As program participants integrate new knowledge and understanding into previous standards regarding attitudes or perceptions, these altered standards violate the assumption of consistency inherent to the pretest-posttest method for measuring change over time. If this violation, or response-shift bias, is not controlled results can be misleading, which carries serious implications for program evaluations. This study extends the literature on retrospective pretests by addressing the efficiency of using a specific retrospective measure as an alternative to a traditionally measured pretest in controlling for response-shift bias. The Parenting Ladder is a measure is used as a traditional pretest, posttest, and retrospective measure of parental self-perceptions of knowledge, confidence, resources, social support, stress, and coping skills in Oregon’s Healthy Start program evaluation. Using secondary data from 167 firsttime mothers who were enrolled in Oregon’s Healthy Start program for a minimum of six months, this exploratory analysis found evidence of response-shift bias. This means that the
mothers reported significantly lower levels of parental functioning in retrospect, when compared to initial ratings. The validity of the Parenting Ladder self-ratings were considered in relation to family functioning behaviors as observed by program staff. The retro/post measure of change assessed by the Parenting Ladder correlated more closely to the observed pre/post change over time, assessed by the Family Progress Scale. More research is needed with both the Parenting Ladder and the Family Progress Scale. Using a different measure to assess Parenting Ladder validity, rather than an observed measure such as the Family Progress Scale, is recommended.
The Efficiency of a Retrospective Pretest: How Does the Parenting Ladder Perform?

by

Blythe K. Kneedler

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APPROVED

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Redacted for Privacy
Dean of Graduate School

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The Efficiency of a Retrospective Pretest: How Does the Parenting Ladder Perform?

INTRODUCTION

Within social programs, resources are inevitably scarce (Rossi & Freeman, 1993), so program evaluations must be efficient as well as valid in assessing proposed outcomes. To maximize available resources, program evaluators must carefully consider what the best method is for gathering the most valid information (The Joint Committee on Standards for Educational Evaluations, 1994). To assess change in participant knowledge over time, the prettest-posttest method might be considered because collecting pretest information beforehand can be useful in tailoring program delivery and services, as well as providing a pretest measure for later evaluations.

Unfortunately, traditional pretest measures are not always obtainable. For example, when programs work with high-risk populations, or when an evaluation was not designed before a program began, or when delaying services may be considered unethical, pretest periods may not be possible. For programs relying on participant self-report information for accountability purposes, a retrospective pretest may be a preferred alternative, because retrospective pretests can be added to posttest forms and administered within the posttest time period. By using retrospective measures, then, programs can gather pretest information from participants without the additional pretest time period.
One example of a retrospective measure being used is the Parenting Ladder, which is a brief measure of parental self-perceptions used in the Oregon Healthy Start program evaluation as a conventional pretest, posttest, and retrospective pretest. This study will focus on whether the traditional pretest and the retrospective version produce equivalent results. The key question is whether the administration of both the retrospective pretest and the traditional pretest is redundant (meaning they produce equivalent results), necessary (they produce different results, both deemed necessary), or whether only one is needed (e.g., the traditional pretest or retrospective version allows evaluators to more closely correlate perceived change with observed change).

In deciding the type of measure to use, both observational and self-report measures have their benefits and limitations. Observational measures can provide objective and precise behavioral information, but they are limited to readily observable behaviors. They may be complicated, and they may require extensive training (Friedman & Haywood, 1994). Alternatively, participants are uniquely qualified to report on their own private thoughts, feelings, and behaviors, so introspective tools such as self-report measures can allow evaluators more freedom in assessing domains affected by program participation. Self-report measures are usually simple in construction, and can be equal to, or superior to, more costly behavioral measures in gathering participant feedback, and in assessing the participant's perception of program effectiveness (Howard, Maxwell, Wiener, Boynton, & Rooney, 1984).
When self-report measures are used within the pretest-posttest method, however, a problem can occur because of the assumption of consistency. Specifically, the method assumes that both the instrument used, and the way it is used, will not change over time. To meet the assumption, then, not only does the measuring tool, itself, need to remain the same, but so too does the respondent’s internal standards. This means that how respondents understand and interpret questions asked, cannot change over time. Using self-reported information to measure participant change can be problematic, because as participants attain further knowledge or experience, and integrate new understanding into previous attitudes and standards, those altered standards violate the consistency assumption of the pretest-posttest method. The physical instrument may have remained constant, but the way the instrument was used did not.

Response - Shift Bias

If the consistency assumption is violated, meaning posttest questions are interpreted differently than pretest questions, results may be effected by what has been referred to as “response-shift bias”, in reference to the systematic shift in perspective experienced by respondents that bias results (e.g., Howard, Ralph, Gulanick, Maxwell, Nance, & Gerber, 1979). This change in interpretation can happen because lack of understanding of the construct being measured at pretest (Sprangers & Hoogstraten, 1989; Aiken & West, 1990). Erroneous perceptions at pretest may result in inflated self-report ratings as participants may overestimate
their knowledge or skills. Through program exposure, participants may develop a greater awareness of their functioning within the domain of interest (Howard et al., 1979). The pretest-posttest design assumes that participants have not reconceptualized the construct being measured. Without accounting for participants' newly acquired knowledge and recalibrated standards, there is no control for response-shift bias in the pretest-posttest design. (Howard et al., 1979).

