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Heterogeneous Reactions of PM-Bound PAHs and NPAHs with NO₃/N₂O₅, OH Radicals, and O₃ under Simulated Long-Range Atmospheric Transport Conditions: Reactivity and Mutagenicity

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HETEROGENEOUS REACTIONS OF PM-BOUND PAHs AND NPAHs WITH NO₃/N₂O₅, OH RADICALS, AND O₃ UNDER SIMULATED LONG-RANGE ATMOSPHERIC TRANSPORT CONDITIONS: REACTIVITY AND MUTAGENICITY

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17 ABSTRACT

18 The heterogeneous reactions of ambient particulate matter (PM)-bound polycyclic aromatic hydrocarbons (PAHs) and nitro-PAHs (NPAHs) with NO₃/N₂O₅, OH radicals, and O₃ 19 were studied in a laboratory photochemical chamber. Ambient PM_{2.5} and PM₁₀ samples were 20 21 collected from Beijing, China and Riverside, California, and exposed under simulated 22 atmospheric long-range transport conditions for O₃ and OH and NO₃ radicals. Changes in the masses of 23 PAHs and 20 NPAHs, as well as the direct and indirect-acting mutagenicity of the 23 24 PM (determined using the Salmonella mutagenicity assay with TA98 strain), were measured prior to and after exposure to NO₃/N₂O₅, OH radicals, and O₃. In general, O₃ exposure resulted 25 in the highest relative degradation of PM-bound PAHs with more than four rings 26 (benzo[a]pyrene was degraded equally well by O₃ and NO₃/N₂O₅). However, NPAHs were most 27 effectively formed during the Beijing PM exposure to NO_3/N_2O_5 . In ambient air 2-28 nitrofluoranthene (2-NF) is formed from gas-phase NO₃ radical- and OH radical-initiated 29

30 reactions of fluoranthene, and 2-nitropyrene (2-NP) is formed from gas-phase OH radicalinitiated reaction of pyrene. There was no formation of 2-NF or 2-NP in any of the 31 heterogeneous exposures, suggesting that gas-phase formation of NPAHs did not play an 32 33 important role during chamber exposures. Exposure of Beijing PM to NO₃/N₂O₅ resulted in an increase in direct-acting mutagenic activity which was associated with the formation of 34 mutagenic NPAHs. No NPAH formation was observed in any of the exposures of the Riverside 35 PM. This was likely due to the accumulation of atmospheric degradation products from gas-36 phase reactions of volatile species onto the surface of PM collected in Riverside prior to 37 38 exposure in the chamber, thus decreasing the availability of PAHs for reaction.

39 Introduction

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Inti ouuction

The long range atmospheric transport of particulate matter (PM)-bound polycyclic 41 aromatic hydrocarbons (PAHs) and nitro-PAHs (NPAHs) to remote sites, including mountains in 42 France,¹ Norway,² Sweden,² Czech Republic,³ and the Canadian Arctic,⁴ as well as trans-Pacific 43 transport to the Olympic Peninsula of Washington,^{5, 6} and to Oregon^{6, 7} has been documented. 44 Once emitted from combustion sources, some PAHs undergo reaction with OH radicals, NO₃ 45 46 radicals, N₂O₅ and O₃, converting the parent PAHs into more polar species, including NPAHs. The transformation of PAHs presumably can occur locally (i.e.near emission sources), and/or 47 enroute to downwind receptor sites. Since some PAH derivatives exhibit higher direct acting 48 mutagenicity than their parent PAHs,^{8,9} human exposure to PAH derivatives, including NPAHs, 49 is of interest and these PAH derivatives have been detected at many sites throughout the world.¹⁰⁻ 50 ¹⁴ Some NPAHs are classified as "probable or possible human carcinogens"¹⁵ and have been 51 identified as major contributors to the overall direct-acting mutagenicity of ambient PM despite 52

53 being present at much lower concentrations than those of their parent PAHs.¹⁶

54 The reactivity of PM-bound PAHs varies, to some extent, with the composition and the microenvironment of the particles.¹⁷⁻²¹ The mineral content, organic and black carbon 55 concentrations, water content, physical state of the organic layer surrounding the core of the 56 particles, and surface coverage of parent PAH may all influence the reactivity of PM-bound 57 PAHs.^{18, 21-25} Various artificial substrates, including silica, graphite, diesel soot, fly-ash, wood 58 smoke and kerosene soot, have been used in laboratory experiments to simulate PM-bound PAH 59 reactions and derive heterogeneous rate coefficients.^{17, 19, 26-30} We recently reported that PAHs 60 with more than 4 rings sorbed to quartz fiber filters are transformed to NPAHs by reaction with 61 NO₃/N₂O₅.³¹ However, few laboratory studies have been conducted on the transformation of 62 PAHs and NPAHs on ambient PM.^{32, 33} which can provide important information needed in 63 extrapolating model studies to ambient conditions. 64

