#### AN ABSTRACT OF THE THESIS OF

Kiseok Yoo for the degree of Master of Science in Electrical and Computer Engineering presented on December 3, 2003.

Title: OP-AMP Free SC Biquad LPF and Delta-Sigma ADC

Abstract approved: \_\_\_\_\_

### Un-Ku Moon

The objective of this work is to explore the feasibility of replacing conventional op-amps with inverters in switched-capacitor (SC) circuits. In order to verify the idea, a low-pass filter (LPF) and a second-order delta-sigma ( $\Delta\Sigma$ ) analog-todigital converter (ADC) are designed in the 0.5- $\mu$ m CMOS technology. The low-pass filter structure is based on biquad sections, and the  $\Delta\Sigma$  ADC system is based on a lowdistortion modulator topology. The feasibility of the proposed idea is demonstrated with system and circuit level. ©Copyright by Kiseok Yoo December 3, 2003 All Rights Reserved

## OP-AMP Free SC Biquad LPF and Delta-Sigma ADC

by

Kiseok Yoo

## A THESIS

submitted to

Oregon State University

in partial fulfillment of the requirements for the degree of

Master of Science

Presented December 3, 2003 Commencement June 2004 Master of Science thesis of Kiseok Yoo presented on December 3, 2003.

APPROVED:

Major Professor, representing Electrical and Computer Engineering

Director of the School of Electrical Engineering and Computer Science

Dean of the Graduate School

I understand that my thesis will become part of the permanent collection of Oregon State University libraries. My signature below authorizes release of my thesis to any reader upon request.

Kiseok Yoo, Author

#### ACKNOWLEDGEMENTS

I would like to thank my advisor, Dr. Un-Ku Moon, for his patience and valuable guidance throughout my graduate study. His criticism and advice made me grow not only as an engineer, but as a person. I would also like to thank the members of my thesis committee for their helpful feedback.

Thanks to Gil-Cho Ahn for his insights and helpful comments on a wide range of subjects in the analog field. Thanks to Dong-Young Chang for helping me start in the beginning of the research. Thanks to my friends Pavan Hanumolu, José Silva, Jose Ceballos, Ranganathan Desikachari, Gowtham Vemulapalli, and Matt Brown for their patience and accessibility whenever I had technical questions.

I would like to thank the members of Korean Student Association of Computer Science and Electrical and Computer Engineering for their social contribution to my experience as a graduate student at Oregon State University.

I would like to thank my ex-roommates Chi-Young Lim and Chong-Kyu Yun for being cool to live under the same roof.

I would like to thank my family for their endless support. I would like to thank my parents for patiently listening to my thoughts on my future and advising me. I would like to thank my sister for conversing with me about many different issues of my life.

Finally, I would like to thank my wife for endless supporting and encouraging whenever I was in troubles.

## TABLE OF CONTENTS

|                                  | Page   |
|----------------------------------|--|
| 1. INTRODUCTION                  |  |
| 2. SWITCHED-CAPACI               | FOR BIQUAD FILTER                              |
| 2.1. Biquad Filter               | <sup>•</sup> Design                            |
| 2.2. Simulation R                | .esult   |
| 2.3. Biquad LPF                  | Based on Inverter                              |
| 3. OPAMP FREE SC CIR             | CUIT DESIGN11                                  |
| 3.1. Inverter Desi               | gn11   |
| 3.2. Simulation R                | esults   |
| 3.3. Switch Desig                | gn 14  |
| 3.3.1. Chan<br>3.3.2. Charg      | nel Charge Injection                           |
| 4. DELTA SIGMA MOD               | ULATOR   |
| 4.1. Quantization                | Noise  |
| 4.1.1. Nyqu<br>4.1.2. Over       | ist-rate Converters                            |
| 4.2. Oversamplin                 | g with Noise Shaping19                         |
| 4.3. Second-Orde                 | r Noise Shaping 20                             |
| 5. OP-AMP FREE $\Delta\Sigma$ AI | DC DESIGN                                      |
| 5.1. The Widebar                 | d Low-Distortion Delta-Sigma ADC Topology [15] |
| 5.2. Inverter Base               | ed Second Order Delta-Sigma ADC Topology       |
| 5.3. Overall Circu               | it Level Schematic                             |
| 5.3.1. 1-bit                     | DAC Schematic                                  |

## TABLE OF CONTENTS (Continued)

| -        | 5.3.2.     | Overall Circuit Level Schematic | 29 |
|----------|------------|---------------------------------|----|
| 6. SIMUL | ATION      | RESULTS                         | 31 |
| 7. LAYOU | J <b>T</b> |                                 | 35 |
| 7.1.     | Invert     | ter Layout                      | 35 |
| 7.2.     | LPF a      | and ADC Layout                  | 36 |
| 8. CONCI | LUSION     | 1                               | 38 |
| BIBLIOG  | RAPHY      | 7                               | 39 |

## LIST OF FIGURES

| Figure   | Page |
|--|------|
| 2.1. The $\alpha$ -damping biquad filter                                       | 3    |
| 2.2. Equivalent schematic of Figure 2.1, and a signal-flow graph               | 4    |
| 2.3. The e-damping biquad filter   | 5    |
| 2.4. Equivalent schematic of Figure 2.3, and a signal-flow graph               | 6    |
| 2.5. MATLAB and switch-cap simulation results                                  | 7    |
| 2.6. Transient Analysis of $\alpha$ -damping and e-damping filters             | 8    |
| 2.7. Transient Analysis of e-damping filters                                   | 9    |
| 2.8. The e-damping biquad LPF based on inverters                               | 10   |
| 2.9. Offset-compensated T/H circuit  | 10   |
| 3.1. Inverter design based on cascode structure                                | 11   |
| 3.2. DC characteristic of the inverter   | 12   |
| 3.3. AC characteristic of the inverter   | 13   |
| 3.4. Channel charge injection [16]   | 14   |
| 3.5. Addition of dummy device to reduce charge injection and clock feedthrough |      |
| [16]   | 15   |
| 3.6. Proposed charge injection canceling switch                                | 16   |
| 4.1. Uniform 6-level quantization characteristic                               | 18   |
| 4.2. Quantization Noise Spectrum in Nyquist-Rate and Oversampled Converters    |      |
| [14]   | 19   |
| 4.3. Linear model of the modulator showing injected quantization noise         | 20   |
| 4.4. Second-order $\Delta\Sigma$ modulator [12]                                | 21   |
| 4.5. Some different noise-shaping transfer functions [12]                      | 22   |

