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# no. 124 standing the **Plant Variety Protection Law**

Oregon State University Extension Service (Special Report)724 Application Consequent Station, February 1985 "UNAUTHORIZED PROPAGATION PROHIBITED" "TO BE SOLD BY VARIETY NAME ONLY "TO BE SOLD BY VARIETY NAME ONLY
OF CERTIFIED

AS A CLASS VARIETY"

AS PROTECTED VARIETY"

U.S. PROTECTED "UNAUTHORIZED PROPAGATION PROHIBITED" "TO BE SOLD BY VARIETY NAME ONLY "TO BE SOLD BY VARIETY NAME ONLY

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U.S. PROTECTED

UNDERSTANDING THE PLANT VARIETY PROTECTION LAW by Don Brewer<sup>1</sup>

Since its inception in 1970, a plant variety protection program of the U.S. Department of Agriculture (USDA) has extended marketing protection to those who develop new and distinctive seed-produced plants--from farm crops to flowers. Administered by the USDA's Agricultural Marketing Service (AMS), the "Plant Protection Act" has:

- --Encouraged the development of novel varieties of sexually reproduced plants;
- --Protected the work of plant developers, breeders and/or discoverers.

Before 1971, the plant patent office issued patents only on asexually reproduced plants such as roses, bluegrass, apple trees, and the like. Today, USDA grants an applicant a certificate of protection after an examination has determined that a new variety is novel, uniform and stable.

#### Provisions of the Act

- \*Once a protection certificate is granted, its owner or assignees have a right for the next 18 years to exclude others from selling the protected variety.
- \*If the owner elects, the certificate may also specify that seed of the protected variety may be sold <u>only</u> by variety name as a class of certified seed.

There are two major requirements for handling seed of a protected variety. First, the owner must notify the public that the variety is protected. Thus, in the marketplace, containers of protected seed must bear a statement: "Unauthorized Propagation Prohibited--U.S. Protected Variety." If the public users have not been so notified, then the owner of the variety certainly will have no claim of infringement. Second, when applying for protection, the owner must decide whether to elect the "Certification Option."

If the owner elects to use the certification option, then the protected variety may not be sold legally by variety name unless it is certified by (an official seed certification agency). If the owner has elected to use the certification option, this will mean that the sale of seed will come under the purview of Title V of the Federal Seed Act. If the owner elects not to use the certification option, seed of that variety may be sold by variety name, whether or not it is certified, but only with the owner's permission.

(Appendix 1 - has extracts from the Plant Variety Protection Act and The Federal Seed Act.)

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## Protection Against Infringement and Other Conditions

Infringements of a protected variety may be settled differently, depending on whether the owner elected the certification option. If the owner did not elect the certification option, violations are settled in civil court at the initiation of the certificate holder. A court may issue an injunction against further infringements, and it may award damages in compensation for losses. The cost of such civil action is borne by the certificate holder.

If the owner of a variety elected the certification option, then the owner may turn to the administrator of the Federal Seed Act for help in infringement cases where a variety is being sold by name but not certified for genetic purity. The enforcement of Title V now rests with the Federal Seed Act and no longer involves the Plant Variety Protection office unless the owner chooses to go to civil court. Thus, the expense of enforcement is not that of the certificate holder. Many state seed laws are being changed to assist in monitoring violations that may arise from selling uncertified seed.

If seed of a protected variety fails the certification requirements and the owner has chosen the Title V route for a particular variety, the seed still may be marketed; however, it must be labeled as "variety not known" or "variety not stated."

In many states the "State Seed Law" requires that the variety name be stated regardless of whether the seed is certified or not. Thus, the number of states where this so-called uncertified seed can be sold limits the sales. Because selling seed in this manner is unsatisfactory to many private owners, presently few such owners are electing the certification option.

Public institutions, both foreign and U.S., are obtaining plant variety certificates on the varieties they have developed. As of March 1983, 40 owners had obtained 139 certificates or 12% of the 1,117 certificates of protection granted to this point. The percentage of these 139 certificates that take advantage of Title V is not known. However, by examining the list of varieties at the end of this document, it is known that the majority of these are from public institutions. This should not be too surprising as varieties being released from state agricultural experiment stations have traditionally been placed under seed certification programs.