We concluded from our recent study of the formation of NPAH from reactions of 65 ambient particles with NO₃/N₂O₅ that PAH in PM quickly become "deactivated" or unavailable 66 for nitration³³. The evidence included little formation of 1-nitropyrene (1-NP) from NO_3/N_2O_5 67 exposures of daytime ambient PM samples, compared to nighttime samples with similar pyrene 68 69 concentration, and relatively little formation of 1-NP in both daytime and nighttime samples from downwind sites (Riverside and Banning, CA) upon exposure to NO₃/N₂O₅, relative to 70 exposure of a downtown Los Angeles, CA nighttime PM sample³³. We speculated that daytime 71 gas-phase reactions of volatile organic compounds (VOCs) with OH radicals and/or O_3 72 producing products, which then adsorbed onto the PM, were responsible for the apparent 73 "deactivation" of the PM-bound PAHs. In addition, 2-nitrofluoranthene (2-NF), the most 74 abundant NPAH in the unexposed ambient PM samples, was not formed from the heterogeneous 75 exposure of the PM to NO₃/N₂O₅. The lack of heterogeneous formation of 2-NF is consistent 76

with 2-NF being only formed during gas-phase radical-initiated reactions of fluoranthene and
confirms its usefulness as a marker of atmospheric "aging" (ref 33. and references therein).

79 In this earlier work, ambient 24-hr samples from Beijing, China and a Los Angeles nighttime sample were the most reactive samples in terms of 1-NP formation. The objectives of 80 81 our present research were to: (1) confirm the reactivity differences for NO_3/N_2O_5 exposure between samples collected at an urban site, namely Beijing, China, and the downwind site of 82 Riverside, CA. Beijing PM has very high PAH and NPAH concentrations resulting from strong 83 primary emissions¹⁴, while Riverside can be strongly influenced by chemically-aged PM 84 transported from upwind areas in the Los Angeles air basin, in addition to local primary 85 emissions^{10, 33}; (2) extend the study of the reactivity of PM-bound PAHs and NPAHs to include 86 heterogeneous exposures to OH radicals and O_3 ; and (3) examine differences in bacterial 87 mutagenicity of the PM extracts from Beijing prior to and after heterogeneous exposures. The 88 89 PAH and NPAH measurements were carried out using GC/MS and the Salmonella mutagenicity assay was conducted with and without microsomal activation. To simulate long-range 90 atmospheric transport conditions in our environmental chamber, the average concentrations of 91 92 NO₃ radicals, OH radicals and O₃ over the \sim 8 hour chamber exposure periods were \sim 420 ppt, ~0.8 ppt and ~800 ppb, respectively. These concentrations were equivalent to ~7 days exposure 93 to ambient concentrations of NO₃ radicals, OH radicals, and O₃ (see Supporting Information for 94 further discussion), noting that the N_2O_5 concentrations in the chamber NO_3/N_2O_5 exposures 95 were at least two orders of magnitude higher than average ambient concentration.³³ 96

97 Experimental

98 **Chemicals.** The 23 parent PAHs and 20 NPAHs measured (and their abbreviations) are listed in Table 1. Deuterium-labeled PAHs and NPAHs were purchased from CDN Isotopes 99 (Point-Claire, Quebec, Canada) and Cambridge Isotope Laboratories (Andover, MA). The 100 101 isotopically labeled PAH and NPAH surrogates used as recovery controls included d₁₀-fluorene, d₁₀-phenanthrene, d₁₀-pyrene, d₁₂-triphenylene, d₁₂-benzo[a]pyrene, d₁₂-benzo[ghi]perylene, d₇-102 1-nitronaphthalene, d₉-5-nitroacenaphthene, d₉-9-nitroanthracene, d₉-3-nitrofluoranthene, d₉-1-103 nitropyrene and d₁₁-6-nitrochrysene. The labeled PAH and NPAH internal standards included 104 d₁₀-acenaphthene, d₁₀-fluoranthene, d₁₂-benzo[k]fluoranthene, d₉-2-nitrobiphenyl and d₉-2-105 106 nitrofluorene.

107 Sampling.

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Beijing, China. The Beijing sampling site was located on the roof of the 7-story (about 25 meters above ground) Geology Building on the Peking University Campus (PKU).^{14, 34} This site

meters above ground) Geology Building on the Peking University Campus (PKU).^{14, 34} This site 109 is located in Northwestern Beijing and is primarily a residential and commercial area. Dominant 110 111 PAH emission sources near the site include vehicular traffic and fuel combustion for cooking. PM_{2.5} and PM₁₀ were collected on pre-baked (350°C) quartz fiber filters (No.1851-865, Tisch 112 Environmental, Cleves, OH) using a High Volume Cascade Impactor (Series 230, Tisch 113 Environmental, Cleves, OH). PM was collected continuously over 24 h periods, with the sampler 114 being changed over in the late morning. The average flow rate was ~1 m³ min⁻¹. PM₁₀ and PM₂₅ 115 samples were collected from May 2009 to February 2010 and in April 2011, respectively (Table 116 SI.1). 117

Riverside, California. The sampling site and sampling collection have been previously
 described in detail.^{33, 35, 36} Briefly, sampling in Riverside was conducted at a site in the

Agricultural Operations area at the University of California, Riverside, campus, approximately 90 km downwind of Los Angeles^{33, 35}. $PM_{2.5}$ samples were collected during October 1997 using an ultra-high volume particulate sampler, containing four Teflon-impregnated glass fiber (TIGF) filters (each 40.6 cm × 50.8 cm). After collection, the filters were stored at -20 °C. For this study, three 20.3 cm × 25.4 cm portions were cut out from 40.6 cm × 50.8 cm filters (Table SI.1). The average flow rate for each cut-out filter portion was ~1 m³ min⁻¹.

