

1 Seasonality of  $U^{K'}_{37}$  temperature estimates as inferred from sediment  
2 trap data

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19 ***Abstract***

20 The seasonality of sea surface temperatures (SST) estimated from the alkenone-  $U^{K'}_{37}$  index  
21 has been a debated issue since the development of the proxy. Using a compilation of  
22 sediment trap time series data from 34 sampling locations, we show that the seasonality of  
23 maximum alkenone flux in sediment traps varies markedly across the oceans, depending not  
24 only on latitude and light availability but also on local oceanographic conditions. The  
25 seasonality of the alkenone flux to sediments may also be shaped by the complexity of  
26 sedimentation processes and a consistent, globally applicable, seasonal pattern is not  
27 apparent. Nevertheless,  $U^{K'}_{37}$  values display a world ocean scale correlation with mean  
28 annual SSTs (0 m) that closely resembles the standard calibration equation now established  
29 for modern surface sediment records. Thus, with a few notable exceptions at oceanographic  
30 locations proximate to major hydrographic fronts, it can be concluded that the integrated  
31 sedimentation patterns for  $U^{K'}_{37}$  measured in sediment trap time series provide a measure of  
32 annual mean SST.

33

## 34 1. Introduction

35  $C_{37-39}$  alkenones are lipids exclusively synthesized by a restricted group of Haptophyte  
36 algae (de Leeuw et al., 1980; Volkman et al., 1980). The proportion of di-unsaturated to tri-  
37 unsaturated  $C_{37}$  alkenones, expressed as the  $U^{K'}_{37}$  index, correlates linearly with algal growth  
38 temperature (Conte et al., 1998a; Prahl et al., 1988; Prahl and Wakeham, 1987). Thus, sea  
39 surface temperature (SST) is now the primary variable considered in the paleoceanographic  
40 interpretation of the sedimentary  $U^{K'}_{37}$  values (Fischer et al., 2010). However, an  
41 interpretation of how seasonality in sedimentary flux impacts the geological record for the  
42  $U^{K'}_{37}$ -temperature signal is a much more debatable issue. Given marked seasonality in the  
43 abundance of primary producers and the link between primary production and SST (e.g.  
44 Behrenfeld et al., 2006), others have postulated that the biomarker signal recorded in  
45 sediments reflects the season of maximum alkenone production and export from the surface  
46 ocean – generally argued to be within the spring to summer time frame (Brassell, 1993;  
47 Brassell et al., 1986; Conte et al., 1992; Leduc et al., 2010; Martínez-García et al., 2009;  
48 Schneider et al., 2010; Sikes et al., 2002).

49 However, this interpretation seems in conflict with the results from the global core-top  
50 calibration of  $U^{K'}_{37}$ , where the best fit is obtained with annual mean SSTs (Conte et al., 2006;  
51 Müller et al., 1998b). The linear equation obtained is statistically indistinguishable from the  
52 first published calibration based on experimental study of a single culture of *Emiliana*  
53 *huxleyi* (Prahl et al., 1988), the most ubiquitous modern coccolithophorid. This finding  
54 reinforced the validity for wide use of a single, empirical equation to estimate past SSTs.  
55 Nonetheless, there remains a lack of understanding of key processes that lead to the  
56 accumulation of alkenones in sediments, among which include the depth and seasonality of  
57 export from the euphotic zone as well as the relative importance of complications caused by  
58 lateral advection of signals (e.g. Bendle and Rosell-Melé, 2004; Benthien and Muller, 2000;  
59 Giraud, 2006; Prahl et al., 2010; Rühlemann and Butzin, 2006).

60 To account for seasonal bias, various others have tried to redefine the global core-top  
61 calibration by weighting it with surface ocean primary productivity or by defining new  
62 indices based on integrated production temperatures. However, the resultant equations did not  
63 reduce the errors of the estimates sufficiently (Conte and Eglinton, 1993; Conte et al., 2006;  
64 Conte et al., 1998b; Giraud, 2006; Sonzogni et al., 1997). Despite the uncertainties of  
65 alkenone thermometry,  $U^{K'}_{37}$  estimates of SST often match those derived from other proxy  
66 approaches within the respective uncertainty brackets (Bard, 2001; Waelbroeck et al., 2009).  
67 When divergence of multiproxy estimates is encountered, however, the cause is often

68 ascribed to the seasonal bias of alkenone export production, or even more complex to assess,  
69 a change in such seasonality through time (e.g. Chapman et al., 1996; de Vernal et al., 2006;  
70 Haug et al., 2005; Leduc et al., 2010; Schneider et al., 2010).

71 We now appraise the issue of seasonality encoded in the sedimentary  $U_{37}^K$  signals  
72 through analysis of a compilation of alkenones flux data from study of sediment trap time  
73 series over a broad oceanographic range. These data sets have been examined specifically to  
74 seek objective answers to three key questions:

- 75 1) Is there a latitudinal dependence in the seasonal timing of maximum alkenone flux  
76 through the water column?
- 77 2) If so, is perceived seasonality of alkenone export from production in surface waters  
78 transferred coherently to sediments?
- 79 3) Does the  $U_{37}^K$  temperature signal of the annually-integrated alkenone flux correspond  
80 to the annual SST or is there a seasonal bias?

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82

## 83 **2. Description of the data sets and methods**

84 We have compiled alkenone flux data from sediment trap time series studies conducted  
85 at 34 locations spanning time frames from months up to 5 years (Table 1 and Fig. 1). The  
86 distribution of observations is very uneven globally, with most (90%) of the studies done in  
87 the northern hemisphere – 2/3 in the Pacific Ocean and 1/4 in the Atlantic Ocean. Only two  
88 studies were done in the Southern Ocean (Indian and Pacific Ocean Sectors) and one in the  
89 Indian Ocean (Arabian Sea). The northwest Pacific (including the Okhotsk and Japan/East  
90 seas) is the area of the world that has been most thoroughly examined, accounting for 1/3 of  
91 the total sediment trap deployments. From a global perspective, most study sites were located  
92 on, or relatively near the continental shelf, although more than half of them can be considered  
93 pelagic settings as deployments were made at  $\geq 1000$  m water depth, or were located near  
94 remote islands in the central Pacific (Hawaii archipelago) or Indian Ocean (Kerguelen  
95 Island). Several studies in the compilation were done in marginal seas: Mediterranean Sea  
96 (1), Japan/East Sea (2), Okhotsk Sea (2), Cariaco Basin (1) and Gulf of California (1). Only  
97 three studies were done in subpolar environments – both subarctic (1) and subantarctic (2)  
98 waters. And, six were in the tropics between 0 and 30°N, with one site located on the equator.  
99 On a hemispheric basis, the average latitudinal or longitudinal spread of the deployments is  
100 quite comprehensive to the north of the equator, but spotty to the south. We use a compilation

101 of polar plots (Fig. 2) to illustrate using time series data from the shallowest sampling depth  
102 at each site how the intensity of seasonal patterns varies throughout the global ocean.