# LIST OF FIGURES (Continued)

| Figure   | Page |
|--|------|
| 5.1. (a) Traditional Topology, (b) Reduced distortion topology           |      |
| 5.2. Inverter based second order delta-sigma ADC topology                |      |
| 5.3. Output spectrum of the wideband low-distortion delta-sigma ADC      |      |
| 5.4. Output spectrum of inverter based second order delta-sigma ADC      |      |
| 5.5. 1-bit DAC   |      |
| 5.6. Circuit level design of inverter based second order delta-sigma ADC |      |
| 6.1. FFT plot using ideal components                                     |      |
| 6.2. FFT result  |      |
| 6.3. FFT result of the transistor level simulation                       |      |
| 7.1. Inverter layout   |      |
| 7.2. Inverter based biquad LPF layout                                    |      |
| 7.3. Inverter based second-order delta-sigma modulator layout            |      |

## LIST OF TABLES

| Table   | Page |
|---|------|
| 2.1. Transient simulation conditions  | 8    |
| 3.1. Transistors W/L ( $\mu$ m/ $\mu$ m) ratio of the inverter                    | 12   |
| 3.2. Characteristics of the inverter  | 13   |
| 5.1. Summary of simulation conditions   | 25   |
| 5.2. SNDR of traditional second-order delta-sigma ADC with the different quantize | er   |
| levels (OSR=128)  | 27   |
| 5.3. Capacitance value of ADC   | 29   |
| 6.1. Summary of SNDR  | 37   |

#### **OP-AMP FREE SC BIQUAD LPF AND DELTA-SIGMA ADC**

#### **1. INTRODUCTION**

Switched-capacitor (SC) circuits are very important building blocks in analog mixed signal systems due to their accurate frequency response as well as good linearity and dynamic range. Switched-capacitor circuits can provide highly accurate discrete-time frequency response since filter coefficients are obtained by capacitor ratios. The capacitor ratios can be set accurately and are less susceptible to process variation. Switched-capacitor circuits are also used as gain stages, voltage-controlled oscillators, and data converters [9] among various applications.

An operational amplifier (op-amp) is the most important analog block in switched-capacitor circuits. SC circuits are based on charge-transfer and op-amp provides virtual ground to enable accurate charge-transfer. It can be proved that the accuracy of the charge transfer and hence the performance of SC circuits is limited by the non-idealities in the op-amp like finite op-amp gain, offset etc. To overcome these limitations introduced by the op-amp, some attempts are being made to replace opamp with relatively simple circuits. One of these approaches is using a unity-gain buffer [6]. There are many advantages of using unity-gain buffers rather than op-amps in switched-capacitor circuits. First, unity-gain buffers can be realized using much simpler circuits than op-amps, therefore circuit design become much easier. Second, since unity-gain buffer circuits are much simpler, they require smaller silicon die area, resulting in a better yield. Third, unity-gain buffers consume less power and hence are suitable for portable application requiring long battery life. An alternative approach is using a simple inverter instead of an op-amp. Inverter offers similar advantage as a unity-gain buffer.

The objective of this work is to explore the feasibility of using inverters in SC circuits. In order to verify the feasibility of using simple inverters in SC circuits, a switched-capacitor biquad LPF and a second-order delta-sigma analog-to-digital converter (ADC) are designed in the 0.5- $\mu$ m CMOS.

The thesis organization is as follows. In Chapter 2, the switched-capacitor biquad-filter is briefly described and a couple of low pass filter design are presented. Inverter and switch design is presented in Chapter 3. Chapter 4 describes the fundamentals of the delta-sigma modulator. The special features, which are oversampling and noise-shaping, of delta-sigma modulator are revisited. A wide-band low-distortion delta-sigma ADC is introduced and modified to the inverter based delta-sigma ADC in Chapter 5. Also Chapter 5 is devoted to the circuit implementation of the op-amp free second-order delta-sigma modulator. The simulation results are given in Chapter 6. The layout of inverter and ADC is shown in Chapter 7. Finally, Chapter 8 presents conclusion for this thesis.

### 2. SWITCHED-CAPACITOR BIQUAD FILTER

High performance filters are commonly realized either in continuous-time domain or discrete-time domain depending on the application. Continuous-time filters are realized using passive elements like resistors, capacitors and inductors, and their active counterparts can be derived by using active elements like transconductance stages or op-amps . There are some problems to directly implement continuous-time filters in monolithic form. First, continuous-time filters need large resistors and capacitors. Second, it requires accurate RC time constant. Discrete-time filters are built using switched-capacitor networks in which the required transfer function is realized by appropriately transferring charge among various capacitors. Switched-capacitor filters can present the solution to these problems. Although SC circuits are known since mid 1960s, the monolithic switched-capacitor biquad filter is presented in the next section

#### 2.1. Biquad Filter Design



Figure 2.1. The  $\alpha$ -damping biquad filter

To achieve the higher order switched capacitor filters, lower-order sections are cascaded. Typically, higher-order transfer function H(z) is divided into the product

of second order blocks. If H(z) is an odd order function, one first-order factor is added to this product.

The biquadratic transfer function H(z) is given by

$$H(z) = -\frac{a_2 z^2 + a_1 z + a_0}{b_2 z^2 + b_1 a + b_0}$$
(2.1)

These second-order sections are often called biquads. In this chapter, two types of biquad LPF topologies are presented. The first type is called  $\alpha$ -damping biquad filter is shown in Figure 2.1 and equivalent schematic is presented in Figure 2.2(a). The associated signal-flow diagram is shown in Figure 2.2(b).





