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Citation	Illán, J. G., Thomas, C. D., Jones, J. A., Wong, W.-K., Shirley, S. M., & Betts, M. G. (2014). Precipitation and winter temperature predict long-term range-scale abundance changes in Western North American birds. <i>Global Change Biology</i> , 20 (11), 3351–3364. doi: 10.1111/gcb.12642
DOI	10.1111/gcb.12642
Publisher	John Wiley & Sons Ltd.
Version	Accepted Manuscript
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1 **Precipitation and winter temperature predict long-term range-scale**
2 **abundance changes in Western North American birds**

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17 Running headline: *Effects of climate change on the birds of western North America*

18

Abstract

1
2 Predicting biodiversity responses to climate change remains a difficult challenge, especially in
3 climatically complex regions where precipitation is a limiting factor. Though statistical
4 climatic envelope models are frequently used to project future scenarios for species
5 distributions under climate change, these models are rarely tested using empirical data. We used
6 long-term data on bird distributions and abundance covering five states in the western US and
7 in the Canadian province of British Columbia to test the capacity of statistical models to predict
8 temporal changes in bird populations over a 32-year period. Using boosted regression trees, we
9 built presence-absence and abundance models that related the presence and abundance of 132
10 bird species to spatial variation in climatic conditions. Presence/absence models built using
11 1970-74 data forecast the distributions of the majority of species in the later time period, 1998-
12 2002 (mean AUC = 0.79 ± 0.01). Hindcast models performed equivalently (mean AUC = 0.82
13 ± 0.01). Correlations between observed and predicted abundances were also statistically
14 significant for most species (forecast mean Spearman's $\rho = 0.34 \pm 0.02$, hindcast = 0.39 ± 0.02).
15 The most stringent test is to test predicted *changes* in geographic patterns through time.
16 Observed changes in abundance patterns were significantly positively correlated with those
17 predicted for 59% of species (mean Spearman's $\rho = 0.28 \pm 0.02$, across all species). Three
18 precipitation variables (for the wettest month, breeding season, and driest month) and minimum
19 temperature of the coldest month were the most important predictors of bird distributions and
20 abundances in this region, and hence of abundance changes through time. Our results suggest
21 that models describing associations between climatic variables and abundance patterns can
22 predict changes through time for some species, and that changes in precipitation and winter
23 temperature appear to have already driven shifts in the geographic patterns abundance of bird
24 populations in western North America

1 **Key-words:** bird populations, boosted regression trees, climate envelope models, global
2 change, niche models, species distributions, Pacific Northwest.

3 **Introduction**

4 Understanding the factors driving species geographical distributions is a central issue in ecology
5 (Guisan & Zimmermann, 2000; Gaston, 2003), especially in the context of climate change
6 (Parmesan *et al.*, 2000; Vitousek *et al.*, 1997). Strong empirical evidence has already
7 accumulated that climate warming has caused many species, including birds, to shift their
8 distributions towards higher latitudes and elevations in the temperate zone and on tropical
9 mountains (e.g., Thomas & Lennon, 1999; Parmesan & Yohe, 2003; Wilson *et al.*, 2005; Hitch
10 & Leberg 2007; Chen *et al.*, 2011; Devictor *et al.*, 2008; Tingley *et al.*, 2012). However, no
11 such consensus has emerged in relation to precipitation changes, partly because far fewer data
12 are available from dry regions and partly because spatial patterns of precipitation change are
13 complex. Accounting for precipitation changes is particularly relevant in the seasonally dry
14 western US, where precipitation is connected to temperature and elevation through orographic
15 precipitation, snowpack, soil moisture storage, and latent heat exchange (Cavan, 1996; Heim,
16 2002; Hamlet *et al.*, 2007) . A few analyses of single species (Foden *et al.*, 2007) and ecosystem
17 productivity patterns (Chamaille-Jammes *et al.*, 2006) suggest that moisture-limited systems
18 are also likely to be highly responsive to climatic changes, but multi-species analyses of
19 distribution responses are lacking (but see Crimmins *et al.*, 2011; Beale *et al.*, 2013). This is
20 important because the potentially retreating (low latitude/elevation) range boundaries of many
21 temperate zone species may be determined by moisture availability, and moisture availability
22 is a key determinant of ecosystem and species distributions. Here we evaluate whether
23 distribution and abundance changes of bird species in western North America are linked to
24 changes in climate.

1 We assess the utility of species-environment models that are parameterised in one time
2 period to predict changes through time (e.g. Johnston *et al.* 2013). The premise that space and
3 time can be substituted in models underlies the use of distribution models (also known as niche
4 or climate envelope models) to project possible changes to the geographic ranges of species
5 under climate change. Distribution models test for associations between environmental
6 conditions at a given time and the occurrence or abundance of target species during the same
7 period, in order to define the bioclimatic conditions where a given species is distributed (Guisan
8 & Zimmermann, 2000). Such envelopes can then be projected forward in time using general
9 circulation models (Araújo & Peterson, 2012) to postulate the locations of future suitable
10 conditions. Species may be threatened by climate change because of disjunctions between
11 current distributions and the location of suitable conditions in future, declines in suitable area,
12 and complete loss of suitable conditions (Peterson *et al.*, 2001; Thomas *et al.*, 2004; Jetz *et al.*,
13 2007; Stralberg *et al.*, 2009; Lawler *et al.*, 2011). However, the validity of such projections
14 continues to be debated (Botkin *et al.*, 2007; Beale *et al.*, 2008; Araújo & Peterson, 2012).

15 Critiques of distribution models often invoke confounding factors, such as biotic
16 interactions (e.g., competition, predation), structural habitat associations, or geology, that could
17 strongly mediate potential relationships between the geographical distributions of species and
18 climatic conditions (Hutchinson, 1957). In essence, correlation does not prove causation. This
19 point is well made. However, the key issue in the context of climate change is not whether it is
20 possible to imagine confounding biological and statistical factors that might invalidate
21 projections (it always is), but how well such models actually perform empirically at predicting
22 changes to the abundance patterns and distributions of species through time. Such tests have
23 been reasonably successful over long time periods, for example in using models parameterised
24 using present-day distributions to predict the observed ranges of species at the last glacial
25 maximum, and comparing those projections with the distributions of fossil bones or pollen

1 (Huntley *et al.*, 1993; Ohlemüller *et al.*, 2012; Smith *et al.*, 2013). Rigorous tests of the capacity
2 of models to predict changes over much shorter periods of time are still needed (but see Araújo
3 *et al.*, 2005; Oliver *et al.*, 2012; Johnston *et al.* 2013). In part, this knowledge gap arises because
4 of the nature of most presence/absence distributional databases; areas of new colonisation or
5 extinction often only represent a relatively small fraction of the total area that a species
6 occupies, and most volunteer-collected distributional data, although extremely valuable, are
7 insufficient to confirm extinctions. Greater statistical power may be available if abundances
8 are also considered, because abundance is a continuous variable, and climate-driven abundance
9 changes may be detected across much larger parts of a species' range. Unfortunately, historical
10 multi-species datasets that include information about changes in abundances over large
11 geographic areas are scarce (see Both *et al.*, 2006; Willis *et al.*, 2008).