Filter Preparation and Exposures. Beijing PM PAH and NPAH concentrations vary 126 significantly from day-to-day.¹⁴ In order to measure changes in the PAH and NPAH 127 concentrations with and without exposure in the chamber for the chemical study, single 24-h 128 20.3 cm \times 25.4 cm filter samples were cut into six equal portions of 8.5 cm \times 10.2 cm (Figure 129 SI.1). Three 8.5 cm \times 10.2 cm portions were exposed in the chamber and the remaining three 8.5 130 $cm \times 10.2$ cm portions of single 24-h filter samples were used as unexposed controls. In order to 131 measure changes in the mutagenicity of the PM with and without exposure in the chamber for the 132 mutagenicity study, single 24-h 20.3 cm \times 25.4 cm filter samples were cut into four equal 133 portions of 10.2 cm \times 12.7 cm because the Salmonella assay did not adequately measure the 134 135 mutagenicity of the 8.5 cm \times 10.2 cm portions (Figure SI.1). Two 10.2 cm \times 12.7 cm portions of the single 24-h filter samples were exposed in the chamber and the remaining two 10.2 cm \times 136 12.7 cm portions were used as unexposed controls. The PAH and NPAH concentrations of the 137 138 exposed and unexposed 10.2 cm \times 12.7 cm portions used for the mutagenicity study were also measured and directly compared to the results of the Salmonella assay. Overall, six PKU and 139 three Riverside 20.3 cm \times 25.4 cm filter samples were tested in each exposure. 140

141 *Chamber Exposures.* The PM_{2.5} and PM₁₀ filters were exposed to NO₃/N₂O₅, OH radicals, and O_3 in a ~7000 L indoor collapsible Teflon film chamber equipped with two parallel banks of 142 blacklamps (used for the OH radical exposures) and a Teflon-coated fan at room temperature 143 (~296 K) and ~735 Torr pressure.^{31, 33, 36, 37} The filters were placed within the Teflon chamber as 144 shown, for example, in Figure SI.2.^{31, 33} For all exposure experiments, blank, clean filters were 145 also placed in the Teflon chamber to test for background contamination in the chemistry analyses 146 and mutagenicity assays. The details of the NO_3/N_2O_5 , OH radical and O_3 exposures are given in 147 Supporting Information (SI) and have been previously reported.^{31, 33} 148

Sample Extraction and Analysis. Details of the sample extraction and analysis have
 been previously described and are given in SI.¹⁴

Salmonella Mutagenicity Assay. The basic methodology followed that reported by Maron and Ames³⁸ and used *Salmonella typhimurium* strain TA98. The experimental details have been described elsewhere^{14, 31}. The positive control doses were 2 μ g of 2-aminoanthracene (2-AA) and 20 μ g of 4-nitro-1,2-phenylenediamine (NPD) for assays with and without metabolic activation (rat S9 mix), respectively. The negative control (DMSO) dose was 30 μ l. All filter extracts were tested in triplicate.

Based on preliminary studies and the limit of detection in the Salmonella assay, only the Beijing PM samples were tested for mutagenic activity (Table SI.1). Positive controls of NPD and 2-AA gave mean revertant counts of ~3500/plate and ~1000/plate, respectively. The average background revertant count (DMSO) was ~25/plate for both assays. The revertant counts for the control blanks were comparable to the background revertant count, indicating no interference from the purified air in the chamber. It should be noted that different sets of filters were used for the mutagenicity and chemical studies (Figure SI.1) and that the PAH and NPAH concentrations of the PM samples used for the mutagenicity testing were measured and directly compared to the results from the Salmonella assay.

166 **Results and Discussion**

167 Chemical Study

Beijing, China PM. The masses of individual PAHs and NPAHs on each 24-h filter 168 sample cut-out (shown in Figure SI.1) were measured for the exposed filters (PAH_{exposed} and 169 NPAH_{exposed}) and unexposed filters (PAH_{unexposed} and NPAH_{unexposed}). The amount of individual 170 PAH or NPAH degraded (or formed) after exposure to NO₃/N₂O₅, OH radicals, or O₃ was 171 calculated by dividing the exposed mass by the unexposed mass (a ratio close to 1.0 indicates no 172 173 net degradation or formation of a given individual PAH or NPAH after exposure to the various 174 oxidants). The PAH_{exposed}/PAH_{unexposed} ratios and the NPAH_{exposed}/NPAH_{unexposed} ratios for the Beijing PM after exposure to NO₃/N₂O₅, OH radicals, and O₃ are shown in Figures 1A and 1B, 175 respectively. An asterisk indicates a statistically significant difference in mass after exposure to 176 177 the various oxidants (*p*-value < 0.05, according to the paired student's T-test). The means and standard errors of PAH and NPAH masses measured in the Beijing filters before and after 178 exposure to the various oxidants, are given in Table SI.2 to Table SI.4. The 3- to 4-ring PAHs 179 exist in both the gas and particulate phases in the atmosphere at ambient temperatures.³⁹ While it 180 is possible that a portion of the PAH initially sorbed to the PM desorbed from the PM into the 181 gas phase of the chamber during the experiments, it is expected that PAH available for 182 volatilization would have done so in the atmosphere and/or during collection of the PM. 183 Therefore, we believe that volatilization of these compounds from the PM, during the course of 184

the experiments was minimal. The temperature in the chamber was maintained at room temperature (~296 K) and held constant to ± 2 K, no formation of NPAHs due to gas-phase reactions was observed (see below), and the blank filters installed in the reactor at the same time as the PM filter samples had PAH concentrations below the detection limit.

