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10 The effects of semi-chronic thermal stress on physiological indicators in steelhead

11 *Oncorhynchus mykiss*

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31

## 32 Abstract

33 The physiological response of juvenile steelhead (*Oncorhynchus mykiss*) to prolonged heat stress  
34 was examined by exposing replicated groups of fish to 25 consecutive days at 15°C, 23°C, and  
35 25°C followed by a 55 day recovery period at 15°C. We found that at temperatures  $\geq 25^\circ\text{C}$ ,  
36 steelhead consumed significantly less food per day and had elevated feed conversion rates but  
37 experienced slower growth, reduced body size, lower body fat, and elevated heat shock protein  
38 70 (hsp 70) levels relative to fish 2°C and 10°C cooler. Growth decreased 24.4% and 27.1% for  
39 length and mass, respectively, between 15°C and 23°C, and an additional 60% and 56.5%  
40 between 23°C and 25°C during exposures. While growth increments and lipid levels recovered  
41 to control levels after water temperature was reduced, body size of the 25°C exposed fish lagged  
42 throughout the experiment. Our results indicate a temperature threshold after which steelhead  
43 exposed to semi-chronic thermal stress incur a physiological debt. Heat shock protein 70 levels  
44 were detectable up to 25 days post-stress in fin and liver tissues, providing evidence that this is a  
45 useful metric for thermal stress that can be assessed non-lethally, an important technique relevant  
46 for monitoring thermal-habitat restoration efforts for threatened and endangered salmonids.

47

## 48 Introduction

49 Temperature influences multiple levels of biological organization, and dictates physiological  
50 processes such as metabolism (Brett 1979; Moyle and Cech 2004), protein synthesis (Kültz  
51 2005), and growth in fish (Geist et al. 2011). Salmonids, including steelhead *Oncorhynchus*  
52 *mykiss* (anadromous rainbow trout) can be exposed to temperatures during summer months that  
53 exceed 25°C when residing in streams (Matthews and Berg 1997; Spina 2007; Kammerer and  
54 Heppell 2012). This temperature exceeds United States Environmental Protection Agency  
55 standards for salmon-bearing streams (USEPA 2003), as it has been identified as a thermal limit  
56 for rainbow trout (Jobling 1981) and other salmonids (Dent and Walsh 1997; Geist et al. 2009).  
57 Average and maximum stream temperatures are expected to increase with climate change  
58 (Mantua et al. 2009) highlighting the importance of better understanding the physiological  
59 effects of elevated temperatures on fish.

60

61 To withstand conditions of extreme environmental stress, fish adapt by decreasing growth and  
62 using fat reserves (Hurst et al. 2005; Geist et al. 2009). In addition, in response to elevated  
63 temperatures, salmonids undergo a cellular stress response that includes the synthesis of heat  
64 shock proteins 30 (hsp 30) and 70 (hsp 70) (Lund et al. 2002), the latter of which increases in  
65 particular during acute thermal exposures and may last up to 2 weeks in Chinook salmon  
66 *Oncorhynchus tshawytscha* (Mesa et al. 2002). Heat shock proteins act as molecular chaperones  
67 to preserve vital protein function by maintaining appropriate protein folding (Kültz 2005).  
68 Previous studies revealed that rainbow trout in the John Day River system in Oregon show a  
69 physiological threshold at 23°C, above which hsp 70 increases (Feldhaus et al. 2010) and whole  
70 body lipids drop (Feldhaus 2006). Whole body lipids act as a sink for energy storage and can  
71 also be used as a physiological metric to assess thermal stress (Kammerer and Heppell 2012) as  
72 elevated temperatures may affect their storage (Miller et al. 2006).

73  
74 Short-term (1-2 h) heat stress experiments to evaluate hsp production have been performed in  
75 redband rainbow trout *Oncorhynchus mykiss gairdneri* (Feldhaus et al. 2010), Atlantic salmon  
76 *Salmo salar* (Lund et al. 2002), Chinook salmon (Mesa et al. 2002), and steelhead (Werner et al.  
77 2005), but few studies have assessed the longer-term effects of elevated temperature on hsp's  
78 and other performance metrics associated with thermal stress in rainbow trout. Our study seeks  
79 to understand the effects of semi-chronic heat stress, which varies among the salmonids  
80 (Hokason et al. 1977; Thomas et al. 1986) but has largely not been defined for steelhead. While  
81 large daily fluctuations in temperature may be an ecologically-relevant parameter (Hokason et al.  
82 1977; Myrick and Cech 2000), fish upper thermal tolerance and growth patterns may not differ  
83 when fish are held under constant temperature regimes, as demonstrated in Lahontan cutthroat  
84 trout *O. clarki henshawi* (Dickerson and Vinyard 1999; Meeuwig et al. 2004) and rainbow trout  
85 with different thermal histories (Threader and Houston 1983). Testing the effects of large  
86 cyclical temperature fluctuations were beyond the scope of the current study which evaluates  
87 semi-chronic continuous exposures to elevated temperatures.

88  
89 We conducted a laboratory study to elucidate the long-term impacts of elevated summer stream  
90 temperatures on growth, the cellular stress response, and whole body lipids in steelhead. We also

91 wanted to test the hypothesis that there is a threshold at 23°C, above which physiological  
92 performance declines, as previous studies indicate (Feldhaus 2006). Because many steelhead  
93 populations in Oregon and California are listed as threatened or endangered under the U.S.  
94 Endangered Species Act (USNMFS 2006), there are concerns about the impacts of lethal  
95 sampling on any population being studied in the wild. To this end, in addition to measuring hsp  
96 70 in liver, we also measured hsp 70 in fin tissue. This method may be applicable to non-lethal  
97 measurements of thermal status and has potential for application to monitoring thermal habitat in  
98 streams steelhead may inhabit.