12 Here, we consider how spatial and temporal variation in the climate affects the
13 distributions and geographic abundance patterns of birds. Birds show strong responses to
14 contemporary climate change (Brotons *et al.*, 2007; Devictor *et al.*, 2008), in part because they
15 depend on resources that are closely tied to environmental change (Both *et al.*, 2006; Sillett *et*
16 *al.*, 2000; Pearce-Higgins *et al.* 2010). Changes to bird populations provide a useful indicator
17 of the ecological effects of climate change (Jiménez-Valverde *et al.*, 2011), since birds carry
18 out key biotic interactions such as seed dispersal, pollination and top-down control of herbivory
19 (e.g., Bale *et al.*, 2002; Şekercioğlu *et al.*, 2004). We capitalized on a large-scale dataset on
20 the abundance of 132 terrestrial bird species in western North America to test the performance
21 of distribution models in predicting changes in bird distributions and abundances over a 32-
22 year period. Our objectives were to assess the predictive capacity of climate envelope models
23 over this period, and to establish whether recent precipitation as well as temperature changes
24 have influenced the distribution and abundance changes of birds.

25 **MATERIALS & METHODS**

1 **Study system**

2 Our study system encompasses most of western North America, including California, Nevada,
3 Oregon, Washington and Idaho in the United States, and the Canadian province of British
4 Columbia. Our study area covers a latitudinal range of 32°41'N to 60°00'N (approximately
5 3000 km south to north) that is sufficiently large to include the entire latitudinal (breeding)
6 distribution of the majority of the species considered (Fig. 1). The longitudinal range is
7 narrower (114°46'W to 138°55'W), but given the region's complex topography ranging from
8 below sea level to 4394 m.a.s.l. and a gradient from oceanic to continental climates, it is
9 sufficient to generate conditions ranging from evergreen rainforest to desert. Our study system
10 includes a wide climatic range (Fig. 1), with average monthly temperatures on sampling routes
11 ranging from -29.9°C (January minimum) to 41.9°C (July maximum), and monthly total
12 precipitation ranging from 0 (July, driest month) to 629 mm (December, wettest month)
13 depending on location (Table 1).

14

15 **Bird data**

16 Terrestrial bird species' population data were derived from count data collected as part of the
17 USGS Breeding Bird Survey (BBS, www.pwrc.usgs.gov/bbs, Sauer *et al.*, 2011). These data
18 have been used widely in studies of bird distributions (Robbins *et al.*, 1986, 1989; Peterson
19 2003; Phillips *et al.*, 2010). The BBS survey system consists of 39.4 km linear routes that are
20 located on secondary roads throughout the continental United States and Canada. BBS data has
21 been collected every May or June (breeding season) since 1966 by trained surveyors that
22 recorded every species observed during 3 minute counts at 50 point locations spaced at 0.8 km
23 intervals along the route. The survey begins soon after sunrise and surveyors record birds that
24 are seen or heard within 400 m from each point, summing counts over all 50 points in a given

1 year (Bystrack 1981). BBS data provide an index of population abundance at the scale of an
2 individual route that can be used to estimate trends in relative abundance at various geographic
3 scales. We selected bird species that were present in more than 10% and fewer than 80% of
4 sampling sites in the study system during the selected time periods (to avoid extremely common
5 and extremely rare species), excluding species whose distributions mainly occur outside the
6 study region and those for which the region may not contain environmental limits respectively.
7 Aquatic and coastal bird species were also excluded because we did not expect the terrestrial-
8 based BBS routes to sample breeding populations of these species effectively. In total, 132
9 species satisfied the criteria for analyses.

10 We used BBS data from 1970 (earliest year when enough routes were available for
11 analysis) to 2002, over which period sufficiently high-resolution climate data were available to
12 match route locations. To reduce sampling variation in abundance caused by observer and
13 interannual weather effects, we considered two alternate five-year windows representing an
14 early (1970-74) and a later period (1998-2002). A given species was considered to be ‘present’
15 on a particular transect route if it was recorded there in one or more of the five years. To avoid
16 possible ‘false zeroes’ in species counts, we only included routes that were sampled in all years
17 during each period (1970-74 and 1998-2002). Abundance was the average number counted on
18 a route over the five-year period. This approach has been adopted in previous studies on species
19 distributions that use BBS data (Hitch & Leberg, 2007; Philips *et al.*, 2010). Finally, we also
20 excluded from analyses those routes that were so close to the ocean that their centroids were
21 located in the water, which would bias estimates of terrestrial climate. This initial screening
22 resulted in a dataset of 642 routes, of which 332 and 541 routes were sampled in the early and
23 later time periods respectively, with 231 sampled in both periods (Fig. 1).

24 **Environmental data**

1 We obtained historical climate data generated by the Parameter Regression of Independent
2 Slope Model (PRISM) (Oregon Climate Service, Corvallis, Oregon, USA) for the continental
3 United States (Daly *et al.*, 2002). Equivalent data for Canada were provided by the Canadian
4 Forest Service, Natural Resources Canada (<http://cfs.nrcan.gc.ca>). Both climate datasets were
5 created using point meteorological station data, digital elevation models, and other spatial data
6 sets to generate interpolated gridded estimates of monthly, yearly, and event-based climatic
7 parameters, such as precipitation, temperature, and dew point. We used maps at a spatial
8 resolution of 2.5-arcmin (approximately 3 km cell size at this latitude) (Daly *et al.*, 2000). For
9 the 30-arcsec resolution British Columbia data, we resampled to 2.5-arcmin to match the
10 resolution of the PRISM data.

11 We selected a set of seven climatic variables previously reported to be associated with
12 bird species distributions, reflecting conditions in the breeding season and during summer and
13 winter months when the most extreme conditions are likely to be experienced (Green *et al.*
14 2008; Jiménez-Valverde *et al.*, 2011). The seven climatic predictors included in the models
15 were: average daily maximum temperature of the hottest month in the study system (July),
16 average daily minimum temperature of coldest month (January) and total precipitation of
17 wettest (December) and driest month (July). The peak breeding period for most birds in the
18 study region was in June, so we also considered maximum temperature, minimum temperature
19 and precipitation for this month. The full set of predictor variables included in the analyses is
20 listed in Table 1.

21 We summarized all climate variables within 1 km of BBS routes, the maximum distance
22 within which birds are likely to be detected in a survey (Betts *et al.*, 2007), taking the average
23 condition for each climate variable across the five years in each period. Distribution models
24 could fail if there is a mismatch between the spatial resolution of population processes and of
25 the environmental predictor variables (Araújo & Peterson, 2012). It has frequently been noted

1 that the spatial scale of studies strongly affects relative importance of environmental factors
2 associated with species distributions (Johnson *et al.*, 2004; Olivier & Wotherspoon, 2005;
3 Jiménez-Valverde *et al.*, 2011). In this particular case, missing the appropriate spatial scale of
4 the study species may lead to incorrect interpretation of the results (Beale *et al.*, 2008). We
5 therefore repeated analyses using climatic conditions within 20 and 50 km of each route, to
6 represent the sub-regional or regional scales that have previously been related to bird
7 populations (Tittler *et al.*, 2006). However, model performance was highly correlated across
8 the three spatial scales ($R^2 > 0.8$ in all cases) so we report only the 1 km buffer model
9 performance here.