A broad range of training and treatment programs have found evidence of a response-shift bias, including those targeting abstract concepts such as leadership, assertiveness, dogmatism, and communication skills (Howard & Dailey, 1979; Howard et al., 1979; Bray & Howard, 1980). Howard and his colleagues first found evidence of response-shift bias after participants' verbalized perceptions of positive change, which contradicted their findings of insignificant or negative program effects, which were measured using the pretest-posttest design. Gutek and Winter (1992) also demonstrated how mistaken conclusions can be drawn when response-shift bias is not recognized and controlled in evaluating measures of attitudinal consistency. According to Gutek and Winter (1992), previous studies attributed consistency in job attitudes to individual traits or dispositions. But if individuals are predisposed to be satisfied or unsatisfied, then consistency in job satisfaction ratings would be expected regardless of whether individuals changed jobs, companies, or both. Once Gutek and Winter (1992) designed studies to specifically account for possible response-shift bias among job changers, no consistency in job attitudes was found.
For program evaluations, a mistaken conclusion regarding program effects can compromise program credibility. Evaluations reporting small or negative program effects can be lethal for programs. Thus, accuracy is essential. If a program evaluation design does not control for the presence of response-shift bias, the program could be inaccurately represented as being minimally effective, or completely ineffective (Howard et al., 1979; Bray, & Howard, 1980; Bray, Maxwell, & Howard, 1984; Howard, G. S., & Dailey, 1979).

Retrospective Pretest

A measure is considered to be retrospective when individuals provide information regarding the past. For example, after a specific period of program intervention, participants may complete a self-report measure in reference to current perception of ability (posttest), and then complete the same measure in reference to their previous level of functioning (retrospective pretest). Some researchers (e.g., Gutek & Winter, 1992; Howard, 1980) recommend including retrospective measures in programs targeting participant self-perceptions which chose to use self-report measures to assess perception consistency or change over time. With a retrospective pretest (Campbell & Stanley, 1963), participants can utilize consistent standards in rating potentially altered perceptions.

It should be noted that while programs using retrospective measures can control for biases due to response-shift, other mechanisms such as implicit theories of change (Conway & Ross, 1984), or demand characteristics may
manifest, and not be controlled. Serious concerns such as these deserve consideration. For example, participants may reconstruct past perceptions to support current (potentially invalid) theories they hold for themselves (See Conway & Ross, 1984). Mechanisms such as demand characteristics may lead participants to report artificially lowered retrospective ratings in a mistaken effort to please program providers or staff.

Alternatively, implicit theories of change (Conway & Ross, 1984) may lead participants to believe that change should have occurred. In either scenario, measures of program effects become invalid. Investigating more alternative hypothesis to explain response-shift bias, researchers have also considered self-report methodological confounds such as subject response styles, social desirability, systematic memory distortion, and impression management.

Those alternative hypotheses were found to be unsupported (Howard et. al., 1979; Manthei, 1997). The retrospective pretest was actually found to be a way for participants to report more "honestly" about the pretest period (e.g., Howard et. al., 1979; Manthei, 1997). For example, potentially stigmatizing topics such as drug abuse may not be reported honestly if there is a concern for consequences.

Using retrospective pretests helps control for social desirability factors evident in pretesting conditions by removing the threat of consequences (Manthei, 1997). Retrospective pretests have also been found to be time efficient (Brooks & Gersh, 1998), cost effective (Robinson & Doueck, 1994), and robust across procedural differences (Sprangers & Hoogstraten, 1987).
Studies comparing traditional pretest measures to retrospective pretest measures have consistently found equal or superior validity in retrospective pretest-posttest analysis of estimated treatment effects (e.g., Howard, Ralph, Gulanick, Maxwell, Nance, & Gerber, 1979; Rhodes & Jason, 1987). With the presence of response-shift, the retrospective pretest was the most powerful method (comparing analyses of [a] post scores only, [b] post minus pre, [c] minus retrospective pretest, [d] post covaried by pre, and [e] post covaried by retrospective pretests; See Bray, Maxwell, & Howard, 1984). Not all programs using retrospective pretests have found evidence of response-shift bias, however (e.g., Sprangers & Hoogstraten, 1989). When the retrospective pretest was used in place of the traditional pretest in the analysis of treatment effects, the results (of no treatment effects) were the same (Nicholson, Belcastro, & Gold, 1985). In other words, in the presence of participant change (response-shift bias), the use of the retrospective pretest allows researchers to control for response-shift bias. Without the presence of participant change, however, results produced by the conventional and the retrospective pretest were the same.

