#### AN ABSTRACT OF THE THESIS OF

<u>James L. Holloway</u> for the degree of <u>Master of Science</u> in <u>Computer Science</u> presented on <u>May 10, 1988</u>.

Title: Algorithms for Computing Fibonacci Numbers Quickly

# Redacted for Privacy

Abstract approved:\_

Paul Cull.

A study of the running time of several known algorithms and several new algorithms to compute the  $n^{th}$  element of the Fibonacci sequence is presented. Since the size of the  $n^{th}$  Fibonacci number grows exponentially with n, the number of bit operations, instead of the number of integer operations, was used as the unit of time. The number of bit operations used to compute  $f_n$  is reduced to less than  $\frac{1}{2}$  of the number of bit operations used to multiply two n bit numbers. The algorithms were programmed in Ibuki Common Lisp and timing runs were made on a Sequent Balance 21000. Multiplication was implemented using the standard  $n^2$  algorithm. Times for the various algorithms are reported as various constants times  $n^2$ . An algorithm based on generating factors of Fibonacci numbers had the smallest constant. The Fibonacci sequence, arranged in various ways, is searched for redundant information that could be eliminated to reduce the number of operations. Cycles in the  $b^{th}$  bit of  $f_n$  were discovered but are not yet completely understood.

# Algorithms for Computing Fibonacci Numbers Quickly

By J. L. Holloway

A Thesis submitted to

Oregon State University

in partial fulfillment of the requirements for the degree of

**Master of Science** 

Completed June 2, 1988

Commencement June 1989.

# Redacted for Privacy

Professor of Computer Science in charge of major

# Redacted for Privacy

Head of Department of Computer Science

Redacted for Privacy

Dean of Graduate School

Date thesis presented May 10, 1988

#### **ACKNOWLEDGEMENTS**

I wish to thank:

Dr. Paul Cull for his seemingly unending patience, guidance in studying algorithms to compute Fibonacci numbers and the eight (fifteen) puzzle, and teaching the computer science theory classes in a most unique and interesting manner.

Nick Flann and Russell Ruby for their careful reading of this thesis. Their comments have directly lead to the clarification of many points that would have been even more abstruse.

Judy and David Kelble, and also Mildred and David Chapin, who have, perhaps unwittingly, taught me what is important and what is not.

Thank you.

This thesis is dedicated to the memory of Almon Chapin:

He would have enjoyed the trip.

# Table of Contents

| 1 |     | Introduction                              | 1  |
|---|-----|---|----|
|   | 1.1 | Problem Definition                        | 1  |
|   | 1.2 | History                                   | 2  |
|   | 1.3 | Applications                              | 2  |
|   | 1.4 | The Model of Computation                  | 4  |
|   | 1.5 | A guide to this Thesis                    | 4  |
| 2 |     | Methods of Computation                    | 6  |
|   | 2.1 | Natural recursive                         | 6  |
|   | 2.2 | Repeated addition                         | 7  |
|   | 2.3 | Binet's formula                           | 9  |
|   | 2.4 | Matrix Methods of Gries, Levin and Others | 12 |
|   | 2.5 | Matrix Methods                            | 14 |
|   |     | 2.5.1 Three Multiply Matrix Method        | 15 |
|   |     | 2.5.2 Two Multiply Matrix Method          | 17 |
|   | 2.6 | Extending N. N. Vorob'ev's methods        | 18 |
|   | 2.7 | Binomial Coefficients                     | 21 |
|   | 2.8 | Generating function                       | 25 |
|   | 2.9 | Product of Factors                        | 25 |
| 3 |     | Results and Analysis                      | 31 |
|   | 3.1 | Natural recursive                         | 32 |
|   | 3.2 | Repeated addition                         | 33 |

|     | 3.3    | Binet's Formula                                | 34 |
|-----|--------|--|----|
|     | 3.4    | Matrix Multiplication Methods                  | 35 |
|     |        | 3.4.1 Three Multiply Matrix Method             | 37 |
|     |        | 3.4.2 Two Multiply Matrix Method               | 37 |
|     | 3.5    | Extended N. N. Vorob'ev's Method               | 38 |
|     | 3.6    | Product of Factors                             | 38 |
|     | 3.7    | $f_n$ for any $n$ using Product of Factors     | 39 |
|     | 3.8    | Matrix Method of Gries and Levin               | 40 |
|     | 3.9    | Binomial Coefficients                          | 41 |
|     | 3.10   | Actual running times                           | 45 |
|     |        |  |    |
| 4   |        | Redundant Information                          | 49 |
|     | 4.1    | Compression                                    | 50 |
|     |        | 4.1.1 Ziv Lempel Compression                   | 51 |
|     |        | 4.1.2 Dynamic Huffman Codes                    | 55 |
|     | 4.2    | Testing for Randomness                         | 57 |
|     |        | 4.2.1 Equidistribution                         | 58 |
|     |        | 4.2.2 Serial Test                              | 61 |
|     |        | 4.2.3 Wald-Wolfowitz Total Number of Runs Test | 61 |
|     | 4.3    | Cycles in the $b$ Bit of $f_n$                 | 62 |
|     |        |  |    |
| 5   |        | Conclusions and Future Research                | 68 |
|     |        |  |    |
| Bil | bliogi | $\operatorname{raphy}$                         | 71 |
|     |        |  |    |
| An  | pend   | lices  | 74 |
| - F | 1      |  |    |
| A   |        | List of Fibonacci Relations                    | 74 |
| 17  |        | TIPA OF FEDORITORIES                           |    |

| В |     | Lisp Functions                     | 75 |
|---|-----|------------------------------------|----|
|   | B.1 | Natural Recursion                  | 75 |
|   | B.2 | Repeated Addition                  | 75 |
|   | B.3 | Binet's Formula                    | 75 |
|   | B.4 | N. N. Vorob'ev's Methods           | 76 |
|   | B.5 | Matrix Methods                     | 77 |
|   |     | B.5.1 Three Multiply Matrix Method | 77 |
|   |     | B.5.2 Two Multiply Matrix Method   | 77 |
|   | B.6 | Generating Function                | 77 |
|   | B.7 | Binomial Coefficients              | 79 |
|   | B.8 | Generalized Fibonacci Numbers      | 79 |

# List of Figures

| 2.1  | Recursive algorithm to compute $f_n$                             | 7  |
|------|--|----|
| 2.2  | Repeated addition algorithm                                      | 8  |
| 2.3  | Addition algorithm for $f_n^k$                                   | g  |
| 2.4  | Approximation of Binet's formula                                 | 11 |
| 2.5  | Recursive Binet's approximation                                  | 11 |
| 2.6  | Three multiply matrix algorithm                                  | 16 |
| 2.7  | Two multiply matrix algorithm                                    | 17 |
| 2.8  | Extended N. N. Vorob'ev algorithm to compute $f_n \ldots \ldots$ | 20 |
| 2.9  | Call tree for $f_{16}$   | 20 |
| 2.10 | Goetgheluck's algorithm  | 24 |
| 2.11 | Algorithm to compute $eta$                                       | 27 |
| 2.12 | Algorithm to compute $f_{2^i}$ using $eta$                       | 27 |
| 2.13 | Product of factors algorithm to compute any $f_n$                | 29 |
| 2.14 | Recursive section of algorithm to compute any $f_n$              | 30 |
| 3.1  | Elements of Pascal's triangle used to compute a binomial coef-   |    |
|      | ficient  | 42 |
| 4.1  | Pseudo-code for ZL compression algorithm                         | 53 |
| 4.2  | Huffman's algorithm to compute letter frequencies                | 56 |

# List of Tables

| 2.1 | Running times of algorithms to compute $f_n^k$                      | 13 |
|-----|---|----|
| 2.2 | Pascal's triangle   | 22 |
| 3.1 | Constants for algorithms to compute $f_n$                           | 45 |
| 3.2 | Running time to compute $f_n$ for any $n$ in CPU seconds            | 46 |
| 3.3 | Running time to compute $f_n$ , $n = 2^k$ , in CPU seconds, part 1. | 47 |
| 3.4 | Running time to compute $f_n$ , $n = 2^k$ , in CPU seconds, part 2. | 48 |
| 4.1 | Compression ratios of Ziv-Lempel for various sources                | 51 |
| 4.2 | Compression ratios of the modified Lempel–Ziv–Welch algorithm       | 52 |
| 4.3 | Compression ratios for Unix Ziv-Lempel implementation               | 52 |
| 4.4 | Compression ratios of the dynamic Huffman code algorithm            | 55 |
| 4.5 | Equidistribution test for randomness                                | 58 |
| 4.6 | Serial test for randomness  | 59 |
| 4.7 | Wald-Wolfowitz test for randomness                                  | 60 |
| 4.8 | Repeated patterns in $\mathcal{B}_i$                                | 65 |
| 5.1 | Summary of bit operation complexity using $n^2$ multiply            | 69 |

# Chapter 1

## Introduction

#### 1.1 Problem Definition

In the year 1202 Leonardo Pisano, sometimes referred to as Leonardo Fibonacci, proposed a model of the growth of a rabbit population to be used as an exercise in addition. The student is told that rabbits are immortal, rabbits mature at the age of one month, and all pairs of fertile rabbits produce one pair of offspring each month. The question "Starting with one fertile pair of rabbits, how many pairs of rabbits will there be after one year?" is then posed. [9,19]

Initially there is one pair of rabbits, after the first month there will be two pairs of rabbits, the second month three pair, the third month five pairs, the fourth month eight pairs, the fifth month thirteen pairs and so on. The sequence of numbers 0, 1, 1, 2, 3, 5, 8, 13, ... where each number is the sum of the previous two numbers is referred to as the Fibonacci sequence. The sequence is formally defined as:

$$f_{n+2} = f_{n+1} + f_n, \ n \ge 0, \ f_0 = 0, \ f_1 = 1$$
 (1.1)

The Fibonacci numbers may be generalized to allow the  $n^{th}$  number to be the sum of the previous k numbers in the sequence. The sequence of order-k Fibonacci numbers is defined by [15,25,33] as:

$$f_0^k = f_1^k = \dots = f_{k-3}^k = f_{k-2}^k = 0, \ f_{k-1}^k = 1$$

and

$$f_n^k = \sum_{i=1}^k f_{n-i}^k \text{ for } n \ge k.$$

Unless explicitly specified otherwise the term "Fibonacci numbers" refers to the order-2 sequence of Fibonacci numbers and  $f_n$  will refer to the  $n^{th}$  element of the order-2 Fibonacci sequence.

## 1.2 History

Leonardo Fibonacci first proposed the sequence of numbers named in his honor in his book Liber Abbaci (Book of the Abacus). The rabbit problem was an exercise in addition and not an attempt to accurately model a rabbit population. It appears that the relation  $f_{n+2} = f_{n+1} + f_n$  was not recognized by Fibonacci but was first noted by Albert Girard in 1634. In 1844 G. Lamé became the first person to use the Fibonacci numbers in a "practical" application when he used the Fibonacci sequence to prove the number of divisions needed to find the greatest common divisor of two positive integers using the Euclidean algorithm does not exceed five times the number of digits in the smaller of the two integers. <sup>1</sup> The use of the term "Fibonacci numbers" was initiated by E. Lucas in the 1870's. Many relations among the Fibonacci and related numbers are due to Lucas and a recurring series first proposed by Lucas has taken his name. The Lucas numbers are defined as:

$$l_{n+2} = l_{n+1} + l_n, \ n \ge 0 \ l_0 = 2, \ l_1 = 1$$

Another series, that appears later in this thesis, first described by Lucas is:

$$\beta_{n+1} = \beta_n^2 - 2, \ \beta_1 = 3$$

giving the series 3, 7, 47, .... A more complete history of Fibonacci numbers can be found in chapter 17 of [9].

1

# 1.3 Applications

Applications for large Fibonacci numbers do arise in computer science and routinely appear in undergraduate data structure texts in chapters on searching, sorting,

<sup>&</sup>lt;sup>1</sup>This proof may be found on page 62 of [29].

and memory management [16,22,27]. Fibonacci search assumes that the list being searched is  $f_n-1$  elements long and we know the numbers  $f_n$  and  $f_{n-1}$ . The method of dividing the list into two parts and choosing the upper or lower part depending on a comparison is the same as the binary search. The difference is that the Fibonacci search, instead of bisecting the list being searched at the n/2 element as the binary search does, bisects the list at the  $(\sqrt{5}-1)/2$  element by adding or subtracting  $f_{n-i}$ , where this is the  $i^{th}$  split. No multiplications or divisions are required, only additions and subtractions, to find the next bisection point. The complete algorithm can be found in [16]. The buddy system of memory management can be generalized to divide a block of memory of size  $f_{n+2}$  into two blocks of memory of sizes  $f_{n+1}$  and  $f_n$ . It is clear that this could be further generalized to order-k Fibonacci numbers so the division of a block of memory would yield k, instead of two, smaller blocks of memory [22]. Polyphase merging is a method of merging large files on various tape drives into one file. The generalized Fibonacci numbers give the optimal number of files that should be on each tape drive for before merging begins. The order of the Fibonacci numbers best suited for the problem depends on the number of tape drives used to merge the files. In practice this is used to create, by internal sort routines, the proper number of files to place on the tape drive initially to make optimal use of the tape drives [27].

Fibonacci numbers appear in disciplines other than computer science as well. Peter Anderson has described a family of polycyclic aromatic hydrocarbons (benzene, naphthalene, phenanthrene, ...), such that the  $n^{th}$  member has  $f_{n-1}$  Kekulé structures. Definitions of Kekulé structures, the specific hydrocarbons under consideration and the recurrence relations used appear in [2]. Joseph Lahr in his paper "Fibonacci and Lucas numbers and the Morgan-Voyce polynomials in ladder networks and in electric line theory" presents an application and cites several references of Fibonacci numbers to electrical engineering [21]. Fibonacci numbers appeared in biology as early as 1611 in the work of Kepler on phyllotaxis and more recently Roger Jean in his book Mathematical Approach to Pattern and Form in Plant Growth [17] makes extensive use of the Fibonacci sequence.

## 1.4 The Model of Computation

The previous work on fast algorithms to compute the Fibonacci numbers used the standard straight line code model of computation. [10,11,15,25,32,33]. The standard straight line model of computation uses the uniform cost function, that is, it assumes that the cost of an operation is the same regardless of the size of its operands. When the size of the operands becomes large the uniform cost assumption is no longer valid and the variable time required to operate on large operands must be taken into account. Since  $f_n$  grows exponentially (see theorem 2.2 of [29]) with n,  $f_n$  is large for reasonable size n.

Therefore the uniform cost function is unsuitable as a measure of the time used to compute Fibonacci numbers. The bitwise model is used to analyize and compare the various algorithms used to compute Fibonacci numbers since the bitwise model reflects the logarithmic cost of operations on variable sized operands [1]. The bitwise model is used to analyize and compare the various algorithms used to compute Fibonacci numbers. In this model all variables are assumed to be one bit in length and all operations are logical instead of arithmetic. Under the the bitwise model, operations on the integers i and j require at least the  $\log i + \log j$  time units to execute.

# 1.5 A guide to this Thesis

Chapter two presents several methods of computing Fibonacci numbers found in the literature and several methods that do not, as yet, appear in the literature. Each section of the chapter will present one algorithm, a proof of correctness of that algorithm, and possible variations of the algorithm. Chapter three presents an analysis of the running time of each algorithm and actual running times of the implementation of each algorithm.

In an attempt to find redundant information in the binary representation of the Fibonacci numbers an analysis of the structure of the bits in  $f_n$  was undertaken. The methods and results of this analysis are presented in chapter four. The final

chapter summarizes the results of this thesis and proposes future areas of research. Appendix A contains a list of the important equations with equations numbers where appropriate. Appendix B presents the lisp functions that implement the major algorithms discussed in this thesis. Many variations of the algorithms and the supporting functions are not given but are available upon request from the author.

# Chapter 2

# Methods of Computation

There are many ways to compute Fibonacci numbers. Each section of this chapter will describe one method (and closely related methods) of computing the Fibonacci numbers.

The following algorithms in this chapter have been seen in print: natural recursive in section 2.1, repeated addition in section 2.2, Binet's formula in section 2.3, the matrix methods of Gries, Levin and Others in section 2.4, three multiply matrix method in section 2.5.1, and binomial coefficients in section 2.7. The remaining algorithms have been developed by the author and Dr. Paul Cull and are believed to be original: extended N. N. Vorob'evs Method in section 2.6, two multiply matrix method in section 2.5.2, generating function in section 2.8, Product of factors in section 2.9.

#### 2.1 Natural recursive

Several introductory programming texts introduce recursion by computing the Fibonacci numbers. The function fib(n) that computes  $f_n$  will return zero if n = 0, one if n = 1 and the sum of fib(n-1) and fib(n-2) if  $n \ge 2$ , is proposed as the solution. More succinctly,

$$fib(n) = \begin{cases} 0 & \text{if } 0 \le n = 0\\ 1 & \text{if } n = 1\\ fib(n-1) + fib(n-2) & \text{if } n \ge 2 \end{cases}$$
 (2.1)

fib (n)  
if 
$$n = 0$$
 return 0  
else if  $n = 1$  return 1  
else return fib(n-1) + fib(n-2)

Figure 2.1: Recursive algorithm to compute  $f_n$ 

Order-k Fibonacci numbers can be computed using a similar method:

$$\mathsf{kfib}(n) = \begin{cases} 0 & \text{if } 0 \le n < k \\ 1 & \text{if } n = k \\ \sum_{i=1}^{k} \mathsf{kfib}(n-i) & \text{if } n > k \end{cases}$$
 (2.2)

**Theorem 2.1** The algorithm presented in figure 2.1, when given a non-negative integer n, will correctly compute  $f_n$ .

Proof. Using induction on n. Base: when n=0 the function will take the first if and return  $0=f_0$ . When n=1 the function will take the second if and return  $1=f_1$ . For the inductive step assume  $n\geq 2$  and the algorithm correctly computes fib(n-2) and fib(n-1) and show that it correctly computes fib(n). Since  $n\geq 2$  the last else statement will be executed and by the assumption above  $fib(n-2)=f_{n-2}$  and  $fib(n-1)=f_{n-1}$ . The function fib(n) will return  $f_{n-2}+f_{n-1}$  which is the definition of  $f_n$ .