10

11 **Statistical analyses**

12 *Model Development*

13 Models were developed using the ‘gbm’ package in R (R Development Core Team, 2010) for
14 Boosted Regression Trees analyses, which have been widely used for climatic envelope models
15 (Randin *et al.*, 2009; Carvalho *et al.*, 2010; Verburg *et al.*, 2011; Engler *et al.*, 2011). Boosted
16 Regression Trees (BRTs) are a type of machine-learning method that combines the strength of
17 regression trees and boosting; that aims to fit a single parsimonious model. GBMs combine
18 many simple models to give improved predictive performance and provide the capacity to
19 include different types of predictor variables and to accommodate missing data. BRTs exhibit
20 high prediction performance while minimizing the risks of overfitting (Elith *et al.*, 2006). In
21 addition, they are sufficiently flexible to include nonlinear relationships and interactions
22 between predictors (Elith *et al.*, 2008). We generate BRT models with the set of seven climatic
23 variables as predictors and observed occurrence or abundance for each time period as response
24 variables (Fig. 2). Both abundance and occurrence models such as ours are well known to suffer

1 from potential biases caused by imperfect detection (MacKenzie *et al.* 2003, Kery 2011).
2 However, we elected not to account for detection in our modeling strategy for four reasons.
3 First, BBS data are not collected using the repeated temporal sample structure required for
4 occupancy modeling (MacKenzie *et al.* 2003). Second, to date, no machine learning methods
5 (e.g., BRT) exist that account for imperfect detection. Machine learning methods such as BRT
6 enable the fitting of complex structures (non-linearities, interactions) that would be extremely
7 computationally challenging in an occupancy framework. Thirdly, ‘occupancy’, after
8 accounting for imperfect detection, is a latent variable and therefore impossible to validate on
9 independent data because the ‘true’ state of independent data are unknown (Welsh *et al.* 2013).
10 Finally, as our primary objective was SDM validation, and the same search effort was applied
11 to every transect in both time periods, this approach was therefore inappropriate.

12

13 *Model evaluation*

14 We evaluated both abundance and distribution models in two ways: (1) description of the fit of
15 the original models within a given time period (verification) and (2) model forecasting and
16 hindcasting with independent data, in our case using models developed during one time period
17 to predict observed patterns in the other period (cross-validation; Araújo & Guisan 2006;
18 Dobrowsky *et al.*, 2011). Given the data (continuous *versus* binary) and observed patterns (lack
19 of normality in abundance data), the procedures for verification and cross-validation depended
20 on the distribution of response variables. We verified the models using data from the same time
21 period used for model development. We calculated the performance of the presence/absence
22 models using AUC (area under the receiving operating characteristic curve) (Fielding & Bell
23 1997; Manel *et al.*, 2001; McPherson *et al.*, 2004). Values normally range from 0.5 (no better
24 than random association) to 1 (perfect fit). There is no universally accepted ideal measure of
25 model performance, but AUC has been widely used (but see Lobo *et al.* 2008) as a threshold

1 independent metric of model fit and its properties are well understood (Thuiller, 2003; Araújo
2 *et al.*, 2005; Brotons *et al.*, 2007; Pearman *et al.*, 2008; Gutiérrez Illan *et al.*, 2010) (Fig S2). We
3 evaluated abundance models using Spearman's rank correlation coefficients (Spearman's ρ)
4 between predicted (from model-averaged coefficients) against observed abundance values (Fig.
5 2). We used rank correlations coefficients (ρ) between predicted and observed abundance
6 values because observed count numbers were low for almost all species on some routes (leading
7 to deviations from normality), and for consistency with the analysis of abundance changes
8 between periods (some species showed non-linear relationships between predicted and
9 observed abundance changes, e.g. Fig 5). We also tested for correlations between observed and
10 predicted abundance using Pearson's r , but results were not substantively different, so here we
11 report only Spearman ρ , which is a more conservative test.

12 For cross-validation, we used the models developed in one time period and then used
13 climate data in the other period to predict occurrences or abundance of the selected species in
14 the target routes (Fig. 2). These were compared with the observed measures of occurrence and
15 abundance in the alternative test period. As an additional, more challenging test of the efficacy
16 of climate envelope models, we used our models to make forecast and hindcast predictions
17 about occurrence and abundance at routes that were not sampled in the alternative time-period.
18 We ran the models based on the whole set of routes in each period (332 in 1970-74 and 541 in
19 1998-02) and evaluated their predictive power with the set of routes that were not sampled in
20 the alternate period (101 in 1970-74 and 309 in 1998-02). These tests were thus carried out on
21 both spatially and temporally independent data (Bahn & McGill, 2013).

22

23 *Predicting Species Distributions and Abundances Over Time*

1 The strongest test of whether the climate variables in (spatial) models are causally linked to
2 species' distributions and abundances is to make predictions about changes over time, and then
3 to test these against observed changes. First, we carried out these analyses using changes in
4 occupancy through time. A given species at a sampling location can (i) colonize, (ii) go locally
5 extinct, (iii) persist, or (iv) remain absent during a given period of time (Nichols *et al.*, 1998;
6 MacKenzie *et al.*, 2003). Thus, we identified the routes where each of these states had been
7 observed (changes in occupancy: absence to presence of n individuals, and *vice versa*). We only
8 considered the subset of routes monitored in both time periods to ensure data consistency. The
9 total number of routes that were sampled in both years and therefore included in the analyses
10 was 231 (out of 332 in 1970-74 and 541 in 98-02). Out of the 132 target species in our study
11 system, we selected for analysis the species for which local extinction or colonization had
12 occurred for >5 routes over the study period.

13 To estimate expected change in occupancy, we ran BRTs using data from the first time
14 period to estimate initial occupancy probability ($\hat{\Psi}_{t_1}$). We then predicted to the second period
15 using this first model given changes in climate that occurred on each route ($\hat{\Psi}_{t_2}$). The
16 difference between these values ($\hat{\Psi}_{t_2} - \hat{\Psi}_{t_1}$) was considered the expected change in probability
17 of occupancy ($\Delta\Psi$). Prediction accuracy was assessed by comparing $\Delta\Psi$ with observed change
18 in occupancy status (Fig 4). We used a paired t-test (98 species) to investigate whether observed
19 change in occupancy (a dichotomous response variable; locally extinct versus locally colonized
20 sites) was significantly associated with the predicted change in occupancy (a continuous
21 variable).

22 In the case of the abundance models, which incorporate both abundance changes (on
23 routes populated in both periods) *and* changes in occupancy (absence to presence of n
24 individuals, and *vice versa*) we followed a similar procedure. To calculate abundance changes,

1 we used the 1970-74 model to describe initial abundances in the first time period ($\hat{\eta}_{t1}$) for each
2 route. We projected abundance in the second time period ($\hat{\eta}_{t2}$) using the t1 model parameterized
3 with t2 climate data. The difference ($\Delta\eta$) represents the expected change in abundance on each
4 route ($\hat{\eta}_{t2} - \hat{\eta}_{t1}$). We then tested the correlation between $\Delta\eta$ and observed abundance changes.
5 Transect routes where a species was absent in both time periods were excluded to avoid the
6 possibility that statistical fits might be exaggerated (large numbers of points with near-zero
7 predicted change and zero change observed). Again, we only considered the subset of routes
8 monitored in both time periods. A total of 132 species satisfied criteria for analysis of
9 abundance changes. We assessed predictive power by calculating Spearman rank correlations
10 (ρ), given that the relationships between predicted and observed abundance changes were not
11 always linear (Fig. 5), with no single transformation proving suitable for all species. For brevity,
12 we report only forecast results for both occupancy and abundance change models. Backcast
13 prediction accuracies were slightly higher and qualitatively similar. A summary of the complete
14 model building/cross-validation process is shown in Figure 2.