99

## 100 Methods

### 101 *Animals and Experimental Design.-*

102 Juvenile summer steelhead (Skamania Columbia Basin stock) were obtained from the Oak  
103 Spring Hatchery, a distribution hatchery located in Maupin, OR. During initial rearing at the  
104 hatchery, fish were fed to satiation twice per day on Oregon MicroVita starter fry  
105 119 feed (0.9 mm: 52% protein, 20% oil, 8.5% moisture, 1% fiber) and held in large, flow-  
106 through freshwater holding ponds (319 m<sup>3</sup>; temperature: 9-13°C, dissolved oxygen levels ≥95%  
107 air saturation, density of 5.6 kg/m<sup>3</sup>).

108

109 Fish were transferred to the Oregon State University (OSU) Fish Performance and Genetics  
110 Laboratory and held in 2-m diameter, continuous flow-through holding tanks (dissolved oxygen  
111 levels: ≥95% air-saturation, density 560 fish/tank) and fed *ad libitum* initially with BioVita 0.9  
112 mm (Bio-Oregon, Longview, WA, USA) but transitioned to a larger, standard Bio-Diet Oregon  
113 1.5 mm (Bio-Oregon, Longview, WA, USA) feed as they grew. Feed was withheld for 24 h prior  
114 to any PIT-tagging, formalin treatments, the onset of temperature exposures, or sampling events.  
115 Prior to experiments, fish were netted and anesthetized (50 mg/L tricaine methane sulfonate  
116 (MS-222) buffered with 125 mg/L NaHCO<sub>3</sub>) until equilibrium was lost, and PIT tagged with  
117 BioMark 8.4 mm 134.2 kHz tags (HPT8, BioMark, Boise, ID, USA) using a 6 G trocar injection  
118 needle. Fish were treated prophylactically with oxolinic acid (2 mg/g food) for 10 days to treat  
119 for the possibility of bacterial infection (Austin et al. 1983) and with formalin immersion  
120 (1:10,000, 1:8000 then 1:6000 for 1.5 h each) to treat for the possibility of external parasites to

121 alleviate any hsp 70 stress response that could be induced by disease (Iwama et al. 1999; Forsyth  
122 et al. 1997; Eyckmans et al. 2012) though hsp 70 does not appear to be affected by formalin  
123 (Zarate and Bradley 2003).

124  
125 After the completion of prophylactic treatments, steelhead were randomly allocated to one of  
126 nine 1-m diameter circular tanks ( $n = 57 \pm 2$  per tank,  $210 \pm 20$  L volume, standardized to one  
127 complete turnover every  $120 \pm 5$  min; flow rate velocities did not exceed 2L/min). Experimental  
128 tanks were supplied with continuous flow-through water and were located in an indoor facility  
129 that received both natural and artificial light on a natural photoperiod during June- September  
130 (latitude  $44.3^\circ$  N). Following a 3-day tank-acclimation period, initial lengths and weights were  
131 taken for all fish, and 10 fish per tank were sampled for growth, protein, and lipid analysis  
132 (described below) as pre-treatment controls. Tanks were randomly assigned to a temperature  
133 treatment and three tanks each were then heated, using gravity-fed well water heated in an  
134 insulated tank with a spa heater (Hayward Electric Spa Heater Model CSPA XI11, Hayward  
135 Industries, Inc., N.J., USA), to create temperature treatments of 23 or 25°C for 25 days. These  
136 exposures were designed to mimic the duration of elevated summer stream temperatures such as  
137 in the John Day River watershed (Tattam 2006; Weber 2009; Feldhaus et al. 2010); three tanks  
138 were held at ambient temperatures (maximum 15°C) to serve as experimental controls.

139 Temperature in elevated tanks was raised at a rate of 1.3°C/hr and maintained at maximum target  
140 temperatures (23°C, 25°C). At the end of the temperature exposure all tanks were then rapidly  
141 returned to ambient (maximum 15°C) and remained at that temperature for 55 days as a  
142 temperature exposure recovery period. Temperature was monitored over the course of the  
143 experiment at 10-minute intervals using Hobo Pendant temperature loggers (Onset Corp., Cape  
144 Cod, MA, USA). During the experiment, fish were fed Bio-Diet Oregon 1.5 mm feed (Bio-  
145 Oregon, Longview, WA, USA) at a ration of 2% of tank biomass per day (i.e. sum of fish  
146 biomass  $\times 0.02$ ), during two separate feedings, and ration was recalculated every 10 days (data  
147 not shown). The amount of uneaten food in each tank was recorded as a percent of uneaten food  
148 after a 15 minute feeding period. Feed consumed per fish per day and conversion rate per  
149 temperature treatment (Table 1) were calculated according to Cassinelli and Moffitt (2007, 2010)  
150 where feed efficiency was calculated from the percent change in mass of feed consumed and

151 calculated per fish (per day) by tank. A feed conversion ratio was calculated using the mass of  
152 feed consumed divided by the change in body weight per tank, averaged per treatment over time  
153 period between sampling time points.