The results of each call to fib(n) could be cached to avoid the redundant computations. This algorithm could easily be modified to compute order-k Fibonacci numbers, see equation 2.2.

## 2.2 Repeated addition

If, instead of first computing  $f_{n-1}$  and  $f_{n-2}$  as was done in the recursive solution, each element of the sequence  $f_0, f_1, f_2, \ldots, f_n$  was computed in order, the number

```
fib (n)

previous = 0

fibonacci = 1

for loop = 2 to n

temp = fibonacci

fibonacci = fibonacci + previous

previous = temp

return fibonacci
```

Figure 2.2: Repeated addition algorithm

of operations could be reduced. The algorithm presented in figure 2.2 computes Fibonacci numbers in this manner.

**Theorem 2.2** The algorithm presented in figure 2.2 will, when given a positive integer n, correctly compute  $f_n$ .

Proof. Using induction on n. Base: when n = 1, previous will be assigned  $f_0 = 0$  and fibonacci will be assigned  $f_1 = 1$ . The loop is not executed since n < 2 and the value of fibonacci is returned. For the inductive step assume the algorithm correctly computes  $f_{n-1}$  and show that it correctly computes  $f_n$ . Immediately before the last iteration of the loop, by the assumption, fibonacci is equal to  $f_{n-1}$  and previous is equal to  $f_{n-2}$ . In the last iteration of the loop the value of  $f_{n-1}$  is stored in temp, fibonacci is assigned the value of  $f_{n-1} + f_{n-2}$ , and previous gets the value stored in temp  $f_{n-1}$ . Since that was the last iteration of the loop the value of fibonacci  $f_{n-1} + f_{n-2} = f_n$  is returned.

This algorithm can easily be extended to compute order-k Fibonacci numbers by adding the previous k numbers instead the previous two numbers. Another addition algorithm for order-k Fibonacci numbers can be created by noticing

$$f_n^k = 2f_{n-1}^k - f_{n-k-1}^k \tag{2.3}$$

kfib 
$$(n, k)$$

if  $n < k-1$  return 0

else if  $n \le k$  return 1

else

store  $f_0^k = 0, \ f_1^k = 0, \ \dots, \ f_{k-2}^k = 0, \ f_{k-1}^k = 1, \ f_k^k = 1$ 

for loop = k+1 to n

let  $f_{loop}^k = 2f_{loop-1}^k - f_{loop-k-1}^k$ 

store  $f_{loop}^k$ 

remove  $f_{loop}^k$  from storage

Figure 2.3: Addition algorithm for  $f_n^k$ 

Since adding  $f_{n-1}^k$  to

$$f_{n-1}^k = f_{n-2}^k + f_{n-3}^k + f_{n-4}^k + \cdots + f_{n-k-1}^k$$

we get

$$2f_{n-1}^{k} = f_{n-1}^{k} + f_{n-2}^{k} + f_{n-3}^{k} + \dots + f_{n-k}^{k} + f_{n-k-1}^{k}$$

and subtracting  $f_{n-k-1}^k$  we get

$$2f_{n-1}^k - f_{n-k-1}^k = f_{n-1}^k + f_{n-2}^k + f_{n-3}^k + \dots + f_{n-k}^k = f_n^k$$

which is equation 2.3. This algorithm is presented in figure 2.3.

## 2.3 Binet's formula

While the following is usually called Binet's formula for  $f_n$ , Knuth [19] credits A. de Moivre with discovering that a closed form for  $f_n$ , is:

$$f_n = \frac{1}{\sqrt{5}} (\lambda_1^n - \lambda_2^n) \tag{2.4}$$

where

$$\lambda_1 = \frac{1 + \sqrt{5}}{2}$$

and

$$\lambda_2 = \frac{1 - \sqrt{5}}{2}$$

From equation 1.1 we can get the characteristic polynomial

$$\lambda^2 = \lambda + 1$$

with roots

$$\lambda_1 = \frac{1+\sqrt{5}}{2}, \ \ \lambda_2 = \frac{1-\sqrt{5}}{2}$$

SO

$$f_n = a\lambda_1^n + b\lambda_2^n$$

$$f_0 = 0 = a + b \Rightarrow b = -a$$

$$f_1 = 1 = a\lambda_1 + b\lambda_2$$

$$= a(\lambda_1 - \lambda_2)$$

$$a = \frac{1}{\lambda_1 - \lambda_2}$$

$$= \frac{1}{\frac{1+\sqrt{5}}{2} - \frac{1-\sqrt{5}}{2}}$$

$$= \frac{1}{\sqrt{5}}$$

SO

$$f_n = \frac{1}{\sqrt{5}} \left[ \left( \frac{1+\sqrt{5}}{2} \right)^n - \left( \frac{1-\sqrt{5}}{2} \right)^n \right]$$
 (2.5)

which is the result we wanted.

Since  $\lambda_2$  is approximately equal to -0.61803,  $|\lambda_2^n|$  gets small as n increases. Knuth [19] states that

$$f_n = \left| \frac{1}{\sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \right)^n + 0.5 \right|, \ n \ge 0$$
 (2.6)

Capocelli and Cull [8] extend this result and show that the generalized Fibonacci numbers are also rounded powers.

fib (n)

compute the  $\mathcal{N}n + \log n$  most significant bits of  $\sqrt{5}$ 

return 
$$\left| \frac{1}{\sqrt{5}} \left( \frac{(1+\sqrt{5})}{2} \right)^n + 0.5 \right|$$

Figure 2.4: Approximation of Binet's formula

fib 
$$(n)$$
 if  $n=1$   $f_1 \leftarrow 1$  else  $f_n \leftarrow \left\lceil (\operatorname{fib}(n/2))^2 \cdot \sqrt{5} \right\rceil$  return  $f_n$ 

Figure 2.5: Recursive Binet's approximation

Theorem 2.3 The algorithm presented in figure 2.4 correctly computes  $f_n$  for the non-negative integer n.

Proof. If  $\log_2 f_n = \mathcal{N}n$  for some constant  $\mathcal{N}^{-1}$  then the result of equation 2.6 must be correct to at least  $\mathcal{N}n$  bits. The first line of the algorithm computes the first  $\mathcal{N}n + \log n$  bits of  $\sqrt{5}$ . At most,  $\log n$  bits will be lost computing the  $n^{th}$  power since no more than one bit is lost for each multiplication and exponentiation can be done using  $\log n$  squarings. So, the first  $\mathcal{N}n$  bits of the result will be correct and all but the first  $\mathcal{N}n$  bits are truncated.

A recursive version of the approximation of Binet's formula is given in figure 2.5.

Theorem 2.4 The algorithm presented in figure 2.5 correctly computes  $f_n$  such that  $n = 2^k$  for the non-negative integer k.

Proof.

$$f_n = \frac{1}{\sqrt{5}} \left( \lambda_1^n - \lambda_2^n \right)$$

<sup>&</sup>lt;sup>1</sup>See Chapter 3 introduction for the definition of  $\mathcal{N}$ .

$$(f_n)^2 = \frac{1}{\sqrt{5}\sqrt{5}} \left( \lambda_1^{2n} - 2(\lambda_1 \lambda_2)^n + \lambda_2^{2n} \right)$$

$$\sqrt{5}(f_n)^2 = \frac{1}{\sqrt{5}} \left( \lambda_1^{2n} - 2(-1)^n + \lambda_2^{2n} \right)$$

$$f_{2n} = \frac{1}{\sqrt{5}} \left( \lambda_1^{2n} - \lambda_2^{2n} \right)$$

$$f_{2n} - \sqrt{5} (f_n)^2 = \frac{1}{\sqrt{5}} \left( -\lambda_2^{2n} + 2(-1)^n - \lambda_2^{2n} \right)$$

$$\epsilon = f_{2n} - \sqrt{5} (f_n)^2$$

$$= \frac{2}{\sqrt{5}} \left( -\lambda_2^{2n} + (-1)^n \right)$$

$$0 < \epsilon < 1$$

SO

$$f_{2n} = \sqrt{5}(f_n)^2 + \epsilon$$
$$= \left[\sqrt{5}(f_n)^2\right]$$

# 2.4 Matrix Methods of Gries, Levin and Others

In the late 1970's and early 1980's several papers were published on the number of operations needed to compute generalized Fibonacci numbers [10,11,15,32,33]. The problem addressed in these papers was to find a fast method of computing the  $n^{th}$  element of the order-k Fibonacci sequence or, more succinctly,  $f_n^k$ . All of these papers used the uniform cost criteria allowing them to perform multiplication and addition on any size operands in a constant amount of time, therefore these papers report the running time of their algorithms as the number of multiplies, and additions instead, as will be done here, in the number of bit operations. Table 2.1, compiled from information by [10,12], shows the running time, number of multiplies and the number of additions for the algorithms presented by [10,12,15,32,33]. Fiduccia's algorithm uses the term  $\mu(k)$  to be the total number of arithmetic operations required

| Time to compute $f_n^k$ |                         |                         |                         |  |  |  |
|-------------------------|-------------------------|-------------------------|-------------------------|--|--|--|
| Author                  | Running time            | Multiplies              | Additions               |  |  |  |
| Gries                   | $\Theta(1.5k^2 \log n)$ | $\Theta(1.5k^2)$        | $\Theta(3k^2)$          |  |  |  |
| Shortt                  | $\Theta(k^2 \log n)$    | $\Theta(k^2)$           | $\Theta(k^3)$           |  |  |  |
| Pettrossi               | $\Theta(1.5k^3 \log n)$ | $\Theta(1.5k^3)$        | $\Theta(1.5k^3)$        |  |  |  |
| Urbanek                 | $\Theta(k^3 \log n)$    | $\Theta(k^3 + 0.5k^2)$  | $\Theta(k^3 + 0.5k^2)$  |  |  |  |
| Fiduccia                | $\Theta(\mu(k) \log n)$ | $\Theta(\mu(k) \log n)$ | $\Theta(\mu(k) \log n)$ |  |  |  |

Table 2.1: Running times of algorithms to compute  $f_n^k$ 

to multiply two polynomials of degree k-1. If the fast Fourier transform can be used, the number of arithmetic operations used by Fiduccia's algorithm would be  $\Theta(k \cdot \log k \cdot \log n)$ .

The algorithm that is presented by Gries and Levin [15] will be presented here, the methods of [10,32,33] are similar.

Let

$$A = \begin{bmatrix} 1 & 1 & \cdots & 1 & 1 \\ 1 & 0 & \cdots & 0 & 0 \\ 0 & 1 & \cdots & 0 & 0 \\ & & \ddots & & \\ 0 & 0 & \cdots & 1 & 0 \end{bmatrix}$$

and use the recurrence relation

$$\begin{bmatrix} f_{n}^{k} \\ f_{n-1}^{k} \\ f_{n-2}^{k} \\ \vdots \\ f_{n-k+1}^{k} \end{bmatrix} = A \begin{bmatrix} f_{n-1}^{k} \\ f_{n-2}^{k} \\ f_{n-3}^{k} \\ \vdots \\ f_{n-k}^{k} \end{bmatrix}$$

So, to compute  $f_n^k$  we only need to compute  $A^{n-k+1}$  since

$$\begin{bmatrix} f_n^k \\ f_{n-1}^k \\ f_{n-2}^k \\ \vdots \\ f_{n-k+1}^k \end{bmatrix} = A^{n-k+1} \begin{bmatrix} f_{k-1}^k = 1 \\ f_{k-2}^k = 0 \\ f_{k-3}^k = 0 \\ \vdots \\ f_0^k = 0 \end{bmatrix}$$

By noticing that any row, except the first, of  $A^i$  is the same as the previous row of  $A^{i-1}$  Gries and Levin were able to construct an algorithm that computes  $A^{i+1}$  from A and  $A^i$  by computing the bottom row of  $A^{i+1}$  and copying the remaining rows from  $A^i$ . This reduced the number of multiplies from  $\Theta(k^3)$  to  $\Theta(k^2)$  to do the matrix multiply. Since  $A^{n-k}$  can be computed using  $\Theta(\log n)$  matrix multiplies by using repeated squaring, the time to compute  $f_n^k$  using Gries and Levin's algorithm is  $\Theta(k^2 \log n)$ . In the following section we will examine the order-2 Fibonacci numbers and let the array  $A = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}$  which has the same form as the matrix A above.

#### 2.5 Matrix Methods

The matrix identity

$$\left(\begin{array}{cc} 1 & 1 \\ 1 & 0 \end{array}\right)^n = \left(\begin{array}{cc} f_{n+1} & f_n \\ f_n & f_{n-1} \end{array}\right)$$

can be used to compute Fibonacci numbers. The identity is proven by induction on n. The base case, when n = 1, is true:  $f_{n+1} = f_2 = 1$ ,  $f_n = f_1 = 1$  and  $f_{n-1} = f_0 = 0$ . Assume that

$$\left(\begin{array}{cc} 1 & 1 \\ 1 & 0 \end{array}\right)^n = \left(\begin{array}{cc} f_{n+1} & f_n \\ f_n & f_{n-1} \end{array}\right)$$

and show that

$$\left(\begin{array}{cc} 1 & 1 \\ 1 & 0 \end{array}\right)^{n+1} = \left(\begin{array}{cc} f_{n+2} & f_{n+1} \\ f_{n+1} & f_n \end{array}\right)$$

is true.

$$\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^n = \begin{pmatrix} f_{n+1} + f_n & f_n + f_{n-1} \\ f_{n+1} & f_n \end{pmatrix} = \begin{pmatrix} f_{n+2} & f_{n+1} \\ f_{n+1} & f_n \end{pmatrix}$$

By the assumption above and matrix multiplication it can be seen that

$$\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n+1} = \begin{pmatrix} f_{n+2} & f_{n+1} \\ f_{n+1} & f_n \end{pmatrix}$$

is true. ■

By using repeated squarings,  $f_n$  could be computed using about  $\log n$  matrix multiplies. One method of computing the matrix  $\begin{pmatrix} f_{2n+1} & f_{2n} \\ f_{2n} & f_{2n-1} \end{pmatrix}$  from  $\begin{pmatrix} f_{n+1} & f_n \\ f_n & f_{n-1} \end{pmatrix}$  is to compute the top row of the new matrix using four multiplies. Using equations 2.7, 2.12 and 1.1

$$f_{2n+1} = f_{n+1}^2 + f_n^2$$

$$f_{2n} = f_{n+1}f_n + f_nf_{n-1}$$

$$f_{2n-1} = f_{2n+1} - f_{2n}$$

all of the elements of the matrix can be computed. The following two methods will use three and two multiplies, respectively, to compute each succeeding matrix.

#### 2.5.1 Three Multiply Matrix Method

The three multiply matrix method is shown in Figure 2.6. The following derivation, along with equation 2.13 below, show that  $f_{2n+1} = f_{n+1}^2 + f_n^2$  and  $f_{2n-1} = f_n^2 + f_{n-1}^2$ .

$$f_{2n+1} = f_{n+1}f_{n-1} + f_nf_{n+2}$$

$$= f_{n+1}(f_{n+1} - f_n) + f_n(f_{n+1} + f_n)$$

$$= f_n^2 + f_{n+1}^2$$
(2.7)

which is the result wanted.

fib 
$$(n)$$

if 
$$n=1$$
 return the matrix  $\left( egin{array}{cc} 1 & 1 \\ 1 & 0 \end{array} 
ight)$ 

else

$$\begin{pmatrix} f_{n/2+1} & f_{n/2} \\ f_{n/2} & f_{n/2-1} \end{pmatrix} \leftarrow \text{fib } (n/2)$$

$$a \leftarrow (f_{n/2+1})^2$$

$$b \leftarrow (f_{n/2})^2$$

$$c \leftarrow (f_{n/2-1})^2$$

return the matrix 
$$\begin{pmatrix} a+b & a-c \\ a-c & b+c \end{pmatrix}$$

Figure 2.6: Three multiply matrix algorithm

Theorem 2.5 The three multiply matrix algorithm, given a positive integer n such that  $n = 2^k$  for some non-negative integer k, correctly computes the matrix  $\begin{pmatrix} f_{n+1} & f_n \\ f_n & f_{n-1} \end{pmatrix}$ .

Proof. Using induction on n. Base: when n=1 the matrix  $\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$  is returned. Since  $f_2=f_1=1$  and  $f_0=0$  the base case is correct. For the inductive step assume that the algorithm correctly computes  $\begin{pmatrix} f_{n+1} & f_n \\ f_n & f_{n-1} \end{pmatrix}$  and show that it correctly computes  $\begin{pmatrix} f_{2n+1} & f_{2n} \\ f_{2n} & f_{2n-1} \end{pmatrix}$  Equation 2.7 shows, given  $f_{n-1}^2$ ,  $f_n^2$ , and  $f_{n+1}^2$ , that  $f_{2n+1}$  and  $f_{2n-1}$  can be computed. Equation 1.1 shows that  $f_{2n+1}=f_{2n}+f_{2n-1}$  or, rearranging,  $f_{2n}=f_{2n+1}-f_{2n-1}$  so the three multiply matrix algorithm is correct.

fib 
$$(n)$$

if 
$$n=1$$
 return the matrix  $\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ 

else

se 
$$\begin{pmatrix} f_{n/2+1} & f_{n/2} \\ f_{n/2} & f_{n/2-1} \end{pmatrix} \leftarrow \text{fib } (n/2)$$
 
$$f_{n+2} \leftarrow f_{n/2+1}(f_{n/2+1} + 2f_{n/2})$$
 
$$f_n \leftarrow f_{n/2}(2f_{n/2+1} - f_{n/2})$$
 return the matrix 
$$\begin{pmatrix} f_{n+2} - f_n & f_n \\ f_n & f_{n+2} - 2f_n \end{pmatrix}$$

Figure 2.7: Two multiply matrix algorithm

#### 2.5.2 Two Multiply Matrix Method

These matrices can be multiplied using only two multiplications using the two multiply algorithm is given in figure 2.7. To prove the two multiply matrix algorithm is correct we need to show that  $f_{2n+2} = f_{n+1}(f_{n+1} + 2f_n)$  and  $f_{2n} = f_n(2f_{n+1} - f_n)$ . Using equation 2.12

$$f_{n+1}(f_{n+1} + 2f_n) = f_{n+1}(f_n + f_{n+2})$$

$$= f_n f_{n+1} + f_{n+1} f_{n+2}$$

$$= f_{2n+2}$$
(2.8)

and, remembering that  $f_{n+1} = f_n + f_{n-1}$ ,

$$f_n(2f_{n+1} - f_n) = f_n(f_{n+1} + f_{n-1})$$

$$= f_n f_{n+1} + f_n f_{n-1}$$

$$= f_{2n}$$
(2.9)

Theorem 2.6 The two multiply matrix algorithm given in figure 2.7 correctly computes the matrix  $\begin{pmatrix} f_{n+1} & f_n \\ f_n & f_{n-1} \end{pmatrix}$  when given a positive integer n such that  $n = 2^k$ .