As shown in Figure 1A and in Tables SI.2-SI.4, all of the PAHs underwent some degradation upon exposure to NO_3/N_2O_5 , OH radicals, and O_3 , apart from CHR+TRI exposed to OH radicals. The data denoted by asterisks in Figure 1A identify those PAHs which had statistically significant losses. The highest percent removal was 57% for ANT exposed to NO_3/N_2O_5 , and a number of other PAHs (including PYR, BcFLU, BaA and BaP), had up to ~50% removal from one or more of the exposures (Figure 1A).

195 Noteworthy is the observation that none of the PAHs were completely removed from exposures to NO_3/N_2O_5 , OH radicals, or O_3 . Our data from the O_3 exposure are consistent with a 196 previous study where ambient PM was exposed to ~ 4 ppm O₃ for 60 min,³² with the PYR and 197 BaA concentrations being observed to plateau at ~70% of their initial concentrations following 198 exposure to O_3^{32} In contrast, a study examining what can be considered "fully available" PYR 199 200 (coated on silica particles) found that the PYR reacted completely, within 10 min, after exposure to ~1.3 ppm of O_3 in a flow reactor.²⁶ There have now been a number of studies^{26,32,33} that 201 indicate that the reactivity of particle-bound PAHs is substrate-specific and can be inhibited by 202 the decreased availability of these PAHs in the ambient PM. 203

Of the PAHs containing more than 4 rings measured on the Beijing PM, BaP exhibited the greatest relative degradation upon exposure to NO_3/N_2O_5 , OH radicals and O_3 (Figure 1A). This is consistent with the high previously reported photochemical reactivity of BaP, ^{28, 40-43} and a study of ambient PM collected in Paris, France, which identified BaP as the most reactive PAH toward O_3 , OH radicals, and NO_2/O_3 .³²

Figure 1B shows the NPAH_{exposed} /NPAH_{unexposed} ratios for the Beijing PM samples. The 209 means and standard errors of NPAH masses measured on the Beijing PM samples, before and 210 211 after exposure to the various oxidants, are given in Table SI.2-Table SI.4. 2-NF and 3-NF were chromatographically separated and quantified using a 30 m DB-17 GC column in all Beijing PM 212 samples that were exposed to NO₃/N₂O₅, as well as in one-third of the Beijing PM samples that 213 were exposed to OH radicals and O_3 . The 3-NF concentrations in the Beijing PM samples, both 214 before and after exposure to OH radicals and O_3 , were below the detection limit, suggesting no 215 marked increase in concentration upon exposure. In all of the Beijing PM exposures, there was 216 217 no significant increase in the masses of 2-NF and 2-NP, which are products of gas-phase radicalinitiated reactions.⁴⁴ This provides further evidence that there was no significant volatilization 218 219 of 4-ring PAHs from the ambient PM into the gas-phase in the chamber, and implies that the increase in the mass of other nitro-PAHs during the exposure experiments was likely due to 220 heterogeneous formation. 221

A number of the NPAHs were formed to a statistically significant degree during the NO₃/N₂O₅ exposure, with the NPAH_{exposed}/NPAH_{unexposed} ratios reaching 49 for 6-NCH (Figure 1B and Table SI.2). While 1-NP and 6-NBaP were formed from both the NO₃/N₂O₅ and OH radical exposures, 9-NPH, 3-NF, 7-NBaA, 1-NTR, 6-NCH, 2-NTR and 1,8-DNP were only formed during the NO₃/N₂O₅ exposure (Figure 1B). Our measured formation of 3-NF and 1-NP is consistent with results from previous studies of the reaction of FLA and PYR adsorbed on TIGF filters with gaseous N₂O₅.^{33, 41} These previous studies also showed that the major NPAH 229 isomers formed from the heterogeneous reactions of FLA and PYR with N_2O_5 (3-, 8-, 7-, and 1-NF and 1-NP) are distinct from the 2-NF and 2-NP formed from gas-phase reactions of NO₃ 230 radicals with FLA and PYR. While 2-NP is formed in ambient air from OH radical-initiated 231 reaction of PYR, it is only formed from NO₃ radical-initiated reaction at NO₂ concentrations 232 much higher than observed in ambient.^{36, 41} The major NPAH isomers formed by heterogeneous 233 nitration have the NO₂ group added to the highest electron density position.³¹ The radical-234 initiated reactions of FLA and PYR are expected to follow a different mechanism where the OH 235 or NO₃ radical attacks FLA or PYR at the highest electron density position, followed by NO₂ 236 addition at the ortho position and subsequent loss of H₂O or HNO₃, yielding 2-NF and 2-NP as 237 the major NPAH products. 33,36,44,45 In addition, the N₂O₅ concentration and the N₂O₅/NO₂ ratio in 238 the photochemical chamber during our NO_3/N_2O_5 exposure experiments were at least two orders 239 of magnitude higher than the average ambient concentration³³, suggesting a higher potential for 240 heterogeneous reactions with N₂O₅. 241