Figure 2.2. Equivalent schematic of Figure 2.1, and a signal-flow graph

The transfer function of  $\alpha$ -damping biquad filter can be calculated by applying Mason's rule on the signal-flow diagram and is given by

$$H(z) = \frac{C_{a1} \cdot C_{a2} \cdot z}{C_{b2} (C_{\alpha} + C_{b1}) z^{2} + (C_{a1} \cdot C_{a2} - C_{b1} \cdot C_{b2} - C_{b2} (C_{\alpha} + C_{b1})) z + C_{b1} \cdot C_{b2}}$$
(2.2)

If we choose Ca1 = Ca2, Cb1 = Cb2, then  $Ca1 = Ca2 = \sqrt{b_2 + b_1 + b_0}$ ,

$$Cb1 = Cb2 = b_o$$
, and  $C\alpha = \frac{b_2 - b_0}{\sqrt{b_0}}$ .



Figure 2.3. The e-damping biquad filter

The second type shown in Figure 2.3 and equivalent schematic is presented in Figure 2.4 (a) is called e-damping biquad filter and its signal-flow is presented in Figure 2.4 (b). The transfer function of e-damping biquad filter can be similarly derived as

$$H(z) = \frac{C_{a1} \cdot C_{a2} \cdot z}{C_{b1} \cdot C_{b2} \cdot z^{2} + (C_{a1} \cdot C_{a2} + C_{a2} \cdot C_{e} - 2C_{b1} \cdot C_{b2})z + C_{b1} \cdot C_{b2} - C_{a2} \cdot C_{e}}$$
(2.3)

To get capacitor values, same procedure is applied for e-damping biquad filter. If Ca1 = Ca2, Cb1 = Cb2, then  $Ca1 = Ca2 = \sqrt{b_2 + b_1 + b_0}$ ,  $Cb1 = Cb2 = \sqrt{b_2}$ , and  $Ce = \frac{b_2 - b_0}{\sqrt{b_2 + b_1 + b_0}}$ .





Figure 2.4. Equivalent schematic of Figure 2.3, and a signal-flow graph

## 2.2. Simulation Result

The fourth-order low-pass Bessel filter is used to demonstrate the idea of using inverters instead of op-amps to realize the SC sections in the filter. Two biquad sections are cascaded to realize the fourth order filter. The target 3dB bandwidth of this filter is 20 kHz with an OSR of 128. The capacitor values were calculated by equating the coefficients of theoretical transfer function in Eq. 2.2 with the required transfer function obtained using MATLAB. The switch-cap simulator was used to confirm the correct behavior of  $\alpha$ -damping and e-damping topologies. The circuits were implemented with ideal components in switch-cap simulator. MATLAB and switch-cap simulation results are compared and the result is shown in Figure 2.5.

Since the both results are identical, two topologies are confirmed to have required fourth-order Bessel filter characteristics.



Figure 2.5. MATLAB and switch-cap simulation results

Figure 2.6 illustrates the transient analysis of the  $\alpha$ -damping and the e-damping filters with different op-amp bandwidth and gain conditions with a 2.5 V DC input. The number 1 plot is the output of the e-damping filter when op-amp is modeled as 1GHz unity-gain bandwidth (UGB) and 100 dB DC gain. This output is almost same as input level. Transient simulations for various DC gain and bandwidth conditions summarized in Table 2.1 indicate that the output is relatively insensitive to the opamp gain and bandwidth. The output level of  $\alpha$ -damping is deviated by 0.058 V from 2.5 V. However, e-damping filter shows better result than  $\alpha$ -damping filter with same DC gain. In conclusion, e-damping filter shows better performance than  $\alpha$ -damping with



Figure 2.6. Transient Analysis of α-damping and e-damping filters

|                  | OPAMP SPEC         |      |     |  |
|------------------|--------------------|------|-----|--|
|                  | (using ideal VCCS) |      |     |  |
| UGB(Hz) GAIN(dB) |                    |      |     |  |
| 1                | E-damp             | 1G   | 100 |  |
| 2                |                    | 100M | 40  |  |
| 3                |                    | 40M  | 40  |  |
| 4                | α-damp             | 100M | 40  |  |
| 5                |                    | 40M  | 40  |  |

Table 2.1. Transient simulation conditions

Figure 2.7 is the transient plot of e-damping filter with some various DC gain and bandwidth conditions as indicated in the figure. While the response of the edamping filter is less affected by the UGB change, lower op-amp DC gain degrades the filter response considerably. As a result, the op-amp biquad LPF has at least 40 dB DC gain.



Figure 2.7. Transient Analysis of e-damping filters

## 2.3. Biquad LPF Based on Inverter



Figure 2.8. The e-damping biquad LPF based on inverters

As shown in Figure 2.8, the e-damping biquad filter in Figure 2.3 is modified to inverters based filter design. The circuits in a dotted rectangle are offset-compensated track and hold circuits [5]. The inverter is reset during each tracking phase. This track and hold circuit provides the reference voltage to sample the charge on Ca2.



Figure 2.9. Offset-compensated T/H circuit

### 3. OPAMP FREE SC CIRCUIT DESIGN

This research is mainly focused on finding alternate solutions to substitute the conventional op-amps in SC circuits. In this chapter, the design of an inverter that can potentially replace the op-amp is presented. Switches in SC circuits also play a major role in the overall performance. The design of various switches in the filter are also presented.

Inverter is based on cascoded [10] structure due to its large output impedance and hence higher DC gain. In general, this high gain is obtained with minimal speed penalty. The other advantage is that this can reduce the short channel effects, since cascode structure limits  $\Delta$ (overdrive voltage) across input drive transistor.

### **3.1.** Inverter Design

Figure 3.1 shows the inverter schematic which will be used in biquad LPF and delta-sigma ADC. M5, M7, M9, M15-M17, and M20 are low threshold voltage (0.4 V) PMOS transistors.