103 Most of the sediment trap time series studies report values for  $U^{K'}_{37}$ , total alkenone  
104 (K37s) flux and deployment time on a temporal basis. Therefore, we are able to calculate the  
105 flux weighted  $\bar{U}^{K'}_{37}$  for the total period of the trap deployment at each site using the formula:

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106 The terms in this equation are: the alkenone unsaturation index ( $U^{K'}_{37i}$ ), the mass flux of  
107 K37s ( $F_{K37s}$ ), and the time interval ( $\Delta t$ ) for sampling in each sediment trap cup.  $\Delta t$  varied from a  
108 few days to several months (depending on the study) but was commonly 0.5 to 1 month. We  
109 compare the data obtained to mean annual surface (0 m) water temperature values extracted  
110 from the 2001 World Ocean Atlas (<http://odv.awi.de/en/data/ocean/>) (Fig. 3A) and to  $U^{K'}_{37}$   
111 values measured in surface sediment underlying the trap site for all case where such  
112 information is also available (Fig 3B). The use of a different version of the Atlas is unlikely  
113 to affect the final outcome of the study given that the use of different versions in the literature  
114 yields comparable results (e.g. Conte et al., 2006; Müller et al., 1998b), and we could find no  
115 significant difference in our evaluation of the various versions.

116

### 117 **3. Discussion**

#### 118 **3.1. Timing of fluxes**

119 The magnitude of K37s fluxes varies markedly across the oceans (Table 1 and Fig. 1A).  
120 Lowest values were measured in the Japan Sea ( $\leq 10^{-2} \mu\text{g m}^{-2} \text{d}^{-1}$ ) followed by sites at high  
121 northern latitudes in the open Pacific and the Atlantic Oceans, high southern latitudes in the  
122 open Indian Ocean and in the oligotrophic Mediterranean Sea ( $0.1\text{-}1 \mu\text{g m}^{-2} \text{d}^{-1}$ ). Highest  
123 fluxes (up to  $\sim 35 \mu\text{g m}^{-2} \text{d}^{-1}$ ) are noted along continental margins (east of New Zealand and  
124 Japan fall in this category), proximate to upwelling regions (Cariaco Basin, NW Africa) and  
125 in the Gulf of California.

126 Most studies show that the highest annual K37s flux is generally associated with either a  
127 single export event or bi-seasonal export events (Fig. 2, Table 1). Bi-seasonal export events  
128 have been reported in the subtropical and subpolar northern Atlantic (Thomsen et al., 1998;  
129 Waniek et al., 2005), the Mediterranean (Ternois et al., 1996) and Japan/East seas (Lee et al.,  
130 2011), the Southern Ocean-Indian Sector (Ternois et al., 1998), and the subtropical southwest  
131 Pacific (Sikes et al., 2005). However, export events are not always confined to a short time

132 duration. In some cases, they lasted for several months, even extending into multiple  
133 seasons. For instance, one such prolonged high flux event (10-100 times higher values during  
134 [8–21  $\mu\text{g m}^{-2} \text{d}^{-1}$ ] than before and after [ $<1\mu\text{g m}^{-2} \text{d}^{-1}$ ]) in subantarctic waters in the western  
135 South Pacific began in August (late austral winter), peaked in November (late austral spring),  
136 and ended in December (early austral summer) (Sikes et al., 2005). Some sites in the tropics  
137 (between 30° north and 30° south) or near the equator displayed no marked seasonality, and  
138 high K37s flux occurred under seemingly different circumstances in different months of the  
139 year. Multiyear time series for the Cariaco and Santa Barbara basins, the Gulf of California  
140 and the upwelling zone off Cape Blanc (Goni et al., 2004; Goñi et al., 2003; Goñi et al., 2001;  
141 Müller and Fischer, 2001) showed random variability in the measured K37s flux throughout  
142 the year and large interannual differences in absolute magnitude. At these sites, peak values  
143 occurred at different times than those of organic carbon and biogenic silica, an observation  
144 also made in the western equatorial Pacific where multiple high K37s fluxes occurred without  
145 evidence of a distinct seasonal pattern (Harada et al., 2001). Elsewhere, apparent non-  
146 seasonal variability in K37s flux was reported for the Sargasso Sea (not shown in Fig. 1A due  
147 to the short sampling period; Conte et al., 2003; Conte et al., 1998b), where high values  
148 occurred erratically in boreal winter and were decoupled with the annual phytoplankton  
149 spring bloom when highest organic carbon fluxes were measured. However, in the Arabian  
150 Sea, K37s flux maximized during the intermonsoon to monsoon transition period (Prahl et al.,  
151 2000). And at Station ALOHA, located just north of Hawaii in the oligotrophic North Pacific  
152 subtropical gyre, K37s flux varied widely with conspicuous maxima occurring bi-seasonally,  
153 i.e. during both a period of weak vertical mixing at the onset of winter and a period of strong  
154 stratification in summer (Prahl et al., 2005).

155 Acknowledging the limited spatial coverage of the compilation, Fig. 2 shows that no  
156 straightforward relationship exists between latitude and the timing or season(s) of the peak  
157 K37s flux. In fact, the period of highest values can vary for a similar latitudinal band. For  
158 example, a single export event occurred during spring transition at three locations along an  
159 offshore transect in the temperate NE Pacific and at a single location in the NE Atlantic off  
160 the Gulf of Biscay, while export events occurring both in spring/summer and autumn appear  
161 common in the Gulf of Maine, the Mediterranean Sea, the Norwegian Sea, the Sea of  
162 Okhotsk and a range of other sites in the NW Pacific (Table 1). Elsewhere in the NE Atlantic  
163 and the Mediterranean Sea, peak K37s flux occurred in winter or autumn, respectively, with  
164 secondary events in spring .

165 All available investigations show that K37s flux declines with water column depth, and  
166 often quite significantly (Table 1). The observed magnitude of attenuation with depth differs  
167 between sites. Furthermore, these records show that the marked seasonality in flux observed  
168 in some shallow traps can be lost with depth (Goñi et al., 2003; Harada et al., 2001; Lee et al.,  
169 2011; Müller and Fischer, 2001; Prahl et al., 2000; Sawada et al., 1998; Seki et al., 2007;  
170 Thomsen et al., 1998; Yamamoto et al., 2007). Notably, the magnitude of attenuation is  
171 higher during the period of maximum K37s flux than during less productive times. As a  
172 result, a higher proportion of the alkenone signal from the surface reaches a given depth  
173 during the lower productivity periods (Harada et al., 2001; Lee et al., 2011; Sawada et al.,  
174 1998; Thomsen et al., 1998; Yamamoto et al., 2007). However, this phenomenon of time  
175 dependant, differential flux attenuation with depth is not reported universally. In the  
176 upwelling region off Cape Blanc, the relative temporal flux pattern was similar in the same  
177 time periods at two depth levels, i.e., during February, from May to June, and in August, even  
178 though the trap sampling horizons were separated vertically by more than 2000 meters  
179 (Müller and Fischer, 2001).

180 In summary, there is no single seasonal pattern that describes alkenone flux to the seabed  
181 in the world ocean. Furthermore, the pattern observed for a given location can depend upon  
182 local factors, such as the depth in the water column where the measurements are made.