The heterogeneous and gas-phase reactions of TRI were previously reported to both form 1- and 2-NTR,³⁶ and in this study, 1-NTR and 2-NTR were formed in equal amounts upon exposure to NO_3/N_2O_5 (Figure 1B). Our measured formation of 7-NBaA, 6-NCH and 6-NBaP (Figure 1B) was in agreement with previous results showing their formation when PAHs associated with diesel soot were reacted with N_2O_5 .¹⁹

Ratios of 1-NP_{exposed}/1-NP_{unexposed} (mean of 4.8) and 6-NBaP_{exposed}/6-NBaP_{unexposed} (mean of 6.5) were measured when the Beijing PM was exposed to OH radicals (Figure 1B). Nitration of PYR by the radical-initiated reaction discussed above would be expected to lead to 2-NP. Therefore, the formation of 1-NP and 6-NBaP is attributed to heterogeneous nitration of parent

PAHs by HNO₃/NO₂.³⁶ During the OH radical exposure experiments, NO₂ was formed from the 251 reaction of HO₂ and organic peroxy radicals with NO after the photolysis of methyl nitrite (the 252 OH radical precursor) and reaction of NO_2 with OH radicals leads to formation of HNO_3 . In 253 254 contrast to 1-NP and 6-NBaP, 3-NPH and 2+3-NF, and especially 9-NAN and 7-NBaA, were degraded on the Beijing PM during the OH radical exposure (Figure 1B). Because these same 255 NPAHs were not as significantly degraded during the dark exposure with O₃ (Figure 1B), it is 256 possible that the degradation observed during the OH radical exposure could be due, in part, to 257 direct photolysis. Previously, Pitts et al.⁴⁶ observed the direct photolysis of 9-NAN adsorbed on 258 silica gel and identified quinones as degradation products. In another study, the direct photolysis 259 of 7-NBaA resulted in increased direct-acting mutagenicity to TA98 in the Salmonella assay with 260 increasing irradiation time.⁴⁷ The orientation of the nitro group has been related to the 261 photochemical stability of NPAH⁴⁸ and both 9-NAN and 7-NBaA have nitro group orientations 262 that are out of the aromatic plane, reducing the steric effects exerted by two peri-hydrogens. This 263 structure makes these PAHs less photochemically stable and may explain their significant 264 degradation during the OH radical exposure (Figure 1B).⁴⁸ Although 6-NBaP also has a structure 265 where the nitro group is out of the aromatic plane and is therefore expected to be photolabile, 266 there was still a net formation after exposure of Beijing PM to OH radicals (mean NBaPexposed 267 $/NBaP_{unexposed} = 6.5$) (Figure 1B). As expected, exposure of the Beijing PM to O₃ did not lead to 268 significant NPAH formation (Figure 1B and Table SI.4) and, except for the degradation of 7-269 NBaA, the NPAHs sorbed to Beijing PM were not significantly degraded during O₃ exposure 270 (Figure 1B). 271

272 *Riverside, California PM.* The PAH_{exposed}/PAH_{unexposed} ratios and the
 273 NPAH_{exposed}/NPAH_{unexposed} ratios for the Riverside PM samples after exposure to NO₃/N₂O₅, OH

274 radicals, and O₃ are shown in Figures SI.3A and SI.3B, respectively. The means and standard errors of PAH and NPAH masses measured in the Riverside filters, before and after exposure to 275 the various oxidants, are given in Table SI.5 to Table SI.7. Compared to the Beijing PM samples, 276 a smaller number of individual PAH and NPAH in the Riverside PM samples were present at 277 concentrations above their detection limits. PAH_{exposed}/PAH_{unexposed} ratios slightly above 1.0 and 278 statistically significant (p-value < 0.05) were measured for 2-MPHE, 1-MPHE, FLA, PYR, and 279 CHR+TRI upon exposure of Riverside PM to OH radicals, and similarly for PHE upon exposure 280 to NO_3/N_2O_5 (ratio = 2.9) and OH radicals (ratio = 3.3) (Figure SI.3A). However, these small 281 282 changes in mass (Table SI.5 and SI.6) are attributed to experimental uncertainty (for example, possible inhomogeneity in the particles across the filter), since PAH formation in these 283 experiments was not possible. Compared to the Beijing PM samples, the higher-ring PAHs in 284 285 the Riverside PM samples were more resistant to degradation during the NO_3/N_2O_5 and OH radical exposures (Figures 1A and SI.3A). Exposure of the Riverside PM samples to O₃ did not 286 result in significant degradation of PAHs, including the higher-ring PAHs (Figure SI.3A). 287 Consistent with the absence of significant PAH degradation on the Riverside PM, there was no 288 significant formation of NPAHs in any of the Riverside PM exposures, including the NO₃/N₂O₅ 289 exposure (Figure SI.3B). A small, but statistically significant (p-value < 0.05), reduction in mass 290 was measured for 2+3-NF after exposure to OH radicals and for 3-NPH, 2+3-NF and 1-NP after 291 exposure to O_3 (Figure SI.3B). Although artifacts during filter collection may occur^{49, 50}, it has 292 previously been shown that 2-NF is not formed during collection and the artifactual formation of 293 1-NP is minimal⁵¹, therefore, heterogeneous formation of these NPAH can be examined using 294 PM-bound PAHs on filters. Furthermore our recent study utilizing 16 samples collected during a 295 296 1997 photochemical pollution episode showed an excellent correlation between the naphthalene

and other volatile PAHs analyzed immediately after collection and PAH measured on the archived filters from this study.³³ For example (see Figure S10 in reference 33), the highest naphthalene, BghiP, and BeP concentrations occurred in the morning (6-12 hr) and evening (18-06 hr) Los Angeles samples and the concentrations decreased monotonically at the increasingly downwind sites of Azusa, Riverside and Banning.