154

155 *Fish Sampling for Growth, Protein, and Lipid Analysis.-*

156 At each sampling event 10 fish per tank were placed in a lethal dose of MS-222 (200 mg/L  
157 buffered with 500 mg/L NaHCO<sub>3</sub>). Fish were then weighed to the nearest 0.01 g and measured to  
158 the nearest mm. Lethal sampling occurred at day 0 (pre-treatment control), day 25 (end of heated  
159 temperature exposures), day 40 (after 15 days recovery at 15°C), day 50 (after 25 days recovery  
160 at 15°C), and day 80 (end of the experiment and 55 days of recovery); non-lethal weight and  
161 length sampling occurred for all fish in each tank every 10 days, at which time food ration was  
162 adjusted. Mortalities throughout the experiment were examined and tested for bacterial infection.  
163 We calculated growth in length and mass over the first 30 days of the experiment [(size on day  
164 30 - size on day 0)/30] to calculate growth increments among treatments during the temperature  
165 treatment period. In addition to treatment-period growth increments, we calculated growth  
166 increments over each 10-day interval for the duration of the experiment to evaluate the overall  
167 pattern of growth both during and after the temperature treatment. Growth increments for each  
168 temperature were calculated from only those fish that were not lethally sampled until day 80; we  
169 calculated the change in length (l) or mass (m) between 10 day sampling periods, dividing by the  
170 time interval in days (t), as:  $(l^2-l^1)/(t^2-t^1)$  or  $(m^2-m^1)/(t^2-t^1)$ . In the interest of avoiding pseudo-  
171 replication, the tank was treated as the sampling unit. Therefore, to compare growth increments  
172 among treatments and the control we calculated an average growth increment for each tank, and  
173 statistical analyses were based on n=3 for each treatment or control at each sampling interval.

174

175 Livers and fin tissue clips were collected from lethally sampled fish. Liver tissue was wrapped in  
176 aluminum foil, while fin tissue was placed in a labeled 1.5 ml centrifuge tube, according to  
177 Feldhaus et al. (2010). All tissue and carcasses were quickly frozen by immediately placing them  
178 on dry ice. Following sampling, tissue samples were stored at -80°C and carcasses stored at -  
179 20°C for later analysis.

180

181 *Tissue Sample Preparation and Western Blot Analysis of Heat Shock Protein 70.-*

182 Liver samples were homogenized on ice as described by Feldhaus et al. (2008, 2010). Briefly,  
183 liver tissue was weighed on an analytical balance ( $\pm 0.1$  mg) and homogenized in lysis buffer  
184 and protease inhibitors in a ratio of 1:10 (mass: volume). Fin tissue was homogenized using 0.2  
185 mL- 2 mL glass homogenizers and corresponding pestles (Wheaton #357848 & 357421,  
186 Wheaton New Jersey, USA or Pyrex Tenbroeck, Corning, MA, USA). Liver and fin tissue  
187 homogenates were spun at 3200 x g at 4°C for 15 minutes, and supernatants aliquoted and snap-  
188 frozen on liquid nitrogen before storage at  $-80^{\circ}\text{C}$ . Protein concentrations in homogenates were  
189 assayed with the bicinchoninic acid (BCA) protein assay method (Pierce, Thermofisher  
190 Scientific, IL, USA).

191  
192 Heat shock protein 70 was measured using Western blot analysis according to Feldhaus et al.  
193 (2010), modified as follows: 100 ng of protein were loaded per lane for liver hsp 70 analysis and  
194 50 ng loaded per lane for fin tissue homogenates in 7.5% Tris-HCl gels (#161-1154 and #161-  
195 1100, Bio-Rad, Hercules, CA USA) in a Mini Protean system (model 3 and Tetra Cell, Bio-Rad,  
196 Hercules, CA USA). All gels were run with a calibrated molecular marker (Kaleidoscope  
197 Precision Plus #161-0375, Bio-Rad, Hercules, CA USA) and 50 ng of recombinant Chinook  
198 salmon hsp70 protein (SPP-763, StressGen Biotechnologies/Enzo Life Sciences, NY, USA) at  
199 200 V for  $\sim 40$  min. Staining also differed from Feldhaus et al. (2010) in that overnight block was  
200 performed at 4°C and membranes for both sample types were probed with primary polyclonal  
201 hsp 70 antibodies (SPA 758, StressGen/Enzo Life Sciences, NY, USA) diluted to a concentration  
202 of 1:7500, while secondary goat anti-rabbit alkaline-phosphatase conjugated antibodies (SAB-  
203 301, StressGen Biotechnologies/Enzo Life Sciences, NY, USA) were used in the concentration  
204 of 1:5000. Proteins were analyzed colorimetrically using an alkaline phosphatase conjugate  
205 substrate kit (170-6432, Bio-Rad, Hercules, CA USA) and relative hsp 70 band density was  
206 calculated after quantification using densitometry software, ImageQuant TL (GE Healthcare Life  
207 Sciences, Pittsburgh, PA USA) (Feldhaus et al. 2010).

208

209 *Whole-body Lipid Analysis.-*

210 Whole-body lipid content for each fish was determined following the methods of Anthony et al.  
211 (2000) and Reynolds and Kunz (2001), though with livers removed. Fish were thawed, weighed,  
212 and dried to a constant mass (i.e.  $\pm 0.01$  g of previous 24 h mass) in a convection oven set at  
213  $65^{\circ}\text{C}$ . Fish were thoroughly homogenized with mortar and pestle and lipids were extracted from  
214 dried samples using a Soxhlet apparatus and a 7:2 (v/v) hexane/isopropyl alcohol solvent system.  
215 Fat mass was determined by subtracting lean dry fish mass from dried homogenized fish mass.  
216 Whole body lipid fraction was calculated by dividing the fat mass by dry mass.