15

16 *Relative contribution of climate variables*

17 We calculated the relative influence of each predictor in BRTs using the *gbm* package; this
18 provides a measure of the strength of each variable's influence on the total response and is
19 reflected as a proportion (Elith *et al.*, 2008). We recorded the top-ranked explanatory variable
20 for each species, as well as the three top-ranked variables. For each variable, we counted the
21 number of species for which its independent contribution was ranked first, or within the top
22 three (Radford & Bennett, 2007), thus providing an overall estimate of the importance of each
23 variable to bird distributions and abundances in the region. As a further test, we also calculated

1 the relative contribution of the variables for the species with the best-performing models (34
2 species with Spearman's ρ above 0.4).

3

4 *Spatial autocorrelation*

5 One of the most common criticisms of the species distribution models is spatial autocorrelation
6 of results, which could lead to spurious relationships and thus, to infer wrong conclusions
7 (Beale *et al.*, 2008). Spatial autocorrelation can influence the reliability of biogeographic
8 analyses, particularly based on sample sites separated by short geographic distances (Algar *et*
9 *al.*, 2009). We tested for spatial autocorrelation in residuals of both presence-absence and
10 abundance models using correlograms (Moran's I ; Fortin *et al.*, 1989, Betts *et al.*, 2006).

11

12 **RESULTS**

13 *Climatic trends*

14 Although temperatures predominantly warmed from 1970-74 to 1998-2002, both changes in
15 temperature and precipitation showed a spatially patchy pattern (Fig. 1, Table 1). This spatial
16 heterogeneity in temperature and precipitation changes provides useful variation to assess
17 occupancy and population changes in response to variation in the climate.

18 *Model verification*

19 Distribution models generally performed well for most species within both time periods
20 (internal validation). For presence/absence models, 87% (1970-74) and 80% of species (1998-
21 02) showed AUC values >0.8 , with mean (\pm SE) AUCs of 0.88 ± 0.01 and 0.87 ± 0.01 for the
22 two periods respectively (Fig. 3) (Fig S2). Correlations between observed and predicted

1 abundance were also quite high when tested within time periods; Average ρ (\pm se) was $0.47 \pm$
2 0.02 for 1970-74 (94% of species showing significant associations; $p < 0.01$) and 0.49 ± 0.01 for
3 1998-2002 (98% of species showing significant associations; $p < 0.01$; Fig. 3).

4 *Model cross-validation between time periods*

5 Prediction success was lower in validation than in verification, though not substantially. When
6 forecasting using presence/absence models, mean (\pm SE) AUC was 0.77 ± 0.01 . When
7 hindcasting, mean (\pm SE) AUC value was 0.81 ± 0.02 for presence/absence models (Fig. 3). In
8 total, 40% (forecasting) and 59% (hindcasting) of the species showed excellent (AUC > 0.8)
9 predictive performance between time periods. In abundance models, forecast results were
10 positively correlated with observed abundance in the second time period (mean $\rho = 0.34 \pm 0.02$
11 (90 % out of 132 species significant at $p < 0.01$)). Hindcast results yielded slightly higher
12 correlations between observed and predicted abundances ($\rho = 0.38 \pm 0.02$ (92 % out of 132
13 species significant with $p < 0.01$)) (Fig. 3). Abundance models for 61% and 72% of species (for
14 forecasting and hindcasting, respectively) showed correlations $\rho > 0.3$. For each analysis, the
15 improved performance of hindcast predictions is likely to reflect the higher number of routes
16 available for model building in the later period.

17 Performance of the abundance models (Spearman ρ) were significantly correlated with
18 those of the presence/absence models (AUC) in both periods (Spearman $\rho = 0.59$ (70-74
19 models); Spearman $\rho = 0.68$ (98-02 models). $N = 132$ in both cases), suggesting common drivers
20 of abundance and distributions. Results obtained in verification and cross-validation for the full
21 set of target species are shown in table S1. To test the sensitivity of our results to the statistical
22 model, we also applied stepwise logistic regressions to generate climate-envelope models for
23 both presence/absence and abundance. These gave very similar results in making predictions

1 between time periods, but the AUC when using Boosted Regression Trees was higher for 95%
2 of species (Fig. S1).

3 *Testing changes in bird occupancy and abundance through time*

4 We tested the capacity of models to predict occupancy changes through time for 98 species that
5 satisfied criteria for analyses (Fig. 4). Mean change in predicted suitability of colonized routes
6 was significantly higher, i.e. more positive, than for routes that went locally extinct (paired t-
7 test, $t=3.094$; $P<0.005$; $N=98$). However, results varied widely across species (Fig. 4). In
8 general, models predicted local extinctions better than the local colonisations. Average climate
9 suitability decreased over time in the routes for seventy of the 98 species which went locally
10 extinct. Average climate suitability increased in colonized routes for 52 species (Fig 4).

11 In predicting changes in abundance over time, 71 out of 132 species showed significant
12 correlations between observed and predicted changes (mean $\rho = 0.28 \pm 0.02$, across all species).
13 Again, model quality varied widely, with 61 species (46%) showing weak predictive power (ρ
14 < 0.2), 24 species (18%) showing some level of predictive power ($0.2 > \rho < 0.5$) and 47 species
15 (36%) showing correlations >0.5 (Fig. 5b). Model performance for one high-performance and
16 one medium-performance example species are shown in Figure 5. The purple finch
17 (*Haemorhous purpureus*) represents a species with a typical northern distribution in North
18 America, whereas loggerhead shrike (*Lanius ludovicianus*) has a typical southern distribution.
19 For both species, there is some indication that climate-related declines are better predicted than
20 increases (Fig. 5). This is consistent with the results obtained in the occupancy change models
21 where models tended to better predict extinctions than colonizations.

22 The residuals of abundance models were not spatially autocorrelated for the majority of
23 the species. Ninety-five species showed no significant ($p>0.05$) autocorrelation at any distance
24 classes. Furthermore, only 12 out of 132 species showed Moran's $I >0.2$ at any spatial lag,

1 which is generally considered to reflect strong spatial autocorrelation (see full results in Table
2 S2, detailed plots for exemplar species in Fig. S3) (Lichstein *et al.* 2002).

3

4 *Relative contribution of climate variables*

5 Overall, precipitation was a more important predictor than temperature in both distribution and
6 abundance models (Fig. 6). This conclusion held whether we considered the single top variable
7 in each species' model, or whether a variable was one of the three top predictors (Fig. 6). Fig
8 6b shows very similar results obtained when considering only the 34 species with evaluation
9 coefficients (Spearman's ρ) above 0.4. Precipitation in the wettest month (December) was
10 particularly important, with additional contributions from June and July precipitation (Fig. 6).
11 January temperature was, on average, the most important temperature variable included in our
12 models. Hence, the abundance changes that could be predicted by the models were mainly
13 driven by spatio-temporal changes in precipitation and warming trends in winter temperature
14 over time.

15

16 **DISCUSSION**

17 Our results show that climate envelope models had considerable capacity for describing the
18 abundance and distribution of bird species in western North America. This is consistent with
19 previous studies showing high predictive ability for distribution models that are trained and
20 tested in the same time period (La Sorte & Jetz, 2012, Renwick *et al.* 2012, Foden *et al.* 2013,
21 Smith *et al.* 2013). Further, our models generally performed well at predicting both occupancy
22 and abundance in alternative time periods (transferability). This is perhaps not surprising given
23 the relatively short time-period over which our models predicted (32 years); one would expect

1 there to be temporal autocorrelation in bird distributions, explained partly by an inertia in the
2 distribution of the plant species and climatic envelopes on which they depend (Araújo *et al.*
3 2005, Botkin *et al.* 2007, Rapacciuolo *et al.* 2013; Watling *et al.* 2013).