*Proof.* Using induction on n. Base: when n = 1 the algorithm returns the matrix  $\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ . Since  $f_2 = f_1 = 1$  and  $f_0 = 0$  the base case is correct. For the inductive

step assume that, when given n, the algorithm correctly computes  $\begin{pmatrix} f_{n+1} & f_n \\ f_n & f_{n-1} \end{pmatrix}$ 

and show that it correctly computes  $\begin{pmatrix} f_{2n+1} & f_{2n} \\ f_{2n} & f_{2n-1} \end{pmatrix}$ . From the assumption we have  $f_{n+1}$ ,  $f_n$ , and  $f_{n-1}$ . From equation 2.8 we can compute  $f_{2n+2}$  from  $f_{n+1}$  and  $f_n$ . From equation 2.9 we can compute  $f_{2n}$  given  $f_{n+1}$  and  $f_n$ . Since  $f_{2n+1} = f_{2n+2} - f_{2n}$  and  $f_{2n-1} = f_{2n+2} - 2f_{2n}$  the two multiply matrix algorithm correctly computes  $\begin{pmatrix} f_{2n+1} & f_{2n} \\ f_{2n} & f_{2n-1} \end{pmatrix}$ .

## 2.6 Extending N. N. Vorob'ev's methods

N. N. Vorob'ev, in his book *Fibonacci Numbers*, presents several methods of computing  $f_n$ . The following relationships, although surely developed many years ago by others, are now standard relationships between Fibonacci numbers. They are presented with Vorob'ev's name because his book [36] presents a clear and concise derivation of the following important relationship:

$$f_{n+k} = f_{n-1}f_k + f_n f_{k+1}. (2.10)$$

This relationship will be proven by induction on k. Let the inductive hypothesis, H(k), be:  $f_{n+k} = f_{n-1}f_k + f_nf_{k+1}$ . For the first base case let k = 1 giving  $f_{n+1} = f_{n-1}f_1 + f_nf_2$ . Since  $f_1 = f_2 = 1$  we get  $f_{n+1} = f_{n-1} + f_n$  which is true. For the second base case let k = 2 giving  $f_{n+2} = f_{n-1}f_2 + f_nf_3$ . Since  $f_2 = 1$  and  $f_3 = 2$  we get  $f_{n+2} = f_{n-1} + 2f_n$ . By replacing  $f_{n-1} + f_n$  with  $f_{n+1}$  we get  $f_{n+2} = f_{n+1} + f_n$  which is true.

For the inductive step assume H(k) and H(k+1) are true and prove H(k+2) is true. This gives the two equations:

$$f_{n+k} = f_{n-1}f_k + f_n f_{k+1}$$
$$f_{n+k+1} = f_{n-1}f_{k+1} + f_n f_{k+2}$$

Adding these two equations gives:

$$f_{n+k} + f_{n+k+1} = f_{n-1}f_k + f_n f_{k+1} + f_{n-1}f_{k+1} + f_n f_{k+2}$$

$$f_{n+k+2} = f_{n-1}(f_k + f_{k+1}) + f_n(f_{k+1} + f_{k+2})$$

$$f_{n+k+2} = f_{n-1}f_{k+2} + f_n f_{k+3}$$

The last equation is the result we are trying to prove.

If we let k = n in equation 2.10 above we get

$$f_{2n} = f_{n-1}f_n + f_n f_{n+1}$$

$$= f_n(f_{n-1} + f_{n+1})$$

$$= (f_{n+1} - f_{n-1})(f_{n-1} + f_{n+1})$$

$$= f_{n+1}^2 - f_{n-1}^2$$
(2.12)

This gives an interesting relationship but is not of much use, alone, in computing Fibonacci numbers since it requires  $f_{n+1}$  and  $f_{n-1}$ , to compute  $f_{2n}$ , and if n is even we can not use equation 2.12 to compute  $f_{n-1}$  and  $f_{n+1}$ . By letting k = n+1 we will get a relation that will compute  $f_{2n+1}$  and allow us to compute both even and odd Fibonacci numbers.

$$f_{2n+1} = f_{n-1}f_{n+1} + f_nf_{n+2}$$

$$= f_{n-1}f_{n+1} + f_n(f_{n+1} + f_n)$$
(2.13)

To compute  $f_{2n}$ ,  $n = 2^k$ , we compute  $f_n$  and  $f_{n+1}$  using equation 2.12 and equation 2.13. Equation 2.12 was chosen because it uses only one multiply and equation 2.13 was chosen since it uses three Fibonacci numbers instead of four.

Figure 2.8 gives an algorithm to compute  $f_{2^i}$  using equation 2.12 and equation 2.13. The call to fib(n) will recursively compute  $f_{n/4}$ ,  $f_{n/4+1}$  and  $f_{n/2}$  and with

fib 
$$(n)$$

if  $n=4$  return  $f_2=1$ ,  $f_3=2$ ,  $f_4=3$ 

else

$$f_{n/4}, f_{n/4+1}, f_{n/2} \leftarrow \text{fib } (n/2)$$

$$f_{n/2+1} \leftarrow f_{n/4-1}f_{n/4+1} + f_{n/4}(f_{n/4+1} + f_{n/4})$$

$$f_n \leftarrow f_{n/2}(f_{n/2-1} + f_{n/2+1})$$
return  $f_{n/2}$ ,  $f_{n/2+1}$ ,  $f_n$ 

Figure 2.8: Extended N. N. Vorob'ev algorithm to compute  $f_n$ 

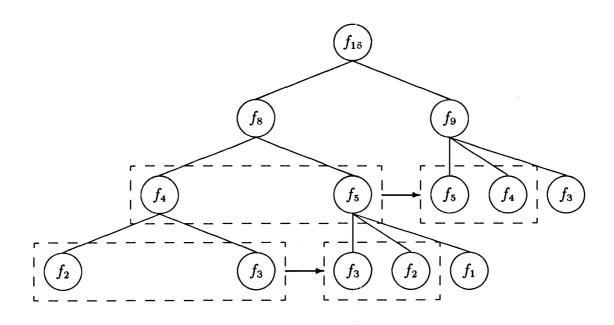


Figure 2.9: Call tree for  $f_{16}$ 

these values,  $f_{n+1}$  can be computed (see equation 2.13) and  $f_{2n}$  can be computed (see equation 2.12).

Figure 2.9 shows a call tree for this algorithm and graphically shows how the results of computing  $f_{n/2}$  are used to compute  $f_{n+1}$ . To compute  $f_{16}$  the algorithm first computes  $f_8$  recursively, resulting in  $f_4$ ,  $f_5$  and  $f_8$ . To compute  $f_{16}$  we need  $f_8$ , which we have and  $f_9$ . To compute  $f_9$  we need  $f_5$ ,  $f_4$ , which we have as a result of computing  $f_8$  and  $f_3$  which we can compute with one subtraction,  $f_3 = f_5 - f_4$ .

Theorem 2.7 The algorithm in figure 2.8 correctly computes  $f_{n/2}$ ,  $f_{n/2+1}$  and  $f_n$ ,  $n=2^k$ , for the integer  $k \geq 2$ .

Proof. Using induction on k. Base: when k=2 the algorithm returns  $f_{2^1}=f_2=1$ ,  $f_{2^1+1}=f_3=2$  and  $f_{2^2}=f_4=3$ . For the inductive step assume the algorithm correctly computes  $f_{2^{k-2}}$ ,  $f_{2^{k-2}+1}$  and  $f_{2^{k-1}}$  and show that it correctly computes  $f_{2^{k-1}}$ ,  $f_{2^{k-1}+1}$  and  $f_{2^k}$ . By the assumption the first line of the else correctly computes  $f_{2^{k-2}}$ ,  $f_{2^{k-2}+1}$  and  $f_{2^{k-1}}$ . From equation 2.13 we can compute  $f_{2^{k-1}+1}$  and equation 2.12 gives us  $f_{2^k}$  and then fib(n) returns  $f_{2^{k-1}}$ ,  $f_{2^{k-1}+1}$  and  $f_{2^k}$ , the desired result.  $\blacksquare$ 

#### 2.7 Binomial Coefficients

An interesting relationship between binomial coefficients and Fibonacci numbers exists and is easiest to show when the binomial coefficients are organized as Pascal's triangle. Binomial coefficients,  $\binom{n}{k} = \frac{n!}{k!(n-k)!}$ , and the two following relationships [4] lead to Pascal's triangle.

$$\begin{pmatrix} n \\ k \end{pmatrix} + \begin{pmatrix} n \\ k+1 \end{pmatrix} = \begin{pmatrix} n+1 \\ k+1 \end{pmatrix} \tag{2.14}$$

and

$$\begin{pmatrix} n \\ k \end{pmatrix} = \begin{pmatrix} n \\ n-k \end{pmatrix} \tag{2.15}$$

| n |   |   |    | _  |     | _ |
|---|---|---|----|----|-----|---|
| 0 | 1 |   |    |    |     |   |
| 1 | 1 | 1 |    |    |     |   |
| 2 | 1 | 2 | 1  | _  | 100 |   |
| 3 | 1 | 3 | 3  | 1  | -   |   |
| 4 | 1 | 4 | 6  | 4  | 1   |   |
| 5 | 1 | 5 | 10 | 10 | 5   | 1 |
| k | 0 | 1 | 2  | 3  | 4   | 5 |

Table 2.2: Pascal's triangle

Figure 2.2 shows the binomial coefficients arranged in a table for  $0 \le n \le 5$  and  $0 \le k \le 5$ . This arrangement is commenly referred to as Pascal's triangle because a similar table appeared in the book *Traité du triangle arithmétique* by Blaise Pascal in 1653. Binomial coefficients, and this particular arrangement, appear much earlier; see [19] for a short history.

Let the  $n^{th}$  diagonal of Pascal's triangle,  $d_n$ , be defined as the sequence of binomial coefficients:

$$\begin{pmatrix} n \\ 0 \end{pmatrix}, \begin{pmatrix} n-1 \\ 1 \end{pmatrix}, \begin{pmatrix} n-2 \\ 2 \end{pmatrix}, \dots, \begin{pmatrix} 0 \\ n \end{pmatrix}$$

Theorem 2.8 The sum of the  $n^{th}$  diagonal,  $d_n$ , of Pascal's triangle is equal to  $f_{n+1}$ .

*Proof.* Using induction on n. Base: the first base case the sum  $d_0 = \begin{pmatrix} 0 \\ 0 \end{pmatrix} = 1 = f_1$ 

and the second base case the sum of  $d_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} = 1 = f_2$ . For the inductive step we need to show for  $n \geq 2$ , assuming that  $d_{n-2} = f_{n-1}$  and  $d_{n-1} = f_n$ , that  $d_n = d_{n-2} + d_{n-1} = f_{n+1}$ . Adding  $d_{n-2}$  and  $d_{n-1}$  we get

$$\begin{pmatrix} n-1 \\ 0 \end{pmatrix} + \begin{pmatrix} n-2 \\ 0 \end{pmatrix} + \begin{pmatrix} n-2 \\ 1 \end{pmatrix} + \begin{pmatrix} n-3 \\ 1 \end{pmatrix} + \begin{pmatrix} n-3 \\ 2 \end{pmatrix} + \cdots$$

$$+\left(\begin{array}{c}0\\n-2\end{array}\right)+\left(\begin{array}{c}0\\n-1\end{array}\right)$$

Since

$$\left(\begin{array}{c} n-1\\0 \end{array}\right) = \left(\begin{array}{c} n\\0 \end{array}\right) = 1$$

we can replace the first term with  $\binom{n}{0}$  and apply equation 2.14 to all subsequent pairs of terms to get

$$\binom{n}{0}$$
 +  $\binom{n-1}{1}$  +  $\binom{n-2}{2}$  +  $\cdots$  +  $\binom{1}{n-1}$ 

Since  $n \ge 2$  and  $\binom{0}{n-1} = 0$ , the sum of  $d_n$  is equal to the sum of  $d_{n-2}$  plus the sum of  $d_{n-1}$ . So, since  $d_{n-2} = f_{n-1}$  and  $d_{n-1} = f_n$ ,  $d_n = d_{n-2} + d_{n-1} = f_{n-1} + f_n = f_{n+1}$ .

The algorithm to compute  $f_n$  is to take the sum of  $d_{n-1}$ . The interesting question is "Is there a fast way to compute binomial coefficients?". The standard method of computing binomial coefficients is [14]:

$$\begin{pmatrix} n \\ k \end{pmatrix} = \begin{pmatrix} n-1 \\ k \end{pmatrix} + \begin{pmatrix} n-1 \\ k-1 \end{pmatrix} \tag{2.16}$$

and

$$\left(\begin{array}{c} n \\ 0 \end{array}\right) = 1$$

and if n < k

$$\left(\begin{array}{c} n \\ k \end{array}\right) = 0$$

P. Goetgheluck [14] presents an algorithm to compute the prime power factorization of  $\binom{n}{k}$ . His algorithm is presented in figure 2.10, E is the power of

```
input n,k and p E \leftarrow 0, \ r \leftarrow 0 if p > n - k then E \leftarrow 1; end. if p > n/2 then E \leftarrow 0; end. if p * p > n then if n \mod p < k \mod p then E \leftarrow 1; end. Repeat a \leftarrow n \mod p n \leftarrow \lfloor n/p \rfloor b \leftarrow (k \mod p) + r k \leftarrow \lfloor k/p \rfloor if a < b then E \leftarrow E + 1, r \leftarrow 1 else r \leftarrow 0 until n = 0
```

Figure 2.10: Goetgheluck's algorithm

the prime p in the factorization of  $\binom{n}{k}$ . Knowing the factors of  $\binom{n}{k}$  for all  $p \leq n$  the binomial coefficient can be computed by taking the product of  $p_i^{E_i}$  for all  $i \ni p_i \leq n$ .

## 2.8 Generating function

We can use a generating function, G(z), to represent the entire Fibonacci sequence.

$$G(z) = f_0 + f_1 z + f_2 z^2 + \dots = \sum_{n>0} f_n z^n.$$
 (2.17)

Let

$$zG(z) = f_0z^1 + f_1z^2 + f_2z^3$$
$$z^2G(z) = f_0z^2 + f_1z^3 + f_2z^4$$

By computing  $G(z) - zG(z) - z^2G(z)$  we get

$$G(z) - zG(x) - z^{2}G(z) = f_{0}z^{0} + (f_{1} - f_{0})z^{1} + (f_{2} - f_{1} - f_{0})z^{2} + (f_{3} - f_{2} - f_{1})z^{3} + \cdots$$

$$G(z)(1 - z - z^{2}) = 0 + z + 0z^{2} + 0z^{3} + \cdots$$

$$G(z) = z/(1 - z - z^{2})$$
(2.18)

We can evaluate the polynomial  $G(z) = z/(1-z-z^2)$  at the roots of unity  $\omega^0$ ,  $\omega^1$ ,  $\omega^2$ , ...,  $\omega^{n-1}$  getting the values  $v_0$ ,  $v_1$ ,  $v_2$ , ...,  $v_{n-1}$ . To find the coefficients, the Fibonacci numbers, perform the inverse Fourier transformation. To find a specific coefficient,  $f_k$ , use only the k-1 row of the Fourier matrix,  $\mathcal{F}$ .

$$f_n = \sum_{i=0}^{n-1} \mathcal{F}_{n-1,i} \cdot v_i$$

#### 2.9 Product of Factors

The evaluation of the generating function above produced approximations of  $f_n$ . Several small terms were involved in computing the approximation and they were eliminated one by one since they became very small as n grew. From empirical observations eliminating the small terms seemed to improve the approximation and when all of the small terms were gone the results seemed to be exact Fibonacci numbers.

The formula that was suggested is

$$f_{2^i} = \prod_{j=1}^i \beta_i$$

where

$$\beta_i = \beta_{i-1}^2 - 2, \quad \beta_1 = 3.$$
 (2.19)

The numbers  $\beta_1, \beta_2, \ldots, \beta_i$  are a factorization, although not necessarily prime, of  $f_{2^i}$ . The Fibonacci relationship this suggests is

$$\left(\frac{f_{2n}}{f_n}\right)^2 - 2(-1)^n = \frac{f_{4n}}{f_{2n}} \tag{2.20}$$

To show this is true, by equation 2.4,

$$\frac{f_{4n}}{f_{2n}} = \frac{\lambda_1^{4n} - \lambda_2^{4n}}{\lambda_1^{2n} - \lambda_2^{2n}} = \lambda_1^{2n} + \lambda_2^{2n}.$$

and, remembering that  $\lambda_1 \cdot \lambda_2 = -1$ 

$$\left(\frac{f_{2n}}{f_n}\right)^2 - 2(-1)^n = \left(\frac{\lambda_1^{2n} - \lambda_2^{2n}}{\lambda_1^n - \lambda_2^n}\right)^2 - 2(-1)^n$$

$$= (\lambda_1^n + \lambda_2^n)^2 - 2(-1)^n$$

$$= \lambda_1^{2n} + 2(\lambda_1\lambda_2)^n + \lambda_2^{2n} - 2(-1)^n$$

$$= \lambda_1^{2n} + \lambda_2^{2n}$$

So, equation 2.20 is correct.

**Lemma 2.1** The algorithm in figure 2.11, when given a positive integer i, correctly computes a factorization of  $f_{2^{i+1}}$ .