217

### 218 *Statistical Analysis.-*

219 The effect of temperature treatments on fish length, mass, growth increments of length and mass,  
220 average feed consumed per day, and feed efficiencies were analyzed by analysis of variance  
221 (ANOVA) by tank ( $n=3$ ), taking into account repeated measures over time, by 10-day interval.  
222 The interactive effects of temperature and time on relative hsp 70 expression and whole body  
223 lipids were similarly analyzed, though over 10 to 25 day intervals. Because the experimental  
224 unit was each tank of which temperature treatments were applied using a balanced design, a  
225 repeated measures model was used for ANOVAs after the assumptions for normality (Shapiro-  
226 Wilks) and equality of variance were tested. Tukey multiple comparison tests were performed  
227 when means were significantly different. Paired t-tests were also used to test differences  
228 between hsp 70 tissue types at each timepoint and temperature. All statistical tests were  
229 performed in Sigmaplot (v.11 Systat Software, Inc. San Jose, CA USA) with significance set at  $P$   
230  $< 0.05$ . Data are presented as the mean  $\pm$  standard error.

231

### 232 Results

233 Temperatures were maintained at  $13.43 \pm 0.03^{\circ}\text{C}$  (mean  $\pm$  standard error), with a range from  
234  $12.6 - 15.1^{\circ}\text{C}$  for the  $15^{\circ}\text{C}$  treatment,  $22.2 \pm 0.01^{\circ}\text{C}$ , with a range from  $21.3-23.1^{\circ}\text{C}$  for the  $23^{\circ}\text{C}$   
235 treatment, and  $24.54 \pm 0.004^{\circ}\text{C}$ , with a range from  $23.8 - 25.2^{\circ}\text{C}$  for the  $25^{\circ}\text{C}$  treatment.  
236 Recovery period temperatures for both high temperature treatments averaged  $13.5 \pm 0.006^{\circ}\text{C}$ ,  
237 with a range from  $12.8-15.1^{\circ}\text{C}$ . Mortalities only occurred in the  $25^{\circ}\text{C}$  tanks during this  
238 experiment, starting 10 days after the start of the experiment; six fish, distributed evenly between  
239 the 3 tanks, died by day 25 but subsequent bacterial pathogen tests were negative. The overall



240 health of the remaining fish was good, though we observed 10-20% of the fish in all tanks and  
241 treatments to have frayed or split caudal fins by Day 60. Fish at Day 0 averaged  $89.87 \pm 0.03$   
242 mm (mean  $\pm$  standard error) in length and  $7.25 \pm 0.01$  g (mean  $\pm$  standard error) in weight, with  
243 no differences among treatments (ANOVA:  $F = 6.752$ ;  $df = 16, 48$ ;  $P > 0.988$ ); ANOVA:  $F =$   
244  $15.966$ ;  $df = 16, 48$ ;  $P > 0.878$ , respectively). There was a significant effect of temperature  
245 treatment on length and mass (ANOVA:  $F = 21.110$ ;  $df = 2, 48$ ;  $P = 0.002$ ; ANOVA:  $F =$   
246  $37.476$ ;  $df = 2, 48$ ;  $P < 0.001$ , respectively; Figure 1 A&B). With the  $8^\circ\text{C}$  increase in temperature  
247 from  $15^\circ\text{C}$  to  $23^\circ\text{C}$ , growth increments of length decreased by 24.4% while growth increments  
248 based on mass decreased by 27.1% during the first 30 days of the experiment (Table 1). With the  
249  $2^\circ\text{C}$  increase in temperature from  $23^\circ\text{C}$  to  $25^\circ\text{C}$ , growth increments based on length dropped an  
250 additional 60% and growth rates based on mass an additional 56% during the initial 30 day  
251 period (Table 1). Growth increments based on length were significantly different at  $25^\circ\text{C}$  than at  
252  $23^\circ\text{C}$  and  $15^\circ\text{C}$  during this time (ANOVA:  $F = 45.086$ ;  $df = 16, 48$ ;  $P < 0.007$ ), as were growth  
253 increments based on mass (ANOVA:  $F = 5.374$ ;  $df = 16, 48$ ;  $P < 0.013$ ). Following removal of  
254 the temperature treatments, growth increments of fish in the  $23^\circ\text{C}$  and  $25^\circ\text{C}$  treatments achieved  
255 that of the  $15^\circ\text{C}$  fish (Figure 1C&D). Fish in warm water treatments ate less per day (ANOVA:  
256  $F = 6.771$ ;  $df = 2, 16$ ;  $P = 0.001$ ), though fish at  $25^\circ\text{C}$  had higher feed conversion efficiencies  
257 than fish at  $15^\circ\text{C}$  and  $23^\circ\text{C}$  (ANOVA:  $F = 6.384$ ;  $df = 16, 48$ ;  $P < 0.001$ ; Table 1).

258

259 Heat shock protein 70 levels in liver and fin tissue from fish at  $25^\circ\text{C}$  were significantly higher  
260 than in fish held at  $15^\circ\text{C}$  at all time points (ANOVA:  $F = 4.098$ ;  $df = 8, 16$ ;  $P = 0.008$ ; ANOVA:  
261  $F = 6.799$ ;  $df = 8, 16$ ;  $P < 0.001$ ) except at Day 0 (pre-treatment) and after Day 50, when levels  
262 returned to near pre-treatment control levels (Figure 2). At Day 25, liver hsp 70 levels in fish  
263 exposed to  $25^\circ\text{C}$  had increased  $\sim 2$ -fold relative to controls and were not statistically different  
264 than those fish exposed to  $23^\circ\text{C}$  (ANOVA:  $F = 4.098$ ;  $df = 8, 16$ ;  $P = 0.06$ ). Hsp 70 levels in  $23^\circ\text{C}$   
265 treatments also differed from  $15^\circ\text{C}$  controls in both liver or fin tissue, at this time (ANOVA:  $F$   
266  $= 4.098$ ;  $df = 8, 16$ ;  $P = 0.018$ ; ANOVA:  $F = 6.799$ ;  $df = 8, 16$ ;  $P < 0.001$ , respectively). Hsp 70  
267 expression in fin tissue was not different than that seen for liver ( $P > 0.05$ ).