In summary, it appears from the literature that, at minimum, retrospective pretests are as valid as conventional pretests in assessing longitudinal change in participant self-ratings. Use of the retrospective pretest in place of the conventional pretest offers programs some real advantages, particularly in relation to evaluation efficiency. For some programs, retrospective pretests may be incorporated into the conventional pretest-posttest procedure, resulting in a better
assessment of change (Howard et al, 1979), and providing a more complete picture of program effects (Rhodes & Jason, 1987). The use of all three may enhance the accuracy of data gathered, but programs limited by resources, may find the administration of the traditional pretest to be redundant if the retrospective pretest can provide a relatively equivalent baseline.

Current Study

This study focused on whether the traditional pretest and the retrospective versions of the Parenting Ladder produced equivalent results. This study was conducted in three steps. First, the principle component analyses of the Parenting Ladders were conducted. Second, Parenting Ladder results were assessed for evidence of response-shift bias. Third, the validity of the Parenting Ladder was examined by correlating it with the Family Progress Scale, which is a measure of family functioning completed by program staff at time 1 as a pretest, and at time 2 as a posttest, within the Oregon Healthy Start program evaluations.

This study used repeated measures on one group. Lacking a control group, threats to internal validity such as history and maturation are not controlled for. Using repeated measures, however, allows participants to serve as their own control group and mitigates these limitations by reducing subject variability.
Research Questions

The six fundamental research questions, grouped by stage of analysis, are:

1. Stage One: Principle Component Analyses - Is there one shared construct within the Parenting Ladder pretest, posttest, and retrospective measures?

2. Stage Two: Response-Shift Bias (a) - Is there evidence of response-shift bias? Is there a significant mean difference between the traditional Parenting Ladder pretest ratings and the retrospective Parenting Ladder ratings?

3. Stage Two: Response-Shift Bias (b) - Is there a significant mean difference between Parenting Ladder - pre/post change scores and Parenting Ladder – retro/post change scores?

4. Stage Three: Validity (a) - Is there a relationship between any version of the Parenting Ladder and the corresponding version of the Family Progress Scale?

5. Stage Three: Validity (b) - Is there a significant relationship between Parenting Ladder - pre/post change scores and Family Progress Scale – pre/post change scores?

6. Stage Three: Validity (c) - Is there a significant relationship between Parenting Ladder - retro/post change scores and Family Progress Scale – pre/post change scores?
METHOD

Oregon Healthy Start

Participants were drawn from the Oregon Healthy Start program, a voluntary home visitation program currently serving 18 of Oregon's 36 counties (Katzev, Henderson, and Pratt, 1999). New parents are screened into the program within their child’s first month using the Hawaii Risk Indicator Scale - a checklist of 15 risk characteristics associated with potentially higher family stress levels such as being single, or low-income (Pratt, Katzev, Moran, & Eddy, 1995). Further assessment of families with two or more risk characteristics is done using the Kempe Family Stress Interview; which measures the level of stress in the family on a range of 0 to 100, with scores over 25 being associated with higher risk of negative outcomes such as child maltreatment. (Murphy, Orkow, & Nicola, 1985; Orkow, 1985). Families with higher levels of stress are offered intensive services, depending on available resources, to prevent negative child and family outcomes (See Katzev, Pratt, with Henderson & McGuigan, 1999).

Long-term intensive services last up to five years. Trained professionals (home visitors) provide family support services during weekly home visits. Services include child development screening, parent education, and referrals. Home visitor training, based on the Healthy Families of America model, includes one week of basic training (approximately 35 hours over four and a half days), as well as wrap-around training which is ongoing over their first months on the job (approximately 60 hours over a six month period). Wrap-around training consists
of individual modules, reading, and discussing topics such as baby care, health, and safety with a supervisor. On average, the program serves families for approximately one year (Katzev, Pratt, Henderson, & McGuigan, 1999).

Participants

Data were collected from mothers enrolled in the Oregon Healthy Start program for a minimum of six months as part of ongoing program evaluation efforts. The mothers' data were used because a large portion of the fathers' data was either missing, or was reported by the mother for the father (See Table 1).

Table 1

Mothers' Characteristics (N = 167)

<table>
<thead>
<tr>
<th></th>
<th>n</th>
<th>M</th>
<th>SD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age in years</td>
<td>164</td>
<td>20.7</td>
<td>5.1</td>
</tr>
<tr>
<td>Income(^a)</td>
<td>99</td>
<td>882.7</td>
<td>575.8</td>
</tr>
<tr>
<td>Education in years completed</td>
<td>154</td>
<td>11.0</td>
<td>2.4</td>
</tr>
<tr>
<td>Hawaii Risk Indicator</td>
<td>101</td>
<td>4.6</td>
<td>1.9</td>
</tr>
<tr>
<td>Kempe Family Stress Interview</td>
<td>154</td>
<td>42.1</td>
<td>13.3</td>
</tr>
</tbody>
</table>