*Proof.* Using induction on i. Base: when i = 1 the algorithm returns a list with the one element  $f_{2^{i+1}} = f_4 = 3$  so the base case is correct. For the inductive step assume

```
beta (i)

if i = 1 return the list (3)

else

temp ← beta (i - 1)

last ← last element of temp

newbeta ← temp * temp - 2

return the list temp with newbeta appended to the end.
```

Figure 2.11: Algorithm to compute  $\beta$ 

```
fib (i)
  if i = 0 return 1
  else if i = 1 return 1
  else
    let temp = beta (i - 1)
    return the product of all elements in temp
```

Figure 2.12: Algorithm to compute  $f_{2^i}$  using  $\beta$ 

that the algorithm correctly computes a factorization of  $f_{2^i}$  and show that for i it correctly computes a factorization of  $f_{2^{i+1}}$ . Since  $n=2^i$ ,  $i\geq 1$ , n is even and, by the assumption,  $\frac{f_n}{f_{n/2}}$  is computed correctly, 2.20 tells us that  $\left(\frac{f_n}{f_{n/2}}\right)^2-2(-1)^n=\frac{f_{2n}}{f_n}$ . Since n is even  $(-1)^n=1$  and  $-2(-1)^n$  becomes -2 so the step in the algorithm that computes newbeta is correct. So  $\frac{f_{2n}}{f_n}$  can be computed and is added as the last element in the list temp and since the list temp, by the assumption, already contains a factorization of  $f_n$  and  $f_n \cdot \frac{f_{2n}}{f_n} = f_{2n}$  the algorithm correctly computes a factorization of  $f_{2^{i+1}}$ .

**Theorem 2.9** The algorithm in figure 2.12 correctly computes  $f_n$ ,  $n = 2^i$ , for the non-negative integer i.

*Proof.* If i = 0 the algorithm correctly returns  $f_{2^0} = f_1 = 1$ , if i = 1 the algorithm correctly returns  $f_{2^1} = f_2 = 1$ . For  $i \geq 2$  the algorithm returns the product of the elements returned by the call to beta (i-1). By lemma 2.1 beta (j) correctly computes a factorization of  $f_{2^{j+1}}$  so this algorithm correctly computes  $f_{2^i}$ .

The algorithm in figure 2.12 could generate the sequence of Fibonacci numbers

$$f_4, f_8, f_{16}, \ldots, f_{2^i}$$

by printing the results of each multiplication. This can be generalized to generate the sequence

$$f_{4+k}, f_{8+k}, f_{16+k}, \ldots, f_{2^{i}+k}$$

for any integer k using the relation

$$f_{2^{i}+k} = f_{2^{i-1}+k}\beta_i - f_k (2.21)$$

To show this is true, by equation 2.4,

$$\begin{split} \left(\lambda_{1}^{2^{i}+k}-\lambda_{2}^{2^{i}+k}\right) &= \left(\lambda_{1}^{2^{i-1}+k}-\lambda_{2}^{2^{i-1}+k}\right) \frac{\left(\lambda_{1}^{2^{i}}-\lambda_{2}^{2^{i}}\right)}{\left(\lambda_{1}^{2^{i-1}}-\lambda_{2}^{2^{i-1}}\right)} - \left(\lambda_{1}^{k}-\lambda_{2}^{k}\right) \\ &= \left(\lambda_{1}^{2^{i-1}+k}-\lambda_{2}^{2^{i-1}+k}\right) \left(\lambda_{1}^{2^{i-1}}-\lambda_{2}^{2^{i-1}}\right) - \left(\lambda_{1}^{k}-\lambda_{2}^{k}\right) \\ &= \lambda_{1}^{2^{i}+k}-\lambda_{1}^{2^{i-1}}\lambda_{2}^{2^{i-1}+k} + \lambda_{1}^{2^{i-1}+k}\lambda_{2}^{2^{i-1}}-\lambda_{2}^{2^{i}+k} - \left(\lambda_{1}^{k}-\lambda_{2}^{k}\right) \\ &= \lambda_{1}^{2^{i}+k}-\lambda_{2}^{2^{i}+k} - \left(\lambda_{1}\lambda_{2}\right)^{2^{i-1}}\lambda_{2}^{k} - \left(\lambda_{1}\lambda_{2}\right)^{2^{i-1}}\lambda_{1}^{k} - \left(\lambda_{1}^{k}-\lambda_{2}^{k}\right) \end{split}$$

fib (n)

$$\mathsf{sl} \leftarrow \lceil \log n \rceil - 1$$

$$eta$$
-sequence  $\leftarrow eta_0, eta_1, eta_2, \dots, eta_{sl-1}$ 

f1-sequence 
$$\leftarrow f_1, f_3, f_7, \dots, f_{2^{sl}+1}$$

f2-sequence 
$$\leftarrow f_2, f_4, f_8, \dots, f_{2^{sl}}$$

fib-help (n sl f1-sequence f2-sequence)

Figure 2.13: Product of factors algorithm to compute any  $f_n$ 

since  $\lambda_1 \cdot \lambda_2 = -1$ 

$$= \lambda_1^{2^i+k} - \lambda_2^{2^i+k} - \lambda_2^k + \lambda_1^k - (\lambda_1^k - \lambda_2^k)$$
$$= \lambda_1^{2^i+k} - \lambda_2^{2^i+k}$$

I

By choosing k=0 we get the sequence generated by the algorithm in figure 2.12

$$f_2, f_4, f_8, f_{16}, \ldots$$

and by choosing k = -1 we get the sequence

$$f_1, f_3, f_7, f_{15}, \ldots$$

The sequences

$$f_1, f_3, f_7, \ldots, f_{2^i-1}$$
 (2.22)

and

$$f_2, f_4, f_8, \ldots, f_{2^i}$$
 (2.23)

and equation 2.10 lead to the algorithm presented in figure 2.13

Lemma 2.2 The algorithm presented in figure 2.14 will, when given a non-negative integer n,  $expo = \lceil \log n \rceil - 1$ , the sequence  $f_1, f_3, f_7, \ldots, f_{2^{expo}-1}$ , and the sequence  $f_2, f_4, f_8, \ldots, f_{2^{expo}}$  correctly compute  $f_n$ .

Figure 2.14: Recursive section of algorithm to compute any  $f_n$ 

Proof. Using induction on n. Base: when n=0,  $f_0=0$  is returned, when n=1,  $f_1=1$  is returned, and when n=2,  $f_2=1$  is returned. For the inductive step assume that the algorithm correctly computes  $f_j$  for all  $0 \le j \le n$  and show that the algorithm correctly computes  $f_{n+1}$ . Since the  $i^{th}$  element of f1-sequence is  $f_{2^{i}-1}$ , the  $i^{th}$  element of the f2-sequence is  $f_{2^{i}}$ , and the assumption; the algorithm will return the value  $f_{2^{expo}-1} \cdot f_{n-2^{expo}} + f_{2^{expo}} \cdot f_{n-2^{expo}+1}$ . By equation 2.10 this is  $f_n$ .

Theorem 2.10 The algorithm presented in figure 2.13, when given an integer n,  $3 \le n$ , will corectly compute  $f_n$ .

*Proof.* The three sequences  $\beta$ -sequence, f1-sequence, and f2-sequence can be correctly computed using equations 2.19 and 2.21. By lemma 2.2, the algorithm correctly computes  $f_n$ .

# Chapter 3

# Results and Analysis

M(n) will be used for the number of bit operations used to multiply two n bit numbers. For most of the analysis it will be assumed that  $n^2$  bit operations are used to do multiplication although better algorithms are known. The  $\frac{3}{2}$  multiply algorithm presented in [1] is  $\Theta(n^{\log_2 3})$  bit operations and the Schönhage-Strassen integer multiplication algorithm, also in [1], uses  $\Theta(n \log n \log \log n)$  bit operations. These algorithms are not generally used for multiplication since, although they are asymptotically faster than the standard  $n^2$  multiplication, they are slower for typical size numbers. Some of the faster algorithms are capable of computing Fibonacci numbers well over  $10^5$  bits in length, large enough so that the advantage of the  $\frac{3}{2}$  multiply algorithm, and probably the Schönhage-Strassen integer multiplication algorithm, would be evident. The  $\frac{3}{2}$  multiply algorithm was implemented and a significant increase in speed resulted (about a factor of 7 for the largest Fibonacci numbers).

The number of bit operations used by an algorithm to solve a problem of size n will be represented as T(n). To facilitate counting the number of bit operations used to compute  $f_n$  the number of bits in  $f_n$  must be known. Since

$$f_n = \left[ \frac{\lambda_1^n}{\sqrt{5}} + 0.5 \right]$$

the number of bits used to represent  $f_n$  is  $\log_2 f_n$  or about  $\log_2 \lambda_1^n$ . We will use  $\mathcal{N}n$  to represent the number of bits in the  $n^{th}$  Fibonacci number where  $\mathcal{N}$  is a constant.

$$\mathcal{N}n = \log_2 \lambda_1^n = n \log_2 \lambda_1 \tag{3.1}$$

So  $\mathcal{N} = \log_2 \lambda_1 \approx 0.69424$ .

### 3.1 Natural recursive

The number of bit operations required to compute  $f_n$  using the algorithm in figure 2.1 is the sum of the number of bit operations to compute  $f_{n-1}$  plus the number of bit operations to compute  $f_{n-2}$  plus the number of bit operations to add  $f_{n-1}$  and  $f_{n-2}$ . This gives the recurrence relation

$$T_n = T_{n-1} + T_{n-2} + \mathcal{N}n$$

with initial conditions

$$T_0 = 0$$

$$T_1 = 0$$

Since this is a linear constant coefficient difference equation the general solution can be written

$$x = v + \sum_{i=1}^{k} \alpha_i \cdot \lambda_i^n$$

where v is the particular solution,  $\lambda_i$  is the  $i^{th}$  root of the characteristic polynomial of the difference equation, and the  $\alpha$ 's are constants.

Solving the recurrence relation, we find the roots of the characteristic polynomial.

$$\lambda^{2} - \lambda - 1 = 0$$

$$\lambda_{1} = \frac{1 + \sqrt{5}}{2}$$

$$\lambda_{2} = \frac{1 - \sqrt{5}}{2}$$

Find the particular solution

$$V_{n} = C_{1}\mathcal{N}n + C_{2}$$

$$(C_{1}\mathcal{N}n + C_{2}) - (C_{1}\mathcal{N}(n-1) + C_{2}) - (C_{1}\mathcal{N}(n-2) + C_{2}) = \mathcal{N}n$$

$$C_{1} = -1$$

$$C_{2} = -3\mathcal{N}$$

Solve the following two equations for  $\alpha_1$  and  $\alpha_2$ 

$$T_0 - V_0 = \alpha_1 \lambda_1^0 + \alpha_2 \lambda_2^0$$
  

$$T_1 - V_1 = \alpha_1 \lambda_1^1 + \alpha_2 \lambda_2^1$$

Giving

$$\alpha_1 = \mathcal{N}\left(3 - \frac{4 - 3\lambda_1}{\lambda_2 - \lambda_1}\right)$$

$$\alpha_2 = \mathcal{N}\left(\frac{4 - 3\lambda_1}{\lambda_2 - \lambda_1}\right)$$

Let  $\beta = \mathcal{N}\left(3 - \frac{4-3\lambda_1}{\lambda_2 - \lambda_1}\right)$  So

$$T_n = \beta \lambda_1^n + (3\mathcal{N} - \beta)\lambda_2^n - \mathcal{N}n - 3\mathcal{N}$$
(3.2)

Since  $0 < \beta < 3$  both  $\alpha_1$  and  $\alpha_2$  are positive. Since  $0 < \alpha_1$ ,  $0 < \alpha_2$ ,  $-1 < \lambda_2 < 0$ , and  $1.5 < \lambda_1 < 2$  the number of bit operations used by the algorithm in figure 2.1 is  $\Theta(\lambda_1^n)$ . Since  $\lambda_1 > 1.5$  and this algorithm is exponential in n, it is unlikely to be useful for large n.

# 3.2 Repeated addition

Using the algorithm presented in Figure 2.2 each  $f_i$ ,  $2 \le i \le n$ , is computed with the addition  $f_i = f_{i-1} + f_{i-2}$ . Each of these additions uses  $\mathcal{N}(n-1)$  bit operations so the total number of bit operations needed to compute  $f_n$  is

$$T(n) = T(n-1) + \mathcal{N}(n-1), \quad T(0) = 0, \quad T(1) = 0$$

$$= \sum_{i=2}^{n} \mathcal{N}(i-1)$$

$$= \mathcal{N} \sum_{i=0}^{n-2} (i+1)$$

$$= \mathcal{N} \left( \frac{(n-2)(n-1)}{2} \right) + \mathcal{N}(n-1)$$

$$= \mathcal{N} \left( \frac{n^2}{2} - \frac{n}{2} \right)$$
(3.3)

For the generalized Fibonacci numbers,  $f_n^k$ , a similar algorithm using k additions at each step will use about  $\mathcal{N}\frac{kn^2}{2}$  bit operations.

$$T_{k}(n) = T_{k}(n-1) + (k-1)\mathcal{N}(n-1), \ T(0) = 0, \ T(1) = 0$$

$$= \sum_{i=2}^{n} (k-1)\mathcal{N}(i-1)$$

$$= \mathcal{N}\left(\frac{(k-1)(n-2)(n-1)}{2}\right) + \mathcal{N}(n-1)$$

$$= \mathcal{N}\left(\frac{(k-1)n^{2}}{2} - \frac{(k-1)n}{2}\right)$$
(3.4)

When k = 2 (the Fibonacci numbers) we get

$$T_2(n) = \mathcal{N}\left(\frac{n^2}{2} - \frac{n}{2}\right)$$

the same as equation 3.3 above.

The algorithm presented in Figure 2.3 for computation of  $f_n^k$  decreases the number of bit operations by a factor of (k-1)/2. The value of  $\mathcal{N}$  will be different for different values of k so when  $k \neq 2$  the constant will be denoted  $\mathcal{N}_k$ .  $\mathcal{N}_k$  will always be less than one. Since the multiply is by two, a shift can be used instead and the computation of the next  $f_n^k$  will use two  $\mathcal{N}_k(n-1)$  bit instructions, a shift and a subtract.

$$T_{k}(n) = T_{k}(n-1) + 2\mathcal{N}_{k}(n-1), \quad T(0) = 0, \quad T(1) = 0$$

$$= \sum_{i=2}^{n} 2\mathcal{N}_{k}(i-1)$$

$$= \sum_{i=0}^{n-2} 2\mathcal{N}_{k}(i+1)$$

$$= 2\mathcal{N}_{k}(n-1) + 2\mathcal{N}_{k} \sum_{i=0}^{n-2} i$$

$$= 2\mathcal{N}_{k} \left(n-1 + \frac{(n-2)(n-1)}{2}\right)$$

$$= \mathcal{N}_{k}(n^{2}-n)$$
(3.5)

#### 3.3 Binet's Formula

There are three operations that must be considered to count the bits operations needed to compute  $f_n$  using the algorithm presented in figure 2.4. The  $\mathcal{N}n + \log n$ 

bits of the square root of five must be computed, an  $\mathcal{N}n + \log n$  bit number must be exponentiated to the  $n^{th}$  power, and a  $\mathcal{N}n + \log n$  bit division must be done.

The  $\sqrt{5}$  can be computed using  $\log \mathcal{N}n + \log n$  iterations of Newton's method [13]. Each iteration of Newton's method requires a division and the length of the significant result doubles with each iteration. It will be assumed that a division requires  $n^2$  bit operations, denoted as D(n).

$$T(n) = T(n/2) + D(\mathcal{N}n/2)$$

$$= \frac{(\mathcal{N}n)^2}{4} + \frac{(\mathcal{N}n)^2}{16} + \frac{(\mathcal{N}n)^2}{64} + \cdots$$

$$= \sum_{i=1}^{\infty} \frac{(\mathcal{N}n)^2}{2^{2i}}$$

$$= (\mathcal{N}n)^2 \sum_{i=1}^{\infty} \left(\frac{1}{4}\right)^i$$

$$= \frac{(\mathcal{N}n)^2}{3}$$
(3.6)

During each  $\log i$  iterations of the exponentiation, only the most significant  $\mathcal{N}n + \log n$  bits need be kept. Therefore the time needed to perform the exponentiation is  $\log n(\mathcal{N}n + \log n)^2$  bit operations.

The operands for the final division are  $\mathcal{N}n$  bits and  $\mathcal{N}n + \log n$  bits so the division will take less than  $(n + \log n)^2$  bit operations.

the total number of bit operations using the algorithm presented in figure 2.4 will be dominated by the  $\log n(\mathcal{N}n + \log n)^2$  bit operations used by the exponentiation giving  $\Theta(\log n \cdot M(n))$  bit operations.

## 3.4 Matrix Multiplication Methods

In this section, and the next, the matrix algorithms and the extended N. N. Vorob'ev algorithm will be analyzed producing a sequence of the number of bit operations to compute  $f_n$  from  $\frac{8(n\mathcal{N})^2}{3}$  down to  $\frac{5(n\mathcal{N})^2}{12}$ . Similar methods are used to find the running time for each of the algorithms in this section and the next so one general introduction is presented here and the specifics for each algorithm is presented in the appropriate sections.

All of the algorithms use some number of additions and some other number of multiplications. Since the additions can be done in time linear with respect to the size of the operands and it will be assumed that multiplication requires  $n^2$  time for operands of size n, the bit operations resulting from the additions will be ignored and only those resulting from multiplications will be counted.