268

269 Time and the interaction of time and temperature treatments affected whole body lipids  
270 (ANOVA:  $F = 92.021$ ;  $df = 4, 16$ ;  $P < 0.001$ ; ANOVA:  $F = 3.531$ ;  $df = 8, 16$ ;  $P = 0.015$ ,  
271 respectively). Temporally, for fish at ambient temperature and at 23°C, whole body lipids  
272 increased significantly through Day 40 (ANOVA:  $F = 3.531$ ;  $df = 8, 16$ ;  $P < 0.05$ ), and then did  
273 not change throughout the remainder of the experiment (ANOVA:  $F = 3.531$ ;  $df = 8, 16$ ;  $P <$   
274  $0.103$ ). Fish treated at 25°C saw a decrease in lipids over the initial part of the experiment, but  
275 had whole body lipid levels similar to that of the other treatments by Day 80 (Figure 3). As with  
276 growth increments, whole body lipids by Day 40 were significantly lower in fish held at 25°C  
277 compared to fish held at the other temperatures (ANOVA:  $F = 3.531$ ;  $df = 8, 16$ ;  $P < 0.05$ ). By  
278 Day 80, however, whole body lipids were the same across treatments (ANOVA:  $F = 2.718$ ;  $df =$   
279  $8, 16$ ;  $P > 0.255$ ).

280

## 281 Discussion

282 Our data show that exposure to sustained high temperature results in decreased growth  
283 increments, decreased ability to store lipids, increased stress protein production, and elevated  
284 mortalities, with fish consuming less food but having higher conversion efficiencies at 25°C.  
285 These results suggest that the metabolic demand for these fish is not being met by their food  
286 intake at high temperatures, resulting in weight loss (Dickerson and Vinyard 1999; Meeuwig et  
287 al. 2004), and a re-partitioning of energy away from growth (Fang et al. 2010; Lohmus et al.  
288 2010; Myrick and Cech 2000) until more optimal conditions allow the fish to recover.

289

290 The  $Q_{10}$  principle predicts a coefficient of increase in metabolism of  $\sim 2$  for young rainbow trout  
291 (Railsback and Rose 1999; Kamler 2008), indicating that the steelhead in our experiment should  
292 approximately double their metabolic rate between 15°C to 23°C and potentially also increase in  
293 size. However, growth increments dropped substantially when temperatures increased from  
294 15°C to 23°C (Table 1). More importantly, when the temperature was raised just 2°C from 23°C  
295 to 25°C, an even greater decrease in growth occurred (Table 1). Food conversion efficiency may  
296 increase at elevated temperatures (Huang et al. 2008; Fang et al. 2010), something we observed  
297 at 25°C, although consumption rate decreased. The influence of food consumption on differences  
298 in lipids, growth increments, and hsp production was expected to be minimal because feeding

299 was maintained at 2% body mass/day and food was consistently eaten except immediately  
300 following weighing and measuring (Deng et al. 2009; Cassinelli and Moffitt 2010). Higher  
301 temperatures may cause decreased absorption of food during digestion (Bogevik et al. 2011)  
302 though there is also evidence of minimal impacts on feeding and foraging behaviors in strains of  
303 thermally-adjusted steelhead (Spina 2007). For the stock of steelhead in these experiments, it is  
304 apparent that the level and duration of the thermal stress had an impact on their metabolism with  
305 resulting physiological consequences.

306

307 Our results suggest a physiological threshold at about 23°C, also observed by Feldhaus (2006)  
308 for rainbow trout in a natural system and supported by earlier work on juvenile rainbow trout  
309 (Hokason et al. 1977). A similar physiological threshold at constant thermal exposure was  
310 observed for Lahontan cutthroat trout (Dickerson and Vinyard 1999). It is likely that part of the  
311 decrease in growth above that threshold is due to energy reallocation to the process of hsp 70  
312 production (Myrick and Cech 2000; Iwama et al. 1999).

313

314 Heat shock protein 70 is a molecule that provides protection from stress and indicates activation  
315 of the cellular stress response (Feder and Hoffman 1999; Kültz 2005). In our elevated  
316 temperature treatment, hsp 70 increased by Day 25 (Figure 2), consistent with other studies  
317 (Feldhaus et al. 2010; Smith et al. 1999). Heat shock protein 70 has been shown to peak one day  
318 after an acute (1 hr) exposure in Atlantic salmon (Lund et al. 2002), and following an initial sub-  
319 lethal thermal shock fish can withstand subsequent greater heat shock (Kiang and Tsokos 1998;  
320 Basu et al. 2002). Our experiment followed a substantially longer time course for hsp 70  
321 synthesis than previous salmonid-based studies (Lund et al. 2002; Mesa et al. 2002; Feldhaus et  
322 al. 2010), though hsp 70 remained elevated for at least 15 days after the stress was removed,  
323 consistent with results in Chinook salmon after acute stress (Mesa et al. 2002). These  
324 observations suggest that these fish retain hsp 70 cytoprotective mechanisms for an extended  
325 period (Viant et al. 2003; Kültz 2005) even as they return to pre-stress homeostatic processes  
326 during recovery.