Reactivity for NPAH Formation. Because 1-NP has been consistently reported to form from heterogeneous reactions of PYR adsorbed to a surface or particles,^{33, 52} we used 1-NP formation as a measure of the reactivity of PM to NPAH formation. The percent reactivity of each of the Beijing PM samples was calculated as:

306 % Reactivity =
$$\frac{\Delta[1-NP]}{[PYR]_0} \times 100$$
 (1)

where Δ [1-NP] = ([1-NP]_{exposed} - [1-NP]_{unexposed}) and [PYR]₀ = [PYR]_{unexposed}.³³ We also 307 calculated the [2-NF]/[BeP] ratio of the unexposed PM samples to indicate the degree to which 308 the PM had undergone atmospheric processing (or aging) in the atmosphere prior to collection. 309 2-NF is formed from the gas-phase reaction of FLA with OH and NO₃ radicals in the presence of 310 NO₂,^{33, 44} while BeP is a relatively stable PAH.⁵³ A larger [2-NF]/[BeP] ratio therefore indicates 311 312 a greater contribution of photochemical atmospheric aging in comparison to direct emissions. The relationship between the reactivity toward nitration upon NO_3/N_2O_5 exposure and the degree 313 of aging has been previously discussed in Zimmermann et al.³³ 314

A plot of the reactivity versus [2-NF]/[BeP] is shown in Figure SI.4 for the present study, and the reactivity decreases monotonically as the atmospheric aging of the PM increases, as measured by the [2-NF]/[BeP] ratio. Beijing PM samples with lower reactivity had higher [2NF]/[BeP] ratios, suggesting that the PAHs sorbed to Beijing PM that had undergone more aging in the atmosphere were less available for reaction with NO_3/N_2O_5 . The lack of reactivity of the Riverside PM samples has been explained by a higher extent of aging in the atmosphere prior to sample collection.³³

322 Although the Riverside samples were archived filters, we found a similar lack of reactivity to NO_3/N_2O_5 for Riverside samples collected in 2012 with minimal storage times (< 4 323 days), and we also encountered a reactive Riverside nighttime sample (presumably with local 324 PAH emissions and little aging, based on its 2-NF/BeP ratio)³³. Additionally, a set of 16 archived 325 daytime/nighttime PM samples, collected from four sites along the Los Angeles air basin during 326 a photochemical pollution episode, showed a range of reactivities, with the nighttime urban LA 327 sample having the highest reactivity.³³ Therefore, we have no evidence that cold-storage of the 328 PM on filters affects the PAH reactivity toward heterogeneous nitration. 329

330

Mutagenicity Study

Direct-Acting Mutagenicity. Most NPAHs are known to be direct-acting mutagens, 331 requiring no exogenous bioactivation to convert them into the active form.⁵⁴ The direct-acting 332 mutagenic activity (without addition of S9) of the paired Beijing PM samples (with and without 333 exposure to NO₃/N₂O₅, OH radical, and O₃) is shown in Figure 2. Figure SI.5 shows the 334 PAH_{exposed}/PAH_{unexposed} ratios and the NPAH_{exposed}/NPAH_{unexposed} ratios for the Beijing PM used in 335 the mutagenicity assay after exposure to NO_3/N_2O_5 , OH radicals, and O_3 . In general, the results 336 were comparable to the Beijing PM used in the chemical study in that there was significant 337 formation of NPAHs upon exposure of the Beijing PM-bound PAHs to NO₃/N₂O₅ (Figures 1B 338 and SI.5B). 339

Lower direct-acting mutagenic activity was measured in the two unexposed Beijing 340 extracts with lower NPAH concentrations (PKU-3 and PKU-4), compared to the unexposed 341 Beijing PM extract with higher NPAH concentrations (PKU-5) (Figure 2 and Table SI.8). This is 342 343 consistent with a previous study from our laboratory that showed significant daily variation in the direct-acting mutagenicity, and in the corresponding NPAH concentrations, of Beijing PM.¹⁴ 344 After NO₃/N₂O₅ exposure, the direct-acting mutagenicity of the Beijing PM increased 2- to 26-345 fold (Figure 2). Of the Beijing PM samples exposed to NO₃/N₂O₅, the largest increase in direct-346 acting mutagenicity (26-fold) was measured in sample PKU-4, which also had the largest 347 increases in 1,3-, 1,6-, and 1,8-DNP masses after exposure to NO₃/N₂O₅ (Table SI.8). Among 348 these DNPs, the greatest percent-increase in mass was for 1,8-DNP (10.2 ng) (Table SI.8). The 349 1,8-DNP concentrations measured in the other two mutagenicity study samples exposed to 350 NO₃/N₂O₅ (PKU-3 and PKU-5) were either below the detection limit (PKU-3) or three times less 351 than that measured in the PKU-4 sample (PKU-5). In previous mutagenicity assay studies, 352 DNPs, especially 1,8-DNP, were found to be powerful direct-acting mutagens⁵⁵. Small amounts 353 354 of DNPs have been shown to contribute significantly to the total direct-acting mutagenicity of diesel particles.¹⁶ 355