4 More telling was our finding that, for some species, climate envelope models were also
5 capable of predicting abundance and occupancy *changes* across the western portion of the
6 continent; 54% of the species we examined showed significant correlations between predicted
7 and observed abundance changes. Though these models are still correlative, they substantially
8 reduce two problems in climate envelope model validation: (1) they are free from problems of
9 temporal autocorrelation in model predictions that would lead to high quality models based
10 solely on the tendency of species to remain at certain population levels or distributions over
11 short time periods (Araújo *et al.*, 2005, Rapacciuolo *et al.*, 2013); (2) they are less likely than
12 static models to be confounded with biotic variables that show similar distributions to climate;
13 for example, birds are known to be strongly associated with vegetation structure and
14 composition (MacArthur & MacArthur, 1961). Our finding that changes in bird abundance and
15 occupancy are predicted by climate provides stronger evidence that climate itself is an
16 important role as a driver of bird populations – even over relatively short temporal scales. This
17 role may be direct (via thermal limitations; *sensu* Jankowski *et al.*, 2013) or indirect –
18 propagated through influences to, for example, the phenology of vegetation and/or food
19 availability (Both *et al.*, 2006).

20 Model prediction success is expected to decline as one moves from verification (testing
21 against the data used to build the model), to cross-validation (testing against observed patterns
22 in another time period) and temporal prediction (changes in abundance patterns in space and
23 time) (Araújo *et al.*, 2005). Our models support this expectation; abundance models trained
24 and tested in 1970-74 (i.e., verification) showed high concordance with observed data ($\rho=0.47$).
25 In cross-validation to a new time period, correlations dropped ($\rho=0.34$) and then declined

1 further when predicting geographical patterns of abundance changes across time periods
2 ($\rho=0.28$). Nonetheless, predictions of temporal changes in abundance patterns were strong
3 ($\rho>0.5$) for over a third of the species.

4 Though still scarce, a number of recent studies have tested for the transferability of
5 climate envelope models in space and time for mammals (Rubidge *et al.*, 2012), plants
6 (Pearman *et al.*, 2008), insects (Kharouba *et al.*, 2009) and birds (Rapacciuolo *et al.*, 2013;
7 Johnston *et al.* 2013). Superficially, the degree of climate envelope model success appears to
8 vary widely across studies and taxa, but much of this variability is accounted for by whether or
9 not studies attempted to model occupancy change, or simply model transferability. Generally,
10 studies that built models in time t_1 and predict distributions in t_2 report optimistic results
11 (Dobrowski *et al.*, 2011, Watling *et al.*, 2013). In contrast, Rapacciuolo *et al.* (2013) recently
12 found that although climate envelope models for plants, birds and butterflies did well at
13 predicting distributions (transferability was high), performance was poor when they attempted
14 to predict changes in occupancy status at range edges. Our findings support this result for a
15 substantial number of species (61/132, 48% of models showed correlations <0.2). These results
16 provide an important cautionary note: for some species, high explanatory power on temporally-
17 independent records does not necessarily indicate a model's ability to predict changes through
18 time. However, the rest of the species we considered showed significant, and in some cases
19 strong, correlations between observed and predicted abundance changes.

20 One possible explanation for the higher agreement between predicted and observed
21 abundance changes in our study in relation to Rapacciuolo *et al.* (2013) lies in the order of
22 magnitude greater geographical scale of our study (2,308,000 km² versus 229,848 km²). This
23 permitted us to encompass the full latitudinal extent of many species' ranges. Several studies
24 have shown that it is particularly important to include the complete species' environmental
25 range to achieve more accurate predictions (Pearson *et al.*, 2002; Thuiller *et al.*, 2004; Barbet-

1 Massin *et al.*, 2010) and that missing the climatic limits of the species is more likely lead to the
2 conclusion that distributions of species are not determined by climate (Beale *et al.*, 2008). Our
3 study is one of the first to demonstrate that climate envelope models predict species
4 distributions and abundances in new, independent locations (see Rubidge *et al.*, 2012).

5 Though the majority of our models predicting occupancy and abundance changes were
6 significantly correlated with observed changes, for most species substantial variation remained
7 unexplained. It is well known that a wide range of non-climatic factors drive biodiversity
8 responses – many of which remain challenging to incorporate into SDMs. First, land-use
9 change has clear potential to limit the efficiency with which even fairly vagile species can ‘keep
10 pace’ with climate change (Jetz *et al.*, 2007). Highly fragmented habitat distributions may
11 preclude dispersal to patches that have newly emerged as part of a species’ fundamental niche
12 (Opdam & Wascher, 2004). Few efforts to date have quantitatively examined the degree to
13 which land-use change interacts with climate to drive distributions (Luoto *et al.*, 2007). Second,
14 biotic relationships (e.g., competition, predation, mutualism) all play a role in driving
15 distributions (Blois *et al.*, 2013). Though new techniques are emerging to explicitly incorporate
16 such biotic factors (Heikkinen *et al.*, 2007), these have not been extensively validated to
17 determine the degree to which they improve model predictions over longer time periods (but
18 see Rubidge *et al.*, 2012). Third, the spatial resolution of most climatic envelope models tends
19 to be in the order of 1-100 km² – a scale which is likely mis-matched with the scale of perception
20 by many organisms (Gillingham *et al.*, 2012), including birds. A number of studies have
21 recently acknowledged that fine-scale variability in thermal and precipitation regimes have the
22 potential to provide ‘refugia’ or ‘buffering’ against landscape or regional trends in climate
23 (Dobrowski, 2011; Moritz & Agudo, 2013). Unfortunately, long-term data on animal
24 distributions, including the data used in this study, are rarely collected at sufficiently fine spatial
25 resolutions to allow for modelling (let alone validating) such microclimatic effects.

1 Nevertheless, it is important to note that despite these additional sources of variation, climate
2 variables alone successfully predicted both abundance and distributional changes for many of
3 the species we examined. We expect that new efforts to incorporate physiological tolerances
4 (Jankowski *et al.*, 2013), dispersal behaviour (LaSorte & Jetz, 2010), and fine-scale landcover
5 data (Shirley *et al.*, 2013) will improve upon the models we report here.

6 An additional source of variation may arise from the nature of the count data analysed.
7 Though quantifying abundance using 5-year ‘windows’ undoubtedly increased detections, and
8 hence noise relating to detectability, the lack of within-year repeat counts in BBS data precludes
9 accounting statistically for biases relating to imperfect detection (MacKenzie *et al.* 2003).
10 Nevertheless, it is highly unlikely that imperfect detection biased our results *in favour* of SDMs
11 that validate well on independent data.

12 Most studies of how climate change alters the distributions of species have emphasised
13 the effects of temperature (Walther *et al.*, 2002; Thomas *et al.*, 2004; Chen *et al.*, 2011), but it
14 has been argued that precipitation could exert an equally important role for some organisms
15 (Tingley *et al.*, 2012). In our study, with the exception of minimum January temperature, the
16 three precipitation variables featured more strongly in models than did the remaining
17 temperature variables for most species (Fig. 6). Our study thus confirms the importance of
18 considering precipitation in future projections of species under climate change. We hypothesize
19 that precipitation is an important determinant of range retreats in northern species that
20 experience increased desiccation of their habitats in the south, and may facilitate the expansion
21 of drought-tolerant species from the south. However, precipitation change is complex in
22 mountainous terrain (Fig. 1), which has resulted in complex patterns of predicted and observed
23 geographic patterns of abundance change (Fig. 5). Hence, different species may be shifting
24 their distributions in quite variable directions; a single species even may show variation in the

1 direction of shifts in different regions, depending on which environmental variables are limiting
2 and the degree to which they are changing (Root & Schneider, 1993; Root *et al.*, 2003).