The simple matrix multiplication algorithm introduced in the first course in linear algebra requires  $row \cdot column$  multiplications of size n/2 for a resulting matrix with elements of size n. In the case of computing

$$A^{n} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n} = \begin{pmatrix} f_{n+1} & f_{n} \\ f_{n} & f_{n-1} \end{pmatrix}$$

this method would require eight multiplications of size n/2 to compute the matrix

$$\begin{pmatrix} f_{n+1} & f_n \\ f_n & f_{n-1} \end{pmatrix}$$

from the matrix

$$\begin{pmatrix} f_{n/2+1} & f_{n/2} \\ f_{n/2} & f_{n/2-1} \end{pmatrix}$$

by squaring the second matrix. The number of bit operations needed to compute the matrix containing  $f_n$  using the above method with eight multiplies is  $\frac{8 \cdot M(nN)}{3}$ .

$$T(n) = T(n/2) + 8M(\mathcal{N}n/2)$$

$$= 8M(\mathcal{N}n/2) + 8M(\mathcal{N}n/4) + 8M(\mathcal{N}n/8) + \cdots$$

$$= \frac{8(\mathcal{N}n)^2}{4} + \frac{8(\mathcal{N}n)^2}{16} + \frac{8(\mathcal{N}n)^2}{64} + \cdots$$

$$= 8(\mathcal{N}n)^2 \sum_{i=1}^{\infty} \left(\frac{1}{4}\right)^i$$

$$= 8(\mathcal{N}n)^2 \cdot \frac{1}{3}$$

$$= \frac{8(\mathcal{N}n)^2}{3}$$
(3.7)

Since we can compute the first row of  $A^{n+1}$  from  $A^n$  using four multiplications and the second row can be computed using only an addition and four multiplications

are needed. Using a sequence of operations similar to those above we get  $\frac{4 \cdot M(nN)}{3}$  bit operations.

$$T(n) = T(n/2) + 4M(\mathcal{N}n/2)$$
  
=  $\frac{4(\mathcal{N}n)^2}{3}$  (3.8)

#### 3.4.1 Three Multiply Matrix Method

The three multiply matrix method presented in figure 2.6 performs three half size multiplies, three additions, and calls itself recursively with half size arguments. Since we are ignoring the additions we get  $M(n\mathcal{N})$  bit operations.

$$T(n) = T(n/2) + 3M(\mathcal{N}n/2)$$

$$= 3M(\mathcal{N}n/2) + 3M(\mathcal{N}n/4) + 3M(\mathcal{N}n/8) + \cdots$$

$$= \frac{3(\mathcal{N}n)^2}{4} + \frac{3(\mathcal{N}n)^2}{16} + \frac{3(\mathcal{N}n)^2}{64} + \cdots$$

$$= \sum_{i=1}^{\infty} \frac{3(\mathcal{N}n)^2}{2^{2i}}$$

$$= 3(\mathcal{N}n)^2 \sum_{i=1}^{\infty} \left(\frac{1}{4}\right)^i$$

$$= 3(\mathcal{N}n)^2 \cdot \frac{1}{3}$$

$$= (\mathcal{N}n)^2$$
(3.9)

#### 3.4.2 Two Multiply Matrix Method

The two multiply matrix method presented in figure 2.7 does two half size multiplies, four additions, one shift, and calls itself recursively with a half size argument. The number of bit operations used by this algorithm is  $\frac{2 \cdot M(\mathcal{N}n)}{3}$ .

$$T(n) = T(n/2) + 2M(\mathcal{N}n/2)$$

$$= 2M(\mathcal{N}n/2) + 2M(\mathcal{N}n/4) + 2M(\mathcal{N}n/8) + \cdots$$

$$= \frac{2(\mathcal{N}n)^2}{4} + \frac{2(\mathcal{N}n)^2}{16} + \frac{2(\mathcal{N}n)^2}{64} + \cdots$$

$$= \sum_{i=1}^{\infty} \frac{2(\mathcal{N}n)^2}{2^{2i}}$$

$$= 2(\mathcal{N}n)^2 \sum_{i=1}^{\infty} \left(\frac{1}{4}\right)^i$$

$$= 2(\mathcal{N}n)^2 \cdot \frac{1}{3}$$

$$= \frac{2(\mathcal{N}n)^2}{3}$$
(3.10)

#### 3.5 Extended N. N. Vorob'ev's Method

By using the results of computing  $f_{2i}$  to compute  $f_{2i+1}$  the algorithm presented in figure 2.8 is able to replace one  $\frac{n}{2}$  bit multiply with two  $\frac{n}{4}$  bit multiplies each time it is called. The extended N. N. Vorob'ev algorithm uses  $\frac{M(\mathcal{N}n)}{2}$  bit operations.

$$T(n) = T(n/2) + M(\mathcal{N}n/2) + 2M(\mathcal{N}n/4)$$

$$= M(\mathcal{N}n/2) + 3M(\mathcal{N}n/4) + 3M(\mathcal{N}n/8) + \cdots$$

$$= \frac{(\mathcal{N}n)^2}{4} + \frac{3(\mathcal{N}n)^2}{16} + \frac{3(\mathcal{N}n)^2}{64} + \cdots$$

$$= \frac{(\mathcal{N}n)^2}{4} + \sum_{i=2}^{\infty} \frac{3(\mathcal{N}n)^2}{2^{2i}}$$

$$= \frac{(\mathcal{N}n)^2}{4} + 3(\mathcal{N}n)^2 \sum_{i=2}^{\infty} \left(\frac{1}{4}\right)^i$$

$$= \frac{(\mathcal{N}n)^2}{4} + 3(\mathcal{N}n)^2 \cdot \frac{1}{12}$$

$$= \frac{(\mathcal{N}n)^2}{2}$$
(3.11)

## 3.6 Product of Factors

The product of factors algorithm presented in section 2.9 uses two multiplies at each recursive call. One multiply is to compute  $\beta_{i-1}$  by squaring a n/4 bit number and the other is to compute  $f_n$  by multiplying  $\beta_{i-1}$  by the product of  $\beta_1, \beta_2, \ldots, \beta_{i-2}$  both n/2 bit numbers. The number of bit operations this algorithm uses to compute the  $n_{th}$  Fibonacci number is  $\frac{5 \cdot M(\mathcal{N}n)}{12}$ 

$$T(n) = T(n/2) + M(\mathcal{N}n/2) + M(\mathcal{N}n/4)$$

$$= M(\mathcal{N}n/2) + 2M(\mathcal{N}n/4) + 2M(\mathcal{N}n/8) + \cdots$$

$$= \frac{(\mathcal{N}n)^2}{4} + \frac{2(\mathcal{N}n)^2}{16} + \frac{2(\mathcal{N}n)^2}{64} + \cdots$$

$$= \frac{(\mathcal{N}n)^2}{4} + 2(\mathcal{N}n)^2 \sum_{i=2}^{\infty} \left(\frac{1}{4}\right)^i$$

$$= \frac{(\mathcal{N}n)^2}{4} + 2\frac{(\mathcal{N}n)^2}{12}$$

$$= \frac{5(\mathcal{N}n)^2}{12}$$
(3.12)

## 3.7 $f_n$ for any n using Product of Factors

The algorithm in Figure 2.13 computes the three sequences

$$eta_1, \ eta_2, \ eta_3, \ \ldots, \ eta_i$$
 $f_3, \ f_7, \ f_{15}, \ \ldots, \ f_{2^i-1}$ 
 $f_4, \ f_8, \ f_{16}, \ \ldots, \ f_{2^i}$ 

for  $i = \lceil \log_2 n \rceil - 1$ . The worst case for computing these sequences is  $n = 2^i + 1$  where the size of  $\beta_i$  is about half of the size of  $f_n$  with  $f_{2^i-1}$  and  $f_{2^i}$  about the same size as  $f_n$ . The best case for this computation is  $n = 2^i$ , where  $\beta_i$  is about one fourth the size of  $f_n$  with  $f_{2^i-1}$  and  $f_{2^i}$  half of the size of  $f_n$ . We will see that the best and worst cases are switched for the second phase of this algorithm.

In the worst case the number of bit operations for the the first phase, computing the three sequences, of the algorithm presented in figure 2.13 is

$$T(n) = T(n/2) + 2M(\mathcal{N}n) + M(\mathcal{N}n/2)$$

$$= 2M(\mathcal{N}n) + 3M(\mathcal{N}n/2) + 3M(\mathcal{N}n/4) + \cdots$$

$$= 2(\mathcal{N}n)^2 + \frac{3(\mathcal{N}n)^2}{4} + \frac{3(\mathcal{N}n)^2}{16} + \cdots$$

$$= 2(\mathcal{N}n)^2 + \sum_{i=1}^{\infty} \frac{3(\mathcal{N}n)^2}{2^{2i}}$$

$$= 2(\mathcal{N}n)^2 + 3(\mathcal{N}n)^2 \cdot \sum_{i=1}^{\infty} \left(\frac{1}{4}\right)^i$$

$$= 2(\mathcal{N}n)^2 + (\mathcal{N}n)^2$$

$$= 3(\mathcal{N}n)^2 \tag{3.13}$$

In the second phase of the algorithm (the call to fib-help) the various values of the two sequences are multiplied together to get  $f_n$ . In this phase the worst case will be when the numbers being multiplied together are about the same size, or about one half the length of  $f_n$ . The number of bit operations for the second phase is at most

$$T(n) = T(n/2) + 2M(\mathcal{N}n/2)$$

$$= 2M(\mathcal{N}n/2) + 2M(\mathcal{N}n/4) + 2M(\mathcal{N}n/8) + \cdots$$

$$= \frac{2(\mathcal{N}n)^2}{4} + \frac{2(\mathcal{N}n)^2}{16} + \frac{2(\mathcal{N}n)^2}{64} + \cdots$$

$$= \sum_{i=1}^{\infty} \frac{2(\mathcal{N}n)^2}{2^{2i}}$$

$$= 2(\mathcal{N}n)^2 \sum_{i=1}^{\infty} \left(\frac{1}{4}\right)^i$$

$$= 2(\mathcal{N}n)^2 \cdot \frac{1}{3}$$

$$= \frac{2(\mathcal{N}n)^2}{3}$$
(3.14)

So, the worst case number of bit operations for the algorithm presented in figure 2.13 is certainly less than  $\frac{11(\mathcal{N}n)^2}{3}$ .

## 3.8 Matrix Method of Gries and Levin

The algorithm of Gries and Levin presented in section 2.4 exponentiates the  $k \times k$  matrix A using only  $k^2$  multiplications at each step. Each iteration of the algorithm doubles n so only  $\log n$  iterations are required to compute  $f_n^k$ . This leads to the equation

$$T_k(n) = T_k(n/2) + k^2 M(\mathcal{N}n/2)$$

$$= k^2 M(\mathcal{N}n/2) + k^2 M(\mathcal{N}n/4) + k^2 M(\mathcal{N}n/8) + \cdots$$

$$= \frac{k^2 (\mathcal{N}n)^2}{4} + \frac{k^2 (\mathcal{N}n)^2}{16} + \frac{k^2 (\mathcal{N}n)^2}{64} + \cdots$$

$$= k^2 (\mathcal{N}n)^2 \sum_{i=1}^{\infty} \left(\frac{1}{4}\right)^i$$

7

$$= \frac{k^2(\mathcal{N}n)^2}{3} \tag{3.15}$$

For k = 2 (the fibonacci sequence)

$$T_2(n) = \frac{4(\mathcal{N}n)^2}{3} \tag{3.16}$$

or  $\frac{4 \cdot M(\mathcal{N}n)}{3}$ .

#### 3.9 Binomial Coefficients

Computing  $f_{n+1}$  by taking the sum of the  $n^{th}$  diagonal of Pascal's triangle requires computing the n+1 binomial coefficients in the  $n^{th}$  diagonal. The two methods presented in section 2.7 will be analyzed, but since the optimal method of computing binomial coefficients is not known there may be better algorithms.

Using the standard method of computing binomial coefficients, see equation 2.16, requires computing  $k(n-k)-\frac{k^2}{2}$  elements of Pascal's triangle. There are k(n-k) elements added to compute  $\binom{n}{k}$  but since Pascal's triangle is symmetrical, Equation 2.15 only the elements on one side of the center of Pascal's triangle need to be computed. From the diagram in figure 3.1 it can be seen that the largest number of elements will need to be computed when  $k=\frac{n}{2}$ . These elements also have the largest values since they are closest to the center of Pascal's triangle. The total number of elements of Pascal's triangle that need to be computed in order to compute  $\binom{n}{k}$  is

$$n(n-k)-\frac{(n-|n/2-k|)^2}{4}$$

and when  $k = \frac{n}{2}$ 

$$\frac{n^{2}}{2} - \frac{\left(n - \left|\frac{n}{2} - \frac{n}{2}\right|\right)^{2}}{4}$$

$$= \frac{n^{2}}{2} - \frac{n^{2}}{4}$$

$$= \frac{n^{2}}{4}$$

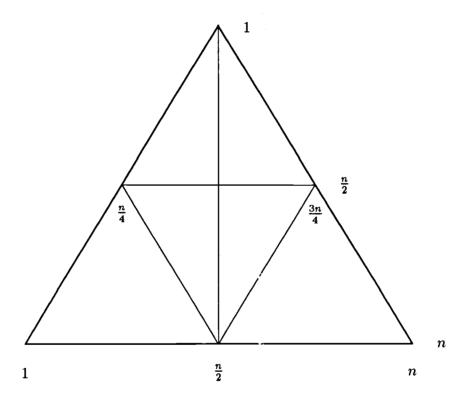


Figure 3.1: Elements of Pascal's triangle used to compute a binomial coefficient

So, in the worst case, there are  $\frac{n^2}{4}$  elements to be added and each of these elements must be less than n bits long since the sum of the  $n^{th}$  row of Pascal's triangle is equal to  $2^n$  or

$$\sum_{i=1}^{n} \binom{n}{k} = 2^{n},$$

therefore at most  $\frac{n^3}{8}$  bit operations need to be done to compute  $\binom{n}{k}$ .

To compute  $f_n$  a complete diagonal of Pascal's triangle must be computed. Since the diagonal rises to the right all of the elements in the  $n^{th}$  diagonal will be computed if  $\binom{2n}{n}$  is computed. This will take, at most,  $\frac{(2n)^3}{8} = n^3$  bit operations to compute. Clearly, this is a gross overestimate of the time required to compute  $f_{n+1}$  by taking the sum of the  $n^{th}$  diagonal of Pascal's triangle.

A different way to compute binomial coefficients is with Goetgheluck's algorithm. Each call to the function given in figure 2.10 performs  $\log_p n$  iterations. During each iteration two divisions of the same size are done, the size decreasing by a factor of p each iterations. The number of bit operations done by one call is less than

$$\sum_{i=1}^{\log_p n} 2 \cdot \frac{n}{p^i} \cdot p = \sum_{i=1}^{\log_p n} 2 \cdot \frac{n}{p^{i-1}}$$

$$= n + \frac{n}{p^1} + \frac{n}{p^2} + \frac{n}{p^3} + \dots + 1$$

Since the sum will be the largest when the prime number, p=2, a call to the function in figure 2.10 will take no more than

$$n + \frac{n}{2} + \frac{n}{4} + \frac{n}{8} + \dots + 1$$
$$= 2n$$

bit operations. There will be at most  $n^{1}$  calls made to the function, so the resulting number of bit operations is  $\leq n^{2}$ .

The actual number is the number of primes  $\leq n$  or about  $\frac{n}{\log n}$  calls, but this is a slight underestimate and using n will not change the order.

Once the factors are computed they must be multiplied together to form the binomial coefficient. In the worst case we would have to multiply two half size numbers to get the result, and four quarter size numbers to get the two half size numbers and so on.

$$T(n) = 2T(n/2) + M(n/2)$$

$$= M(n/2) + 2M(n/4) + 4M(n/8) + \cdots$$

$$= \frac{n^2}{4} + \frac{n^2}{16} + \frac{n^2}{32} + \cdots$$

$$= n^2 \sum_{i=1}^{\infty} \left(\frac{1}{4}\right)^i$$

$$= \frac{n^2}{3}$$

Adding the time to compute the factors and the time to multiply the factors, we get the time to compute a binomial coefficient  $\leq \frac{4n^2}{3}$ .

To compute  $f_{n+1}$ , the  $\lceil \frac{n}{2} \rceil$  binomial coefficients of the  $d^{th}$  diagonal need to be computed.

$$T(n) = \sum_{i=n/2}^{n} \frac{4i^{2}}{3}$$

$$= \frac{4}{3} \sum_{i=1}^{n/2} \left( i + \frac{n}{2} \right)^{2}$$

$$= \frac{4}{3} \sum_{i=1}^{n/2} i^{2} + n + \frac{n^{2}}{4}$$

$$= \frac{4}{3} \left( \frac{\frac{n}{2} (\frac{n}{2} + 1)(\frac{n}{2} + 1)}{6} + \frac{n^{2}}{2} + \frac{n^{3}}{8} \right)$$

$$= \frac{n^{3}}{12} + \frac{5n^{2}}{3} + n$$

Again, this is gross overestimate of the number of bit operations needed to compute  $f_{n+1}$  and is not useful to compare with number of bit operations needed to compute  $f_{n+1}$  using the standard method.

| Algorithm                           | Constant    |
|-------------------------------------|-------------|
| Repeated Addition                   | 4.223 E -6  |
| Product of Factors (any $f_n$ )     | 3.273 E -8  |
| Binet's Formula (any $f_n$ )        | 1.06 E -1   |
| Binet's formula $(f_n, n = 2^k)$    | 7.038 E - 5 |
| Product of Factors $(f_n, n = 2^k)$ | 1.633 E - 8 |
| 3 Multiply Matrix                   | 3.950 E - 8 |
| 2 Multiply Matrix                   | 2.667 E - 8 |
| Extended Vorob'ev                   | 1.983 E - 8 |

Table 3.1: Constants for algorithms to compute  $f_n$ 

## 3.10 Actual running times

All of the algorithms discussed in chapters two and three have been implemented in Ibuki common lisp, formerly Kyoto common lisp (KCL), on a Sequent Balance 21000. The functions are divided into two groups, those that compute  $f_n$  for any n and those that compute  $f_n$ ,  $n = 2^k$  where k is an integer. Each function computed  $f_n$ , where n was successive powers of two, until the function used more than five minutes of CPU time. The results for the functions that computed  $f_n$  for any n are given in Table 3.2 and for the functions that computed  $f_n$ ,  $n = 2^k$  where k is an integer, are given in Table 3.3 and Table 3.4.