For the OH radical exposure in the direct-acting mutagenicity study, two of the Beijing PM samples had no 1-NP formation (and hence zero reactivity according to Equ 1) (PKU-8 and PKU-9) and one sample had only 4.1% reactivity (PKU-7) (Figure 2 and Table SI.9). Overall, the increase in direct-acting mutagenicity after OH radical exposure (mean of 1.7-fold) was lower than the increase in direct-acting mutagenicity after NO₃/N₂O₅ exposure (mean of 11-fold). The NPAH_{exposed} /NPAH_{unexposed} profiles, from both the mutagenicity and chemical studies, showed the formation of 1-NP and 6-NBaP from OH radical exposure (Figure 1B and Figure 363 SI.5B). Given the significant 6-NBaP formation in all of the Beijing PM samples from exposure to OH radicals, resulting from the formation of HNO₃ in the chamber (Figure 1B and Figure 364 SI.5B), and the minimal corresponding increase in direct-acting mutagenicity of these same 365 samples, 6-NBaP does not appear to contribute significantly to the overall direct-acting 366 mutagenicity of the Beijing PM. This is consistent with the structure of 6-NBaP which has a 367 NO₂ group nearly perpendicular to the aromatic ring that does not allow for favorable nitro-368 reduction⁵⁵. However, an increase in direct-acting mutagenicity and a decrease in total NPAH 369 mass, as well as a decrease in mutagenic 1-NP mass, was observed after PKU-8 and PKU-9 were 370 exposed to OH radicals (Figure 2). This suggests that other mutagenic degradation products, not 371 measured in this study or below the detection limit of our analytical method, may have 372 contributed to the enhanced direct-acting mutagenicity of the Beijing PM after exposure to OH 373 radicals. 374

For the Beijing PM samples, Figure SI.5B shows that 9-NAN, 3-NPH, 2+3-NF, 1-NP and 2-NP were degraded significantly during the O_3 exposure in the mutagenicity study, while only 7-NBaA was significantly degraded during the O_3 exposure in the chemical study (Figure 1B and Figure SI.5B). Only PKU-14 showed a significant decrease in direct-acting mutagenicity of the Beijing PM after exposure to O_3 , which is consistent with the corresponding decrease in the total NPAH mass (Figure 2 and Table SI.10).

381 *Indirect-Acting Mutagenicity*. Some parent PAHs and NPAHs, including 6-NBaP and 1-382 nitrocoronene, contribute to the indirect-acting mutagenicity of PM.⁵⁶ On average, there was a 383 ~7-fold and ~1.4-fold increase in the indirect-acting mutagenicity of the Beijing PM after 384 exposure to NO_3/N_2O_5 and OH radicals, respectively (Figure 2). Because most parent PAHs were 385 degraded after exposure to NO_3/N_2O_5 and OH radicals (Figure 2, Table SI.8 and SI.9), it is 386 possible that the NPAHs formed contributed to the increase in indirect-acting mutagenicity. Increased indirect-acting mutagenicity after parent PAH exposure to NO₃/N₂O₅ and OH radicals 387 was observed in our previous studies³¹. Kamens et al⁸ found that the exposure of wood soot to 388 NO₂ and O₃ resulted in an increase in both direct- and indirect-acting mutagenicity of the NPAH 389 fraction. In the same study, the most polar fraction made the largest contribution to the total 390 391 indirect-acting mutagenicity. This suggests that other, more polar, transformation products may contribute significantly to the indirect-acting mutagenicity of the extracts. Moreover, the high 392 molecular weight PAHs (MW 302), including dibenzo[a,l]pyrene which is 30 times more toxic 393 than BaP.⁵⁷ may also play a significant role in the indirect-acting mutagenicity and were shown 394 to be a significant contributor to the inhalation cancer risk in Beijing air.⁵⁸ The results of our 395 previous study on the heterogeneous nitration of dibenzo[a,l]pyrene adsorbed on filters showed 396 that the indirect-acting mutagenicity increased 2.5-fold after the NO_3/N_2O_5 exposure and that 6-397 nitrodibenzo[a,l]pvrene was the only nitro product identified.³¹ 398

For the O_3 exposure, the PAH_{exposed}/PAH_{unexposed} profiles of Beijing PM samples were comparable in the mutagenicity and chemical studies (Figure 1A and Figure SI.5A). The reduction in the mutagenicity (33%) of the two Beijing samples exposed to O_3 (PKU-12 and PKU-14) may be associated with the degradation of the total parent PAH and NPAH masses (Figure 2). However, there was no significant change in the indirect-acting mutagenicity in PKU-13 when total PAH and NPAH masses decreased (Figure 2).