# Public Varieties and Plant Variety Protection

Although inventors have enjoyed protection provided by the Patent Act since 1790, that same protection was not available to individuals who developed sexually reproduced plants. Assigning rights legally offers the originator an opportunity to collect royalties as reimbursement for the effort involved in developing the variety. Protecting and assigning rights has other benefits, however, when the variety is publicly developed.

Although plant varieties developed by a public institution may logically be assumed to be property of the public, the public then does have the right

to ask why these varieties must be protected and awarded to private individuals. The answer lies in the fact that before they can become available to the ultimate users, substantial investments are needed to expand and distribute these varieties. The public institutions that develop new varieties are not manufacturing plants or distribution centers; for those functions, public institutions turn to private industry. Private enterprise, however, is not interested in going to the expense of developing such a variety unless it can be assured that the variety—and, hence, its investment—is protected.

Many potentially useful discoveries languish on library shelves and in patent files today because they cannot be protected by a guarantee of exclusive license for a limited period. In Oregon there have been grass and legume varieties that required further financial investment before they could be made available for public use. In one case, a legume variety was not protected by a guarantee of exclusive license; as a result, it has never seen development or been put into widespread use. The public benefitted not at all, and the potential of this variety was never realized.

To be sure, there are many innovative ways of releasing varieties. However, it would seem that if there is any value and logic in the Plant Variety Protection Program, regardless of how or to whom a new variety is being released, it should be placed under the protection program. For example, if a new alfalfa were being released by a public institution and a group of growers banded together to grow and market this variety, it would seem wise and prudent to place the new variety under Title V of the Plant Variety Protection Act. If one believes that the U.S. patent system has any value at all, one has to picture the Plant Variety Protection program in the same content.

# Blending Seed of Protected Varieties

The Federal Seed Act provides for the blending of protected varieties while still maintaining their names. However, these protected varieties must have the approval of the owner before they can be used in blends or mixtures. Protected varieties for which the owner has elected the certification option may be used in mixtures only if they have passed certification standards and with the owner's permission. This provision under the act is not meant to be a method of circumventing the certification provision of Title V of the Federal Seed Act.

### For Further Information

Many varieties now being grown in Oregon have received or soon will receive protection certificates. Varieties protected when this publication was prepared are listed in appendix 2. You may request information about varieties protected since the date of this publication by writing:

Plant Variety Protection Office Livestock, Poultry, Grain & Seed Division (AMS) U.S. Department of Agriculture National Agricultural Library Bldg. Rm 500 Beltsville, MD 20705

Appendix 1 - Extracts from the Plant Variety Protection Act and The Federal Seed Act

### The Plant Variety Protection Act:

Every certificate of plant variety protection shall certify that the breeder (or his successor in interest) or his heirs or assignees, has the right, during the term of the plant variety protection, to exclude others from selling the variety, or offering it for sale, or reproducing it, or importing it, or using it in producing (as distinguished from developing) a hybrid or different variety therefrom, to the extent provided by this Act. If the owner so elects, the certificate shall also specify that in the United States, seed of the variety shall be sold by variety name only as a class of certified seed and, if specified, shall also conform to the number of generations designated by the owner.

<u>Title V, of The Federal Seed Act</u> - Sale of Uncertified Seed of Protected Variety, Sec. 501, states:

It shall be unlawful, in the United States or in interstate or foreign commerce to sell by variety name seed not certified by an official seed certifying agency when it is a variety for which a certificate of plant variety protection under the Plant Variety Protection Act specifies sale only as a class of certified seed: provided, that seed from a certified lot may be labeled as to variety name when used in a <u>mixture</u> by, or with approval of, the owner of the variety.

# Appendix 2.--Title V varieties (those listed under the certification option

Title V as of September 30, 1983)