183

### 184 **3.2. $U_{37}^{K'}$ in the sediment fluxes**

185 The seasonal change of  $U_{37}^{K'}$  values in sediment trap materials tracked the variability of  
186 the K37s flux in quite a few instances (Goni et al., 2004; Goñi et al., 2003; Goñi et al., 2001;  
187 Müller and Fischer, 2001; Prahl et al., 2000; Sawada et al., 1998; Seki et al., 2007; Sikes et  
188 al., 2005; Ternois et al., 1998; Yamamoto et al., 2007). But, in a number of cases, seasonal  
189 variability in  $U_{37}^{K'}$  values was decoupled from the variability in K37s flux, and the  $U_{37}^{K'}$ -  
190 derived temperature estimates did not match overlaying SSTs (Conte et al., 1998b; Goñi et  
191 al., 2001; Harada et al., 2001; Harada et al., 2006; Prahl et al., 1993; Sikes et al., 2005;  
192 Yamamoto et al., 2007). For instance, in three NE Pacific sediment trap time series, which  
193 each displayed a pronounced peak in K37s flux during the spring transition (Prahl et al.,  
194 1993),  $U_{37}^{K'}$  values were remarkably uniform throughout the time series, except during the  
195 high flux event. At that time,  $U_{37}^{K'}$  values encoded a conspicuously “colder” signal than SST.  
196 And, for the most offshore location,  $U_{37}^{K'}$  values corresponded with SST in winter but with  
197 that measured at the depth of the subsurface chlorophyll maximum prevalent during summer  
198 stratification. At other oceanographic sites,  $U_{37}^{K'}$  estimates may reflect bias from advected or

199 resuspended alkenones rather than the local seasonal pattern of export production from  
200 overlying surface waters (Harada et al., 2006; Prahl et al., 2001; Rosell-Melé et al., 2000;  
201 Sawada et al., 1998; Thomsen et al., 1998). In the case of some sediment trap time series,  
202 comparison of temperature estimates derived from  $U^{K'}_{37}$  measurements in surface sediment  
203 with those from sediment trap data and/or suspended particulate material collected from the  
204 euphotic zone suggests that the record preserved in the sediment deposit reflects the seasonal  
205 signal of the peak K37s flux (Prahl et al., 2001; Seki et al., 2007). In others, however, the  
206 annual estimate for SST in the sediment trap time series matches the sediment record  
207 reasonably well (Goni et al., 2004; Goñi et al., 2003; Kawahata et al., 2009; Lee et al., 2011;  
208 Müller and Fischer, 2001; Prahl et al., 2005; Ternois et al., 1996).

209 To investigate further this issue, we calculated for the available data sets the flux  
210 weighted alkenone unsaturation index ( $\bar{U}^{K'}_{37}$ ) for the complete period of each sediment trap  
211 time series deployment (Fig. 3). We note that, in the compiled data set, the average  $U^{K'}_{37}$  for  
212 each trap is not significantly different from the calculated flux weighted index ( $\bar{U}^{K'}_{37} = 1.03$   
213  $U^{K'}_{37} - 0.03$ ;  $R^2 = 0.987$ ). With a few exceptions,  $\bar{U}^{K'}_{37}$  values correlate linearly ( $R^2 = 0.88$ )  
214 with the annual mean SST (0 m) (Fig. 3A) obtained from the World Ocean Atlas (Conkright  
215 et al., 2002). The linear trend is quantitatively consistent with the  $\bar{U}^{K'}_{37}$ -annual mean SST  
216 calibration for global marine surface sediments (Müller et al., 1998b). Notably,  $\bar{U}^{K'}_{37}$  values  
217 are generally concordant with  $U^{K'}_{37}$  measured in underlying surface sediments at sediment  
218 trap sites where such data are available (Fig. 3B). The regression for sediment trap-derived  
219  $\bar{U}^{K'}_{37}$  data is, however, somewhat different from that obtained from an extensive global  
220 compilation of  $U^{K'}_{37}$  measures made on suspended particulate materials from surface waters  
221 (Fig. 3A; Conte et al., 2006). The latter data set exhibits an apparent non-linear trend at  
222 colder temperatures, which is not mimicked by  $\bar{U}^{K'}_{37}$  data below 20°C (Fig. 3A).

223 A clear explanation for this apparent lack of agreement is now not evident. Any  
224 explanation must take into consideration that suspended particulate material collected in  
225 surface waters represent alkenone-producing biomass. Such biomass is not equivalent with  
226 vertically transported alkenone-containing particulate materials collected in sediment traps or  
227 accumulating in surface sediments, which both are some reflection of export production, a  
228 very specific component of K37s productivity in surface waters.

229

### 230 3.3. Analysis of residuals

231 The data in Fig. 3 corroborate and further demonstrate that the sedimentary signatures of  
232  $U^{K,37}$  are not conspicuously biased towards a particular high K37s flux season. In general,  
233 they are representative of annually integrated sedimentation processes as suggested from  
234 previous observations of  $U^{K,37}$  in water column particulates and sediments (e.g. Bentaleb et  
235 al., 2002; Conte et al., 2006; Doose et al., 1997; Herbert et al., 1998; Kienast et al., 2012;  
236 Müller et al., 1998b; Ohkouchi et al., 1999; Pelejero and Calvo, 2003; Pichon et al., 1998;  
237 Prah et al., 2006; Rosell-Mele et al., 1995; Sikes et al., 1991; Sonzogni et al., 1997).  
238 Consequently, it seems justified to interpret downcore  $U^{K,37}$ -SSTs as representative of annual  
239 mean SST at most oceanographic locations. But, it is also clear that some of the  $\bar{U}^{K,37}$  data in  
240 Fig. 3 fall well outside the general global trend. The most obvious ‘outliers’ encode a  
241 negative residual, a term defined by the difference between temperature estimated from  $\bar{U}^{K,37}$   
242 using the global core top calibration equation (Müller et al., 1998a) and the annual mean SST  
243 obtained from the World Ocean Atlas (Conkright et al., 2002) (see Figs. 1B and 3).

244 Previously, we noted that some  $U^{K,37}$  trap values might be affected by inputs from  
245 advected or resuspended alkenones, as is likely the case for a sediment trap sampling location  
246 in the NE Atlantic and Norwegian seas (Rosell-Melé et al., 2000; Thomsen et al., 1998).  
247 However, a lateral advection artifact cannot be the explanation at all sediment trap sampling  
248 sites where large negative residuals are now evident. To some extent, local ecological or  
249 physiological processes in the water column conceivably impact the  $U^{K,37}$  signature and  
250 contribute to the significant deviation from the general trend (e.g. Prah et al., 2010). Notably,  
251 the sites with large negative residuals (Fig. 1B) occurred at locations proximate to major  
252 fronts in SST and nutrients (Fig. 4). Clearly explaining how this oceanographic situation  
253 impinges the flux and composition of alkenones exported through sedimentation from surface  
254 waters at these specific sites is well beyond the scope of the data set available and primary  
255 purpose of this review paper. However, this yet observationally lean but nonetheless  
256 conspicuous finding supports the notion that non-random regional deviations from the global  
257 trend are possible and warranted further investigation (Prah et al., 2010). It is paramount to  
258 develop independent means of analysis to identify where, when and why such deviations  
259 occur if oceanographic interpretation of sediment alkenone records of SST are to be refined  
260 (e.g. Haug et al., 2005; Prah et al., 2006; Wolhowe et al., 2009).

261

### 262 4. Concluding remarks



263 The observed complexity in the seasonal patterns of alkenone export production to the  
264 deep sea is perhaps not surprising if viewed in context with the findings of compilations for  
265 particulate organic carbon flux in the global ocean (François et al., 2002; Honjo et al., 2008;  
266 Lutz et al., 2007). For example, in one of the largest published compilations of the latter to  
267 date, the authors argued that “*comparison of production and flux variability shows a*  
268 *latitudinal dependant relationship. At lower-latitudes, seasonality of flux is typically greater*  
269 *than that of production, while at higher latitudes, seasonality of production is typically*  
270 *greater than that of flux. This reversal of variability may describe a biogeographic distinction*  
271 *in the controls of production-to-flux relationships”* (Lutz et al., 2007). The existing studies on  
272 alkenone flux do not yet allow us to provide such a succinct picture of the modern seasonality  
273 of K37s export from surface waters to the seabed and long-term sedimentary record.  
274 However, the perspective gained from the summary of all currently available, published  
275 results allow the three questions posed in the introduction to be addressed with significant  
276 confidence:

- 277 1) Alkenone flux to the deep sea is not necessarily coupled to patterns of bulk export  
278 primary productivity as gauged by organic carbon flux. Studies to date indicate that  
279 the seasonality of maximum K37s flux varies markedly across the oceans, depending  
280 on the characteristics of the local oceanographic settings. It is not driven simply by  
281 latitudinally dependent light availability.
- 282 2) The seasonality of the flux may be transferred to sediments, but this signal can be  
283 altered due to the complexity of sedimentation processes. Export in seasons of low  
284 alkenone production in surface waters appear to be less attenuated with depth than in  
285 seasons of high alkenone production.
- 286 3) The  $U^{K'}_{37}$  export signals in some locations may be seasonally biased, but the  
287 resemblance between the global trends in  $U^{K'}_{37}$  in surface sediments and the flux  
288 weighted  $\bar{U}^{K'}_{37}$  from sediment traps demonstrate that sedimentary SST estimates  
289 from  $U^{K'}_{37}$  correspond most significantly with annual averages. Without the  
290 availability of independent biogeochemical measures complementary to  $U^{K'}_{37}$ , the  
291 oceanographic interpretation of  $U^{K'}_{37}$  values recorded in sediments as a measure of  
292 annual mean SST cannot be refined.

293 From a paleoceanographic perspective, sediment trap times series studies like those  
294 reviewed here are necessary to achieve the ultimate goal of explaining which processes lead  
295 to the accumulation of alkenones in surface sediments. Further studies would provide more  
296 evidence to advance a robust, mechanistic understanding of non-random, regional variation in

297 the core-top calibration of  $U^{K'}_{37}$  vs annual mean SST, and potentially refine the quality of  
298 paleoceanographic interpretations drawn from stratigraphic alkenone records.

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306

307 **Figure 1.** A) Relative annually averaged fluxes (in logarithmic scale) among the sediment  
308 traps from Table 1. Sites with highest fluxes are in red, while those with lowest are in blue.  
309 B) Values of residual for temperature estimates at each sediment trap time series location.  
310 Residual temperature is defined as the difference between water temperature estimated from  
311 alkenone flux weighted values of the alkenone unsaturation index ( $\bar{U}^{K'}_{37}$ ), derived using the  
312 global core top calibration equation  $U^{K'}_{37} = 0.033 T + 0.044$  (Müller et al., 1998a), and mean  
313 annual sea-surface temperature obtained from quarter degree resolution 2001 World Ocean  
314 Atlas (Conkright et al., 2002).

315 **Figure 2.** Map of polar plots summarizing the annual alkenone fluxes in the water column  
316 measured using sediment traps from all studies listed in Table 1. Each wedge corresponds to  
317 a sampling time interval, whose angle is then used to represent the time of the year (e.g.  $0^\circ$   
318 corresponds to 1<sup>st</sup> January). The radius or length of each wedge correspond to the values of  
319 the alkenone flux ( $\mu\text{g m}^{-2} \text{d}^{-1}$ ) in logarithmic scale normalized to the maximum and minimum  
320 value (rounded to nearest and lower decimal value, i.e. 10, 1, 0.1 etc.) in the particular study.  
321 The colors are used to identify seasons: red for July-September, brown for October-  
322 December, blue for January-March and green for April-June.

323 **Figure 3.** A) Scatter plot illustrating the relationship between annual alkenone flux weighted  
324 values of the alkenone unsaturation index ( $\bar{U}^{K'}_{37}$ ) derived from sediment trap time series  
325 measurements and mean annual sea-surface temperature (solid circles) obtained from quarter  
326 degree resolved 2001 World Ocean Atlas (Conkright et al., 2002). The  $U^{K'}_{37} - \text{SST}$   
327 calibration relationship for both global surface sediments (solid black line,  $\pm 2^\circ\text{C}$  – dashed  
328 black lines) (Müller et al., 1998a) and for suspended particulate material collected from  
329 surface waters throughout the global ocean (solid grey line) (Conte et al., 2006) are shown for  
330 reference purposes. Sites where the data appear to fall significantly outside the ‘expected’  
331 linear trend are identified and labelled as ‘cold outliers’. The ‘error bars’ depict the seasonal  
332 range of SST reported in the World Ocean Atlas at each of these sites. B) Scatter plot  
333 illustrating relationship between annual K37s flux weighted values of the alkenone  
334 unsaturation index ( $\bar{U}^{K'}_{37}$ ), and  $U^{K'}_{37}$  values measured in surface sediments from the  
335 underlying sediment trap sites. A 1:1 line is shown for reference purposes.

336 **Figure 4.** Maps of SST (A) and nitrate (B) based on data from the one degree resolution  
337 World Ocean Atlas 2001 (Conkright et al., 2002). Black circles denote the locations of  
338 sediment trap sampling sites where residual  $\bar{U}^{K'}_{37}$ -based estimates of mean annual SST (as in

339 Fig. 1B) are larger than  $-2.5^{\circ}\text{C}$ . These sites correspond to the specifically labeled points in  
340 Fig. 3A.

341

342 **Table 1.** Summary of alkenone (K37s) flux and unsaturation index (flux weighted  $\bar{U}^{\text{K},37}$  and  
343 non-weighted  $U^{\text{K},37}$  averages) data compilation for available sediment trap time series in the  
344 ocean either published or unpublished. The timing of maximum production corresponds to  
345 those periods identified by the authors in the original study. The seasons (boreal or austral)  
346 have been defined for the intervals December-February (winter or summer), March-May  
347 (spring or autumn), June-August (summer or winter), and September-November (autumn or  
348 spring). Where available, flux data are provided for the different depths of sediment trap  
349 collection in each study.\*( Number of days of each sampling period at depth 1, depth 2, etc).

**Table 1**

Latitude Longitude	Area	Sampling Period [days]*	Trap Depth (m)	Average Flux [min-max] ( $\mu\text{g m}^{-2} \text{d}^{-1}$ )	Timing of Maximum Flux	Flux- weighted $\bar{U}_{K, 37}$ [ $U_{K, 37}$ ]	SST mean annual [winter – summer] ( $^{\circ}\text{C}$ )	Reference
75°11.8'N 012°29'E	Barents Sea continental margin	3/1991 - 7/1991 [129, 129]	1840 1950	0.27 [0.01-2.77] 0.18 [0.01-0.63]	June-July	0.210 [0.265] 0.787 [0.724]	4.7 [3.8, 6.6]	(Thomsen, 1993; Thomsen et al., 1998)
69°41'N 0°28'E	Norwegian Sea	8/1991 - 7/1992 [76, 76, 76]	500 1000 3000	0.07 [0.01-0.14] 0.02 [0.02-0.04] 0.07 [0.01-0.13]	October / November; May	No reliable $U_{K, 37}$ data	6.4 [4.6, 9.0]	(Thomsen, 1993; Thomsen et al., 1998)
70°00'N 0°06'W	Norwegian Sea	8/1992- 7/1993 [398, 298, 367]	500 1000 3000	0.17 [0.01-0.59] 0.26 [0.01-1.4] 0.21 [0.06-0.88]	June- September	0.292 [0.307] 0.295 [0.299] 0.311 [0.324]	5.9 [4.0, 8.5]	(Flügge, 1997)
70°00'N 0°4'W	Norwegian Sea	7/1994- 7/1995 [367, 367]	1000 2500	0.11 [0.01-1.50] 0.31 [0.01-1.31]	June- September	0.258 [0.259] 0.256 [0.267]	4.9 [3.0, 7.6]	(Flügge, 1997)
53°3'N 176°59'W	Aleutian Basin	8/1994- 7/1995 [355]	3198	0.19 [0.01-0.86]	November	0.277 [0.316]	5.5 [3.3, 8.6]	Prahl & Takahashi, unpublished
49°01'N 174°W	Subarctic Pacific	8/1994- 7/1995 [355]	4774	0.37 [0.03-2.4]	November	0.337 [0.385]	6.6 [3.9, 10]	Prahl & Takahashi, unpublished