Figure 3.1. Inverter design based on cascode structure

Transistors M8-M11 form the cascoded output stage [8] and M6-M7 self-bias M8 and M9. The low  $V_T$  transistors guarantee that M6 and M8 always operate in saturation region. This structure uses simple biasing and can operate to low supply levels. The role of M4 is to increase the gate voltage of M6-M9. M2 operates as source follower to raise input dc level. M1 cascodes input source follower M2 for improved power supply rejection, thus  $V_{GS}$  of M1 is less dependent on supply. The bias circuit consists of M12-M20. W/L ratios of all the transistors are shown on Table 3.1.

|    | - (    |     |        |     |        |
|----|--------|-----|--------|-----|--------|
| M1 | 5/0.8  | M8  | 40/0.8 | M15 | 10/0.8 |
| M2 | 5/0.8  | M9  | 40/0.8 | M16 | 10/0.8 |
| M3 | 5/0.8  | M10 | 20/0.8 | M17 | 10/0.8 |
| M4 | 20/0.8 | M11 | 20/0.8 | M18 | 5/0.8  |
| M5 | 40/0.8 | M12 | 10/0.8 | M19 | 5/0.8  |
| M6 | 40/0.8 | M13 | 10/0.8 | M20 | 10/0.8 |
| M7 | 40/0.8 | M14 | 10/0.8 |     |        |

Table 3.1. Transistors W/L ( $\mu$ m/ $\mu$ m) ratio of the inverter

### 3.2. Simulation Results



Figure 3.2. DC characteristic of the inverter

The DC transfer characteristic of the inverter is shown in Figure 3.2. The linear range of the inverter is from 0.8V to 3.2V and reset level is about 1.3V.

Figure 3.3 shows the AC characteristics of proposed inverter and the important results are summarized in Table 3.2.



Figure 3.3. AC characteristic of the inverter

| DC Gain              | 65.2 dB  |
|----------------------|----------|
| Unity Gain Bandwidth | 45 MHz   |
| Phase Margin         | 80°      |
| Total Current        | 337.3 μA |
| Power Consumption    | 1.7 mW   |

Table 3.2. Characteristics of the inverter

45 MHz Unity-Gain Bandwidth and  $80^{\circ}$  is sufficient for the intended voice application. Figure 3.3 indicates that there are zeros at high frequencies, but transient simulations indicate that these zeros do not degrade the response of the inverter.

### 3.3. Switch Design

In switched-capacitor circuits, the switch is an important component. Fast switching operation can be achieved by increasing W/L ratio of transistor or reducing sampling capacitor. However, there are several design trade-offs in doing one or the other.

### 3.3.1. Channel Charge Injection

A simple sampling circuit is shown in Figure 3.3. The channel charge is given by

 $Q_{CH} = WLC_{ox}(V_{DD} - V_{in} - V_{TH})$ 



Figure 3.4. Channel charge injection [16]

When the transistor turns off, this charge moves to the source and drain, which is called channel charge injection. The charge moving to the input is absorbed by the input source but the output is affected by the remaining channel charge deposited on to the capacitor. The output voltage deviation due to channel charge is

(3.1)

15

$$\Delta V = \frac{WLC_{ox}(V_{DD} - V_{in} - V_{TH})}{2C_{H}}$$
(3.2)

Assuming the total channel charge moves on to the sampling capacitor, the output voltage is given by

$$V_{out} \approx V_{in} - \frac{WLC_{ox}(V_{DD} - V_{in} - V_{TH})}{C_H}$$
(3.3)

and ignoring the phase shift between the input and output, the output is given by

$$V_{out} = V_{in} \left( 1 + \frac{WLC_{ox}}{C_H} \right) - \frac{WLC_{ox}(V_{DD} - V_{TH})}{C_H}$$
(3.4)

### 3.3.2. Charge Injection Cancellation

There are various methods to remove the channel charge injection. One of those is using a dummy switch [1][2], as shown in Figure 3.5.



Figure 3.5. Addition of dummy device to reduce charge injection and clock feedthrough [16]

If  $\Delta q_1$  is equal to half of channel charge of M1, when M1 turns off, this charge is deposited onto the sampling capacitor. However,  $\Delta q_2$  is absorbed by M2 to create channel half phase later. To remove  $\Delta q_1$ ,  $\Delta q_1$  must be same as  $\Delta q_2$ . M2 is tied

between the drain and source, thus the size of M2 is chosen to be W<sub>2</sub>=0.5W<sub>1</sub>, L<sub>2</sub>=L<sub>1</sub>. Therefore  $\Delta q_2 = W_2 L_2 C_{ox} (V_{CK} - V_{in} - V_{Th2})$ .

Figure 3.6 shows the switch which will be used in op-amp free LPF and deltasigma modulator. This consists of 4 NMOS transistors, the W/L ratios are all equal to  $2/0.5 \ (\mu m/\mu m)$  and a CMOS inverter is used for providing opposite phase clock to dummy switches.



Figure 3.6. Proposed charge injection canceling switch

#### 4. DELTA SIGMA MODULATOR

Oversampling data converters have been widely used for high-resolution medium-to-low-speed applications such as high-quality digital audio. The delta-sigma modulator was proposed in 1962 [11]. Due to two reasons, oversampling delta-sigma modulators have been adopted frequently. First, oversampling converters avoid many of the difficulties of implementing analog circuitry at the expense of more complicated digital circuitry. Second, they require simpler anti-aliasing filters for A/D converters and smoothing filters for D/A converters. Oversampling converters usually use noise shaping which is referred to as delta-sigma ( $\Delta\Sigma$ ) modulator. By applying oversampling and noise shaping, delta-sigma modulator can achieve very high resolution for relatively low-frequency signals. Oversampling delta-sigma data converters have been mainly used for digital audio applications. Recently, as the speed of submicron devices has been increasing, delta-sigma modulators are researched for wider band systems such as wireless RF communications [13]. In this chapter, quantization noise, oversampling and noise shaping will be reviewed.

#### 4.1. Quantization Noise

Quantizers play an important role in delta-sigma ADCs. This chapter describes fundamental theory of quantization to examine the noise in delta-sigma ADCs.