|                               | ·                           |                                 |
|-------------------------------|-----------------------------|---------------------------------|
| <u>Alfalfa</u>                | Bluegrass, Rough            | Foxtail, Creeping               |
| Baker<br>Honeoye              | Po-Lis                      | Retain                          |
| Magnum<br>Multileaf           | Canarygrass, Reed           | <u>Oat</u>                      |
| Oneida<br>Riley               | Vantage                     | Allen<br>Benson                 |
| Saranac AR<br>Victoria        | Clover, Red                 | Brooks<br>Coker 227             |
| Barley                        | Kenstar<br>Redland          | Coker 716<br>Dal<br>Firecracker |
| Bold<br>Georgie<br>Gus        | Ruby<br>Tristan             | Four twenty two<br>Goodland     |
| Kombar<br>Lud                 | Clover, White               | 7630<br>Big Mac<br>Mesquite     |
| Maris Mink<br>Menuet          | Star                        | Noble<br>Southern States 76-30  |
| Maravian 111<br>Paoli<br>Pike | Fescue, Chewings            | Stout<br>Wright                 |
| Reliance<br>Summit            | Banner<br>Jade<br>Jamestown | Orchardgrass                    |
| Wapana<br>Washonupana         | Fescue, Hard                | Dolcea                          |
| Bentgrass                     | Silvana                     | Rye                             |
| Penneagle                     | Fescue, Meadow              | Wheeler                         |
| Bluegrass, Glaucantha         | Beaumont                    | Ryegrass, Annual                |
| Tundra                        | Fescue, Red                 | Aubade<br>Deltonic<br>Deltop    |
| Bluegrass, Ky                 | Fortress<br>Menuet          | Maris Ledger                    |
| Barbie<br>Barblue             | Merlin                      | Ryegrass, Hybridium             |
| Enmundi<br>Harmony<br>Mystic  | Fescue, Tall                | Augusta                         |
| Pacific<br>Ram 1              | Barcel<br>Kenhy             |                                 |
| Scenic<br>Welcome             | Missouri-96                 |                                 |

| Ryegrass, Perennial | Wheat, Common (contd.) | Wheat, Common (contd. |
|---------------------|------------------------|-----------------------|
| Barry               | Eklund .               | Walera                |
| Bianca              | Encore                 | Westbred Aim          |
| Citation            | Eureka                 | Westbred 906R         |
| Diplomat            | Frankenmuth            | Westbred 911          |
| Game                | Frontiersman           | Wings                 |
| Pennfine            | GB 2148                | World Seeds 13        |
| Score               | Hawk                   | World Seeds 1616      |
| Sprinter            | Hiplains               | World Seeds 1808      |
| Yorktown            | Homestead              | 2369                  |
| Yorktown 11         | Houser                 | 4555                  |
| TOTALOWIT II        | Hutch                  | 4578                  |
|                     | 111                    | 5210                  |
| Timothy, Turf       | James                  | 5221                  |
|                     | Key                    | 5232                  |
| Match               | Lancota                | 5409                  |
|                     | Lindon                 | 5411                  |
| Tuefeil Dividefeet  | Maverick               | 5422                  |
| Trefoil, Birdsfoot  | McNair 1003            | 5466                  |
| Ca                  | McNair 1813            | 3400                  |
| Carroll             |                        |                       |
|                     | McNair 4823            | Wheat, Club           |
| Wheat, Common       | McNair 701             | wheat, club           |
|                     | Newton                 | Jacmar                |
| A99AR               | Nicoma                 | Jacillat              |
| Abe                 | Oasis                  |                       |
| Agate               | 0sage                  | Uhaat Dijaum          |
| Archer              | 0s1o                   | Wheat, Durum          |
| Argee               | PR 2360                | 83 d                  |
| Arthur 71           | Plainsman V            | Aldura                |
| Auburn              | Pike                   | Produra               |
| Augusta             | Probred                | W.S. 3                |
| Beau                | Prodax                 | Westbred 803          |
| Bennett             | Profit 75              | Westbred 881          |
| Blueboy 11          | Rocky                  | Westbred 1000D        |
| Bonanza             | Roy                    | D-5003                |
| Bounty 309          | Ruler                  |                       |
| Brawny              | Sandy                  | Wheat, Polish         |
| Buckskin            | Sentinal Sentinal      |                       |
| Caldwell            | Solar                  | RF-75                 |
| Centurk             | Southern Belle         |                       |
| Centurk 78          | Sullivan               |                       |
| Citation            | <u>\$</u> 76           |                       |
| Coker 68-15         | Texred                 |                       |
| Coker 68-19         | <u>T</u> itan          |                       |
| Coker 747           | Tracy                  |                       |
| Coker 762           | Tut                    |                       |
| Coker 797           | Vona                   |                       |
| Dancer              | W.S. 1                 |                       |
| Delta Queen         | W.S. 25                |                       |
| DK-22S              | W.S. 6                 |                       |
| DK-223              | W-332                  |                       |
| Dk-49S              | W-335                  |                       |
| Downy               | W-444                  |                       |
| Duke                | W-504                  |                       |
| DUNE                |                        |                       |



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