A constant was computed for each algorithm that would make the actual running time of the algorithm equal to the computed running time derived earlier in this chapter. The constants were computed by dividing the actual running time of the largest Fibonacci number computed by the number of bit operations predicted by the analysis. Table 3.1 presents these constants.

|          | <del></del> |         | Γ          |        | T -     |        |
|----------|-------------|---------|------------|--------|---------|--------|
|          | Repeated    |         | Product of |        | Binet's |        |
|          | Addi        | tion    | Fact       | tors   | Formula |        |
| $\log n$ | comp        | act     | comp       | act    | comp    | act    |
| 2        | 0.00-       | 0.00    | 0.00-      | -0.00  | 3.82-   | -0.08  |
| 3        | 0.00-       | 0.00    | 0.00-      | -0.02  | 12.82-  | -0.13  |
| 4        | 0.00-       | 0.02    | 0.00-      | -0.02  | 42.40-  | -1.60  |
| 5        | 0.00-       | 0.00    | 0.00-      | -0.02  | 145.11- | 44.28  |
| 6        | 0.02-       | 0.03    | 0.00-      | 0.03   | 519.43- | 519.43 |
| 7        | 0.07-       | 0.07    | 0.00-      | 0.05   | na-     | -na    |
| 8        | 0.28-       | 0.22    | 0.00-      | 0.05   | na-     | na     |
| 9        | 1.10-       | 0.62    | 0.01-      | 0.08   | na-     | na .   |
| 10       | 4.42-       | 5.17    | 0.03-      | 0.17   | na-     | na     |
| 11       | 17.71-      | 16.73   | 0.14-      | 3.28   | na-     | na     |
| 12       | 70.84-      | 71.30   | 0.55-      | 6.97   | na-     | na     |
| 13       | 283.39-     | 279.52  | 2.20-      | 9.60   | na-     | -na    |
| 14       | 1133.62-    | 1133.62 | 8.79-      | 17.45  | na-     | na     |
| 15       | na-         | na      | 35.14-     | 49.92  | na-     | -na    |
| 16       | na-         | na      | 140.57-    | 154.40 | na-     | na     |
| 17       | na-         | na      | 562.28-    | 562.28 | na-     | -na    |

Table 3.2: Running time to compute  $f_n$  for any n in CPU seconds

|          | Binet's     |         | Produ             | ict of |       |
|----------|-------------|---------|-------------------|--------|-------|
|          | form        | nula    | Factors           |        |       |
| $\log n$ | comp        | act     | comp              | act    |       |
| 2        | 0.00-       | 0.02    | 0.00-             | -0.00  |       |
| 3        | 0.01-       | 0.02    | 0.00-             | -0.02  |       |
| 4        | 0.03-       | 0.03    | 0.00-             | -0.00  |       |
| 5        | 0.10-       | 0.05    | 0.00-             | -0.00  |       |
| 6        | 0.34-       | 0.13    | 0.00-             | -0.00  |       |
| 7        | 1.28-       | -0.75   | 0.00-0.00         |        |       |
| 8        | 4.91-14.83  |         | 0.00-0.02         |        |       |
| 9        | 19.10-11.10 |         | 0.00-0.02         |        |       |
| 10       | 75.25-77.82 |         | 0.01-0.05         |        |       |
| 11       | 298.38-     | 287.70  | 0.07-0.12         |        |       |
| 12       | 1187.73-    | 1187.73 | 0.27-             | -0.37  |       |
| 13       | na-         | -na     | 1.10-             | -1.23  |       |
| 14       | na-na       |         | па-па 4.38-4      |        | -4.60 |
| 15       | na-na       |         | na-na 17.53-17.77 |        |       |
| 16       | na-na       |         | а-па 70.12-73.98  |        |       |
| 17       | na-na       |         | 280.47-280.22     |        |       |
| 18       | na-         | -na     | 1121.88-1121.87   |        |       |

Table 3.3: Running time to compute  $f_n$ ,  $n = 2^k$ , in CPU seconds, part 1

|          | 1           |           |            |        | 1         |        |
|----------|-------------|-----------|------------|--------|-----------|--------|
|          | 3 Multiply  |           | 2 Multiply |        | Extended  |        |
|          | Mat         | rix       | Mat        | rix    | Voro      | b'ev   |
| $\log n$ | comp        | act       | comp       | act    | comp      | act    |
| 2        | 0.00-       | 0.02      | 0.00-      | 0.02   | 0.00-     | -0.00  |
| 3        | 0.00-       | 0.02      | 0.00-      | 0.00   | 0.00-     | 0.00   |
| 4        | 0.00-       | 0.00      | 0.00-      | 0.02   | 0.00-     | -0.02  |
| 5        | 0.00-       | 0.00      | 0.00-      | 0.00   | 0.00-     | 0.00   |
| 6        | 0.00-       | 0.02      | 0.00       | 0.02   | 0.00-     | -0.00  |
| 7        | 0.00-       | 0.03      | 0.00-      | 0.03   | 0.00-     | 0.02   |
| 8        | 0.00-0.05   |           | 0.00-0.05  |        | 0.00-0.03 |        |
| 9        | 0.01-       | 0.01-0.05 |            | -0.05  | 0.01-     | -0.03  |
| 10       | 0.04-       | 0.10      | 0.03-      | -0.10  | 0.02-     | -0.07  |
| 11       | 0.17-       | 0.28      | 0.11-      | 0.25   | 0.08-     | -0.18  |
| 12       | 0.66-       | 0.83      | 0.45-      | 0.70   | 0.33-     | -0.47  |
| 13       | 2.65-       | 2.92      | 1.79-      | -5.62  | 1.33-     | -1.58  |
| 14       | 10.60-14.52 |           | 7.16-      | 7.77   | 5.32-     | -9.23  |
| 15       | 42.41-      | 42.85     | 28.63-     | 29.35  | 21.29-    | -21.63 |
| 16       | 169.66-     | 172.65    | 114.54-    | 117.97 | 85.17-    | -84.98 |
| 17       | 678.63-     | 678.63    | 458.15-    | 458.15 | 340.68-   | 340.68 |

Table 3.4: Running time to compute  $f_n$ ,  $n = 2^k$ , in CPU seconds, part 2

# Chapter 4

## Redundant Information

Since so few of the integers are Fibonacci numbers we would expect redundant information in the integer representation of the Fibonacci numbers. If we could understand the structure of this redundancy, we could take advantage of it in computing  $f_n$  by using a representation using fewer bits than the integers. This chapter will present three compression methods that were run on a string of bits representing the sequence of ascending Fibonacci numbers. The results of compressing the Fibonacci sequence yielded no insights into any redundant structure that might exist in the Fibonacci sequence. The compression algorithms behaved as they would if given a random sequence of bits to compress, so the bits of the Fibonacci sequence were tested as a random sequence. The three tests for randomness that were performed indicated that the sequence of bits was random.

The final section of this chapter looks at the Fibonacci numbers in a different arrangement, instead of placing the binary representation of the Fibonacci numbers end to end, a binary sequence was constructed from the  $b^{th}$  bit of each Fibonacci number. Arranging the Fibonacci numbers in this manner shows that the  $b^{th}$  bit forms a cycle of length  $3 \cdot 2^b$ . Unfortunately, the cycle length is exponential in b, the bit position, and since the number of bits in  $f_n$  grows linearly with n, the cycles seem to only be useful in computing the lower bits in  $f_n$ . If we could compute the value of the  $m^{th}$  bit in the  $b^{th}$  cycle quickly (i.e., without computing the entire cycle) we might be able to compute  $f_n$  quickly. Redundancy in the  $b^{th}$  cycle was investigated and found but efforts to explain it have not yet been successful. This is described in the final section of this chapter.

### 4.1 Compression

The sequence of bits that will be compressed is obtained by writing, in binary, each Fibonacci number end to end. The bits of each  $f_n$  are written in reverse order, that is the most significant bit is to the right. The start of the sequence would be

 $f_1 f_2 f_3 f_4 f_5 f_6 \cdots$   $1 1 2 3 5 8 \cdots$   $1 1 01 11 101 0001 \cdots$   $1101111010001 \cdots$ 

The bits of the individual  $f_n$  are in reverse order so that when the sequence is read from left to right the low order bit of  $f_n$  will be read first, but the order should not be important as long as it is consistent.

The sequence of Fibonacci numbers were made available to the data compression algorithms in two forms, one bit at a time or one byte at a time. When a compression function requests the next source character, the leftmost unused bit (byte) in the sequence is removed from the sequence and is returned to the calling function. The next Fibonacci number is computed when the current source of bits (bytes) have been exhausted. This allows the possibility of analyzing very long sequences.

Terry Welch [38] discusses four types of redundancy: character distribution, character repetition, high usage patterns, and positional. If some characters appear more frequently than others in a sequence that sequence is said to have character distribution redundancy. For example, in the English language the characters 'e' and ' ' occur with greater frequency than the character 'z'. Character repetition redundancy occurs when the same character appears several times in succession. Frequently, files with fixed size records will use a blank, or some other distinguished character, to fill the records; these filler blanks are frequently a source of character repetition redundancy. High usage pattern redundancy is an extension of character distribution redundancy, instead of noting that some individual characters appear

|       | Compression Ratio |       |           |        |  |  |
|-------|-------------------|-------|-----------|--------|--|--|
| k     | integer           | $2^n$ | Fibonacci | random |  |  |
| 128   | 0.508             | 0.821 | 0.533     | 0.533  |  |  |
| 256   | 0.577             | 2.667 | 0.520     | 0.561  |  |  |
| 512   | 0.569             | 2.510 | 0.520     | 0.547  |  |  |
| 1024  | 0.580             | 2.081 | 0.527     | 0.537  |  |  |
| 2048  | 0.616             | 2.216 | 0.524     | 0.532  |  |  |
| 4096  | 0.639             | 2.306 | 0.531     | 0.527  |  |  |
| 8192  | 0.680             | 2.421 | 0.530     | 0.534  |  |  |
| 16384 | 0.712             | 2.447 | 0.531     | 0.530  |  |  |
| 32768 | 0.747             | 2.496 | 0.532     | 0.531  |  |  |

Table 4.1: Compression ratios of Ziv-Lempel for various sources

more frequently than others, it is noted that some groups of characters appears more frequently than other groups of characters. A sequence is positionally redundant when the same character appears at the same position in each block of data. An example of positional redundancy would be a file containing a list of numbers of the form "XXXX.YY" since the '.' always appears as the fifth character. Positional redundancy will be important in section 4.3.

#### 4.1.1 Ziv Lempel Compression

The Ziv Lempel (ZL) compression algorithm, [40,41,42], along with the LZW compression algorithm, [38], will be considered and the results of applying them to the sequence of Fibonacci bits will be discussed in this section.

A formal description and analysis of the Ziv Lempel compression algorithm is in [41], what follows is an informal presentation of the algorithm. If we have a array of characters, S, of length k and an integer j,  $1 \le j < k$ , then we can match, character by character, the string at S[1] with the string starting at S[j]. Let L(i)

|       | Compression Ratio |                |           |        |  |  |
|-------|-------------------|----------------|-----------|--------|--|--|
| k     | integer           | 2 <sup>n</sup> | Fibonacci | random |  |  |
| 128   | 0.731             | 1.438          | 0.677     | 0.703  |  |  |
| 256   | 0.776             | 2.393          | 0.753     | 0.755  |  |  |
| 512   | 0.806             | 3.483          | 0.791     | 0.782  |  |  |
| 1024  | 0.861             | 4.697          | 0.811     | 0.811  |  |  |
| 2048  | 0.838             | 6.564          | 0.841     | 0.830  |  |  |
| 4096  | 0.848             | 8.480          | 0.842     | 0.842  |  |  |
| 8192  | 0.854             | 11.977         | 0.851     | 0.849  |  |  |
| 16384 | 0.866             | 15.326         | 0.859     | 0.858  |  |  |
| 32768 | 0.870             | 21.530         | 0.868     | 0.867  |  |  |

Table 4.2: Compression ratios of the modified Lempel–Ziv–Welch algorithm

|       | Compression Ratio |        |           |        |  |  |
|-------|-------------------|--------|-----------|--------|--|--|
| k     | integer           | $2^n$  | Fibonacci | random |  |  |
| 128   | 0.871             | 1.707  | 0.871     | 0.871  |  |  |
| 256   | 0.880             | 3.413  | 0.880     | 0.880  |  |  |
| 512   | 0.838             | 5.069  | 0.839     | 0.845  |  |  |
| 1024  | 0.815             | 7.758  | 0.809     | 0.805  |  |  |
| 2048  | 0.783             | 11.253 | 0.763     | 0.765  |  |  |
| 4096  | 0.749             | 16.190 | 0.725     | 0.725  |  |  |
| 8192  | 0.721             | 22.506 | 0.698     | 0.694  |  |  |
| 16384 | 0.718             | 30.972 | 0.678     | 0.678  |  |  |
| 32768 | na                | 43.691 | na        | na     |  |  |

Table 4.3: Compression ratios for Unix Ziv-Lempel implementation

- 1. Initilize the array of characters, B
- 2. Compute the largest reproducible extension
- 3. Generate the code word
- 4. If not done update the array B and goto step 2

Figure 4.1: Pseudo-code for ZL compression algorithm

be the length of this match where the strings being matched start at location i and j+1. For example, let S contain 00101011 and let j=3 so we have

$$001 \cdot 01011$$

So L(1) = 1 since S[1] = S[4] and  $S[2] \neq S[5]$ ; L(2) = 4 since S[2] = S[4], S[3] = S[5], S[4] = S[6], S[5] = S[7], and  $S[6] \neq S[8]$ ; L[3] = 0 since  $S[3] \neq S[4]$ . The largest reproducible extension is the longest substring of S starting at j + 1 that matches with some substring of S starting between 1 and j. That is, the string starting at location p such that  $L(p) = \max_{1 \leq i \leq p} L(i)$ . Knowing p, called the pointer of the reproduction, and the length of the reproduction, l, we can store just p, l and S[j] instead of the substring  $S[j] \dots S[j+l]$ .

An outline of the ZL algorithm is given in figure 4.1. The first step is to initilize the array B with zeros followed by the first characters from the source where j is the position of the last 0, see [41] for details about the position of j in B. The second step is to compute the largest reproducible extension as discussed above.

The third step in the algorithm is to compute the code word  $C_i$  composed of three parts  $C_{i1}$ ,  $C_{i2}$ , and  $C_{i3}$ .  $C_{i1}$  will represent  $p_i$ ,  $C_{i2}$  will represent the length of the longest reproducible extension, l, and  $C_{i3}$  will be the character immediately following the reproducible extension. Again, representation details may be found in [41].

The final step is to shift out the first l characters in the buffer B and add l characters from the source to the end of the buffer. The cycle of executing steps

two, three, and four continues until there are no more characters from the source.

Various sequences were compressed: the sequence formed by concatenating the binary representation of the non-negative integers, the sequence formed by concatenating the binary representations of  $2^i$  for i=0, i=1, i=2,..., the sequence formed by concatenating the binary representation of  $f_n$  for n=0, n=1, n=2,..., and the sequence formed by concatenating the binary representation of the numbers generated by the random number generator in Ibuki common lisp. In Table 4.1 through Table 4.4, k is the number of bits in the sequence that were compressed. The compression ratio is the size of the input file divided by the size of the resulting compressed file. A compression ratio that is greater than one indicates the compressed file is smaller than the original file. A compression ration that is less than one indicates that the compressed file is larger than the original file.

Three versions of the Ziv-Lempel algorithm we used to compress the four sequences. The first version is a direct implementation of the Ziv-Lempel algorithm presented in [41]. There are several parameters that need to be set for this algorithm, two that have a large effect on the compression ration are the size of the array B, and j, the position in B to start looking for the reproducible extension. The size of B was 96 and j was 32. Since a pointer into the first |B| - j elements of B must be stored in binary |B| - j should be a power of two and since the length of the longest possible extension, l, must be less than or equal to j, j should also be a power of two. The values of 96 and 32 were selected from all of the reasonable combinations of  $j = 2^x$  and  $|B| - j = 2^y$  for  $2 \le x, y \le 12$ , x < y. The results of running the Ziv-Lempel compression program using other values for x and y are available upon request.

The compression ratios for the direct implementation of the Ziv-Lempel algorithm are presented in figure 4.1. The integers do not compress well, they actually expand, but as k increases the compression ratio improves. This is because the leading bits of the successive numbers remain the same for some time and the algorithm exploits this high usage redundancy. Since the sequence of  $2^n$  is mostly zeros, it should be easily compressible. The results show that the compression ratio

|       | Compression Ratio |       |           |        |  |  |
|-------|-------------------|-------|-----------|--------|--|--|
| k     | integer           | $2^n$ | Fibonacci | random |  |  |
| 128   | 0.908             | 2.723 | 0.914     | 0.914  |  |  |
| 256   | 0.911             | 4.491 | 0.921     | 0.911  |  |  |
| 512   | 0.961             | 5.447 | 0.919     | 0.919  |  |  |
| 1024  | 0.985             | 6.206 | 0.923     | 0.921  |  |  |
| 2048  | 1.009             | 6.759 | 0.938     | 0.941  |  |  |
| 4096  | 0.998             | 7.186 | 0.957     | 0.958  |  |  |
| 8192  | 1.008             | 7.441 | 0.972     | 0.972  |  |  |
| 16384 | 1.026             | 7.620 | 0.983     | 0.984  |  |  |
| 32768 | na                | 7.743 | na        | na     |  |  |

Table 4.4: Compression ratios of the dynamic Huffman code algorithm

does not get much higher than 2.5 due to the choice of the parameters s and y that was made above. The Fibonacci sequence and random number sequence behave remarkably similarly, neither compressing well.

The modified Lempel-Ziv-Welch implementation and the Unix Ziv-Lempel implementation both showed similar compression ratio patterns as above. The results are presented in table 4.2 and table 4.3. Since neither of these algorithms use a static buffer they are capable of taking better advantage of the long strings of zeros in the sequence of  $2^n$ . Again, note the similarity of compression ratios of the Fibonacci sequence and the random sequence.

