elasmobranchs that
120 formed their prey. All eleven species of great sharks exhibited significant population declines over
121 the past 35 years, ranging from 87% in sandbar sharks to 99% or more for bull, dusky, and smooth
122 hammerhead sharks. Over the same period, analyses of the smaller prey revealed that 12 of the 14
123 species had significant increases in abundance. Among the largest, was the approximate twenty-
124 fold increase in the abundance of the cownose ray (*Rhinoptera bonasus*). Cownose rays consume
125 shellfish of commercial value such as soft-shell clams, oysters, hard clams and bay scallops.

126 The projected consumption of bivalves by the current population of cownose rays over 100
127 days of the summer occupation of Chesapeake Bay totaled 840,000 metric tons (Myers et al.
128 2007). In contrast, the total harvest of bivalves for the same area was only 300 metric tons. The
129 intense demand for bivalves by the exploding population of cownose rays illustrates a trophic
130 cascade caused by the removal of the large sharks. Evidence from other parts of the world
131 suggests that the great shark-ray-benthic mollusc trophic cascade is geographically widespread
132 (Estes et al. 2010).

133 Considerable research has been devoted to two large areas that are sometimes described as
134 semi-enclosed seas, even though they are estuarine in terms of their topography and freshwater
135 input. Both the Baltic Sea and the Black Sea have suffered the decline of their top predators and
136 have been invaded by lower-level organisms (Essington 2010). The Baltic supports three main
137 commercial fisheries: cod, herring and sprat. At present, cod is the apex predator but cod
138 populations are overfished and are still subject to very high fishing mortality. As cod populations
139 dwindled, fishermen directed more effort toward the clupeid species, preferring the more
140 valuable herring rather than the sprat. Over the past decades, herring populations have declined
141 but sprat populations have surged. Analysis of the trophic control of herring and sprat by cod
142 (Essington and Hansson 2004) confirmed that the recent abundance of sprat was due to the
143 relaxation of predation by cod. Recent research (MacKenzie 2011) indicates that relationships
144 among the three species may be complicated by the grey seal, a cod predator.

145 Alterations of the Black Sea ecosystem began with the overfishing of the large, piscivorous
146 fishes that subsequently shifted to the smaller planktonivorous fishes. The depletion of the latter
147 coincided with outbursts of gelatinous zooplankton (Sorokin 2002). Daskalov et al. (2007)
148 concluded that the early dynamics reflected a trophic cascade, but the recent dynamics reflect a
149 different ecosystem where the gelatinous zooplankton and phytoplankton play a dominant role.
150 The authors suggested that the Black Sea is in a state that might prevent recovery to historical
151 conditions. This means that the Black Sea ecosystem may have entered into a new alternative
152 state that is self-stabilizing. It is known that reversals from alternative states may be very difficult
153 to achieve (Scheffer 2009).

154 The foregoing case studies indicate that estuarine invasions, together with the depletion or
155 elimination of apex-level predators, constitute a global conservation problem. In estuaries, the
156 effects of overfishing, pollution, and habitat degradation are often magnified because space is
157 relatively limited. This means that native species suffer disproportionately and offer less
158 opposition to invaders. These factors, plus increased propagule pressure from ship traffic, would
159 account for the much greater numbers of invaders in estuaries compared to open coasts. There is
160 often a striking contrast: in Elkhorn Slough, California, Wasson et al. (2005) identified 526
161 invertebrate species comprised of 443 natives, 58 exotics, and 25 cryptogens (species of unknown
162 origin). The surrounding rocky intertidal open coast contained 588 species, of which only 8 were
163 exotic and 13 cryptogenic. Similarly, more than 240 invasive species are known from San Francisco
164 Bay but fewer than 10 are found on the outer coast (Ruiz et al. 1997).

165 **Conservation**

166 As recent research has demonstrated, trophic cascades have been reported in many other marine
167 environments including intertidal habitats, coastal seas, open oceans, and the shallow tropics
168 (Terborgh and Estes 2010). However, it is the highly modified and highly invaded harbors and
169 estuaries that present the greatest conservation challenge. It is these relatively circumscribed
170 areas that generally exhibit the greatest environmental degradation, the highest loads of pollution,
171 and the largest numbers of low trophic level invaders. As noted, the invaders, often represented
172 by very large populations, should comprise an attractive food source for upper-level consumers.

173 But, the latter cannot thrive without pollution control, habitat improvement, and protection from
174 overfishing.

175 If it is reasonable to concentrate our conservation efforts on those areas that have been most
176 severely impacted by human activities, then harbors and estuaries, particularly those in
177 temperate waters, should deserve a high priority. A practical solution, that will benefit a given
178 estuary, is to adopt an ecosystem-based management program (Pauly 2009). This means that a
179 significant area, as much as 50% in the case of small bays, must be included in a no-take, marine
180 protected area (MPA). In addition, the MPA should extend outward beyond the bay entrance to
181 encompass part of the region utilized by migratory species that use the bay for part of their life
182 cycles. With complete protection of an area that has ecological promise, and where suitable
183 habitat has been preserved or is restorable, we can begin to restore the original trophic structure.
184 Once this work has started, and the ecosystem appears to be responding, the reintroduction of
185 apex predators could be attempted. In the case of Chesapeake Bay, where there is also a bottom-
186 up problem (Rooney et al. 2006, CPF 2008), there are available many filtering organisms resistant
187 to pollution, such as some of those in San Francisco Bay (Carlton and Ruiz, 2005), which could be
188 transplanted to clear the water, promote benthic production, and to make the bay more suitable
189 for human activities.