#### 4.1.1. Nyquist-rate Converters

The quantization error is the difference between the input and the output values of a quantizer. Uniform quantization, which is characterized by quantization steps of identical level spacing ( $\Delta$ ) on quantization step, is commonly used. If the input is normalized to ±1, this quantization step is  $\Delta=1/2^{n-1}$ , where n is the number of bits. Figure 4.1 illustrates the input-output characteristics of a 6-level quantizer, with a continuous-amplitude input x and a quantized output y which is represented by the following equation.

$$y = Gx + e , \tag{4.1}$$

where G is the slope of the straight line and e is the quantization error. If the input signal x is very active, the quantization error e can be an uniformly distributed random number in the range  $\pm \Delta/2$ . Under this assumption, its mean square value or quantization noise power is given by

$$e_{rms}^{2} = \frac{1}{\Delta} \cdot \int_{-\frac{\Lambda}{2}}^{\frac{\Lambda}{2}} e^{2} de = \frac{\Lambda^{2}}{12}$$
 (4.2)

The spectral density of e,  $S_e(f)$ , is white and its power is between  $-f_s/2$  and  $+f_s/2$ , where  $f_s$  is the sampling frequency. In Nyquist rate converters,  $f_s$  is usually two times the signal band  $f_0$ .



Figure 4.1. Uniform 6-level quantization characteristic

## 4.1.2. Oversampled Converters

Oversampled converters use a much higher sampling frequency than the Nyquist frequency. The oversampling ratio OSR is,

19

$$OSR \equiv \frac{f_s}{2f_0} \tag{4.3}$$

As shown in Eq. (4.2), the quantization noise power is not related to the sampling frequency. Therefore, the quantization noise power in oversampled converters is the same as in Nyquist-rate converters. However, the percentage of this in the interest bandwidth is much smaller than the noise power of Nyquist converters, as shown in Figure 4.2. The in-band quantization noise is inside of shaded area, and is given by



Figure 4.2. Quantization Noise Spectrum in Nyquist-Rate and Oversampled Converters [14]

Thus, doubling of the sampling frequency decreases the in-band quantization noise power by 3 dB (or, equivalently, 0.5 bits) [12].

#### 4.2. Oversampling with Noise Shaping

Figure 4.3 shows the linear model of a general noise-shaped delta-sigma modulator. Analyzing this linearized circuit in the z-domain, the output is

$$Y(z) = S_{TF}(z)U(z) + N_{TF}(z)E(z)$$
(4.5)

where  $S_{TF}(z)$  is a signal transfer function and  $N_{TF}(z)$  is a noise transfer function. These transfer functions are given by

20

$$S_{TF}(z) \equiv \frac{Y(z)}{U(z)} = \frac{H(z)}{1 + H(z)} = z^{-1}$$
(4.6)

$$N_{TF}(z) \equiv \frac{Y(z)}{E(z)} = \frac{1}{1 + H(z)} = 1 - z^{-1}$$
(4.7)



Figure 4.3. Linear model of the modulator showing injected quantization noise.

respectively, if the loop filter transfer function is  $H(z) = z^{-1}/(1-z^{-1})$ . Therefore, the digital output is a delayed replica of the analog input signal, and the quantization noise is shaped by the filtering function of  $N_{TF}$ .

$$\left|N_{TF}\left(e^{j\omega T}\right)\right| = 2\sin(\omega T/2) \tag{4.8}$$

where  $T = 1/f_s$  is the sampling period. For low frequencies  $(\omega T \langle \langle 1 \rangle, |N_{TF}| \approx \omega T$ . Since the loop filter H(z) is a low-pass filter function, the dc and low frequency components of the feedback loop are very similar to the input signal u(n). N<sub>TF</sub> is a high-pass filter function, so it blocks the quantization noise around the signal band at low frequency. However, high frequency noise is not suppressed by the feedback. This out of band noise is removed by additional post filtering.

The second-order noise shaping is briefly reviewed, since second order deltasigma ADC is adopted in this work.

### 4.3. Second-Order Noise Shaping

The in-band quantization noise can be suppressed aggressively by using a higher-order loop filter. Two cascaded integrators are needed to implement a second-

order loop filter. The block diagram of a second-order delta-sigma modulator is shown in Figure 4.4.



Figure 4.4. Second-order  $\Delta\Sigma$  modulator [12]

The signal transfer function and the noise transfer function of this modulator are given by

$$S_{TF}(f) = z^{-1}$$

$$N_{TF} = (1 - z^{-1})^{2}$$
(4.9)

Therefore, the noise-shaping function of a second-order modulator is the square of that given in Eq. (4.8), and the magnitude of the noise transfer function is

$$\left|N_{TF}\left(e^{j\omega T}\right)\right| = 4\sin^{2}\left(\omega T/2\right)$$
(4.10)

The quantization noise power over the frequency band from 0 to  $f_0$  is given by

$$P_{e} = \int_{-f_{0}}^{f_{0}} S_{e}^{2}(f) |N_{TF}(f)|^{2} df = \int_{-f_{0}}^{f_{0}} \left(\frac{\Delta^{2}}{12}\right) \frac{1}{f_{s}} \left[4\sin^{2}\left(\frac{\pi f}{f_{s}}\right)\right]^{2} df$$
(4.11)

If  $f_0 \ll fs$  (OSR >>1), then  $sin((\pi f)/fs)$  is approximately  $(\pi f)/fs$ , and Eq. (4.11) is equal to

$$P_e \cong \left(\frac{\Delta^2}{12}\right) \left(\frac{\pi^4}{5}\right) \left(\frac{2f_0}{f_s}\right)^5 = \frac{\Delta^2 \pi^4}{60} \left(\frac{1}{OSR}\right)^5 \tag{4.12}$$

If we choose the sinusoidal wave input signal then its maximum peak value without clipping is  $2^{N}(\Delta/2)$ . Under this assumption, the signal power of maximum

amplitude sinusoidal wave is  $P_s \cong \left(\frac{\Delta 2^N}{2\sqrt{2}}\right)^2 = \frac{\Delta^2 2^{2N}}{8}$ . Thus the maximum SNDR for

the second-order delta-sigma modulator is

$$SNR_{\max} = 10\log\left(\frac{P_s}{P_e}\right) = 10\log\left(\frac{3}{2}2^{2N}\right) + 10\log\left(\frac{5}{\pi^4}(OSR)^5\right)$$
(4.13)

or, equivalently,

$$SNR_{\rm max} = 6.02N + 1.76 - 12.9 + 50\log(OSR) \tag{4.14}$$

Figure 4.5 is the plot of noise-shaping transfer functions. The in-band noise power nearby  $f_0$  is decreased as the noise-shaping order increases.