405 Atmospheric Implications

Our present and previous data³³ suggest that the Riverside PM had undergone more aging 406 prior to collection, and was less reactive than, the Beijing PM. The decreased reactivity of the 407 Riverside PM, compared to the Beijing PM, may be because the Riverside sampling site is 408 409 located downwind of Los Angeles and receives photochemically "aged" air masses from this major urban source region.³³ Moreover, we previously observed that a night-time PM sample 410 collected from downtown Los Angeles had a similar reactivity and [2-NF]/[BeP] ratio as some of 411 the Beijing PM samples.³³ In contrast to Riverside (but like downtown Los Angeles at night), the 412 Beijing sampling site is located within a major urban source region and appears to receive air 413 masses that are not as photochemically aged and more influenced by direct emissions. One can 414 therefore conclude that aged particles exhibit decreased reactivity, most probably due to a lower 415 availability of the PAHs for reaction and due at least in part to the accumulation of atmospheric 416 reaction products on the surface of ambient PM. Based on the observed decrease in reactivity of 417 PM collected along an upwind-downwind trajectory in the Los Angeles air basin,³³ it appears 418 that, at least in that air basin at that time, photochemical aging occurred rapidly, on a time-scale 419 420 of a few hours during daytime, and that little or no additional heterogeneous reactions would 421 occur for additional atmospheric transport times.

The extent of PAH transformation observed in this study may be limited by the multilayer coverage of the ambient PM on the filters. In reality, the transformation of PAHs on PM may be more significant in the atmosphere, where the PAHs are present on individual particles in the atmosphere rather than on PM layered onto filters. However, the resistance of the Riverside PM samples to chemical reaction suggests that secondary pollutant formation plays an important role in making PM-bound PAHs less available for chemical reactions. Overall, our present and previous³³ results suggest that heterogeneous PAH degradation and concurrent NPAH formation 429 on ambient PM occurs mainly, or only, for particles with minimal photochemical aging, and that

430 photochemically-aged particles are non-reactive towards heterogeneous reactions of PAHs.

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615

616 T	Table 1. List of	parent PAHs and NPAHs	(and their abbreviations)	measured in this stud	y.
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617	Figure 1.	A. PAH _{expose}	d/PAH _{unexposed}	and B. N	PAH _{exposed}	/NPAH _{unexposed}	of Beijing	PM filters (n=9 fe	r
61/	Figure 1.	A. PAH _{expose}	d/PAH _{unexposed}	and B. N	PAH _{exposed}	/NPAH _{unexposed}	of Beijing	PM filters (n=9 f	Э

 NO_3/N_2O_5 and OH radical exposures, and n=8 for O_3 exposure) used for the chemical study. An asterisk

- 619 denotes the statistically significant difference between the unexposed and exposed masses. (N.D. = Not
- 620 detected)
- **Figure 2.** Direct-acting and indirect-acting mutagen densities (rev/m³) of exposed and unexposed Beijing
- 622 PM extracts, and the associated total PAH and NPAH concentrations (pg/m^3) .
- 623
- 624
- 625

#	Compound	Abbreviation	#	Compound	Abbreviation
PAHs ¹			NPA	Hs ²	
1	fluorene	FLU	1	2-nitrofluorene	2-NFL
2	phenanthrene	PHE	2	9-nitroanthracene	9-NAN
3	anthracene	ANT	3	9-nitrophenanthrene	9-NPH
4	2-methylphenanthrene	2-MPHE	4	2-nitrodibenzothiophene	2-NDBT
5	2-methylanthracene	2-MANT	5	3-nitrophenanthrene	3-NPH
6	1-methylphenanthrene	1-MPHE	6	2-nitroanthracene	2-NAN
7	3,6-dimethylphenanthrene	3,6-DPHE	7	2-nitrofluoranthene	2-NF
8	dibenzothiophene	DBT	8	3-nitrofluoranthene	3-NF
9	fluoranthene	FLA	9	1-nitropyrene	1-NP
10	pyrene	PYR	10	2-nitropyrene	2-NP
11	retene	RET	11	7-nitrobenz(a)anthracene	7-NBaA
12	Benzo[c]fluorene	BcFLU	12	1-nitrotriphenylene	1-NTR
13	1-methylpyrene	1-MPYR	13	2,8-dinitrodibenzothiophene	2,8-DNDBT
14	benz[a]anthracene	BaA	14	6-nitrochrysene	6-NCH
15	chrysene + triphenylene	CHR+TRI	15	3-nitrobenzanthrone	3-NBENZ
16	6-methylchrysene	6-MCHR	16	2-nitrotriphenylene	2-NTR
17	benzo(b)fluoranthene	BbF	17	1,3-dinitropyrene	1,3-DNP
18	benzo(k)fluoranthene	BkF	18	1,6-dinitropyrene	1,6-DNP
19	benzo[e]pyrene	BeP	19	1,8-dinitropyrene	1,8-DNP
20	benzo[a]pyrene	BaP	20	6-nitrobenzo[a]pyrene	6-NBaP
21	indeno[1,2,3-cd]pyrene	IcdP			
22	dibenz[a,h]+(a,c)anthracene	DahA+DacA			
23	benzo[ghi]perylene	BghiP			

626

627 ¹Purchased from AccuStandard (New Haven, CT) and Chem Service (West Chester, PA)

628 ²Purchased from Chiron AS (Norway), AccuStandard (New Haven, CT), Chem Service (West Chester, PA) and

629 Sigma-Aldrich Corp. Cambridge Isotope Laboratories (Andover, MA)

630





661	TOC Art:
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