3 Interestingly, winter conditions (precipitation in the wettest month, December, and
4 temperature in the coldest month, January) were the most important predictor variables for most
5 species. For resident species, this may reflect overwinter physiological stress and food
6 availability which in turn affects survival (Robinson *et al.*, 2007, Doherty & Grubb, 2002), but
7 for migrants that are absent during these periods, such changes likely reflect lagged climate
8 effects. For instance, warmer winter temperatures would affect rates of snowmelt, which in turn
9 influences moisture availability and therefore ecosystem productivity during the summer
10 months. Moreover, moisture storage carryover also affects air temperature through latent heat
11 exchanges (Porporato *et al.*, 2004; Nolin & Daly, 2006).

12 The predictive power of climate envelope models for birds exhibited variable success
13 across species, but declined as data independence increased. Nevertheless, we provide evidence
14 that climate envelope models are capable of predicting abundance changes through time for a
15 third to half of species, suggesting that climate is driving the changes. Over the 32-year period
16 considered, precipitation was a major determinant of geographic-scale changes in the
17 abundance patterns of terrestrial bird species in western North America. Our results for birds
18 could therefore be considered a ‘best case’ scenario with respect to the transferability of climate
19 envelope models because of their relatively high dispersal abilities, and other taxa might show
20 lower prediction success due to lags in dispersal (Ko *et al.*, 2011). However, climate is a better
21 predictor of decreases and, ultimately, of local extinctions in our study, than it is of increases
22 and local colonizations, and hence the predictive power of our models does not rely on strong
23 dispersal. In conclusion, our ability to predict geographic patterns of abundance change through
24 time demonstrates the importance of climate, particularly precipitation, to the changing
25 distributions of a third to a half of the species studied, but the variation explained also implies

1 that factors other than climate, such as dispersal, land-use and heterospecifics are also important
2 determinants of large-scale distribution change. The quest for improved model predictions will
3 inevitably involve trade-offs between limited extent of fine-resolution data depicting
4 organism's responses to land use/land cover and biotic interactions (which produce detailed,
5 accurate models of local places that are hard thus problematic to generalize) and the desire to
6 create broad-scale models that are relevant to understanding global change.

7

8 **ACKNOWLEDGEMENTS**

9 We thank Francisco J Gutiérrez in memoriam for his constant and invaluable support to
10 JGI. We also want to thank Colin M. Beale and Barbara J. Anderson for helpful comments and
11 critical advise on the statistical analyses. The research was funded by Oregon State University,
12 The University of York, a National Science Foundation grant to MGB (NSF-ARC 0941748),
13 National Science Foundation CDI grant to MGB, JJ and WKW (NSF-CDI 0913560) and a
14 MEC/Fullbright (Ministerio de Educación y Ciencia, Spain) post-doctoral fellowship to JGI
15 (ref: 0257/BOS). The project described in this publication was supported by a grant to MGB
16 the Department of the Interior Northwest Climate Science Center through Cooperative
17 Agreement No. G11AC20255 from the United States Geological Survey. Its contents are solely
18 the responsibility of the authors and do not necessarily represent the views of the Northwest
19 Climate Science Center or the USGS. This manuscript is submitted for publication with the
20 understanding that the United States Government is authorized to reproduce and distribute
21 reprints for Governmental purposes

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1 **Table 1.** List of climate variables included in the analyses. Values are given at route level in
 2 each period as they were included in the analyses. Averages values are shown for all study
 3 sites in the selected period and values in brackets show the ranges of the given variable in the
 4 study system

Climate variable	Units	Mean [min-max]	
		1970-74	1998-02
June Maximum Temperature	°C	24.7 [13.0 – 39.9]	22.7 [11.0 – 39.1]
June Minimum Temperature	°C	8.8 [0.9 – 23.4]	7.5 [0.1 – 21.7]
June total Precipitation	mm	24.2 [0 – 104.2]	33.6 [0 – 116.9]
July Maximum Temperature	°C	28.5 [16.1 – 41.9]	27.2 [14.3 – 41.0]
July total Precipitation	mm	15.4 [0 – 100.7]	17.9 [0 – 98.9]
January Minimum Temperature	°C	-4.6 [-29.9 – 6.6]	-4.0 [-18.0 – 9.6]
December total Precipitation	mm	125.7 [1.9 – 538.9]	120.4 [0.3 – 629.2]

1 **Figure legends**

2 **Fig 1.** Panel a: Map of the study area, showing the topographical heterogeneity of the five US
3 states (California, Idaho, Nevada, Oregon, Washington) and Canadian province of British
4 Columbia included. Panel b: Change (70-74 to 98-02) in average minimum temperature of the
5 coldest month (January). ΔT_p varies from a cooling of $> -1^\circ\text{C}$ (dark blue) to warming of $> 5^\circ\text{C}$
6 (dark red). Panel c): Change in average precipitation of the driest month (July), from a drying
7 of > -10 mm (dark brown) to increased precipitation of > 10 mm (dark blue). Panel d: Change
8 in average precipitation of the wettest month (December), from a drying of > -10 mm (dark
9 brown) to to increased precipitation of > 10 mm (dark blue). BBS routes used in the study are
10 shown in black in all maps.

11 **Fig 2.** Flow chart summarizing the model building and evaluation process.

12 **Fig 3.** Summary of model performance evaluation for a) distribution (presence/absence) and
13 b) abundance models. Presence/absence models were evaluated via AUC and abundance
14 models were evaluated using Spearman's rank correlation coefficients between observed and
15 predicted abundance of each target species at each route.