Figure 4.5. Some different noise-shaping transfer functions [12]

### 5. OP-AMP FREE $\Delta\Sigma$ ADC DESIGN

A second-order delta-sigma ADC based on the wideband low-distortion deltasigma ADC topology [15] is adopted to implement the inverter-based switchedcapacitor circuit.

In this section, the wideband low-distortion delta-sigma ADC topology will be briefly described and modified to suit the inverter-based circuit.

### 5.1. The Wideband Low-Distortion Delta-Sigma ADC Topology [15]



Figure 5.1. (a) Traditional Topology, (b) Reduced distortion topology

The system level schematic of a wideband low-distortion delta-sigma ADC is shown in Figure 5.1(a). In the traditional second order delta-sigma modulator shown in Fig 4.4, the signal transfer function is  $S_{TF}(f) = z^{-2}$ . The error signal *e* is the difference between the input and output signals and the  $\Delta\Sigma$  loop tries to reduced this difference in the desired frequency band. The delay term of  $S_{TF}(f)$  is subtracted from the input u, thus e contains the portion of the input signal processed through the nonlinearity integrators. The harmonics could be appeared by this nonlinearity op-amp gain and slew-rate effects.

This is achieved by making  $S_{TF}(f) = 1$  without changing noise transfer function. If  $S_{TF}(f) = 1$ , then e does not contain input portion, therefore no input portion goes through the nonlinearity integrators. Another advantage is that just one DAC is used in this topology, thus reducing chip size and complexity.

#### 5.2. Inverter Based Second Order Delta-Sigma ADC Topology



Figure 5.2. Inverter based second order delta-sigma ADC topology

The wideband low-distortion delta-sigma ADC topology is modified to the topology of Figure 5.2. If the input signal is slowly changed, the half cycle difference between u(n) and u(n+1/2) can be very small. Thus u(n) and u(n+1/2) can be assumed equal. Under this assumption, the output signal is

$$v = \frac{a_4 a_6 (z^{-\frac{1}{2}} - 2z^{-\frac{3}{2}} + z^{-\frac{5}{2}}) + a_1 a_3 a_6 (z^{-1} - z^{-2}) + a_1 a_2 a_5 a_6 z^{-2}}{1 - 2z^{-1} + z^{-2} + a_1 a_3 a_6 z^{-\frac{3}{2}} + (a_1 a_2 a_5 a_6 - a_1 a_3 a_6) z^{-\frac{5}{2}}} u$$

$$+ \frac{(1 - z^{-1})^2}{1 - 2z^{-1} + z^{-2} + a_1 a_3 a_6 z^{-\frac{3}{2}} + (a_1 a_2 a_5 a_6 - a_1 a_3 a_6) z^{-\frac{5}{2}}} Q$$
(5.1)

The coefficients were obtained using MATLAB (simulink simulator), so as to avoid saturation at the output of each integrator. The gain coefficients are,  $a_1=1/5$ ,  $a_2=1/3$ ,  $a_3=1$ ,  $a_4=1/10$ ,  $a_5=3/2$ ,  $a_6=10$ . Then Eq. 5.1 is

$$v = \frac{z^{-\frac{1}{2}} + z^{-1} - 2z^{-\frac{3}{2}} - z^{-2} + z^{-\frac{5}{2}}}{1 - 2z^{-1} + 2^{-\frac{3}{2}} + z^{-2} - z^{-\frac{5}{2}}} u + \frac{(1 - z^{-1})^2}{1 - 2z^{-1} + 2^{-\frac{3}{2}} + z^{-2} - z^{-\frac{5}{2}}} Q$$
(5.2)

This topology was simulated using MATLAB (simulink) to compare performance with the wideband low-distortion delta-sigma ADC topology. Simulation conditions are given by

| Input frequency    | 1.77 kHz        |  |
|--------------------|-----------------|--|
| Input amplitude    | 0.8             |  |
| Sampling frequency | 5.12 MHz        |  |
| OSR                | 128             |  |
| quantizer          | 1bit (2 levels) |  |

Table 5.1. Summary of simulation conditions

Figure 5.3 is the FFT output spectrum of the wideband low-distortion delta-sigma ADC. Lower plot of Figure 5.3 is enlarged plot of upper plot to show the low frequency region in detail. As shown in Figure 5.3, the SNDR is 84.6 dB. Figure 5.4 is the FFT result of the inverter-based second-order delta-sigma ADC and SNDR is 85.2 dB. Thus, the performance of modified topology is comparable to previous topology.

However, these SNDRs are a little bit lower than the theoretical value. This results from using 1-bit (2-level) quantizer. Table 5.2 is the SNDR summary of the second-order delta-sigma modulator with different quantizers. The traditional Bose-Wooley structure [7] was simulated.