Note. Full data were not available on all demographic and risk variables. These variables were used descriptively in this study, not as key dependent or independent variables.  
\(^a\)Gross monthly household income in dollars.
Participants reported an average of four or five risk characteristics on the Hawaii Risk Indicator Scale ($M = 4.56$, $SD = 1.93$, $n = 101$). Kempe Family Stress Interview scores ranged from 5 to 85 ($M = 31$, Median = 40; $n = 154$). The mothers' ages ranged from 14 to 40 ($M = 20$, $SD = 5.1$) at time of the child's birth. Education ranged from 0 to 14 years ($M = 11.0$, $SD = 2.4$). Gross monthly household income in dollars ranged from $100.00 to $2,600.00 ($M = $883$, $SD = 575.8$). Thirty-six (21.6% of the sample) mothers were married, five (3%) were divorced, and 124 (75.2%) had never been married. Ethnic identities of the babies were obtained from birth certificates, and used because the information was complete. One hundred and thirty-six (81%) of the babies were described as White, two (1.2%) as Hispanic, two (1.2%) as American Indian, 23 (13.8%) as Asian or Pacific Islander, and four (2.4%) as African-American.

The large number of White children in this sample is reflective of the Oregon population in general (86.6% White; U.S. Census Bureau, 2000). The relatively large number of Asian or Pacific Islander children, and comparatively small number of Hispanic children in this sample is a serious limitation however. According to the January, 2000 Healthy Start Status Report, 73% of 2,486 children receiving intensive home visitation were reported as being White, while 23% were Hispanic or Latino, 1% were African-American, 1% were Asian or Pacific Islander, and 1% were American Indian or Alaskan Native (Katzev, Pratt, Grobe, & McGuigan, 2000). These sample data cannot be considered as representing any larger population. Participants were selected according to
completeness of data. Only 167 of the mothers had completed every item on all five measures of interest (three Parenting Ladders and two Family Progress Scales). Without established psychometrics for the measures of interest, it was considered critical that all participants have complete data.

**Procedure**

This study is based on secondary data on families who participated in the Oregon Healthy Start program for a minimum of six months. Upon enrollment into the program, parents complete an initial Parenting Ladder (Parenting Ladder – pre). After a family has been enrolled in the program for a month, their home visitor assesses family functioning behaviors using the Family Progress Scale (Family Progress Scale – pre). While there may be a lapse of a month between the two measures, they are both considered to be pretest measures for evaluation purposes.

When the child is six months old, parents complete a second Parenting Ladder (Parenting Ladder – post), as well as the retrospective version (Parenting Ladder – retro). Also after six months, home visitors complete a second Family Progress Scale (Family Progress Scale – post). A parent's sense of understanding, confidence, ability, resources, stress, coping, and support, assessed in the Parenting Ladder, may indicate overall parental self-efficacy. In turn, parental self-perceptions may be related to overall family functioning as assessed by the Family Progress Scale.
Table 2

Measures Completed at Pre and Post Periods

<table>
<thead>
<tr>
<th>Measure</th>
<th>Rater</th>
<th>Time of Collection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parenting Ladder</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Parenting Ladder - pre</td>
<td>Parent Self-Report</td>
<td>X</td>
</tr>
<tr>
<td>Parenting Ladder - post</td>
<td>Parent Self-Report</td>
<td>X</td>
</tr>
<tr>
<td>Parenting Ladder - retro</td>
<td>Parent Self-Report</td>
<td>X</td>
</tr>
<tr>
<td>Family Progress Scale</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Family Progress Scale -pre</td>
<td>Home Visitor</td>
<td>X</td>
</tr>
<tr>
<td>Family Progress Scale -post</td>
<td>Home Visitor</td>
<td>X</td>
</tr>
</tbody>
</table>

Note. The Parenting Ladder - pre is completed before child is one month old. Family Progress Scale - pre is completed within a family’s first month of program participation. Parenting Ladder posttest and retrospective measures are completed when child is six months old. Family Progress Scale posttest is completed after six months of program participation.

Measures

Parenting Ladder. The Parenting Ladder was designed to quickly assess a wide variety of information relating to parenthood with one set of global questions. By adding the image of the ladder, the intention was clarify the instrument for parents with low reading comprehension levels, or language barriers (see Figure 1).
Figure 1

Oregon Healthy Start Parenting Ladder

<table>
<thead>
<tr>
<th>Where are you on this ladder?</th>
<th>Low</th>
<th>High</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Your knowledge of how children grow and develop?</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>b. Your confidence that you know what is right for your child?</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>c. Your ability to help your child learn?</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>d. The amount of stress in your life right now?</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>e. Your ability to cope with the stress in your life?</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>f. Your resources, like money, food, and transportation?</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>g. The amount of helpful advice or moral support you get from other people?</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>5</td>
</tr>
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<td></td>
<td>6</td>
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</table>
The Parenting Ladder is a 7-item self-report measure with a range of 0 (low) to 6 (high). Each of the seven Parenting Ladder items assess a different variable targeted by the program. For the sake of brevity these items (listed in the order found on the Parenting Ladders; See Figure 1) will be referred to as knowledge, confidence, ability, stress, coping, resources, and social support.