327

328 Body fat provides a measure of overall physiological condition in thermally stressed steelhead  
329 (Kammerer and Heppell 2012). At ambient temperature and at 23°C, whole body lipids increased

330 significantly for an extended period, after which they remained constant. Growth increments  
331 based on length showed a similar pattern although growth increments based on mass continued  
332 to increase (Figure 1). These results suggest that at ambient temperatures fish were storing  
333 energy as fat instead of using it to grow longer. At 25°C fish had an initial elevation in lipids,  
334 followed by a substantial decline and slow recovery; by the end of the experiment lipid levels  
335 were similar across treatments (Figure 3). The decrease in whole body lipids 15 days post-  
336 thermal exposure was also consistent with elevated hsp 70 levels (Figure 2), decreased lipids  
337 during warm summer months in rainbow trout exposed to temperatures above 22-23°C (Feldhaus  
338 et al. 2010) and Atlantic salmon during seasonally extreme temperatures (Naesje et al. 2006).  
339 Starvation resulting from decreased lipid storage may affect fish reproductive status (Chatzifotis  
340 et al. 2011), life history strategy (McMillan et al. 2011), and ocean survival (Triebenbach 2009).  
341 Compensatory growth may occur after a stressor is no longer present in salmonids (Triebenbach  
342 et al. 2009) and other species (Huang et al. 2008), characterized by elevated growth rates and  
343 rapid restoration of lost energy reserves following a period of slow growth (Ali et al. 2003).

344  
345 Temperatures in natural desert streams undergo a pronounced diel shift (Kammerer and Heppell  
346 2012), so the effects observed here may not be as extreme in the wild, where a fish's thermal  
347 history is more variable. However, Meeuwig et al. (2004) demonstrate that constant thermal  
348 stress does not evoke physiologically dissimilar growth rates to fish exposed to stressful daily  
349 thermal cycles. Variable thermal history does affect thermal tolerances of rainbow trout  
350 (Threader and Houston 1983) lowering tolerance potentially by 1.5°C (Hokason et al. 1977),  
351 although limited recovery of steelhead from thermal stress may occur each night as the  
352 temperature drops (Werner et al. 2005). In natural systems fish also may retreat to thermal  
353 refugia as temperatures in streams climb (Ebersole et al. 2003), depending on these areas to  
354 survive (Matthews and Berg 1997; Ebersole et al. 2001). While our experimental design did not  
355 mimic the natural desert environment, our work demonstrates three important principles in this  
356 stock of steelhead: (1) thermal stress impacts performance, including induction of stress proteins,  
357 decreased ability to store body fat, and decreased growth increments, (2) there is evidence of a  
358 threshold thermal limit above which the biological impacts of semi-chronic elevated  
359 temperatures become critical, and (3) evaluations of thermal stress can be conducted using  
360 external tissue samples in a non-lethal manner.

361  
362 We used fin tissue as our source tissue for measuring hsp 70 because of non-invasive manner  
363 with which it is collected. There are other candidate tissues that could be used for measuring hsp  
364 70. For example, heat shock protein 70 mRNA increases in brook trout *Salvelinus fontinalis*  
365 (Lund et al. 2003) and rainbow trout (Lund et al. 2002) red blood cells after acute heat stress.  
366 While red blood cell sampling represents another non-lethal method, carefully collecting and  
367 processing blood in the field may not be feasible especially on the large scale, as it requires  
368 catheterization or venipuncture (Cech et al. 1979). Certain compounds, such as oxolinic acid,  
369 may impact blood chemistry (Lunden et al. 1998). However, all fish were treated equally and  
370 well in advance of temperature treatments, as they were with formalin. While there were also  
371 some potential complicating effects evident through caudal fin erosion, this result was observed  
372 across all treatments and may be more illustrative of and aggressive feeding behavior (Noble et  
373 al. 2006) and social tendencies in rainbow trout (North et al. 2006). Regardless of source tissue  
374 or fish pre-treatments and behaviors, the non-lethal technique we outline can be particularly  
375 useful in systems where restoration and monitoring efforts are being conducted on threatened  
376 and endangered species (Kammerer and Heppell 2012), especially since physical stressors such  
377 as PIT-tagging (Feldhaus et al. 2008) or handling (Vijayan et al. 1997; Iwama et al. 1999) do not  
378 appear to affect hsp 70. Ultimately, while there are officially-mandated upper temperature  
379 maxima for salmon bearing streams (USEPA 2003), our results demonstrate a physiologically-  
380 relevant critical thermal threshold above which evokes a substantial physiological cost to this  
381 stock of steelhead and of which we also present a non-lethal manner in which to assess it.

382  
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395  
396 Figure and table captions

397  
398 Figure 1.- The effects of water temperature on steelhead growth. Growth was measured by **(A)**  
399 length (mm) and **(B)** mass (g) over time and by growth increment calculated from **(C)** length  
400 (mm/day) and **(D)** mass (g/day) following exposures, where each treatment differed (see text).  
401 Vertical lines indicate Day 25, the day when temperature treatments ended, in each panel.

402  
403 Figure 2.- The response of relative heat shock protein 70 (hsp 70) content over time in steelhead  
404 **(A)** liver and **(B)** fin tissue following exposure to 25°C, 23°C, or non-heated ambient (15°C)  
405 temperature treatments, followed by a 55 day recovery period. Letters that differ indicate  
406 statistical differences at a common time, while numbers that differ indicate differences with time  
407 at a given temperature treatment.

408  
409 Figure 3.- Whole body lipid changes in steelhead trout over time, following different  
410 temperature treatments (25°C, 23°C, and 15°C) for 25 days, followed by a recovery period of 55  
411 days at 15°C. Letters that differ indicate differences at a common time, while numbers that differ  
412 indicate differences with time at a given temperature treatment. The vertical line indicates Day  
413 25, the day when temperature treatments ended.