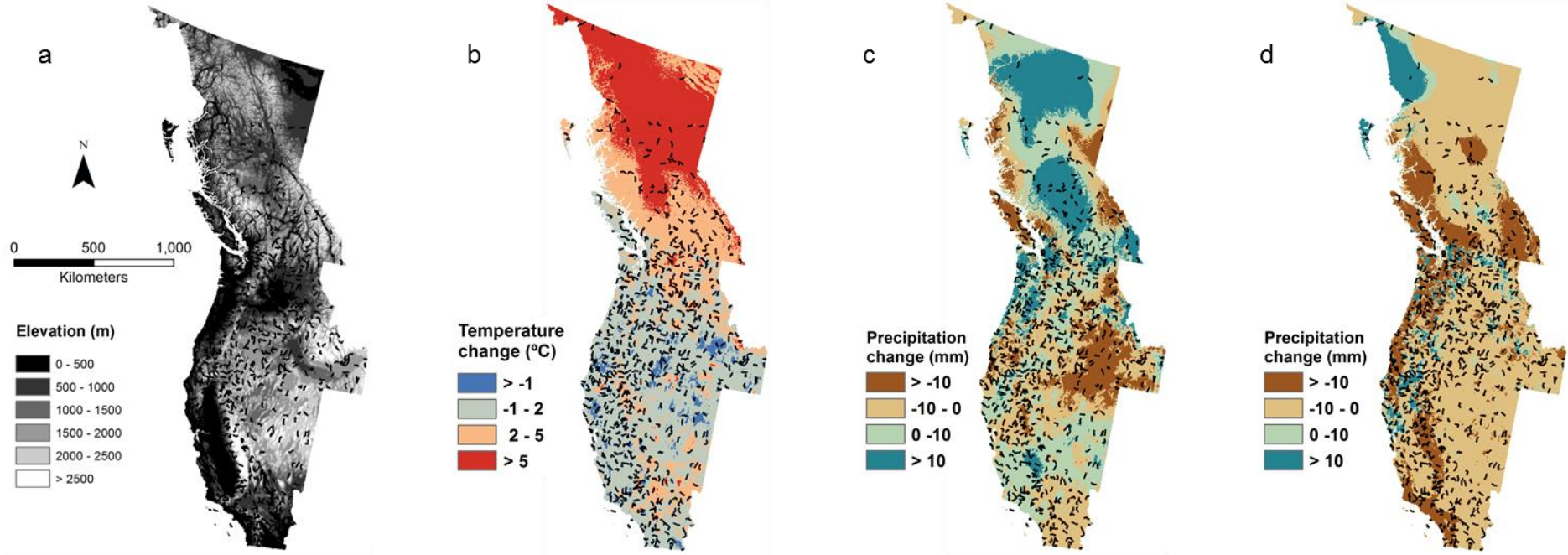
16 **Fig 4.** Plot of mean change in suitability of colonized versus extinct routes for the target
17 species (each species is represented by a black dot). The dashed line shows no explanation
18 ability (same change in suitability for colonized and extinct routes). Local
19 colonization/extinction of the species located above the line (ideally in the top-left quadrant of
20 the plot) are predicted by the occupancy models through time.

21 **Fig 5** Plots of observed and predicted abundance changes for two exemplar species,
22 Loggerhead shrike (*Lanius ludovicianus*) (Left), and Purple finch (*Haemorhous purpureus*)
23 (Right). Scatter plots show evaluation of the abundance models through time (Loggerhead

1 skrike, Spearman's ρ of 0.64 , based on 72 routes; Purple finch, Spearman's ρ of 0.33 , based
2 on 110 routes). Maps show locations of observed (a panels) and predicted (b panels)
3 abundance changes.

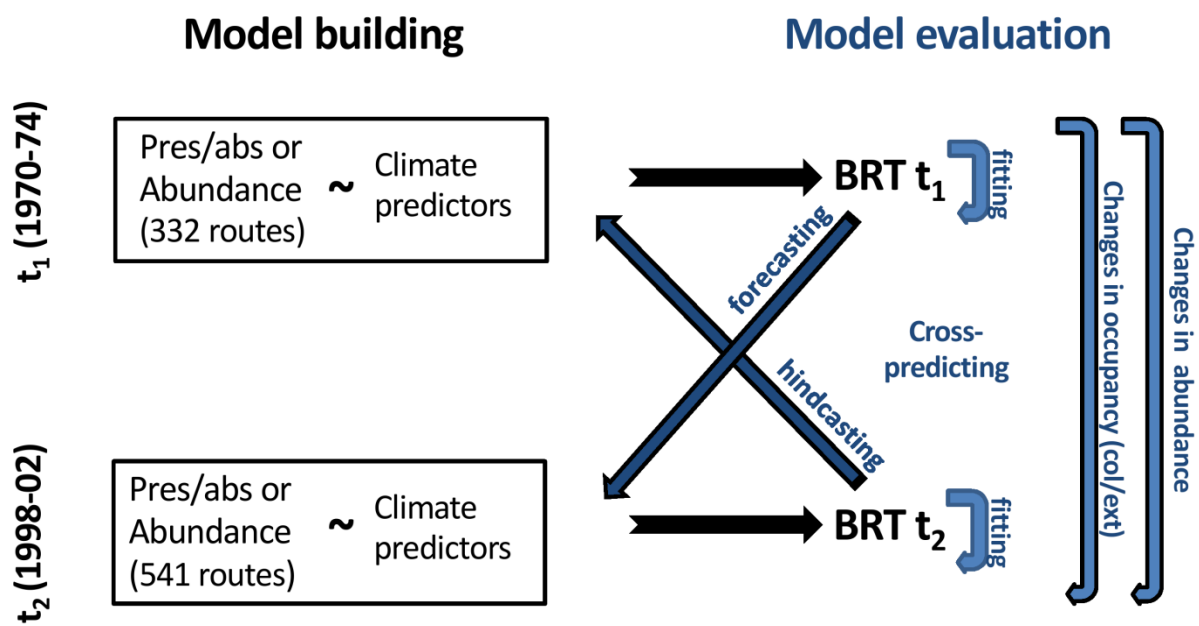
4 **Fig 6 (a)** Relative contribution of the climate factors included in the models. Plot shows
5 results obtained in presence/absence models (left panel) and abundance models (right panel).
6 Black bars show the percentages (x axes) of models where a given climate variable was
7 ranked as the most important according to its relative contribution. Grey bars show the
8 percentages of models where a given variable was ranked as one of the top three most
9 important variables. Results are shown for the forecasting evaluation only. Fig 6b shows the
10 same results, but only for the target species that obtained an evaluation performance
11 (Spearman's ρ) above 0.4 (34 species).

1 Fig 1



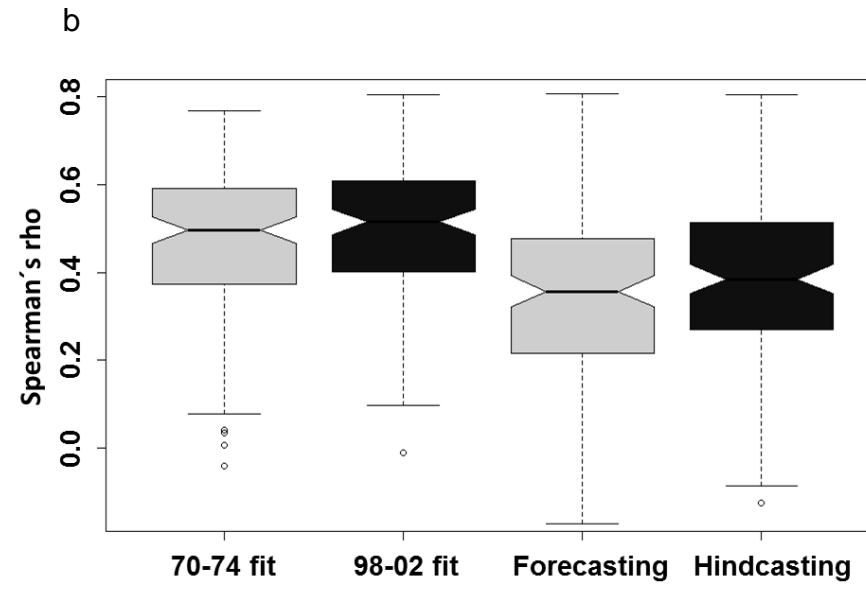
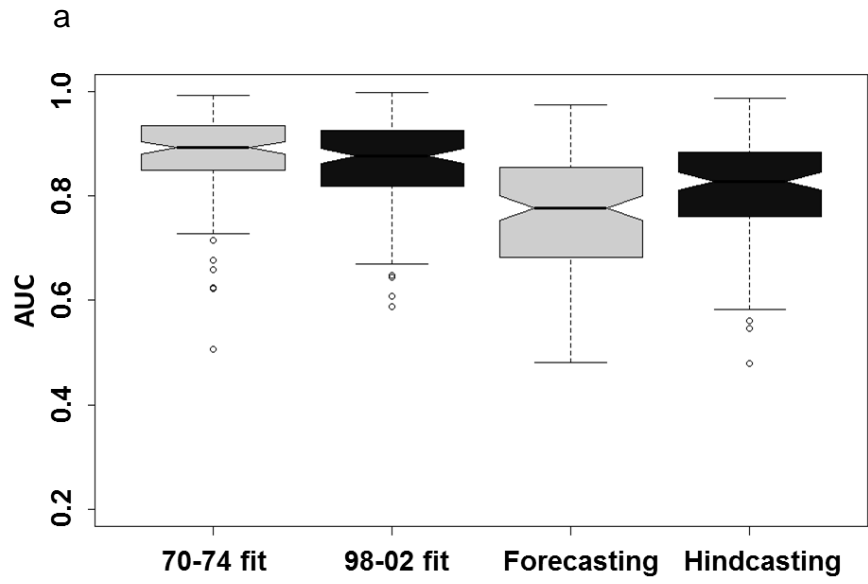
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1 Fig 2