The seven items range from the concrete (amount of resources) to the more abstract (amount of stress), yet it is reasonable to believe they could be joined by an underlying construct of parental self-efficacy beliefs. Parental self-efficacy beliefs are the perceptions a parent has regarding her own competence in terms of the complex, and very demanding role of parent (Coleman & Karraker, 1997).

Because the Parenting Ladder is used as a pretest, a posttest and a retrospective pretest measure, there are slightly different instructions on each. Both the Parenting Ladder - pre and the Parenting Ladder - post ask a mother to look at the ladder and record current self-perceptions. The retrospective Parenting Ladder asks a mother to think back, and consider where she was on the ladder at the time that the child was born. The Parenting Ladder - retro is identical to both the Parenting Ladder - pre and the Parenting Ladder – post other than the question alterations that were necessary to place it in the past tense.

In terms of face validity, the inclusion of each of the seven Parenting Ladder items (knowledge, confidence, ability, resources, stress, coping, and support) appears logical. According to Wolfe (1993), knowledge, skills, and support boost

---

1 Because 100% of the sample is female, feminine pronouns will be used in reference to the participants.
parents' "coping abilities, [and] increases their ability to resist forces that oppose their healthy adjustment (i.e., stress)." Having knowledge of infant growth and development enables a mother to have reasonable expectations of her child. Parents may have expectations of a child that are too high and unrealistic if they have little knowledge of what children are developmentally capable of. Unrealistic expectations are associated with developmentally inappropriate demands or rules for behavior. Alternatively, due to lack of appropriate expectations, a parent may not provide enough structure and challenge for the developing child. It is possible that a parent's lack of understanding of child development is an underlying issue in child maltreatment (Thompson, 1995).

In contrast, understanding a child's needs enables a parent to better adjust to the parenting role, and feel proud and confident of the child's growth and accomplishments (Thompson, 1995). Maternal confidence and knowledge have been found to have an interaction effect on parent-child interactions (Conrad, Gross, Fogg, & Ruchala, 1992). Specifically, what mothers know and understand relates to the level of confidence felt within the parental role, and subsequently affects how they interact with their children.

Research has demonstrated that parental resources, stress levels, and coping skills effect parental ability. For instance, Wolfe (1993) reported that low levels of resources, low levels of coping skills, and high levels of stress threatened effective parenting, because parents were preoccupied and were less likely to attend to their children. Time and energy spent accessing resources and coping
with stress translated into time and attention diverted from the child. Leventhal (1996) noted that families such as those served by the Oregon Healthy Start program spend much of their time and attention on issues such as housing, transportation, and the organization of their lives. Further, self-perceptions of low economic well-being contribute to psychological distress (Fox & Chancey, 1998). Social support has been found to be a buffer for stress (Kotch et al., 1997; Rodgers, 1993), but the parent-child relationship is more affected by a parent's psychological resources, than external sources of support (Belsky, 1984). Therefore, it is how a parent deals with financial and personal issues that effects the parent-child interaction.

At-risk families are particularly vulnerable to stress. According to Spicer and Franklin (1994), the frequency with which parents experience hassles directly affects the verbal aggression and violence directed toward their children. The use of undesirable parenting strategies (including punishment, inconsistency, parental-coldness, sensitization, and rejection-oriented behavior) is both directly and indirectly affected by parental stress (Rogers, 1993).

**Family Progress Scale.** A second measure used in this study is the Oregon Healthy Start Family Progress Scale, which is a 10-item observational measure of family functioning behaviors completed by home visitors after roughly one month of program participation, and again after six months of program participation, based on family behaviors observed during home visits. The 10-item scale asks
home visitors to rate how frequently the family (a) maintains a stable home life, 
(b) keeps appointments or call to reschedule, (c) provides child(ren) with adequate 
and appropriate food, clothing, and shelter, (d) handles routine child-related, 
household, and family responsibilities appropriately, (e) provides nurturing care 
for the child(ren), (f) engages in positive parent-child interactions, (g) uses 
positive guidance and discipline strategies, (h) creates a developmentally 
appropriate learning environment for child, (i) makes use of positive social 
support system or person(s) other than home visitor, and (j) makes use of a 
needed community resources or public support services. Scores reflect the 
frequency of observed parental behaviors, ranging from 0 (not at this time) to 4 
(almost always).

Analysis strategy

Principle component analyses. The reliability of a measurement instrument refers to the degree of consistency in results produced by the instrument, upon repeated usage. One of the assumptions of reliability is that the items on the measurement scale are measuring one construct, and are doing so equally (Carmines & Zeller, 1979). If a violation of this assumption is suspected, principle component analysis can be used to identify the likelihood that the scale is measuring more than one construct. A principle component analysis is one multivariate statistical approach used to define common underlying constructs by examining how variables are interrelated. It is designed to cope with data
that measure a single construct unequally, or measure more than one construct (Carmines & Zeller, 1979). Before reducing the Parenting Ladder to one global measure, it is necessary first to test whether there is justification for doing so.

A principal component analysis was completed for each of the three Parenting Ladders to test whether there was a common construct among the three. Finding a common construct would simplify later analysis. Those principle component analyses addressed the first research question.