53°00'N 145°30'E	Sea of Okhotsk	8/1999 - 6/2000 [639, 639]	270 1540	1.1 [0.03-5.8] 0.4 [0.02-1.5]	Autumn	0.265 [0.275] 0.286 [0.293]	3.5 [-1.7, 11.1]	(Seki et al., 2007)
49°31'N 146°30'E	Sea of Okhotsk	9/1999-6/2000 [594, 594]	270 685	0.81 [0.04-3.2] 0.29 [0.02-0.65]	Autumn	0.246 [0.229] 0.255 [0.264]	4.0 [-1.2, 10.8]	(Seki et al., 2007)
48°N 21°W	NE Atlantic	4/1989 - 3/1990 [373]	3700	0.75 [0.08-4.5]	April-August	0.408 [0.425]	14.3 [12.2, 17.1]	(Rosell-Melé et al., 2000)
40°00'N 165°01'E	Subarctic NW Pacific	12/1997- 12/1998 [366]	2986	6.6 [0.5-49]	October	0.614 [0.539]	13.9 [10.1, 18.7]	(Harada et al., 2006)
43°58'N 155°03'E	Subarctic NW Pacific	12/1997 - 5/1999 [503]	2957	4.2 [0.5-19]	July-October	0.406 [0.450]	8.0 [3.2, 13.9]	(Harada et al., 2006)
50°01'N 165°01'E	Subarctic NW Pacific	12/1997 - 5/1999 [389]	3260	1.2 [0.16-6.6]	November	0.284 [0.314]	5.3 [2.2, 9.8]	(Harada et al., 2006)
43°25'N 7°52'E	Ligurian Sea, Mediterranean	2/1989 - 3/1990 5/1993 - 11/1994 [618]	200	1.3 [0-16]	Autumn (major), May (minor)	0.489 [0.472]	17.4 [13.2,22.5]	(Sicre et al., 1999; Ternois et al., 1996; Ternois et al., 1997)
42°28'N 138°30'E	Sea of Japan (East Sea)	10/2000 - 8/2001 [338,338]	1057 3043	0.01 [0-0.03] 0.001[0-0.003]	Summer- Autumn	0.481 [0.500] 0.442 [0.478]	11.6 [5.0, 20.1]	(Lee et al., 2011)

38°01'N 135°01'E	Sea of Japan (East Sea)	10/2000 – 8/2001 [312, 312]	1057 2100	0.02 [0.01-0.06] 0.01 [0-0.04]	Summer- Autumn	0.605 [0.561] 0.563 [0.598]	16.2 [10, 23.6]	(Lee et al., 2011)
42°40'N 69°45'W	Wilkinson Basin, Gulf of Maine	3/1995 - 12/1995 [264]	225	14.3 (0.4-115)	September- November	0.376 [0.348]	9.8 [4.1, 16.7]	(Prahl et al., 2001)
41°34'N 141°52'E	NW Pacific, off Japan	6/2002 – 6/2003 [315]	350	34.6 [0.3-245]	June-July	0.454 [0.499]	11.3 [4.4, 18.8]	(Kawahata et al., 2009)
39°00'N 147°00'E	NW Pacific	11/1997 - 8/1999 [571, 612, 556]	1366 3056 4786	6.0 [0.75-30] 3.1 [0.92-14] 1.7 [0.37-15]	Two seasonal cycles: increased spring to fall, then opposite	0.546 [0.581] 0.566 [0.602] 0.550 [0.608]	15.3 [10.2, 21.7]	(Yamamoto et al., 2007)
34°9.6'N 120°15.6' W	Santa Barbara Basin	8/1993 – 4/2004 [1109]	490	15.4 [0.21-132]	no consistent seasonality	0.518 [0.526]	14.8 [13.4, 16.5]	(Hardee, unpublished)
34°10'N 142°E	northwestern North Pacific off Japan	3/1991-3/1992 [364, 364, 364, 196]	1674 4180 5687 8688	4.0 [0.55-17] 3.3 [0.87-6.6] 2.2 [0.38-5.8] 2.5 [1.3-3.9]	Late Spring- Summer	0.756 [0.749] 0.736 [0.734] 0.740 [0.734] 0.716 [0.714]	21.9 [18.1, 26.5]	(Sawada et al., 1998)
42°05'N 125°45'W	NE Pacific	9/1987 – 8/1988 [360]	1000	4.2 [1.9-7.6]	May-June	0.381 [0.399]	12.5 [10.5, 14.6]	(Prahl et al., 1993); unpublished

42°10'N 127°35'W	NE Pacific	9/1987 – 4/1989 [360, 364, 360]	1000 1500 1750	2.9 [0.58-9.1] 1.9 [0.49-4.4] 1.7 [0.55-5.8]	May-June	0.375 [0.411] 0.368 [0.370] 0.345 [0.367]	13.3 [10.7, 16.5]	(Prahl et al., 1993); unpublished
42°30'N 132°W	NE Pacific	9/1987 – 4/1989 [360, 364]	1000 1500	3.7 [0.27-19] 2.2 [0.16-9.6]	May-June	0.334 [0.380] 0.307 [0.350]	14.3 [11.7, 17.5]	(Prahl et al., 1993); unpublished
33°N 22°W	NE Atlantic	9/1989- 6/1993 [1295]	2000	0.91 [0.01-8.4]	February- March	n.a.	20.7 [18.2, 23.5]	(Waniek et al., 2005)
27°53'N 111°40'W	Gulf of California	1/1996 – 9/1997 [293]	500	7.0 [2.0-14]	June-October	0.873 [0.828]	23.4 [16.7, 30.2]	(Goni et al., 2001)
22°45'N 158°W	North Pacific Subtropical Gyre	6/1992- 5/1993 and 12/2000- 11/2001 [681]	2800	0.29 [0.07-1.6]	winter and summer	0.857 [0.873]	24.7 [23.4, 26]	(Prahl et al., 2005)
20°45.3'N 19°44.5'W	Cape Blanc, NW Africa	3/1988 – 3/1989 [351]	2195	3.1 [0.70-7.9]	no consistent seasonality	0.807 [0.808]	21.4 [19.6, 23.1]	(Müller and Fischer, 2001)
21°08.7'N 20°41.2'W	Cape Blanc, NW Africa	3/1989- 11/1991 [577, 886]	730 3562	20.4 [0.30-233] 6.4 [0.40-84]	no consistent seasonality	0.764 [0.793] 0.795 [0.806]	22 [20.2, 23.5]	(Müller and Fischer, 2001)
15°59'N 61°30'E	Central Arabian Sea	11/1994- 11/1995 [272, 374]	821 2229	9.0 [0.57-49] 6.2 [0.87-41]	NE and SW monsoons (beginning and end)	0.958 [0.950] 0.951 [0.950]	27 [25.6, 26.3]	(Prahl et al., 2000)