Figure 5.3. Output spectrum of the wideband low-distortion delta-sigma ADC



Figure 5.4. Output spectrum of inverter based second order delta-sigma ADC

| Level | N   | SNR_theoretical (dB) | SNR (dB) | Difference (dB) |
|-------|-----|----------------------|----------|-----------------|
| 2     | 1   | 98.31                | 86.3     | 12 01           |
| 3     | 1.6 | 101, 83              | 93, 8    | 8, 03           |
| 4     | 2   | 104 33               | 99 5     | 4 83            |
| 5     | 2.3 | 106, 26              | 103.2    | 3,06            |
| 8     | 3   | 110_35               | 109 3    | 1 05            |
| 9     | 3 2 | 111 37               | 110 3    | 1 07            |
| 16    | 4   | 116, 37              | 115.9    | 0, 47           |
| 17    | 4 1 | 116 89               | 116 3    | 0 59            |

Table 5.2. SNDR of traditional second order delta sigma ADC with different quantizer levels (OSR=128)

The higher level quantizer uses, the smaller difference is obtained between theoretical SNDR and simulation SNDR. If the quantizer is over 2 bits (4-level), the difference is below 5 dB. Thus the SNDR result of Figure 5.3 and Figure 5.4 is systematic problem due to 1-bit quantizer and can be improved by increasing the number of quantization levels. However, this work is not focused on improving SNDR in view of systematic method. Consequently, the performance of the wideband low-distortion delta-sigma ADC topology and the modified ADC topology is similar, suggesting that this modified topology can be adopted to implement the inverter based delta-sigma ADC.

### 5.3. Overall Circuit Level Schematic

#### 5.3.1. 1-bit DAC Schematic



Figure 5.5. 1-bit DAC

Figure 5.5(a) illustrates the schematic of the 1-bit DAC used in the deltasigma ADC. As shown in Figure 5.5(b)(c), the clocked D-flip flop was adopted in the 1-bit DAC design. There is no delay through the DAC. However, in ADC topology, this 1-bit DAC delays the incoming data for a half-clock cycle, since the DAC operates to determine the output during phase 1 and this output is transferred to the next summing node during phase 2.

### 5.3.2. Overall Circuit Level Schematic

The overall circuit level schematic of the op-amp free delta-sigma ADC is shown in Figure 5.4. The capacitor value were chosen through the result from the previous Chapter 5.2. The capacitor values are

| CA1 | 0.25 pF |
|-----|---------|
| CA2 | 1.25 pF |
| CB1 | 0.25 pF |
| CB2 | 0.75 pF |
| CC1 | 0.25 pF |
| CC2 | 2.5 pF  |
| CC3 | 3.75 pF |
| Ср  | 0.25 pF |

Table 5.3. Capacitance value of ADC

The large inverters are cascoded inverters and the small inverters are simple CMOS inverters. The 1-bit quantizer consists of these CMOS inverters. The circled switches are the CMOS switches since switches on the signal path should be realized with transmission gates to avoid malfunction due to the varying nature of the signal. The other switches are the charge canceling switches which are proposed in Chapter 3.2. 'agnd1' is 2.5 V since input signal's reference voltage is 1.3 V and 'agnd2' is 1.3 V since reset level of second integrator's inverter is around 1.3 V. The circuits inside the rectangle operate with the same role described in Chapter 2.2.





#### 6. SIMULATION RESULTS

Circuit level simulations were done in HSPICE to verify the op-amp free delta-sigma ADC. The following figures show simulation results. Figure 6.1 shows the FFT plot of the second-order delta-sigma ADC using ideal components. The inverters were modeled with voltage-controlled current sources. The gain of the modeled inverters is 40 dB and the unity-gain bandwidth is 40 MHz. Voltage-controlled resistors were used to model the ideal switches. The input is a 3.05 kHz sine wave with a 4 Vp-p amplitude. A 2.5 V reference voltage and 5 V supply voltage were used. The sampling frequency  $f_s$  is 5 MHz and the simulation data segment contained 8192 (2<sup>13</sup>) samples; this segment was taken after discarding 250 samples to remove transient effects. The oversampling ratio (OSR) is 128.



Figure 6.1. FFT plot using ideal components

As shown in Figure 6.1, the SNDR is 81.7 dB. This result is about 5 dB lower than predicted from the system level simulation done in MATLAB. However, the result could be better if the number of samples used in simulation was increased.

Figure 6.2 shows the FFT result. In this simulation, most components are modeled with real transistor-level circuits except for the switches which are ideal. The SNDR is about 81.3 dB. This result is similar to Figure 5.7 and shows the feasibility of inverter based delta-sigma ADC.



Figure 6.2. FFT result

Figure 6.3 is the FFT result for the case where the ADC uses full transistorlevel circuits, including the switches. In this plot, the results from the circuit level simulation show that the SNDR is 40.8 dB. The degradation is due to harmonics. These are caused by the transistor-level switches. For that reason, the switches were checked one by one. Only one switch was replaced at a time by its transistor-level implementation, while keeping others as ideal components. As seen in Table 6.1, most switches degrade SNDR and first integrator's switches were parts that caused major degradation. Thus, a different kind of switches (NMOS, CMOS, etc) and different switch sizes were simulated. However, there was no big difference. Therefore, these distortions are not related to the amount of the channel charge.



Figure 6.3. FFT result of the transistor level simulation

| S1 | 46.6dB | <b>S</b> 6 | 74.2dB |
|----|--------|------------|--------|
| S2 | 47.1dB | <b>S</b> 7 | 68.7dB |
| S3 | 64.0dB | <b>S</b> 8 | 75.8dB |
| S4 | 67.6dB | <b>S</b> 9 | 69.1dB |
| S5 | 79.9dB |            |        |

Table 6.1. Summary of SNDR

Besides this, early falling clock was tried to prevent the input dependent charge injection. In Figure 5.6, the channel charge of S11 and S12 is dependent on the input and the DAC respectively. If the clock falling edge of switches S1 and S2 is dropped earlier than those of S11 and S12, the charge in CA1 dose not change, since capacitor CA1 is floated. Therefore, the channel charges of S11 and S12 do not result in harmonics but just offset. However, this method did not solve the distortion problem. Another tried method was to reduce the input amplitude. In previous simulations, a 4 Vp-p sine input was used. However, in another simulation, this input amplitude reduced under 2Vp-p to check the saturation in ADC.

Although several methods were tried to solve the problem, there was still a distortion problem. Thus, the different types of switches and delta sigma ADC structures should be considered to solve the distortion problem.