1. **Stage One: Principle Component Analyses - Is there one shared construct within the Parenting Ladder pretest, posttest, and retrospective measures?**

   Principle component analyses were also conducted on the two Family Progress Scales. Identifying one shared construct in the Family Progress Scale measures would simplify the analysis. The results of all principle component analyses will be presented in the results section.

   **Response-shift bias.** Once a principle component was identified, a significant difference between traditional Parenting Ladder pretest means and retrospective Parenting Ladder pretest means was tested for using a paired t-test. That comparison addressed the second research question.

2. **Stage Two: Response-Shift Bias (a) - Is there evidence of response-shift bias? Is there a significant mean difference between traditional Parenting Ladder pretest ratings and retrospective Parenting Ladder ratings?**
A significant difference between the two pretests would mean that there was a response-shift bias between the pretest period (intake), and the posttest period (6 months). The difference in scores would indicate that the mothers had shifted in their perception of efficacy with respect to the time that their child was born. If there is no significant difference, that would mean that there was no response-shift bias. Further, it would mean that using either of the pretest versions of the Parenting Ladder would produce similar results.

Change scores were calculated by subtracting the prettest or retrospective pretest score from the posttest score, then compared using paired t-tests to address the third research question.

3. Stage Two: Response-Shift Bias (b) - Is there a significant mean difference between the Parenting Ladder - pre/post change scores and the Parenting Ladder - retro/post change scores?

If there is no significant difference found between pre/post change scores and retro/post change scores, that will indicate that the retro/post and the pre/post methods function similarly. If retrospective pretest scores are equivalent to the traditional pretest scores, and change scores demonstrate similar change patterns over time, then the retrospective pretest results will be considered to be equivalent to the traditional pretest results.

Validity. The third stage of this study examined the construct validity of the Parenting Ladder. Validity of a measurement scale refers to the extent of its ability
to measure the construct it is designed to measure. The construct validity of a measurement scale refers to its degree of consistency with other scales (Carmines & Zeller, 1979). In other words, scales that measure related concepts should demonstrate the appropriate correlation.

Parental perceptions have been linked to parenting behaviors, including parent-child interaction (Conrad, Gross, Fogg, & Ruchala, 1992). Parenting style is reflective of other attitudes and behaviors, which effects a child (Newcomb & Loeb, 1999). Proponents of home visiting programs have also seen the link between how parents feel about themselves and their situations, and how the parent actually cares for a child (Leventhal, 1996).

The relationship between maternal self-reports of ability were compared with objective ratings of observed ability. Specifically, the viability of the Parenting Ladder was considered in relation to the Family Progress Scale. To compare the Parenting Ladders to the Family Progress Scales on the same metric scale, the Family Progress Scale scores were transformed mathematically from a 5-point scale to a 7-point scale. Correlational analysis tested the construct validity of the Parenting Ladders by comparing the relationship between the traditional Parenting Ladder pretest and the retrospective Parenting Ladder pretest with the Family Progress Scale pretest, and the Parenting Ladder posttest with the Family Progress Scale posttest. These analyses addressed the fourth research question.
4. Stage Three: Validity (a) - Is there any relationship between a specific version of the Parenting Ladder and its corresponding version of the Family Progress Scale?

Research question five addressed the relationship between the change scores on the Parenting Ladders and the change scores on the Family Progress Scales. Change scores were calculated by subtracting the prettest or retrospective pretest score from the posttest score. If Parenting Ladder - retro/post change scores correlate more strongly with Family Progress Scale - pre/post change scores, this would mean that change assessed using the retrospective pretest was more in agreement with observed behavioral change than change assessed with the traditional pretest. If the reverse is true, that Parenting Ladder – pre/post change scores correlate more strongly with Family Progress Scale – pre/post change scores, then change assessed using the traditional pretest-posttest method was more in agreement with observed behavioral change. A correlational analysis between Parenting Ladder - pre/post and Parenting Ladder - retro/post with Family Progress Scale - pre/post addressed the fifth and sixth research questions.

5. Stage Three: Validity (b) - Is there a significant relationship between Parenting Ladder - pre/post change scores and Family Progress Scale – pre/post change scores?

6. Stage Three: Validity (c) - Is there a significant relationship between Parenting Ladder – retro/post change scores and Family Progress Scale – pre/post change scores?
RESULTS

Stage One: Principle Component Analyses

A principle component analysis was conducted for each of the Parenting Ladder versions to test whether they individually measured one or more constructs (see Table 3).