10°30'N 64°40'W	Cariaco Basin	11/1996 - 10/1999 [580]	275 455 930	16.3 [0.61-63] 15.2 [0.58-70] 12.7 [2.5-28]	no consistent seasonality	0.901 [0.903] 0.871 [0.893] 0.883 [0.898]	26.1 [24.8, 26.5]	(Goni et al., 2004; Goni et al., 2003)
0°N 175°E	West equatorial Pacific	9/1992 - 8/1993 [336, 338]	1770 4220	0.27 [0.05-0.84] 0.12 [0.05-0.25]	November, February- March, June	0.967 [0.982] 0.982 [0.983]	28.7 [28.2, 29.1]	(Harada et al., 2001)
42°42'S 178°38'E	western South Pacific, east of New Zealand	9/1996- 4/1997 [208]	300	5.2 [0.07-23]	October, January	0.421 [0.453]	15.7 [13.4, 18.3]	(Sikes et al., 2005)
44°37'S 178°37'E	western South Pacific, east of New Zealand	6/1996-4/1997 [176]	300	6.7 [0.09-22]	August- December	0.268 [0.359]	13.3 [11.3, 15.8]	(Sikes et al., 2005)
50°40'S 68°25'E	Indian Ocean sector of the Southern Ocean	4/1993 - 1/1994 [170]	200	1.6 [0.10-9.3]	January (major) April/May (minor)	0.039 [0.037]	3.6 [2.6, 5]	(Ternois et al., 1998)

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\*Figure

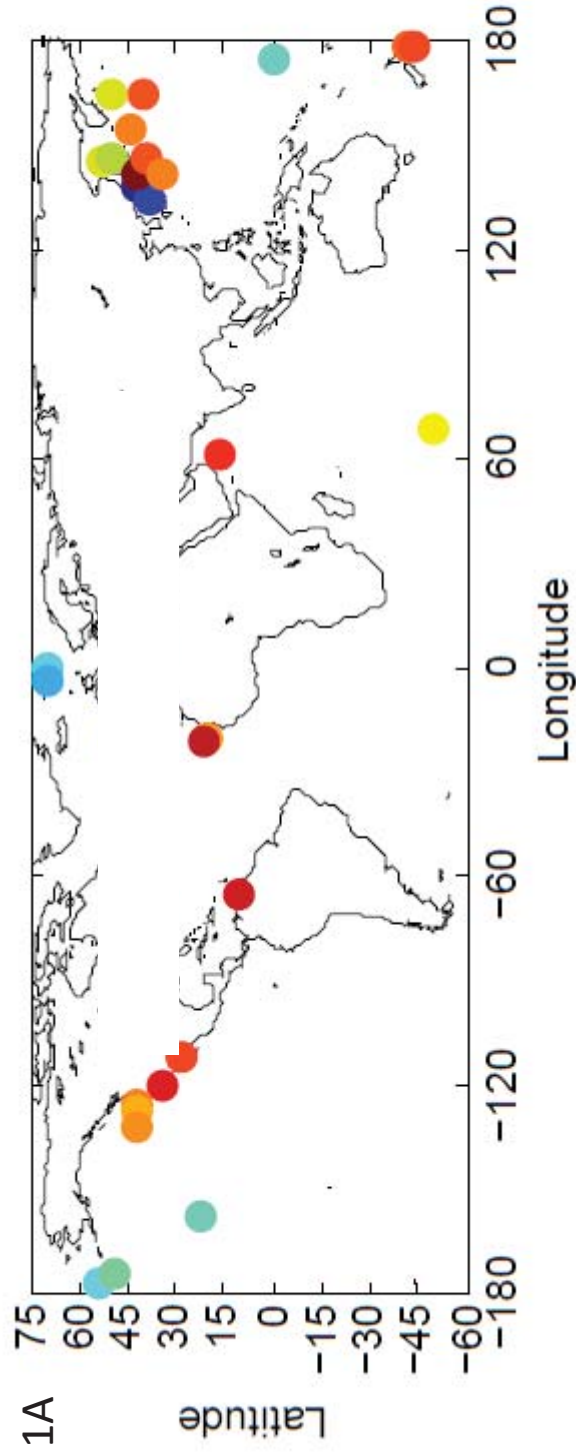
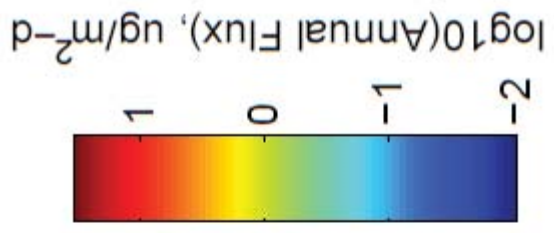


Figure 1A

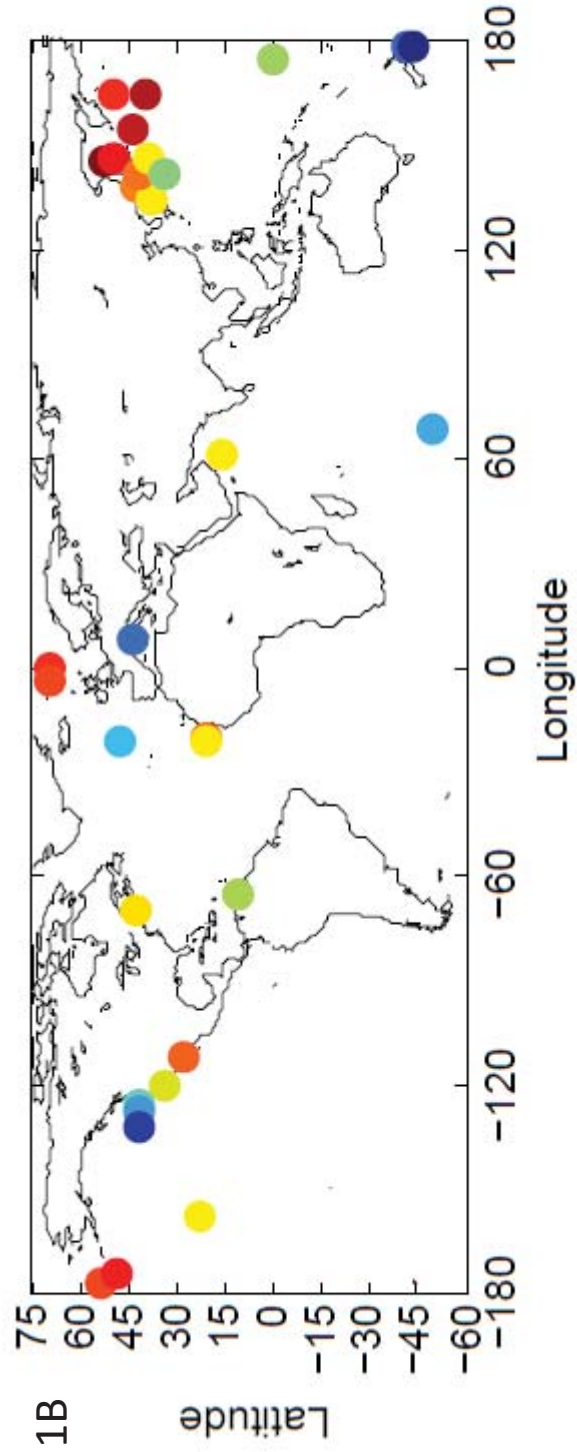
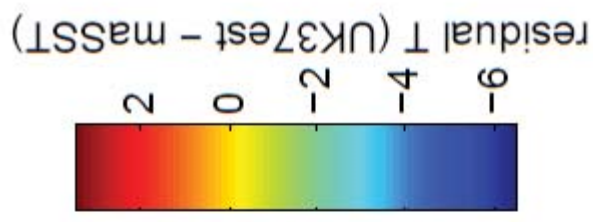