414  
415 Table 1.- Average growth increments (mean  $\pm$  SE) in length (mm/day) and mass (g/day) from  
416 three temperature treatments during the first 30 days of the experiment, encompassing the entire  
417 temperature treatment period. Relative change in growth and percent change per degree Celsius  
418 indicates the percentage decrease in average growth relative to the next coolest temperature.  
419 Average feed consumed per day and average feed conversion was calculated per time period and  
420 averaged (see text).

421

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Figure 1

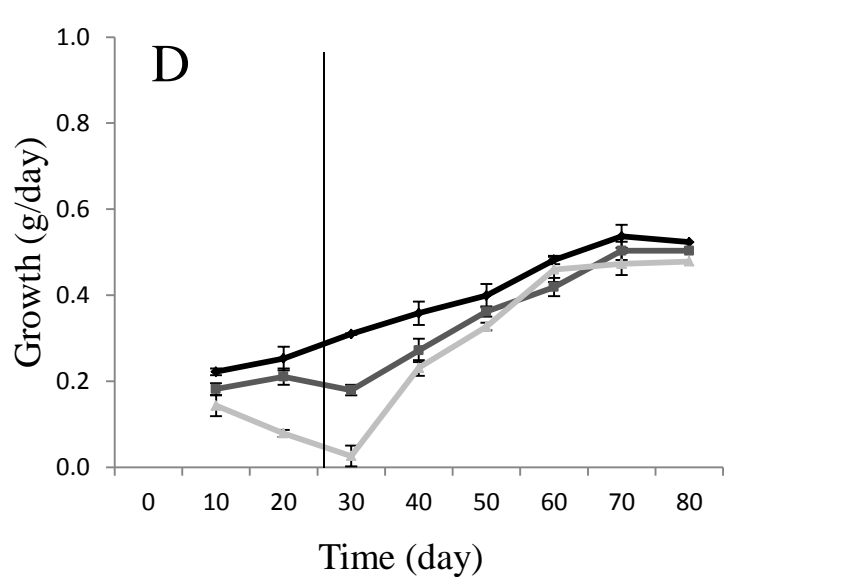
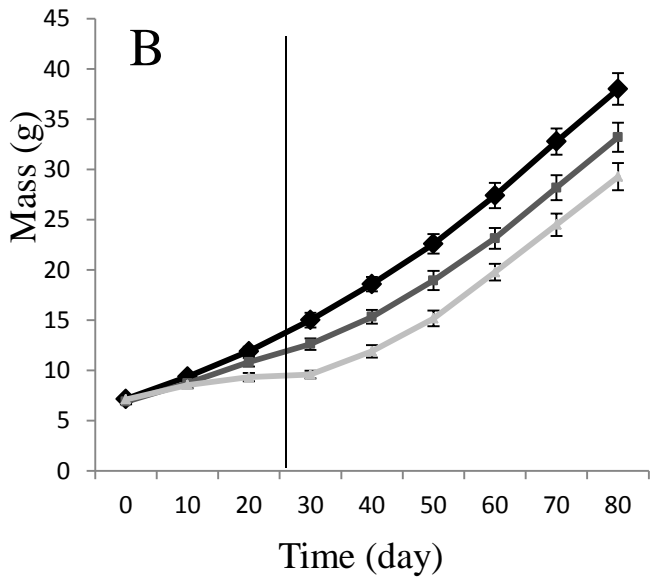
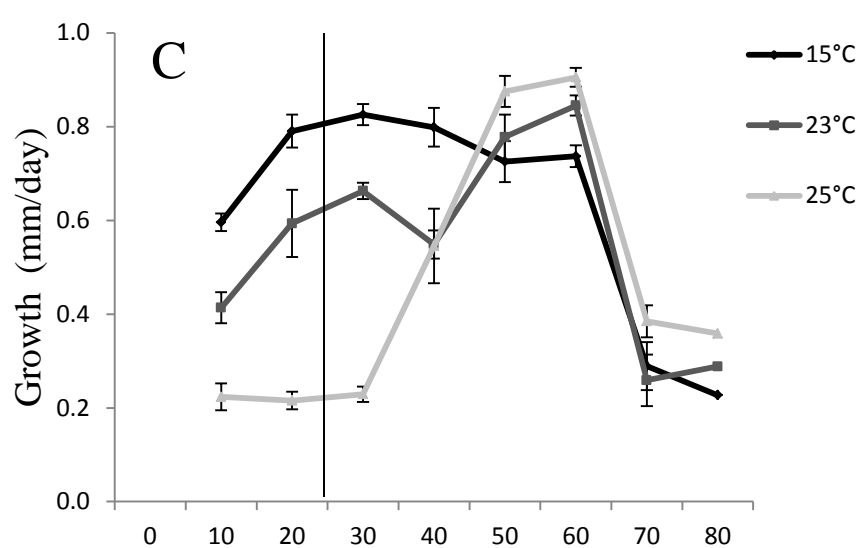
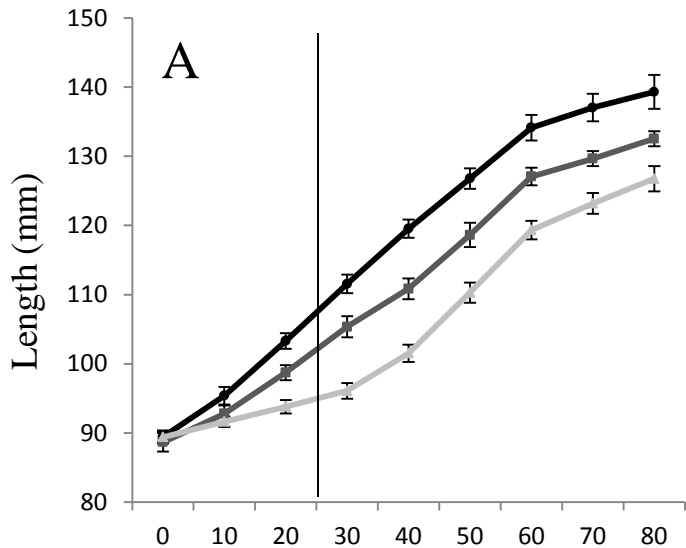