#### 4.1.2 Dynamic Huffman Codes

The standard Huffman encoding algorithm scans the data twice, the first time to find the frequencies of each of the characters in the message, and a second time to encode the message. First, a tree is constructed with each of the characters in the message assigned to a leaf with the leaf is assigned a weight proportional to the

```
store the k leaves in a list L

While L contains at least two nodes do remove the two nodes x and y of smallest weight from l create a new node p and make p the parent of x and y p's weight \leftarrow x's weight + y's weight insert p into L
```

Figure 4.2: Huffman's algorithm to compute letter frequencies

frequency that character appeared in the message. An algorithm to construct this Huffman tree from [35] is given in figure 4.2. The result of this algorithm is a single node that is a root of a binary tree with minimum weighted external path length among all binary trees for the given leaves [35].

When traversing the Huffman tree choosing a branch to the left is represented by a zero and choosing a branch to the right is represented by a one. The second pass over the data creates the coded message by emitting the path that would be traversed in the Huffman tree from the root to the leaf representing the character.

To use this algorithm the encoder first transmits the Huffman tree and then the encoded message. The decoder, or receiver, will construct the tree sent to it and then follow the string of zeros and ones going left or right in the Huffman tree as appropriate until reaching a leaf and emitting the character associated with that leaf. The decoder then starts at the root of the tree again to decode the next character and so on until the entire message is decoded.

A disadvantage to this two pass Huffman compression algorithm is the necessity to first transmit the Huffman tree and then encode the text. A dynamic Huffman compression algorithm will create the Huffman tree on the fly as the message is being encoded. The frequencys used to encode the  $k^{th}$  character are the frequencies of the previous k-1 characters. The encoder and decoder of the message is decodered.

sage both build the tree at the same time, as the text is sent. A disadvantage of using the one pass Huffman compression algorithm is that the beginning of the message is not encoded efficiently since there is little information about the distribution of the characters in the text. For long sequences of uniformly distributed characters this is not a problem since the algorithm relatively quickly "learns" the distribution of the characters, but, if the message is short or the character distribution changes in the message then the compression will be poor since the algorithm never has an accurate Huffman tree to work from.

For the purpose of extracting redundant information in a long sequence, either method will be satisfactory. The compact command in the BSD 4.2 Unix operating system compresses file using the dynamic Huffman compression algorithm briefly described above. The sequences were written to a file and then used as input to compact. The results of this type of compression on the sequences are presented in table 4.4. Again, as with the various version of Ziv-Lempel compression, the compression ratios of the Fibonacci numbers and the random numbers are very close.

## 4.2 Testing for Randomness

Compression of the Fibonacci sequence using the standard methods that were tried did not reveal any redundant information in the binary representation of the Fibonacci numbers. Since the methods of compression that were used were designed to remove redundant information from non-random sequences (there is no redundant information in a random sequence) and no compression was found, the sequence of Fibonacci numbers was tested for randomness. Three tests were chosen: the equidistribution test, the serial test, and the Wald-Wolfowitz test. Each method was implemented and at least three sequences were tested: a sequence of pseudorandom numbers generated by the random function of Ibuki Common Lisp using the linear congruential method, a sequence consisting of a single character, and a sequence of Fibonacci numbers. The results are presented in table 4.5, table 4.6,

•

|      | Equidistribution |        |            |  |  |  |  |
|------|------------------|--------|------------|--|--|--|--|
|      | $\chi^2/df$      |        |            |  |  |  |  |
| k    | Fibonacci        | Random | Non-Random |  |  |  |  |
| 1000 | 0.995            | 0.901  | 1000       |  |  |  |  |
| 1500 | 1.115            | 0.846  | 1500       |  |  |  |  |
| 2000 | 1.033            | 1.049  | 2000       |  |  |  |  |
| 2500 | 0.893            | 0.985  | 2500       |  |  |  |  |
| 3000 | 0.979            | 1.048  | 3000       |  |  |  |  |
| 3500 | 0.953            | 0.903  | 3500       |  |  |  |  |
| 4000 | 1.034            | 0.974  | 4000       |  |  |  |  |
| 4500 | 0.974            | 0.914  | 4500       |  |  |  |  |
| 5000 | 0.968            | 0.980  | 5000       |  |  |  |  |
| 5500 | 1.010            | 1.194  | 5500       |  |  |  |  |
| 6000 | 1.027            | 1.002  | 6000       |  |  |  |  |

Table 4.5: Equidistribution test for randomness

and table 4.7.

### 4.2.1 Equidistribution

The equidistribution test or frequency test, tests the sequence of numbers for uniform distribution between 0 and d. For each number r,  $0 \le r \le d$ , a count,  $C_r$ , of the number of occurrences of r in the sequence is kept and should be evenly distributed. To test the evenness of the distribution, apply the chi-square test with the probability of  $\frac{1}{d}$  for each r.

Table 4.5 gives the value of  $\chi^2/df$  where df equals the degrees of freedom for the number of occurrences each of the 256 possible eight bit words using n, from 1000 to 6000. The hypothesis that we are testing is that each of the 256 possible eight bit words is equally likely to occur. For n = 1000 if  $0.899 < \chi^2/df < 1.11$  then we can

|      | Serial test |             |            |  |  |  |
|------|-------------|-------------|------------|--|--|--|
|      |             | $\chi^2/df$ |            |  |  |  |
| k    | Fibonacci   | Random      | Non-Random |  |  |  |
| 300  | 0.952       | 0.921       | 90820      |  |  |  |
| 600  | 0.921       | 0.905       | big        |  |  |  |
| 900  | 0.937       | 0.952       | big        |  |  |  |
| 1200 | 0.937       | 0.889       | big        |  |  |  |
| 1500 | 0.921       | 0.937       | big        |  |  |  |
| 1800 | 0.937       | 0.905       | big        |  |  |  |
| 2100 | 0.952       | 0.937       | big        |  |  |  |
| 2400 | 0.873       | 0.857       | big        |  |  |  |
| 2700 | 0.921       | 0.937       | big        |  |  |  |
| 3000 | 0.937       | 0.921       | big        |  |  |  |
| 3300 | 0.921       | 0.921       | big        |  |  |  |

Table 4.6: Serial test for randomness

| Wald-Wolfowitz test |           |         |            |  |  |  |
|---------------------|-----------|---------|------------|--|--|--|
|                     |           | ${f z}$ |            |  |  |  |
| k                   | Fibonacci | Random  | Non-Random |  |  |  |
| 1000                | -9.237    | -7.907  | 22327      |  |  |  |
| 2000                | -12.419   | -15.353 | big        |  |  |  |
| 3000                | -16.457   | -14.433 | big        |  |  |  |
| 4000                | -20.429   | -20.986 | big        |  |  |  |
| 5000                | -22.163   | -22.643 | big        |  |  |  |
| 6000                | -22.691   | -25.529 | big        |  |  |  |
| 7000                | -26.864   | -26.742 | big        |  |  |  |
| 8000                | -27.650   | -28.411 | big        |  |  |  |
| 9000                | -30.172   | -29.052 | big        |  |  |  |
| 10000               | -29.988   | -30.278 | big        |  |  |  |
| 11000               | -32.031   | -30.988 | big        |  |  |  |

Table 4.7: Wald-Wolfowitz test for randomness

not reject the hypothesis at the 99% level that the words are equally distributed. The hypothesis can be rejected, that is, the words are not equally distributed, for several entries in the table at the 99% level. The Fibonacci sequence when n = 1500 and n = 2500 and the random sequence when n = 1500, n = 3500, n = 4500, and n = 5500.

#### 4.2.2 Serial Test

Equal distribution is not enough to be reasonably sure that a sequence of numbers is random. The sequence of numbers 1, 2, 3, 1, 2, 3, 1, 2, 3,  $\cdots$  is equally distributed but not random. The serial test will measure the frequency of pairs of numbers following one another. For each pair of numbers  $r_1$  and  $r_2$ ,  $0 \le r_1, r_2 \le d$  a count  $C_{r_1,r_2}$  of the number of occurrences of  $r_1$  immediately followed by  $r_2$  is kept and should be evenly distributed over the  $d^2$  pairs of  $r_1$  and  $r_2$ . To test the evenness of the distribution, the chi-square test was applied with the probability of  $\frac{1}{d^2}$  for each category. Similar tests can be conducted using triples or quadruples of numbers instead of pairs, but the number of categories quickly becomes unmanageable.

Table 4.6 gives the value of  $\chi^2/df$  for the number of occurrences of each pair of words that appear in order. The number of words examined ranged from 300 to 3300 in increments of 300. Again, the hypothesis that the number of occurrences of word pairs is equally distributed, can be rejected at the 99% level in only a few entries of table 4.6. The hypothesis can be rejected in the Fibonacci column when n = 2400 and in the random column when n = 2400 and in the random column when n = 2400.

#### 4.2.3 Wald-Wolfowitz Total Number of Runs Test

The Wald-Wolfowitz total number of runs test, [28], examines the grouping of similar objects in the sequence. Let a run be defined as a consecutive group of characters from the sequence,

 $\ldots, s_{k-1}, s_k, s_{k+1}, \ldots, s_{k+n-2}, s_{k+n-1}, s_{k+n}, \ldots$ 

such that  $s_{k-1} \neq s_k$ ,  $s_k = s_{k+1} = \cdots = s_{k+n-2} = s_{k+n-1}$ , and  $s_{k+n-1} \neq s_{k+n}$ . For example, the bits 001111001 have four runs, two runs of zeros of length two each and two runs of ones of lengths four and one. A random sequence of characters will likely not have each character grouped together, nor is it likely to have the character perfectly shuffled, the Wald-Wolfowitz will measure this grouping.

Table 4.7 gives the value of z for the Wald-Wolfowitz test with the number of bits examined equal to n. The values for z indicate that the number of runs is different than what we should expect if they were random. At the 99% level the values should be between -2.326 and 2.326. The values of z for the Fibonacci sequence of bits is similar to the values of z for the random sequence and different from the non-random sequence, a sequence of all ones.

## 4.3 Cycles in the b Bit of $f_n$

Let the sequence  $\mathcal{B}_i$  be the sequence formed by concatenating the  $i^{th}$  bit of  $f_n$  for n from 0 to  $\infty$ . The bits to the left of the left most one bit of  $f_n$  are assumed to be zeros. If the  $i^{th}$  bit of  $f_n$  is examined independently of the the other bits a cycle is apparent. For i = 0 the sequence  $\mathcal{B}_0$  is

Similar sequences appear for other i as well.

**Theorem 4.1** The cycle length of the sequence  $\mathcal{B}_n$  is  $3 \cdot 2^n$ .

Proof. Since 
$$\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{3 \cdot 2^n} \cdot \begin{pmatrix} f_1 = 1 \\ f_0 = 0 \end{pmatrix} = \begin{pmatrix} f_{3 \cdot 2^n + 1} \\ f_{3 \cdot 2^n} \end{pmatrix}$$
 if  $\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{3 \cdot 2^n} \mod 2^{n+1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = I$  then  $f_{3 \cdot 2^n + 1} \mod 2^{n+1} = f_1$  and  $f_{3 \cdot 2^n} \mod 2^{n+1} = f_0$ .

It will be shown that

$$\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{3 \cdot 2^n} \mod 2^{n+1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = I.$$

$$\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^3 = \begin{pmatrix} 3 & 2 \\ 2 & 1 \end{pmatrix}$$
$$= 2 \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$
$$= 2A + I$$

so

$$\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{3 \cdot 2^{n}} = (2A + I)^{2^{n}}$$

$$= (2A)^{0} \begin{pmatrix} 2^{n} \\ 0 \end{pmatrix} + (2A)^{1} \begin{pmatrix} 2^{n} \\ 1 \end{pmatrix} + \dots +$$

$$(2A)^{2^{n} - 1} \begin{pmatrix} 2^{n} \\ 2^{n} - 1 \end{pmatrix} + (2A)^{2^{n}} \begin{pmatrix} 2^{n} \\ 2^{n} \end{pmatrix}$$

$$= I + (2A)^{2^{n}} + \dots + (2A)^{2^{n} - 1}^{2^{n}} + (2A)^{2^{n}}$$

and this mod  $2^{n+1}$  is I.

These cycles also appear in the generalized Fibonacci numbers. Let  $\mathcal{B}_{i}^{k}$  be the sequence formed by concatenating the  $i^{th}$  bit of  $f_{n}^{k}$  for n from 0 to  $\infty$ .

**Theorem 4.2** The cycle length of the sequence  $\mathcal{B}_n^k$  is  $(k+1) \cdot 2^n$ .

Proof. Let 
$$L = \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & 0 & 0 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & & & & & \\ 0 & 0 & \cdots & 1 & 0 \end{pmatrix}$$
.

It will be shown that

$$L^{(K+1)\cdot 2^n} \mod 2^{n+1} = I.$$

since

$$f_n^k = 2f_{n-1}^k - f_{n-k-1}^k$$

$$L^{n} = 2L^{n-1} - L^{n-k-1}$$

$$\frac{L^{n}}{L^{n-k-1}} = 2\frac{L^{n-1}}{L^{n-k-1}} - \frac{L^{n-k-1}}{L^{n-k-1}}$$

$$L^{k+1} = 2L^{k} - I$$

$$(L^{k+1})^{2^{n}} = (2L^{k} - I)^{2^{n}}$$

$$= (2L^{k})^{0} \begin{pmatrix} 2^{n} \\ 0 \end{pmatrix} + (2L^{k})^{1} \begin{pmatrix} 2^{n} \\ 1 \end{pmatrix} + \dots + (2L^{k})^{2^{n-1}} \begin{pmatrix} 2^{n} \\ 2^{n} - 1 \end{pmatrix} + (2L^{k})^{2^{n}} \begin{pmatrix} 2^{n} \\ 2^{n} \end{pmatrix}$$

$$= I + (2L^{k})2^{n} + \dots + (2L^{k})^{2^{n-1}}2^{n} + (2L^{k})^{2^{n}}$$

and this mod  $2^{n+1}$  is I. Therefore

$$\begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & 0 & 0 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & & & & \\ 0 & 0 & \cdots & 1 & 0 \end{pmatrix}^{(K+1)\cdot 2^n} \mod 2^{n+1} = I.$$

If the entire cycle must be computed to find the  $n^{th}$  element the cycle this method will be useful only in computing the low order bits since the cycle lengths grow exponentially with the bit position. If there were a way to directly compute the  $n_{th}$  bit of the cycle  $\mathcal{B}_i$  we might be able to construct an algorithm to compute  $f_n$  using fewer bit operations than is currently known.

There are patterns in the  $\mathcal{B}_i$  sequences, but they are not well understood yet. The observations of these patterns is presented in the limited detail that they are known. The patterns that are discussed break the cycle into six bit groups that place one on top of the other with the least significant bit in the upper left corner of the pile. Table 4.8 shows the bit patterns for  $\mathcal{B}_1, \mathcal{B}_2, \mathcal{B}_3, \mathcal{B}_4$ , and  $\mathcal{B}_5$  where j is the  $j^th$  group of six bits in the cycle.

Ĭ

| j  | $\mathcal{B}_1$ | $\mathcal{B}_2$ | $\mathcal{B}_3$ | $\mathcal{B}_4$ | $\mathcal{B}_5$ |
|----|-----------------|-----------------|-----------------|-----------------|-----------------|
| 0  | 000110          | 000001          | 000000          | 000000          | 000000          |
| 1  |                 | 011010          |                 |                 | 000110          |
| 2  |                 |                 |                 |                 | 011101          |
| 3  |                 |                 |                 | 001011          | 001011          |
| 4  |                 |                 |                 | 101011          | 101011          |
| 5  |                 |                 |                 |                 | 100110          |
| 6  |                 |                 |                 |                 | 111101          |
| 7  |                 |                 | 110001          | 110001          | 110001          |
| 8  |                 |                 | 011011          | 011011          | 011011          |
| 9  |                 |                 |                 |                 | 011101          |
| 10 |                 |                 |                 |                 | 000110          |
| 11 |                 |                 |                 | 010000          | 010000          |
| 12 |                 |                 |                 | 110000          | 110000          |
| 13 |                 |                 |                 |                 | 111101          |
| 14 |                 |                 |                 |                 | 100110          |
| 15 |                 |                 | 101010          | 101010          | 101010          |

Table 4.8: Repeated patterns in  $\mathcal{B}_i$ 

Let  $\mathcal{B}_i(j)$  be the  $j^{th}$  group of 6 bits of the sequence  $\mathcal{B}$ . From table 4.8 it can be seen that

$$\mathcal{B}_3(0) = \mathcal{B}_4(0) = \mathcal{B}_5(0) = 000000$$
  
 $\mathcal{B}_3(1) = \mathcal{B}_4(3) = \mathcal{B}_5(7) = 110001$ 
  
 $\mathcal{B}_3(2) = \mathcal{B}_4(4) = \mathcal{B}_5(8) = 011011$ 
  
 $\mathcal{B}_3(3) = \mathcal{B}_4(7) = \mathcal{B}_5(15) = 101010$ 

and also

$$\mathcal{B}_4(1) = \mathcal{B}_5(3) = 001011$$
 $\mathcal{B}_4(2) = \mathcal{B}_5(4) = 101011$ 
 $\mathcal{B}_4(5) = \mathcal{B}_5(11) = 010000$ 
 $\mathcal{B}_4(6) = \mathcal{B}_5(12) = 110000$ 

If this pattern continued then

$$\begin{split} \mathcal{B}_6(0) &= \mathcal{B}_5(0) \quad \mathcal{B}_6(3) = \mathcal{B}_5(1) \quad \mathcal{B}_6(4) = \mathcal{B}_5(2) \\ \mathcal{B}_6(7) &= \mathcal{B}_5(3) \quad \mathcal{B}_6(8) = \mathcal{B}_5(4) \quad \mathcal{B}_6(11) = \mathcal{B}_5(5) \\ \mathcal{B}_6(12) &= \mathcal{B}_5(6) \quad \mathcal{B}_6(15) = \mathcal{B}_5(7) \quad \mathcal{B}_6(13) = \mathcal{B}_5(8) \\ &\vdots \end{split}$$

17

but actually

$$\begin{split} \mathcal{B}_6(0) &= \mathcal{B}_5(0) \quad \mathcal{B}_6(4) = \mathcal{B}_5(1) \quad \mathcal{B}_6(3) = \mathcal{B}_5(2) \\ \mathcal{B}_6(8) &= \mathcal{B}_5(3) \quad \mathcal{B}_6(7) = \mathcal{B}_5(4) \quad \mathcal{B}_6(12) = \mathcal{B}_5(5) \\ \mathcal{B}_6(11) &= \mathcal{B}_5(6) \quad \mathcal{B}_6(16) = \mathcal{B}_5(7) \quad \mathcal{B}_6(15) = \mathcal{B}_5(8) \\ &: \end{split}$$

The six bit segments  $\mathcal{B}_6(4 \cdot i - 1)$  and  $\mathcal{B}_6(4 \cdot i)$  are switched in positions relative to what was predicted from earlier observations for  $1 \leq i \leq 7$ . When  $\mathcal{B}_7$  was examined it also exhibited the same patterns, including the switch, along with one higher level

switch. Presumably,  $\mathcal{B}_8$  shows that same patterns as  $\mathcal{B}_7$  with one further switch and so on.