Figure 1B

Figure 2

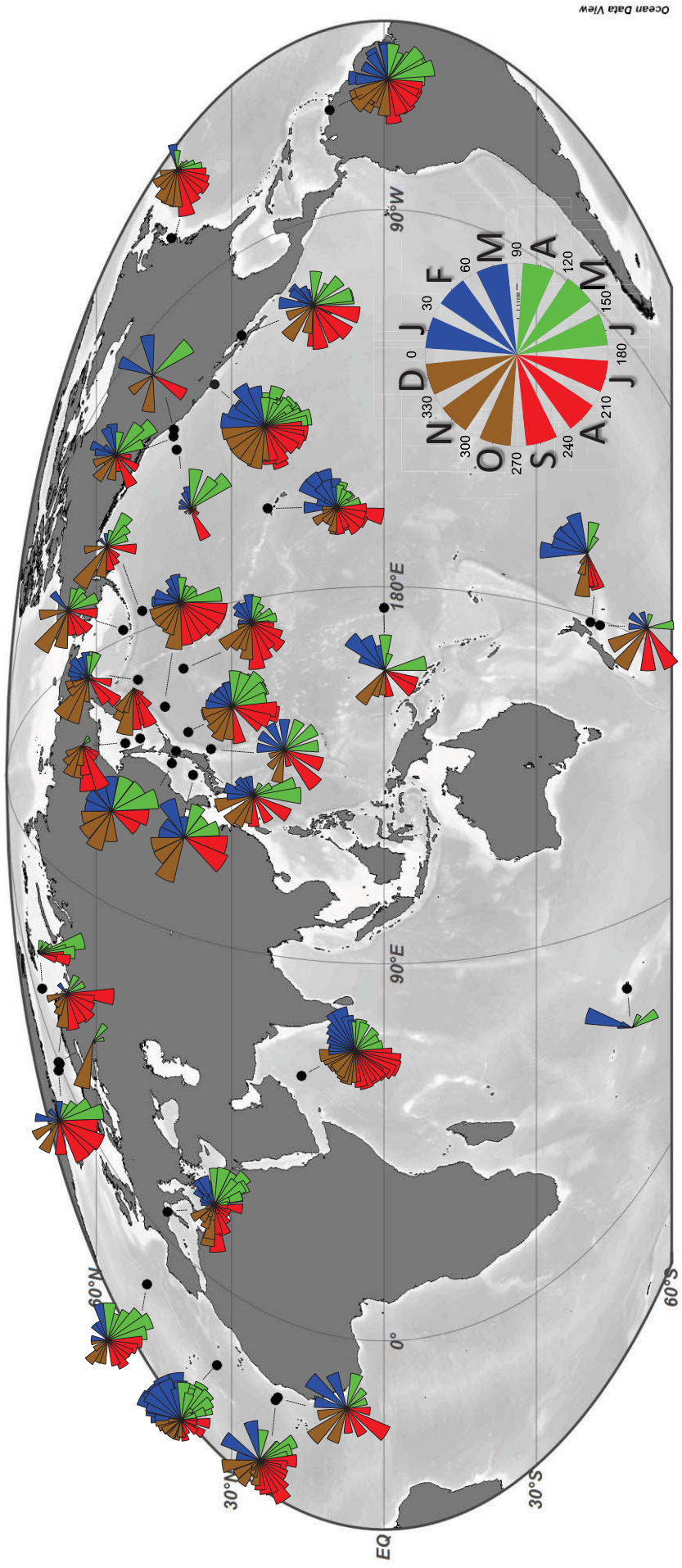


Figure 3A

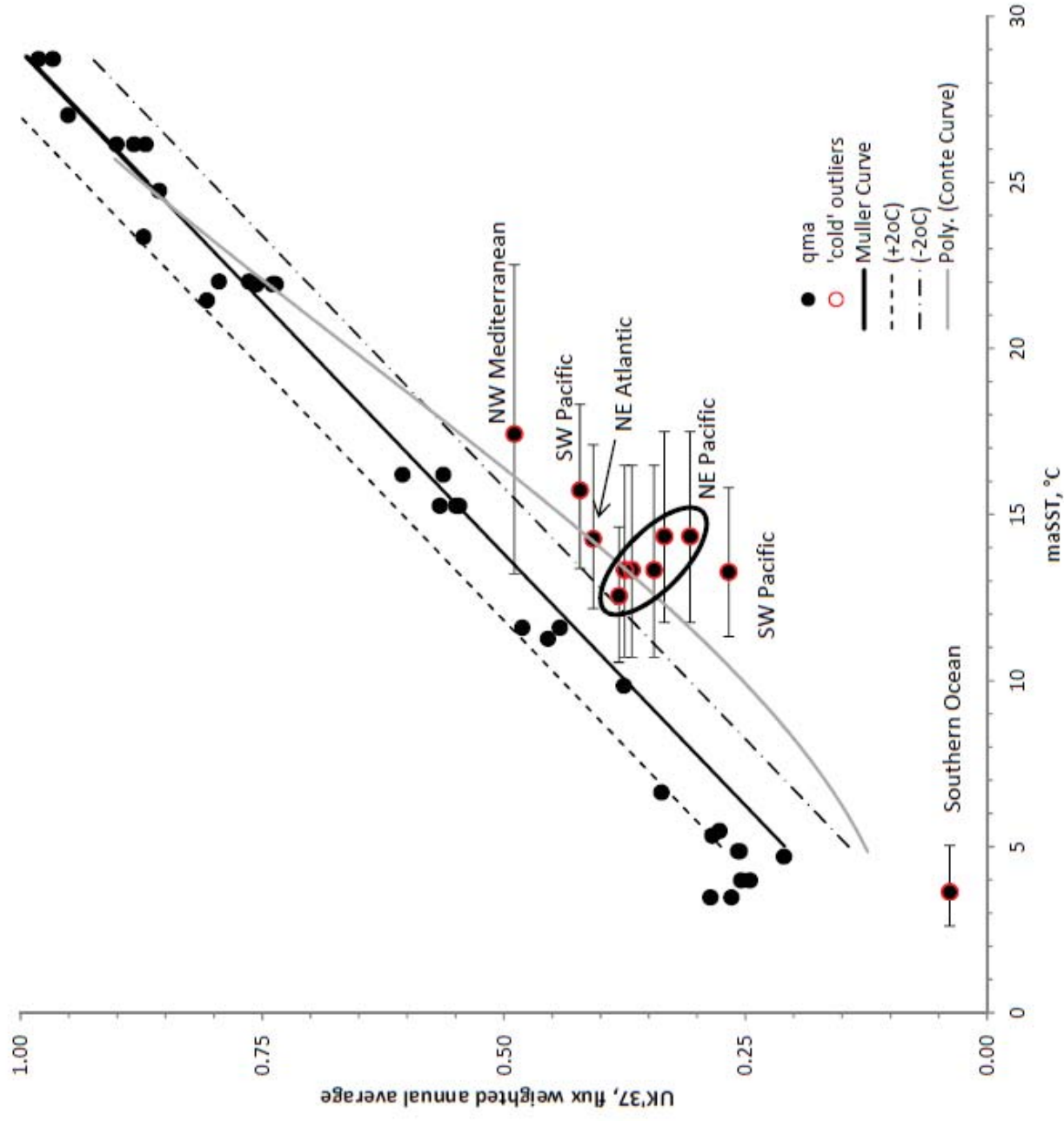
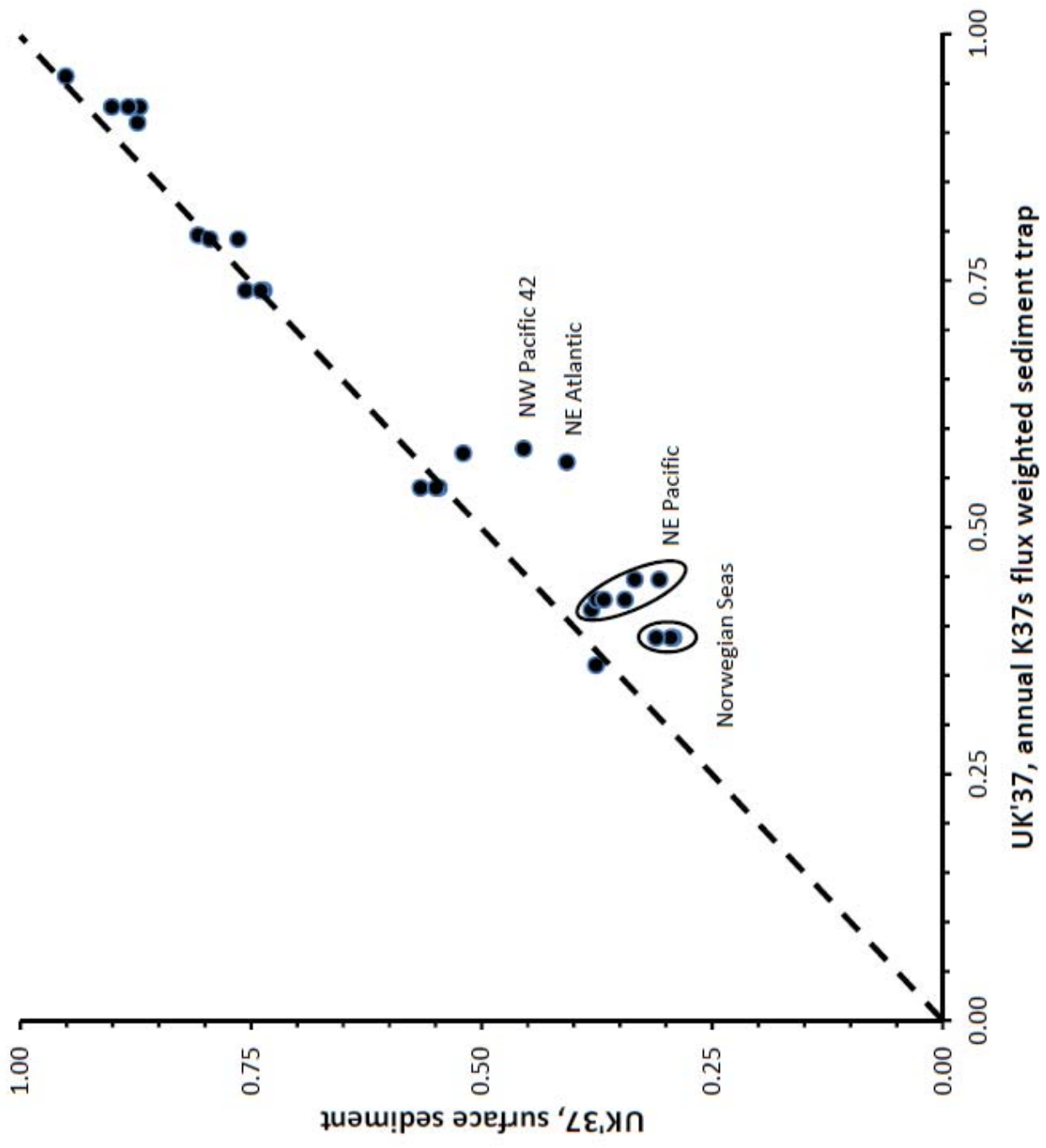