190 **Body size in predator species**

191 Although managing for total species diversity remains important (Palumbi et al. 2009), much of
192 recent ecosystem research has shifted to consideration of the effects of the loss or decline of
193 individual species (Sala and Knowlton 2008). The depletion of species recognized as “strong
194 interactors”, usually large predators, can reduce populations and biomass by orders of magnitude.
195 There are many examples of such top-down effects that often result in trophic cascades affecting
196 the entire food web. Small predators are usually considered to be “weak interactors” but some
197 small-sized species occur in very large numbers which increases their food web impacts. Fish body
198 size is an important factor in mediating the relationship between species richness and ecosystem
199 functioning (Fisher et al. 2010)

200 Top vertebrate predators are large bodied and can move over large areas, thus coupling the
201 dynamics of distinct communities (Terborgh et al. 2010). Also, the presence of large predators has

202 importance beyond the prevention of trophic cascades. Work by Berkeley et al. (2004) indicates
203 that larval viability varies with age and that the larvae produced by larger (older) adults have
204 increased survival. For example, larvae produced by older female black rockfish *Sebastes*
205 *melanops* grew more than three times as fast and survived starvation more than twice as long as
206 did larvae produced by younger females. In addition, the older fishes were found to have a longer
207 spawning season and possessed an exponentially greater fecundity.

208 As Birkeland and Dayton (2005) have observed, selective harvesting of older individuals
209 leads to an exponential reduction in the number of larvae produced, a shortening of the
210 reproductive season, a decrease in larval viability, and a selection for reproduction at a smaller
211 size and younger age. In addition to these reproductive and genetic effects, the body size of fishes
212 has consistently declined in response to fishing pressure even in situations where total diversity
213 (species richness) has remained high (Fisher et al. 2010). For example, in the Northwest Atlantic
214 fish body masses have declined about 50% while species richness was little affected (Frank et al.
215 2007). Fisher et al. (2010) suggest that size-selective fishing may impact ecosystem functioning
216 more rapidly and more profoundly than declines in species richness. The practical way to control
217 size-selective fishing is to create effective MPAs so that individuals can grow to their optimum size
218 and reproductive efficiency (Stobart et al. 2009).

219 **Conclusions**

220 From a biogeographic viewpoint, it is obvious that marine species on the world's continental
221 shelves are constantly migrating, and that such movements are predominately from regions of
222 high species diversity to those with less diversity. Investigations into the regional vs. local
223 relationship have shown that this is also true at the community level. This natural and ongoing
224 process of species invasions has been greatly augmented in certain places where many exotic
225 organisms have been introduced by ship traffic. In such locations, the success of the invaders is
226 primarily due to previous habitat destruction, pollution, and the overexploitation or displacement
227 of the native species. A few of the invaders have become pests, a few have been beneficial, but
228 the ecosystem effects of the great majority are unknown.

229 While it is true that ecosystems with greater species richness are generally more desirable, it is
230 also true that diverse ecosystems in which the populations of top-level predators have been

231 diminished or extinguished do not function well. So, In regard to the affected harbors and
232 estuaries, large diversity increases at the lower trophic levels have limited benefits. Some estuaries
233 also have bottom-up problems that are concurrent with lack of apex predators so that restoration
234 needs to be initiated at the primary producer level. Conservation activities in harbors and estuaries
235 tend to focus on habitat restoration and the detection and elimination of invader species.
236 Although the former is certainly necessary, the latter, except for actions to prevent introductions
237 by ships, is probably a waste of resources. It would be better to devote conservation efforts
238 toward pollution control, habitat improvement, and restoration of the top-level predators. In the
239 long run, a balanced ecosystem will be more resilient, productive, and resistant to invasion. Highly
240 invaded localities, such as the Wadden Sea, Black Sea and Baltic Sea have not sustained losses in
241 overall species diversity, but they have endured the population collapse or loss of the large
242 animals that are vital to ecosystem function.

243 Finally, it needs to be emphasized that the ultimate goal of estuarine management is the
244 introduction or reintroduction of apex-level predators. Despite the major restoration projects that
245 have been ongoing in the US for the past 10 to 40 years, none of them has resulted in
246 improvements to the extent that the top trophic level could be resurrected. Yet, recent research
247 has provided ample evidence that healthy populations of large-size predators are essential to
248 sustain balanced, productive marine ecosystems. Have we lost sight of this goal?

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