Figure 2

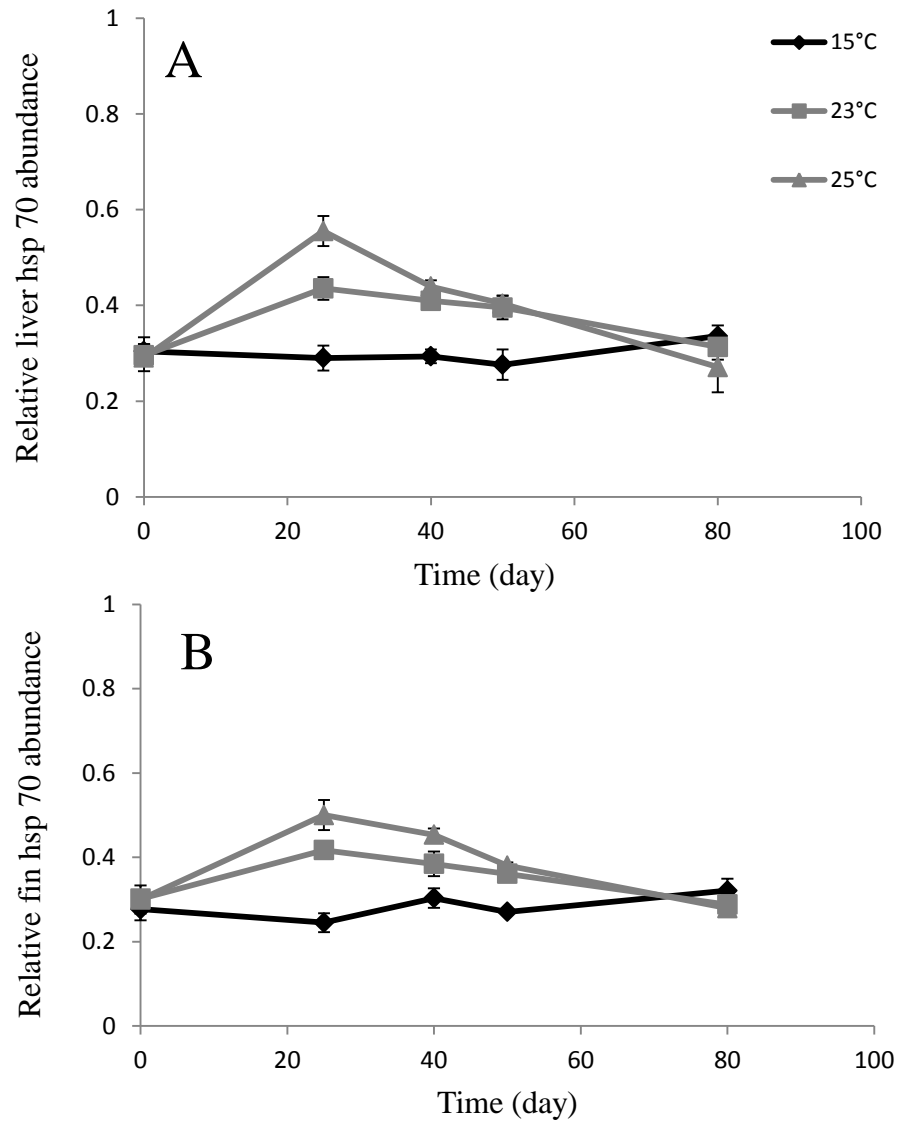




Figure 3

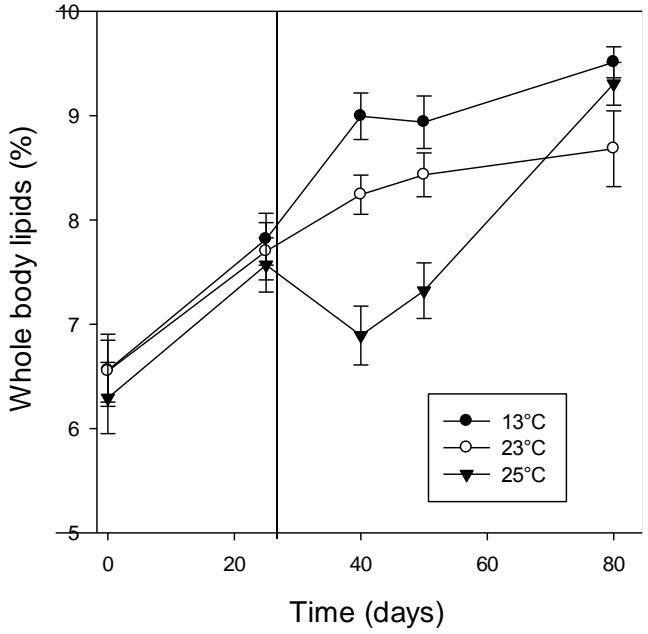


Table 1

Treatment	mm/day	Relative change growth (%)	%Change / degree C	g/day	Relative change growth (%)	%Change/ degree C	Average feed consumed (g) per fish per day	Average feed conversion
15	0.737 ±0.015			0.262 ±0.019			.32	0.70
23	0.557 ±0.011	-24.4	-2.4	0.191 ±0.010	-27.1	-2.7	.28	0.81
25	0.223 ±0.020	-60.0	-30.0	0.083 ±0.007	-56.5	-28.3	.25	1.26