Figure 3B



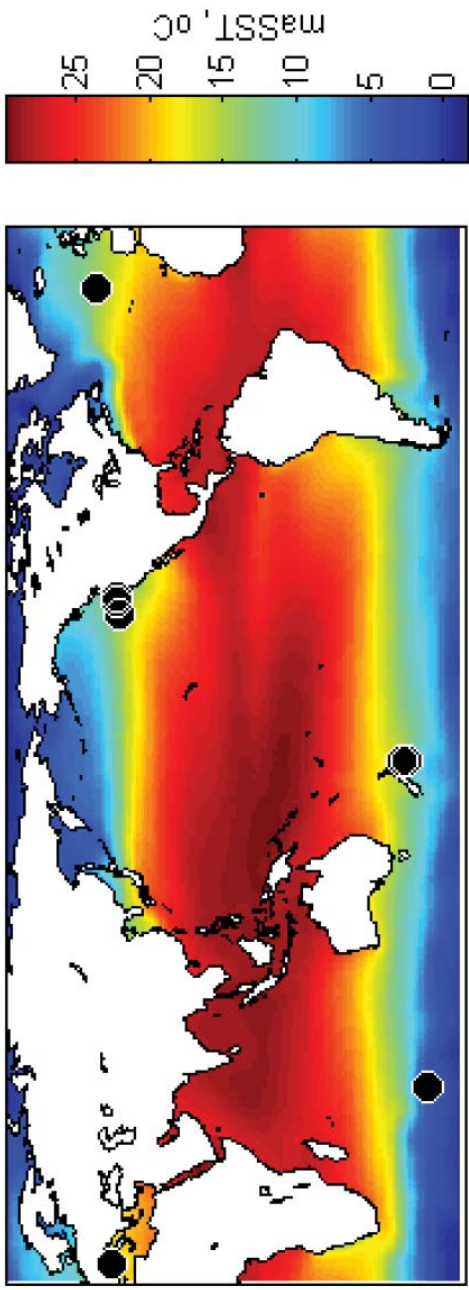


Figure 4A

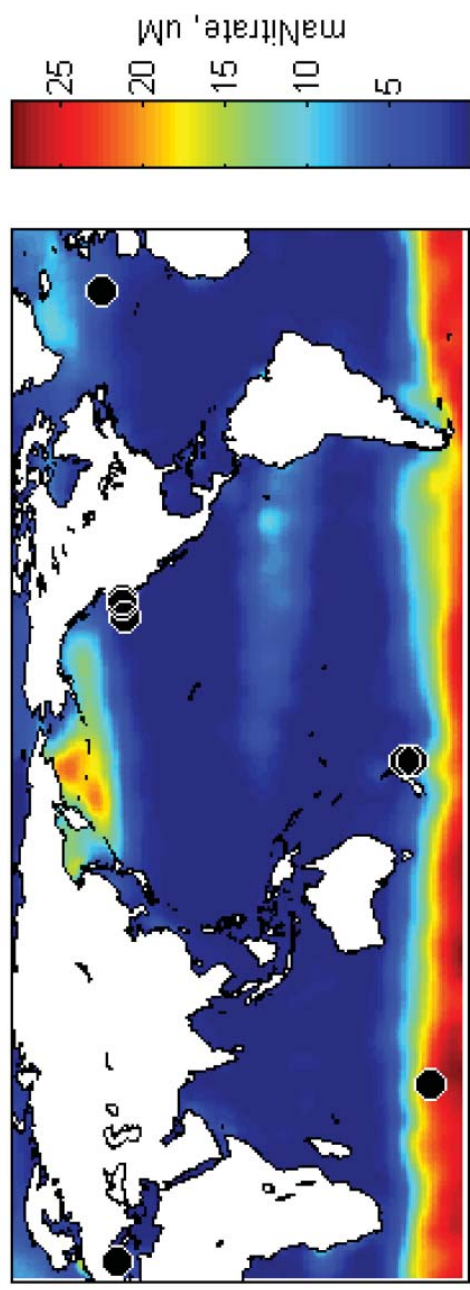


Figure 4B