### 7. LAYOUT

In this chapter, layouts of inverter based biquad LPF and inverter based the second-order delta-sigma ADC are presented. The 0.5-µm CMOS technology was used to implement layouts.

## 7.1. Inverter Layout



Figure 7.1. Inverter layout

A few of NMOS, PMOS, and low threshold voltage PMOS were implemented. IREF metal line providing the current is connected to the pad and this pad will be connected to the off chip resistor. The current can be varied with the resistor value .

## 7.2. LPF and ADC Layouts



Figure 7.2. Inverter based biquad LPF layout

 $\alpha$ -damping and e-damping filters were implemented in one chip for the purpose of the test. As seen in Figure 7.2, the upper plot is e-damping filter and the lower plot is  $\alpha$ -damping filter. Figure 7.3 is the layout of the inverter based the second-order delta-sigma ADC.



Figure 7.3. Inverter based second-order delta-sigma modulator layout

#### 8. CONCLUSION

This thesis explored the possibility of replacing an op-amp with a simple inverter. A biquad filter and a second-order delta-sigma modulator using simple inverters are presented to verify the feasibility of the inverter based switched-capacitor circuits. Both the circuits are designed in the 0.5- $\mu$ m CMOS technology.

The system level simulations showed that the SNDR of 85.2 dB can be achieved using these inverters in a delta-sigma modulator ADC. When ideal circuit components were used, the circuit level simulation result showed a SNDR of 81.7 dB. This result was about 5 dB lower than predicted from the system level simulation done in MATLAB. The result could be better if the number of samples used in simulation was increased. Also, when the real transistor level circuits, except for the switches were used, the performance result was SNDR of 81.3 dB. This result shows the feasibility of using inverter instead of op-amp in SC circuits. However, when all the circuits including switches are used, harmonics come out. These seems to be from transistor level switches. This distortion problem is not related with the switch size, indicating that this problem does not result from the amount of the switch channel charge. Different types of switches and switch sizes were simulated to solve this problem. In addition to these simulations, the early falling clock and reduced input amplitude were used in simulation. Nevertheless, the distortion problem was not solved. Thus, the different types of switches and delta sigma ADC structures should be considered to solve the distortion problem.

This thesis work showed that if the real transistor level circuits except switches are used, the performance is comparable to the system level result. Thus if switches problem is solved, op-amp could be replaced the inverter in switchedcapacitor circuits. Finally, the layouts of the biquad filter and ADC were done.

#### BIBLIOGRAPHY

- [1] K. R. Stafford, .R. A. Blanchard, and P. R. Gray, "A completely monolithic sample/hold amplifier using compatible bipolar and silicon-gate FET devices," *IEEE J. Solid-State Circuits*, vol. SC-9, pp. 381-387, Dec. 1974.
- [2] R. Suarez, P. Gray, and D. Hodges, "All-MOS charge redistribution analog-todigital conversion techniques---Part II," *IEEE J. Solid-State Circuits*, vol. SC-10, pp. 379-385, Dec. 1975.
- [3] J. T. Caves, M. A. Copeland, C. F. Rahim and S. D. Rosenbaum, "Sampled analog filtering using switched capacitors as resistor equivalents," *IEEE J. Solid-State Circuits*, vol. SC-12, pp. 592-600, Dec 1977.
- [4] B. J. Hosticka, R. W. Brodersen and P. R. Gray, "MOS sampled-data recursive filters using switched capacitor integrators," *IEEE J. Solid-State Circuits*, SC-12, pp. 600-608, Dec 1977.
- [5] K. Matsui, T. Matsuura, S. Fukasawa, Y. Iziawa, Y. Toba, N. Miyake, and K. Nagasawa, "CMOS Video Filters Using Switched Capacitor 14-MHz Circuits," *IEEE J. Solid-State Circuits*, vol. SC-20, no. 6, pp. 1096-1102, Dec. 1985.
- [6] P. K. Wu, *Unit-Gain Buffer Switched-Capacitor Filters--Design Techniques And Circuit Analysis.* PhD thesis, University of California Los Angeles, 1986.
- [7] B. E. Boser and B. A. Wooley, "The Design of Sigma-Delta Modulator Analog-To-Digital Converters," *IEEE J. Solid-State Circuits*, Vol. 23, pp. 1298-1308, Dec 1988.
- [8] A. Abidi, "On the Operation of Cascode Gain Stages," *IEEE J. Solid-State Circuits*, vol. 23, no. 6, pp. 1434-1437, Dec. 1988.
- [9] G. Nicollini, F. Moretti, and M. Conti, "High frequency fully differential filter using operational amplifier without common-mode feedback," *IEEE J. Solid-State Circuits*, vol. SC-24, pp.803-813, June 1989.
- [10] S. M. Mallya and J. H. Nevin, "Design Procedure for a Fully Differential Folded-Cascode CMOS Operational Amplifier," *IEEE J. Solid-State Circuits*, vol. 24, No. 6, pp. 1737-1740, Dec. 1989.
- [11] S. R. Norsworthy, R. Schreier, G. C. Temes, *Delta-sigma Data Converters*, IEEE Press, New York, 1992.
- [12] D. Johns and K. Martin, Analog Integrated Circuit Design. New York, NY: John Wiley & Sons, Inc., 1997.

- [13] A. Feldman, B. Boser and P. Gray, "A 12bit, 1.4MS/s, 3.3V Sigma-Delta Modulator for RF Baseband Channel Applications," *IEEE Custom Integrated Circuits Conference*, pp.229-232, May 1998.
- [14] K. B. Khoo, Programmable, High-Dynamic Range Sigma-Delta A/D Converter for Multistandard, Fully-Integrated CMOS RF Receiver. Master Thesis, University of California Los Angeles, Dec 1998.
- [15] J. Silva, U. Moon, J. Steensgaard, and G. Temes, "A wideband low-distortion delta-sigma ADC topology," *Electronics Letters*, vol. 37, pp.737-738, 7 June 2001.
- [16] B. Razavi, *Design of Analog CMOS Integrated Circuits*. New York: McGraw Hill, 2001.