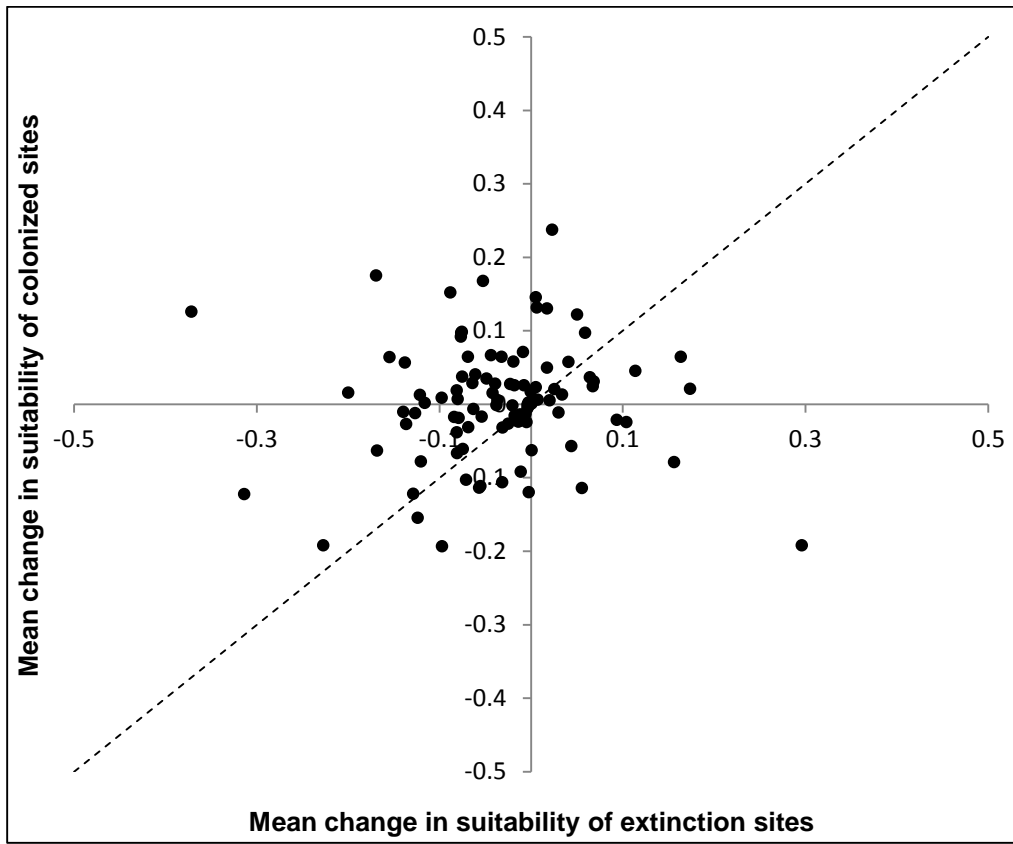


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1 Fig 3

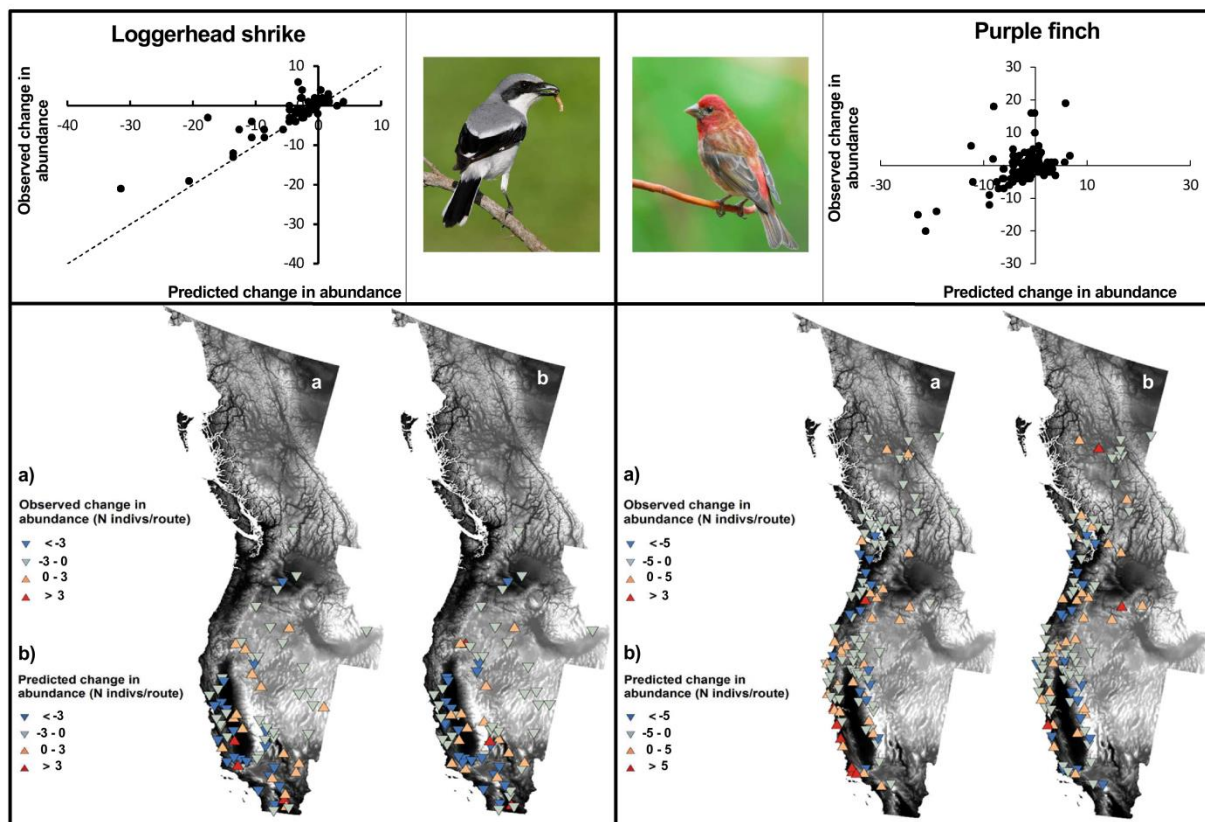


1 Fig 4



2

1 Fig 5



2

1 Fig 6