Table 3

Principle Component Loadings for the Parenting Ladders (N = 167)

<table>
<thead>
<tr>
<th>Item</th>
<th>Pre</th>
<th>Post</th>
<th>Retrospective</th>
</tr>
</thead>
<tbody>
<tr>
<td>Knowledge</td>
<td>0.63</td>
<td>0.64</td>
<td>0.66</td>
</tr>
<tr>
<td>Confidence</td>
<td>0.76</td>
<td>0.77</td>
<td>0.79</td>
</tr>
<tr>
<td>Ability</td>
<td>0.76</td>
<td>0.80</td>
<td>0.76</td>
</tr>
<tr>
<td>Coping</td>
<td>0.44</td>
<td>0.53</td>
<td>0.66</td>
</tr>
<tr>
<td>Basic Resources</td>
<td>0.56</td>
<td>0.56</td>
<td>0.56</td>
</tr>
<tr>
<td>Social Support</td>
<td>0.68</td>
<td>0.68</td>
<td>0.50</td>
</tr>
<tr>
<td>Alpha</td>
<td>0.71</td>
<td>0.73</td>
<td>0.73</td>
</tr>
</tbody>
</table>

The stress item was first reverse coded because within this context, higher stress levels would be in opposition of parental skills and abilities. Later, the stress item
was dropped from the scale because it was the strongest contributor to a second construct on all three Parenting Ladders. Without the stress item, a second set of analyses was done. The pretest and retrospective versions continued to show a second construct, but the six remaining items were constrained into one because that second construct was inconsistent (or nonexistent in the posttest). This was done to simplify later analyses.

Eigenvalues (and second eigenvalues, where appropriate) for the three versions were as follows: pretest 2.52 (1.08); posttest 2.70; and retrospective 2.64 (1.23). Parenting Ladder principle component loadings ranged from 0.44 to 0.76 on the pretest; 0.53 to 0.80 on the posttest; and 0.50 to 0.79 on the retrospective version. Alpha values for the pretest, posttest, and retrospective were 0.71, 0.73, and 0.73, respectively.

After an initial principle component analysis of the 10-item Family Progress Scale was conducted, the social support and community resources items were dropped from the scale because they contributed strongly to second construct. The items on keeping appointments and positive guidance were dropped because they contributed strongly to a third construct. Principle component analysis identified a single construct for the remaining six items. Family Progress Scale principle component loadings were between 0.62 and 0.87 for the pretest, and between 0.64 to 0.86 for the posttest. Alpha values were 0.83 and 0.86 for the pretest and posttest, respectively (See Table 4).
Table 4

Principle Component Loadings for the Family Progress Scales (N = 167)

<table>
<thead>
<tr>
<th>Item</th>
<th>Component Matrix</th>
</tr>
</thead>
<tbody>
<tr>
<td>Family Progress Scale</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Pre</td>
</tr>
<tr>
<td>Stable home life</td>
<td>0.63</td>
</tr>
<tr>
<td>Food</td>
<td>0.62</td>
</tr>
<tr>
<td>Routine</td>
<td>0.80</td>
</tr>
<tr>
<td>Nurturing</td>
<td>0.87</td>
</tr>
<tr>
<td>Interaction</td>
<td>0.84</td>
</tr>
<tr>
<td>Developmentally appropriate environment</td>
<td>0.74</td>
</tr>
<tr>
<td>Alpha</td>
<td>0.83</td>
</tr>
</tbody>
</table>

Stage Two: Response-Shift Bias

The means (and standard deviations) of the Parenting Ladder pretest, posttest, and retrospective version were 5.30 (0.83), 5.63 (0.73) and 4.83 (0.94), respectively, on a 7 point scale. Using a two-tailed, paired t-test, there was a significant difference between participant self-ratings on the conventional pretest and their self-ratings on the retrospective pretest, t(166) = 6.80, p < .001. This is evidence of response-shift bias, because it is estimated that participants rated
themselves almost half a point (0.47) lower in retrospection, compared to initial self-reports (95% confidence interval from 0.33 to 0.61).

Parenting Ladder change scores were calculated by subtracting prettest ($M = 5.30$, $SD = 0.83$) or retrospective pretest ($M = 4.83$, $SD = 0.94$) ratings from posttest ratings ($M = 5.63$, $SD = 0.73$). Parenting Ladder – pre/post change scores ranged from $-1.83$ to $2.83$ ($M = 0.33$, $SD = 0.75$), Parenting Ladder – retro/post change scores ranged from $-2.17$ to $3.17$ ($M = 0.80$, $SD = 0.82$). Using a two-tailed, paired t-test to compare change scores yielded a significant difference between Parenting Ladder - pre/post change scores and Parenting Ladder - retro/post change scores, $t(166) = 6.80$, $p < .001$. The difference between the two sets of change scores is estimated to be 0.47, with the Parenting Ladder – retro/post reporting more positive participant change (95% confidence interval from 0.33 to 0.61). While both methods found evidence of significant participant change over time, the traditional pre/post measure and the retro/post measure produced significantly different estimates of how much the mothers changed. Using two-tailed, paired t-tests, the traditional pre/post method estimated the change in self-ratings to be 0.33, a significant difference over time, $t(166) = 5.67$, $p < .001$. The retro/post method showed stronger results, however, estimating the difference to be 0.80, $t(166) = 12.509$, $p < .001$. In other words, after six months of participation in the Oregon Healthy Start program, mothers retrospectively rated their initial parental knowledge and skills lower than they had originally reported on the pretest.
Stage Three: Validity

There appeared to be a ceiling effect with Family Progress Scale pretest and posttest means (and standard deviations) being 6.05 (0.86) and 6.26 (0.74), respectively, on a 7 point scale. There are a couple of possible explanations for the high ratings. For example, the families have allowed the home visitor in to observe. It may be that the home visitor observed families primarily "on their best behavior". Family Progress Scale – pre/post change scores ranged from −2.10 to 2.57 (M = 0.20, SD = 0.73).