If this pattern of switching could be understood we would have a method of computing the  $n^{th}$  bit of  $\mathcal{B}_i$  for each bit i in  $f_n$ . This appears promising since the cycle  $\mathcal{B}_i$  can be entered, at most, six bits from the bit we want, and starting with the low order bits we can use addition to compute those missing six bit positions. This method could be applied iteratively to compute each bit in  $f_n$ .

The incentive to investigate this method is that it appears that it may be possible to compute the  $i^{th}$  bit of  $f_n$  in constant time. If this were the case we could compute  $f_n$  in linear time. This is still very speculative and unsuccessful to date.

# Chapter 5

## Conclusions and Future Research

This thesis has presented several algorithms for computing Fibonacci numbers. Table 5.1 lists the algorithms and the number of bit operations the algorithm uses to compute  $f_n$ .

A few of the algorithms developed led naturally to computing  $f_n$  for any positive n, but most algorithms more naturally compute  $f_n$  for  $n = 2^i$ . The fastest algorithm for computing  $f_n$ ,  $n = 2^i$ , the product of factors algorithm, was used to develope a fast algorithm to compute  $f_n$  for any n.

The additive algorithms must add n numbers, each  $\mathcal{N}n$  bits long, using about  $\mathcal{N}n^2$  bit operations. The multiplicative algorithms usually multiply  $\frac{\mathcal{N}n}{2}$  bit numbers to compute the  $n^{th}$  Fibonacci number using about, with our assumption that multiplication uses  $n^2$  bit operations,  $(\mathcal{N}n)^2$  bit operations. Since  $\mathcal{N} < 1$  the multiplicative algorithms will, when n is big enough, be faster than the additive algorithms.

Several versions of the Ziv Lempel compression algorithm and dynamic Huffman code compression algorithm failed to compress the sequence of bits generated by concatenating the binary representation of the Fibonacci numbers. The sequence of bits behaved similarly to the random bits generated using the linear congruential method in three tests of randomness.

Further research needs to be done on the patterns that appear in the  $b^{th}$  bit of  $f_n$ . Understanding of these patters may lead to an improvement in the order of the number of bit operations used to compute  $f_n$ . Another method of computing the Fibonacci numbers that was not discussed here but may lead to a fast algorithm

| Algorithm                    | number of  | $f_n$ for any $n$           |         |     |
|------------------------------|--|-----------------------------|---------|-----|
| Name                         | bit-operations   | or $n=2^i$                  | section | new |
| Natural Recursive            | $\Theta(\lambda_1^n)$  | any n                       | 2.1     | no  |
| Repeated addition            | $\Theta\left(\mathcal{N}\left(rac{n^2}{2}-rac{n}{2} ight) ight)$ | $\overline{\text{any } n}$  | 2.2     | no  |
| Binet's Formula              | $\Theta\left((\mathcal{N}n + \log n)^2\right)$                     | $n=2^i$                     | 2.3     | no  |
| Extending Vorob'ev           | $\Theta\left(\frac{(\mathcal{N}n)^2}{2}\right)$                    | $n=2^i$                     | 2.4     | yes |
| 3 Multiply Matrix            | $\Theta\left((\mathcal{N}n)^2 ight)$                               | $n=2^i$                     | 2.6.1   | no  |
| 2 Multiply Matrix            | $\Theta\left(\frac{2(\mathcal{N}n)^2}{3}\right)$                   | $n=2^i$                     | 2.6.2   | yes |
| Binomial Coefficients        | $O(n^3)$   | any $n$                     | 2.8     | no  |
| Product of Factors           | $\Theta\left(\frac{5(\mathcal{N}n)^2}{12}\right)$                  | $n=2^i$                     | 2.10    | yes |
| Product of Factors           | $\Theta\left(3(\mathcal{N}n)^2 ight)$                              | $\overline{ \text{any } n}$ | 2.10    | yes |
| Gries and Levin $f_n^k$      | $\Theta\left(\frac{k^2(\mathcal{N}n)^2}{3}\right)$                 | $n=2^i$                     | 2.5     | no  |
| Generalized addition $f_n^k$ | $\Theta\left(\mathcal{N}(n^2-n) ight)$                             | any $n$                     | 2.2     | yes |

Table 5.1: Summary of bit operation complexity using  $n^2$  multiply

is computing the number of nodes in a complete AVL tree. It might be possible to recursively remove the top part of the AVL tree, the part that is a binary tree, leaving several smaller AVL trees.

Finally, the applicability of these methods to computing  $f_n^k$  and eventually to more general recurrence relations should be investigated.

# Bibliography

- [1] Aho, A. V., J. E. Hopcroft and J. D. Ullman, "The Design and Analysis of Computer Algorithms," Addison-Wesley, Reading MA; 1974.
- [2] Anderson, P. G., "Fibonaccene," in Fibonacci Numbers and Their Applications, A. N. Philippou et al. (eds.), D. Reidel Publishing Company, Boston; 1986.
- [3] Avriel, M. and D. J. Wilde, "Optimality proof for the symetric fibonacci search technique," in *Fibonacci Quarterly*, 4, 265-269; 1966.
- [4] Beyer, W. H., Ed., "CRC Standard Mathematical Tables", 27<sup>th</sup> edition, CRC Press Inc, Boca Ratan FL; 1984.
- [5] Bird, R. S., "Tabulation techniques for recursive programs," in *Computing Surveys*, 12, 403-413; 1980.
- [6] Brousseau, A., "Relation of zeros to periods in the Fibonacci sequence modulo a prime," in *American Math Monthly*, 71, 897-899; 1964.
- [7] Brousseau, A., "Fibonacci and Related Number Theoretic tables," The Fibonacci Association, San Jose; 1972.
- [8] Capocelli, R. M., and P. Cull, "Generalized Fibonacci numbers are rounded powers," Technical report no. 87-50-1, Oregon State University, Department of Computer Science; 1987.
- [9] Dickson, L. E., "History of the Theory of Numbers," Vol. 1, Carnegie Institution of Washington, Washington D. C., 393 411; 1919.
- <sup>6</sup>[10] Er, M. C., "A fast algorithm for computing order-k Fibonacci numbers," in *The Computer Journal*, 26, 224-227; 1983.
- [11] Er, M. C., "Computing sums of order-k Fibonacci numbers in log time," in Information Processing Letters, 17, 1-5; 1983.
- [12] Fiduccia, C. M., "An efficient formula for linear recurrences," in SIAM Journal of Computing, 14, 106-112; 1985.
- [13] Gerald, C. F., and P. O. Wheatley, "Applied Numberical Analysis," Addison Wesley, Reading MA; 1984.

- [14] Goetgheluck, P., "Computing binomial coefficients," in American Math Monthly, 94, 360-365; 1987.
- [15] Gries, D. and G. Levin, "Computing Fibonacci numbers (and similarly defined functions) in log time," in *Information Processing Letters*, 11, 68-69; 1980.
- [16] Horowitz, E. and S. Sahni, "Fundamentals of Data Structures," Computer science press, Rockville MD; 1982.
- [17] Jean, R. V., "Mathematical Approach to Pattern and Form in Plant Growth," John Wiley and Sons, New York NY; 1984.
- [18] Kiefer, J., "Sequential minimax search for a maximum," in *Proceedings of the American Math Society*, 4, 502-506; 1953.
- [19] Knuth, D. E., "The Art of Computer Programming," Vol. 1, Addison-Wesley, Reading MA; 1973.
- [20] Knuth, D. E., "The Art of Computer Programming," Vol. 2, Addison-Wesley, Reading MA; 1981.
- [21] Lahr, J., "Fibonacci and Lucas numbers and the Morgan-Voyce polynomials in ladder networks and in electric line theory," in *Fibonacci Numbers and Their Applications*, A. N. Philippou et al. (eds.), D. Reidel Publishing Company, Boston; 1986.
- [22] Lewis, T. G. and M. Z. Smith, "Applying Data Structures," Houghton Mifflin, Bostion; 1982.
- [23] Lynch, W. C., The t-Fibonacci numbers and polyphase sorting," in *Fibonacci Quarterly*8, 6-22; 1970.
- [24] Oliver, L. T., and D. J. Wilde, "Symmetric sequential minimax search for a maximum," in *Fibonacci Quarterly*, 169-175; 1964.
- [25] Pettorossi, A., "Derivation of an  $O(k^2 \log n)$  algorithm for computing orderk Fibonacci numbers from the  $O(k^3 \log n)$  matrix multiplication method," in Information Processing Letters, 11, 172-179; 1980.
- [26] Pettorossi, A. and R. M. Burstall, "Deriving very efficient algorithms for evaluatinb linear recurrence relations using the program transformation technique," in *Acta Infomatica*, 18, 181-206; 1982.
- [27] Reingold, E. M. and W. J. Hansen, "Data Structures," Little, Brown and Company; 1983.
- [28] Romano, A., "Applied statistics for science and industry", Allyn and Bacon, Boston; 1977.

- [29] Rosen, K. H., "Elementary Number Theory and Its Applications," pp 60-61, Addison Wesley, Reading MA; 1984.
- [30] Rotkieicz, A., "Problems on the Fibonacci numbers and their generalizations," in Fibonacci Numbers and Their Applications, A. N. Philippou et al. (eds.), D. Reidel Publishing Company; 1986.
- [31] St. John, P. H., "On the asymptotic proportions of zeros and ones in Fibonacci sequences," in *Fibonacci Quarterly*, **22**, 144-145; 1984.
- [32] Shortt J., "An iterative program to calculate Fibonacci Numbers in O(logn) arithmetic operations," in *Information Processing Letters*, 7, 299-303; 1977.
- [33] Urbanek, F. J., "An  $O(\log n)$  algorithm for computing the  $n^{th}$  element of the solution of a difference equation," in *Information Processing Letters*, 11, 66-67; 1980.
  - [34] Vinson J., "The relation of a period modulo to the rank of apparition of m in the Fibonacci sequence," in Fibonacci Quarterly, 2, 37-45; 1963.
  - [35] Vitter, J. S. "Design and analysis of dynamic Huffman codes," in Journal of the Association for Computing Machinery, 34, 825-845; 1987.
  - [36] Vorob'ev, N.N., "Fibonacci Numbers," Pergamon press, New York; 1961.
  - [37] Wall, D. D., "Fibonacci series modulo m," in American Math Monthly,67, 525-532; 1960.
  - [38] Welch, T. A., "A technique for high-performance data compression," in Computer, 17, 8-19; 1984.
  - [39] Witten, I. H., R. M. Neal and J. G. Cleary, "Arithmetic coding for data compression," in *Communications of the ACM*, 30, 520-540; 1987.
  - [40] Ziv, J. and A. Lempel, "On the complexity of finite sequences," in *IEEE Transactions on Information Theory*, IT-22, 75-81; 1976.
  - [41] Ziv, J. and A. Lempel, "A universal algorithm for sequential data compression," in *IEEE Transactions on Information Theory*, IT-23, 337-343; 1977.
  - [42] Ziv, J. and A. Lempel, "Compression of individual sequences via variable-rate coding," in *IEEE Transactions on Information Theory*, **IT-24**, 530-536; 1978.



# Appendix A

## List of Fibonacci Relations

$$f_{n+2} = f_{n+1} + f_n, \ n \ge 0, \ f_0 = 0, \ f_1 = 1$$

$$f_n^k = 2f_{n-1}^k - f_{n-k-1}^k$$

$$f_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} - \frac{1-\sqrt{5}}{2} \right)$$

$$f_n = \left[ \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n + 0.5 \right], \ n \ge 0$$

$$\left( \frac{1}{1} \frac{1}{1} \right) = \left( \frac{f_{n+1}}{f_n} \frac{f_n}{f_{n-1}} \right)$$

$$f_{2n+1} = f_n^2 + f_{n+1}^2$$

$$f_{n+1}(f_{n+1} + 2f_n) = f_{2n+2}$$

$$f_{n+1}(f_{n+1} + 2f_n) = f_{2n}$$

$$f_{n+k} = f_{n-1}f_k + f_nf_{k+1}$$

$$f_{2n} = f_{n+1}^2 - f_{n-1}^2$$

$$f_{2n+1} = f_{n-1}f_{n+1} + f_n(f_{n+1} + f_n)$$

$$G(z) = z/(1 - z - z^2)$$

$$\left( \frac{f_{2n}}{f_n} \right)^2 - 2(-1)^n = \frac{f_{4n}}{f_{2n}}$$

$$f_{2^i+k} = f_{2^{i-1}+k}\beta_i - f_k$$

$$2.21$$

$$\mathcal{N}_n = \log_2 \lambda_1^n = \frac{\log_{\lambda_1} \lambda_1^n}{\log_{\lambda_1} 2} = \frac{n}{\log_{\lambda_1} 2}$$

$$3.1$$

$$|\mathcal{B}_i^k| = (k+1) \cdot 2^n$$

# Appendix B

# **Lisp Functions**

## **B.1** Natural Recursion

## **B.2** Repeated Addition

## B.3 Binet's Formula

### B.4 N. N. Vorob'ev's Methods

```
(defun nnv2-fib (i)
   (cond ((= 0 i) 0)
         ((= 2 i) 1)
         ((oddp i) (add-fib i))
         (t (- (square (nnv2-fib (+ (/ i 2) 1)))
               (square (nnv2-fib (- (/ i 2) 1))))))
(defun nnv3-fib (i)
   (cond ((= 0 i) 0)
         ((not (= 0 (mod i 3))) (add-fib i))
         (t (- (+ (expt (nnv3-fib (+ (/ i 3) 1)) 3)
                  (expt (nnv3-fib (/ i 3)) 3))
               (expt (nnv3-fib (- (/ i 3) 1)) 3)))))
(defun nnv4-fib (i)
 (cond ((eql i 1) '(1 (0 1)))
        (t (let* ((fiba (nnv4-fib (/ i 2)))
                  (fibb (let* ((lst (cadr fiba)))
                               (n (car 1st)
                               (np1 (cadr lst))
                               (nm1 (- np1 n)))
                          (+ (* nm1 np1)
                             (* n
                                (+ np1 n))))))
             (list (* (car fiba) (+ fibb (- fibb (car fiba))))
                   (list (car fiba) fibb))))))
```

#### **B.5** Matrix Methods

#### B.5.1 Three Multiply Matrix Method

```
(defun mat-fib (i)
   (cond ((eq 1 i) '((1 1) (1 0)))
         (t (mat-square (mat-fib (/ i 2))))))
(defun mat-square (mat)
   (prog (n+1 n n-1)
      (setq n+1 (square (car (car mat))))
      (setq n (square (car (cdr mat)))))
      (setq n-1 (square (car (cdr (cdr mat))))))
      (return (list (list (+ n+1 n) (setq temp (- n+1 n-1)))
                    (list temp (+ n n-1))))))
B.5.2 Two Multiply Matrix Method
```

```
(defun mat2-fib (i)
   (cond ((eq 1 i) '((1 1) (1 0)))
         (t (mat2-square (mat2-fib (ash i -1))))))
(defun mat2-square (mat)
   (prog (alpha beta
          (old-alpha (caar mat))
          (old-beta (cadar mat)))
         (setq alpha (* old-alpha
                        (+ old-alpha
                           (ash old-beta 1))))
         (setq beta (* old-beta
                       (- (ash old-alpha 1)
                          old-beta)))
         (return (list (list (setq temp (- alpha beta))
                             beta)
                       (list beta
                             (- temp beta))))))
```

#### Generating Function B.6

```
(defun beta (i)
   (cond ((eq 1 i) '(3))
```

```
(t (prog (temp)
               (setq temp (beta (- i 1)))
               (return (push (- (* (car temp) (car temp)) 2) temp))))))
(defun gf2-fib (i)
   (cond ((= 0 i) 1)
         ((= 1 i) 1)
         (t (apply '* (beta (- i 1))))))
(defun gf4-fib (n) (gf4-fib-help (round (log n 2))))
(defun gf4-fib-help (n m o)
   (let ((b 3)
         (limit (- n 1)))
     (do ((i 1 (+ i 1))) ((>= i limit) m)
       (setf b (- (* b b) 2))
       (setf m (- (* m b) o)))))
(defun anyf (n)
   (let ((a0 3) (s0 0)
         (a1 2) (s1 1)
         (b 3)
         (alpha0-list '(3 1)) (alpha1-list '(2 1))
         (limit (- (integer-length n) 1)))
     (do ((i 2 (+ i 1))) ((>= i limit) t)
       (setf b (- (* b b) 2))
       (push (setf a0 (- (* a0 b) s0)) alpha0-list)
       (push (setf a1 (- (* a1 b) s1)) alpha1-list))
     (anyf-help n
                limit
                (reverse alpha0-list)
                (reverse alpha1-list))))
(defun anyf-help (n q alpha0-list alpha1-list)
 (cond ((eql n 0) 0)
       ((eql n 1) 1)
        ((eql n 2) 1)
        (t (+ (* (nth (- q 1) alpha1-list)
                 (anyf-help (setf n (- n (ash 1 q)))
                            (- (integer-length n) 1)
```

### B.7 Binomial Coefficients

#### B.8 Generalized Fibonacci Numbers

```
(nsubstitute-if elem #'t lst :count 1 :from-end t))
new-bottom
(cdr mat))))
```