The correlation matrix of individual Parenting Ladders and their corresponding Family Progress Scales was examined (See Table 5). The only significant relationship between Parenting Ladder versions and corresponding Family Progress Scales was the relationship between Parenting Ladder pretests and Family Progress Scale pretests (r = 0.21, two-tailed p = .08). The relationship between Parenting Ladder retrospective pretests and Family Progress Scale pretests was not significant (r = 0.12, two-tailed p = .13), nor was the relationship between Parenting Ladder posttests and Family Progress Scale posttests significant (r = 0.10, two-tailed p = .19). The low correlations between the Parenting Ladder versions and Family Progress Scale versions may reflect the difficulties in correlating self-report measures to behavioral ones (Howard, Maxwell, Wiener, Boynton, & Rooney, 1980).
Table 5

Correlational Validity Results (N = 167)

<table>
<thead>
<tr>
<th>Family Progress Scale</th>
<th>(M, SD)</th>
<th>Pre</th>
<th>Post</th>
<th>Pre/post change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parenting Ladder (M, SD)</td>
<td>(6.05, 0.86)</td>
<td>(6.26, 0.74)</td>
<td>(0.20, 0.73)</td>
<td></td>
</tr>
<tr>
<td>Pre (5.30, 0.83)</td>
<td>0.21**</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Post (5.63, 0.73)</td>
<td>0.10</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Retrospective (4.83, 0.94)</td>
<td>0.12</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pre/post change (0.33, 0.75)</td>
<td>0.13</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Retro/post change (0.80, 0.82)</td>
<td>0.16*</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Note. Family Progress Scales and Parenting Ladders scores can range from 1 to 7. *p < .05. **p < .001.

The correlation matrix of change scores, however, showed a different picture (See Table 5). The Parenting Ladder - retro/post self-rated measure of change was estimated to be more in agreement with the observed behavioral change.

The Parenting Ladder - post/retro change scores were more closely associated with the Family Progress Scale - pre/post change scores (r = 0.16, two-tailed p = 0.05), as opposed to the Parenting Ladder - pre/post (r = 0.12, two-tailed p = 0.11). These correlations, although relatively low, show support for using this
retrospective pretest in measuring changed perceptions over time, because without the retrospective Parenting Ladder, self-perceived change over time might have been underreported as 0.33 (Parenting Ladder pre/post change scores mean), rather than 0.80 (Parenting Ladder retro/post change scores mean) on a 7 point scale.
DISCUSSION

Evidence of response-shift bias has been found in measures of job satisfaction (Gutek & Winter, 1992), and a wide array of intervention program evaluations (e.g., Howard et al. 1979; Rhodes & Jason, 1987). A similar bias was evident in the maternal self-ratings of efficacy. This study found a retrospective pretest led to different results than a conventional pretest. Specifically, the pre/post method produced a more conservative estimate of maternal self-perceived improvement, compared to the retro/post method. Considering that the retro/post method found more than twice the improvement that the pre/post method found, the decision of which pretest to use also may decide the amount of improvement found when there is a response-shift. This echoes Howard and his colleagues (1979) who pointed out that program effects can be missed, or underrated without the use of the retrospective pretest.

The difficulty in correlating self-report and behavioral measures has been written about (e.g., Howard, Maxwell, Wiener, Boynton, & Rooney, 1980), and was demonstrated here. The individual measures primarily showed no significant relationship between maternal perceptions of self-functioning and observed behavioral family functioning. The initial family functioning measure (Family Progress Scale pretest) was related more closely to the mothers’ initial self-ratings (Parenting Ladder pretest) than to her retrospective self-ratings (Parenting Ladder retrospective pretest), which would seem to suggest a superior validity on the part of the conventional pretest. The post/retro method of measuring maternal
self-efficacy change, however, was more in agreement with the observed behavioral change. This suggests the retrospective method may have superior validity in considering change over time.

To summarize, this study concludes that this retrospective measure allows program staff a perspective of participant change that is different than the perspective gained with the traditional pretest. While a traditional pretest enables a program to be tailored to meet participant needs, a retrospective version allows participants to integrate new knowledge into self-reported change measures. More research is needed on both the Parenting Ladder and the Family Progress Scale measures, as neither have established psychometrics. The validity of the Parenting Ladder certainly needs to be further tested, due to the inconsistent relationship between it and the Family Progress Scale.

It is questionable whether the Family Progress Scale was the right measure to use for validation purposes. In considering the difficulties in comparing self-report measures to behavioral measures (Howard, Maxwell, Wiener, Boynton, & Rooney, 1980), future work validating the Parenting Ladder might be better served by finding a different measure than the Family Progress Scale.


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